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## Neural networks as a tool for constructing continuous NDVI time series from AVHRR and MODIS

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The long term Advanced Very High Resolution Radiometer (AVHRR)-Normalized Difference Vegetation Index (NDVI) record provides a critical historical perspective on vegetation dynamics necessary for global change research. Despite the proliferation of new sources of global, moderate resolution vegetation datasets, the remote sensing community is still struggling to create datasets derived from multiple sensors that allow the simultaneous use of spectral vegetation for time series analysis. To overcome the non-stationary aspect of NDVI, we use an artificial neural network (ANN) to map the NDVI indices from AVHRR to those from MODIS using atmospheric, surface type and sensor-specific inputs to account for the differences between the sensors. The NDVI dynamics and range of MODIS NDVI data at 1° is matched and extended through the AVHRR record. Four years of overlap between the two sensors is used to train a neural network to remove atmospheric and sensor specific effects on the AVHRR NDVI. In this paper, we present the resulting continuous dataset, its relationship to MODIS data, and a validation of the product.

### 1. Introduction

Consistent, long term vegetation data records are critical for analysis of the impact of global change on terrestrial ecosystems. Continuous observations of terrestrial ecosystems through time are necessary to document changes in magnitude or variability in an ecosystem (Tucker *et al.* 2001, Eklundh and Olsson 2003, Slayback *et al.* 2003). Satellite remote sensing has been the primary tool for scientists to measure global trends in vegetation, as the measurements are both global and temporally frequent. To extend measurements through time, multiple sensors with different design and resolution must be used together in the same time series. This presents significant problems as sensor band placement, spectral response, processing, and atmospheric correction of the observations can vary significantly and impact the comparability of the measurements (Brown *et al.* 2006). Even without differences in atmospheric correction, vegetation index values for the same target recorded under identical conditions will not be directly comparable because input reflectance values differ from sensor to sensor due to

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differences in sensor design and spectral response of the instrument (Teillet *et al.* 1997, Miura *et al.* 2006).

Several approaches have been taken to integrate data from multiple sensors. Steven *et al.* (2003), for example, simulated the spectral response from multiple instruments and with simple linear equations created conversion coefficients to transform NDVI data from one sensor to another. Their analysis is based on the observation that the vegetation index is critically dependent on the spectral response functions of the instrument used to calculate it. The conversion formulas the paper presents cannot be applied to maximum value NDVI datasets because the weighting coefficients are land cover and dataset dependent, reducing their efficacy in mixed pixel situations (Steven *et al.* 2003). Trishchenko *et al.* (2002) created a series of quadratic functions to correct for differences in the reflectance and NDVI to NOAA-9 AVHRR-equivalents (Trishchenko *et al.* 2002). Both the Steven *et al.* (2003) and the Trishchenko *et al.* (2002) approaches are land cover and dataset dependent and thus cannot be used on global datasets where multiple land covers are represented by one pixel. Miura *et al.* (2006) used hyper-spectral data to investigate the effect of different spectral response characteristics between MODIS and AVHRR instruments on both the reflectance and NDVI data, showing that the precise characteristics of the spectral response had a large effect on the resulting vegetation index. The complex patterns and dependencies on spectral band functions were both land cover dependent and strongly nonlinear, thus we see that an exploration of a nonlinear approach may be fruitful.

In this paper we experiment with powerful, nonlinear neural networks to identify and remove differences in sensor design and variable atmospheric contamination from the AVHRR NDVI record in order to match the range and variance of MODIS NDVI without removing the desired signal representing the underlying vegetation dynamics. Neural networks are 'data transformers' (Atkinson and Tatnall 1997), where the objective is to associate the elements of one set of data to the elements in another. Relationships between the two datasets can be complex and the two datasets may have different statistical distributions. In addition, neural networks incorporate *a priori* knowledge and realistic physical constraints into the analysis, enabling a transformation from one dataset into another through a set of weighting functions (Atkinson and Tatnall 1997). This transformation incorporates additional input data that may account for differences between the two datasets.

Our objective in this paper is to demonstrate the viability of neural networks as a tool to produce a long term dataset based on AVHRR NDVI that has the data range and statistical distribution of MODIS NDVI. Previous work has shown that the relationship between AVHRR and MODIS NDVI is complex and nonlinear (Gallo *et al.* 2003, Brown *et al.* 2006, Miura *et al.* 2006), thus this problem is well suited to neural networks if appropriate inputs can be found. The impact of atmospheric contamination, such as clouds, smoke, pollution and other aerosols, variations in soil colour and exposure through vegetation, and land cover type has a differential effect on AVHRR data as compared to MODIS data. Here we explore how neural networks can be used to account for these impacts and create an AVHRR NDVI dataset with similar characteristics as the MODIS dataset. Overlapping years of observations are used to train the network. Examination of the resulting MODIS-fitted AVHRR dataset both during the overlap period and in the historical dataset enabled an evaluation of the efficacy of the neural

net approach compared to other approaches to merge multiple-sensor NDVI datasets.

## 2. Neural networks

Neural networks are algorithms used for either classification or function approximation (Lippmann 1987). A good introduction to neural networks is given by Lippmann (1987). Since their first introduction, they have been used for almost two decades in remote sensing (Benediktsson *et al.* 1990). The most commonly used type of neural network is the Multi-Layer Perceptron (MLP), of which Kalman filters are one type. Artificial neural networks (ANNs) are made up of input layers, hidden layers and output layers.

The MLP neural network has an input layer where the data samples are fed, typically after being normalized. The data from the input layer is then fed into a number of hidden layers, typically either one or two. The choice of how many hidden layers and number of nodes per hidden layer that should be used is currently an open research question. Several heuristics exist to assist in selecting the number of nodes in the hidden layers, some of which developed explicitly in the domain of remote sensing such as the Kanellopoulos–Wilkinson (1997) rule (Stathakis and Vasilakos 2006). Finally the hidden layers feed one or more input layers.

To summarize the ANN topology, a relation of  $x:y:z$  is frequently used. This implies a neural network with  $x$  input nodes, one hidden layer with  $y$  hidden nodes and  $z$  output nodes (for example, 7:20:1). The neural network is trained by adjusting the values of the connections, called weights, between nodes. The most commonly used training algorithm is back-propagation introduced by Rumelhart *et al.* (1986). Several modifications to the original algorithm have greatly boosted performance (Rumelhart *et al.* 1986). Neural networks can learn in an either supervised or unsupervised mode depending on whether target vectors are presented along with input vectors or not. In the supervised mode, several spectral bands (or in this study, time series) per data sample are typically presented to the network. At the same time the desired output is also used to modify the weights so that the deviation between actual and obtained output is minimized. Typically the samples available, i.e. input and output vectors, are split in order to train the network and independently validate the results. A three-set strategy has been proposed to offer a more objective validation by Bishop (1995). According to this strategy three subsets are created, one for training, one for validation and one for testing (Bishop 1995).

One of the main advantages of neural networks is the fact that multiple sources, including non-spectral data, can be used as input (Benediktsson *et al.* 1990, Stathakis and Kanellopoulos 2008). This is because neural networks make no assumptions, e.g. about statistical distributions, regarding the input data. One of their main drawbacks is that they require experience in selecting values for the numerous parameters that need to be set. Recent results show that global search methods can be used to make near-optimal choices. Additionally, neural networks are often accused of being black-box techniques because the knowledge learned can not be expressed in a meaningful way. Several efforts have been made towards building transparent neural networks. One way to do this is to deploy neuro-fuzzy methods (Stathakis and Vasilakos 2006).

### 3. Data

This study uses global NDVI products derived from AVHRR and MODIS NDVI sensors at 1° resolution and for a monthly time window. Ancillary files are used in this study to determine the impact of clouds and other atmospheric effects on the vegetation measurement from different sensors through time. We have restricted the number of inputs to six besides the AVHRR NDVI to reduce redundancy and overfitting of the neural network. There are three atmospheric products from TOMS—a soil type map, a digital elevation model (DEM), and a land cover map.

#### 3.1 NDVI datasets at 1°

AVHRR and MODIS NDVI products were downsampled to 1° resolution to reduce the processing time of the artificial neural network and to match the resolution of the atmospheric TOMS inputs. To further reduce processing time, average monthly composites were made of the two products. The spatial and temporal downsampling was done by averaging all pixels falling in a 1° cell for the two nearest periods in a month (MODIS products do not respect month limits).

The maximum value AVHRR NDVI composites have an 8-km resolution (Tucker 1979, Holben 1986) and were from the NASA Global Inventory Monitoring and Modeling Systems (GIMMS) group at the Laboratory for Terrestrial Physics (Tucker *et al.* 2005, Brown *et al.* 2006) from July 1981 to May 2004. A post-processing satellite drift correction has been applied to this dataset to further remove artefacts due to orbital drift and changes in the sun-target-sensor geometry (Pinzon *et al.* 2005). As a result of AVHRR's wide spectral bands, the AVHRR NDVI is more sensitive to water vapour in the atmosphere than MODIS. An increase in water vapour results in a lower NDVI signal, which can be interpreted as an actual change if no correction is applied (Pineiro *et al.* 2004, Pinzon 2002). The maximum value composite should lessen these artefacts (Holben 1986). The GIMMS operational dataset incorporates AVHRR data from sensors aboard NOAA-7 through 14 with the data from the AVHRR on NOAA-16 and 17.

The Terra-MODIS 16 day L3 land surface NDVI product was selected. NDVI data for MODIS was computed from the (White-Sky) Filled Land Surface Albedo Map Product, which is a value-added product from the MODIS Atmospheres group. The global, 1-km, 16-day MODIS NDVI composites from February 2000 to December 2004 were used to create averaged 1° monthly data for this analysis. The resulting 1° time series include only pixels with more than 50% land and conforms to the International Satellite Land Surface Climatology Project (ISLSCP) convention as described by Sellers *et al.* (1996).

#### 3.2 Ancillary datasets

To account for the differences between the AVHRR and MODIS data, we use four ancillary data products in the neural network: TOMS data which provide information on water vapour in the atmosphere, soil maps, land cover maps and elevation. Each of these accounts for an aspect of the sensor design differences and provides key information so that the neural network can work. Preliminary work (not described here) demonstrated that the most important factors controlling the relationship between the NDVI of MODIS and that of AVHRR are the surface reflectance, the land surface type, aerosols and total ozone column. Variations in atmospheric contamination have direct impact on the AVHRR NDVI used here

because no atmospheric correction was implemented during its processing, only volcanic aerosols and maximum value compositing (Tucker *et al.* 2005). We know that ozone is a key atmospheric absorber of light in the visible region, and water, as measured by aerosols, in the infrared. The AVHRR NDVI, calculated using the wide bands of the instrument, will therefore be influenced by these elements.

The Nimbus-7 TOMS data are the only source of high resolution global information about the atmospheric composition (and hence depression of AVHRR NDVI) for much of the AVHRR record. As an instrument that measures the atmosphere back to 1981, TOMS has the advantage of being co-located for much of its record on the same platform as AVHRR, which is particularly important as the NOAA satellites from which the AVHRR NDVI are derived are subject to nonlinear orbital drift through time (McPeters *et al.* 1998). The TOMS data, from Version 8, include reflectance, aerosol and ozone measurements and are derived from three sensors: Nimbus 7, Meteor 3 and Earth Probe (table 1). All three products are used in order to capture the impact of atmospheric variations on the uncorrected AVHRR NDVI data. During the missing period of 1994–1996, we use a climatology created by taking the median value of the preceding 2, 4 and 6 years and the following 2, 4 and 6 years. This approach was used as ozone has a quasi-biennial oscillation (QBO). Although not optimal, this performed well and is required if we want to use these datasets for a correction of the entire series.

The NASA Goddard Institute for Space Studies (GISS) soil type map is used to account for the difference in sensitivity to underlying soil colour from AVHRR and MODIS (Huete and Tucker 1991, Huete *et al.* 1994). The soil type map is at 1° resolution and contains 26 soil units, and values for water and ice. The soil type data file was derived from the highest level of the FAO soil units and is based on the work of Zobler (1986).

A 1° ‘surface type’ land cover dataset was created from the SPOT Global Land Cover (GLC) 2000 dataset (Giri *et al.* 2004). Previous research has shown that variations in land cover affect the strength of the impact of atmospheric thickness (Pinzon 2002). This dataset has 22 land cover classes based on the FAO land cover classification system. We aggregated the data to a 1° resolution using a vote procedure. We used the GLC2000 data instead of MODIS or AVHRR-based land cover datasets as an independent surface classification for the ANN training. We use a single land cover map to represent the land cover for the 25-year record. Even though we acknowledge that land cover change may have occurred during this period, they are unlikely to span an entire 1° × 1° pixel. The neural network uses this parameter to identify regions with very low signal due to small amounts of vegetation. These regions are *approximately* static through time globally.

A 1° DEM was used to ensure the identification and maintenance of mountainous regions that may otherwise be confused with clouds or other atmospheric effects. This DEM was derived from the USGS SRTM 90-m dataset, and has been aggregated to 1° using averaging.

### 3.3 Global rainfall data

We used Global Precipitation Climatology Centre (GPCC) rain gauge data from the Global Precipitation Climatology Project (GPCP). These data were used to evaluate the ability of the NDVI data products for capturing inter-annual vegetation dynamics related to rainfall. The GPCC data are area-averaged and time-integrated precipitation fields based on surface rain gauge measurements. The GPCC collects

Table 1. Global datasets used in this paper.

| Sensor                    | AVHRR NDVI  | MODIS NDVI                    | GPCC rain          | TOMS reflectivity, ozone and aerosol  |
|---------------------------|---|-------------------------------|--------------------|---|
| Data source               | GIMMS NDVIg<br>operational dataset                  | MODIS-land and<br>atmospheres | Gridded gauge data | NASA GSFC ozone processing team   |
| Native spatial resolution | 8000 m  | 250 m                         | 1°                 | 26 km   |
| Temporal resolution       | 15 day  | 16-day                        | monthly            | daily   |
| Period available          | July 1981–present<br>(NOAA 7, 9, 11, 14, 16 and 17) | February 2000– present        | April 1986–present | November 1978–May 1993<br>(Nimbus 7)<br>May 1993–November1994<br>(Meteor 3)<br>July 1996–December 2005 (Earth<br>Probe) |
| Equatorial crossing       | ~9 am–~6 pm   | 10.30 am                      | NA                 | ~9 am–~6 pm   |
| Field of view (FOV)       | ±55.4°  | ±55°                          | NA                 | ±55.4°  |



monthly precipitation totals received from the World Weather Watch Global Telecommunication System (GTS) of the World Meteorological Organization (WMO). The GPCC acquires monthly precipitation data from international/national meteorological and hydrological services/institutions. Surface rain-gauge based monthly precipitation data from 6700 meteorological stations are analysed over land areas and gridded using a spatial objective analysis method (Rudolf *et al.* 1994).

## 4. Methods

### 4.1 Application of the ANN

When mapping AVHRR to MODIS NDVI using ANNs, factors that explain differences in the sensors and their processing must be accounted for by the input variables. Here we use historical data derived from the Total Ozone Mapping Spectrometer (TOMS), which is available with some interruption back to 1978 (McPeters *et al.* 1998). The AVHRR is also more sensitive to differences in background soil contamination than MODIS (Huete and Jackson 1988), thus we use a soil type map (Zobler 1986), a DEM, and a land cover map to account for these differences (see §3 for a description of the datasets).

The neural network used here is a fully-connected feed-forward MLP with 7:20:1 topology. Biases are connected to both hidden and output layers. The selection of the nodes in the hidden topology conforms well to the Kanellopoulos–Wilkinson rule commonly used in remote sensing. In this study we employed a feed-forward ANN with 20 nodes in a single hidden layer using a Kalman filter training algorithm. The Kalman filter algorithm provides rapid convergence for the weight estimation and is described by Lary and Mussa (2004).

Besides the additional data sources, the neural net is trained with time-series data of AVHRR and MODIS from the overlapping period of 2000–2003. Subsequently, the resulting weighting functions were applied to the AVHRR data from 1982–2003, using the ancillary files. The functions enable the correction of the entire dataset, enabling the production of an AVHRR dataset with similar characteristics as the MODIS dataset. For simplicity, throughout this paper this new dataset will be referred to as NNndvi, or the neural net corrected AVHRR NDVI. The result is an experimental product, whose objective is to demonstrate how a seamless AVHRR to MODIS dataset may be created. We do not assume that the method used is the only possible or even the most optimal method, but one that can produce a far closer integration between the datasets than has been demonstrated before using the actual processed data instead of the modelled data. For this feasibility demonstration we operated on the 1° scale at a monthly resolution to reduce the neural net processing time. The same training procedure could be conducted at a higher temporal and spatial resolution with more computing time and/or for smaller areas.

### 4.2 Evaluation methods

The NNndvi dataset obtained is evaluated in two ways to determine if it is closer to the target MODIS NDVI than the original AVHRR dataset, and if it retains important interannual vegetation dynamics that have previously been identified in the AVHRR data (Zeng *et al.* 1999, Bounoua *et al.* 2000). First, time series for selected 1° boxes are presented to demonstrate the effect of the neural net procedure on particular locations. Second, the NNndvi is compared to the GPCC dataset to



determine whether or not the correction has changed the relationship with observed rainfall.

## 5. Results

Figure 1 shows a schematic representation of the neural net mapping of the AVHRR NDVI to the MODIS NDVI during the years of overlap. Table 2 shows that the most important variable for linking the two datasets is the AVHRR NDVI (as would be expected) followed by the surface reflectance and total ozone column. In the TOMS data, the reflectance includes the degree of cloudiness. Given the wide bands of the AVHRR sensor and the differences in processing, it is expected that the TOMS reflectance is important for the correction (Cihlar *et al.* 2001).

Figure 2 shows the NDVI difference between the MODIS and AVHRR, and the MODIS and the NNndvi by latitude band for a single image from December 2003. The biggest differences are in the tropics, which have high concentrations of atmospheric aerosols and water vapour that interfere more with the AVHRR NDVI data than with the MODIS data (Huete *et al.* 2006). Another substantial difference between the datasets is seen in the northern latitudes. The histogram is from January 2003, so the regions north of 40° N have little active photosynthetic activity; the NDVI is largely measuring differences in ground cover and atmospheric thickness. The GIMMS AVHRR NDVI reports data over snow, ice, and during periods when there is no light, relying on the NDVI to correctly record the very low photosynthetic activity during these months. MODIS NDVI data incorporate

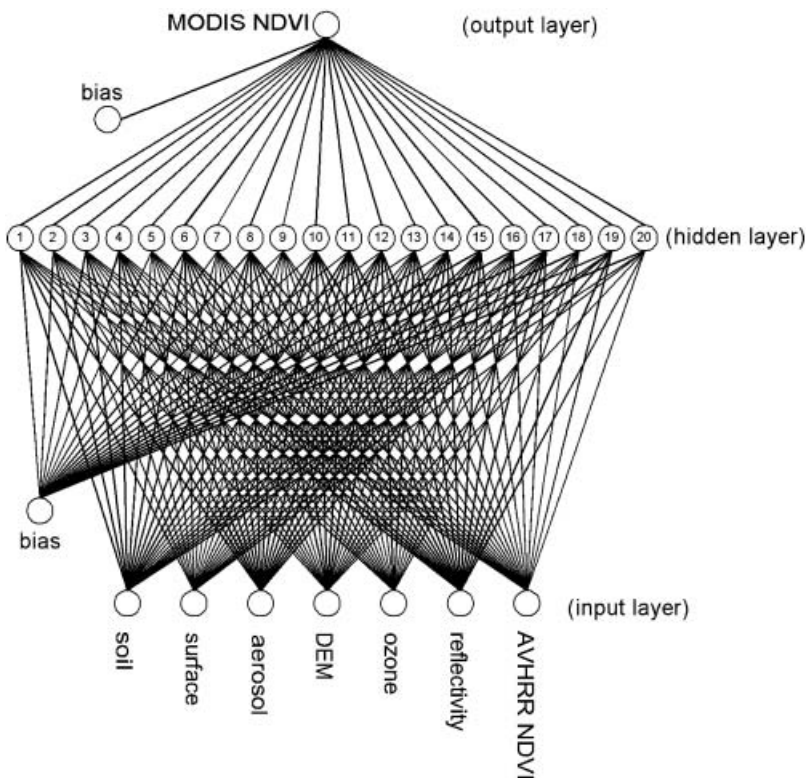


Figure 1. Schematic representation of the neural network used in this paper.

Table 2. Statistics of the MODIS, AVHRR, and NNndvi datasets for 48 months of data (2000–2003).

| Element                 | Accumulated weight |
|-------------------------|--------------------|
| AVHRR NDVI              | 0.6                |
| TOMS reflectance        | 0.5                |
| TOMS column ozone       | 0.3                |
| Land surface type       | 0.3                |
| TOMS aerosol index      | 0.2                |
| Soil cover              | 0.2                |
| Digital elevation model | 0.2                |

much more sophisticated snow and ice detection, which results in large differences between the AVHRR and MODIS data. Since we have inputs into the neural net that can account for these differences (soil type, monthly changes in reflectivity), the differences between MODIS and AVHRR are considerably reduced by neural network processing.

Figure 3(a) and (b) show the spatial average of all pixels in the same latitudinal band for the difference between the AVHRR and MODIS (a) and NNndvi and MODIS (b). The plots show that significant improvement in the correspondence

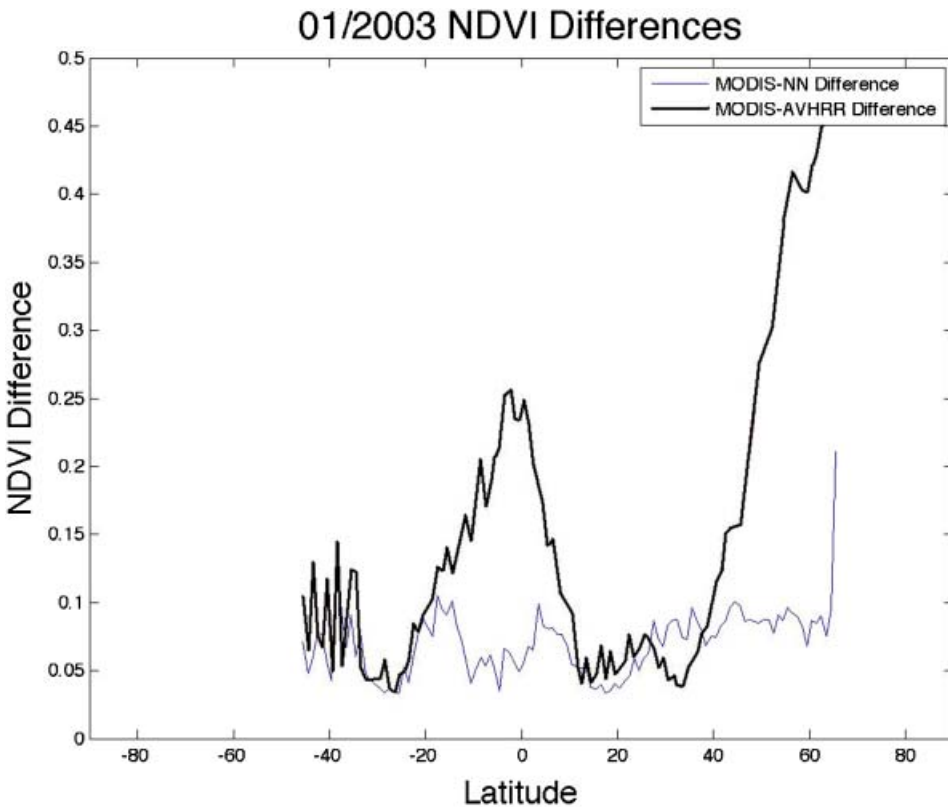


Figure 2. Graph showing the latitudinal means of the difference between MODIS, AVHRR and NNndvi for January 2003. The figure highlights the zones where the neural net correction is the strongest.

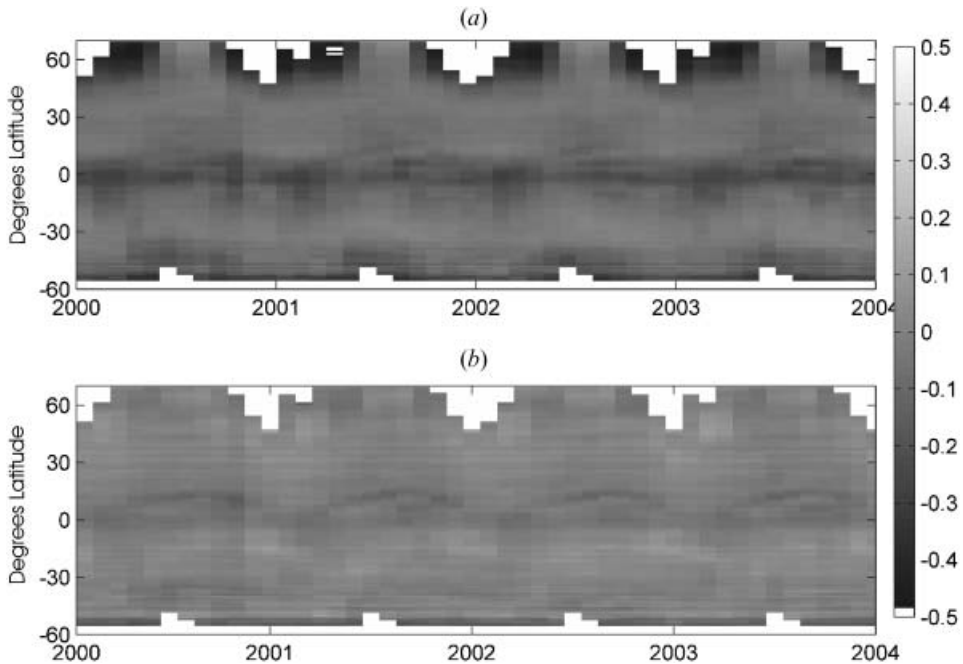


Figure 3. Zonal mean (averaged per latitude) of the difference between MODIS and AVHRR (a) and MODIS and NNndvi (b) through time from 2000 to 2003.

between the datasets in the tropics and in the northern latitudes seen in figure 2 is present in all years. Differences at the beginning and end of the growing season in the far north are clearly seen. These differences will be significant to scientists attempting to measure changes in phenology through time due to a warming climate. The northern latitudes have experienced the largest degree of warming, thus these systematic differences are important to both recognize and remove if a consistent, sensor-independent dataset is to be developed.

The neural network process provides coefficients that were applied to the input data, to produce an NDVI fit to MODIS from AVHRR back to 1982. Figure 4 shows the zonal averages of the resulting dataset, displaying both seasonality and interannual variability as expected. Table 3 shows the mean and standard deviation of the MODIS, AVHRR and NNndvi datasets. The mean NNndvi is closer to the MODIS data than to the original AVHRR data. The differences in the means can be seen in figure 5, which shows the root mean square error (RMSE) in NDVI units between the AVHRR-MODIS (figure 5(a)), and the NNndvi-MODIS (figure 5(b)). The NNndvi dataset is on average within 0.2 NDVI units of the MODIS data, removing the land-cover and regional differences that can be seen in the top panel. The scatters above 0.2 RSME are seen in the map of the RMSE in figure 5(b) as being concentrated along the coastlines and where a sharp land-cover gradient is located, such as along the Himalayas and Andes mountain ranges. This is likely to be due to differences in the original land cover map between MODIS, AVHRR and TOMS and the other ancillary datasets, as well as averaging procedures to make the  $1^\circ$  datasets. This effect may be ameliorated by using a higher resolution, as at  $1^\circ$  much mixing of vegetated and non-vegetated features occurs, particularly along

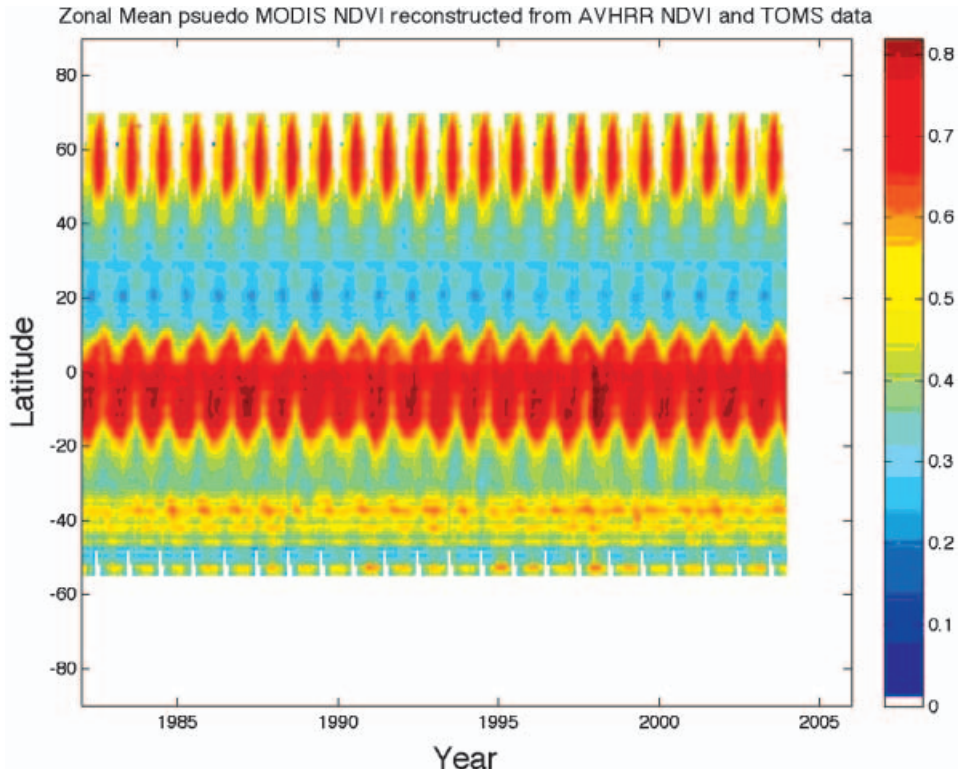


Figure 4. Latitude-averaged mean of NNndvi from 1982 to 2003.

sharp land cover and topographic features, which reduces the effectiveness of the neural network training.

Figure 6 shows the time series from MODIS, AVHRR, and the NNndvi from six selected 1° pixels (Brown *et al.* 2006). These locations were selected from the Earth Observing System land validation core sites described in Brown *et al.* (2006) and were meant to display a range of ecosystems and climates. The figure shows that the NNndvi is much closer to the MODIS series than the original GIMMS AVHRR, particularly in areas with high humidity such as in the Cascades of Washington state or Ji-Parana, Brazil. The NNndvi is higher than the GIMMS data, especially during the winter months. In some regions where the match between MODIS and AVHRR was fairly good originally, such as in the Harvard Forest, the fit between the datasets is extremely good.

Figure 7 shows the correlation coefficient  $R$ , between the GPCP monthly gridded rainfall product at 1° and the GIMMS AVHRR, NNndvi, and MODIS from 2000–

Table 3. Global mean and standard deviation of the MODIS, AVHRR and NNndvi datasets.

| Sensor               | NNndvi | AVHRR  | MODIS  |
|----------------------|--------|--------|--------|
| Global mean NDVI     | 0.4834 | 0.2982 | 0.4830 |
| Global standard NDVI | 0.2384 | 0.2460 | 0.2522 |

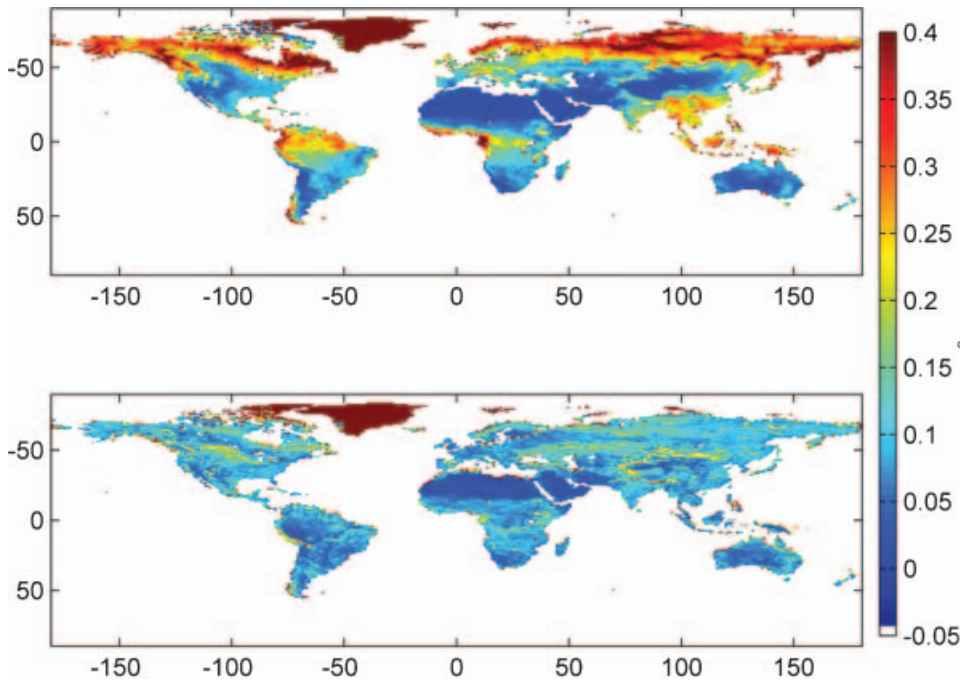


Figure 5. Root mean square error from MODIS-AVHRR (above) and the MODIS-NNndvi (below) from 2000 to 2003 in NDVI units.

2003. The maps in the top two panels show that the NNndvi has a similar relationship with rainfall in semi-arid regions as has been documented with the GIMMS data (Brown *et al.* 2004). It demonstrates that at  $1^\circ$ , the correction maintains the datasets' basic integrity and relationship with rainfall in semi-arid zones. Panel D shows the histogram of the global correlation, showing a similar structure to the data for the three datasets.

The results of this procedure are fairly robust, but they are not sufficiently good to be used for scientific investigations. To determine if the data are usable immediately, we produced an anomaly for August 2003 from each dataset versus the 4-year August mean for MODIS. Figure 8 shows the histogram of the anomaly for August 2003 (when there was a major drought in Europe), which shows the improvement of the NNndvi over AVHRR, but the data is still quite a bit different to the MODIS data. Depending on the user requirements, this may be sufficiently similar. The bias in the AVHRR has been removed so that the NNndvi is far more normally distributed. The  $R_p$  statistic, a modified version of the Shapiro-Wilks test, measures the degree of normality of a dataset by correlating the data with the standard normal distribution (Wilks 1995). The  $R_p$  for the MODIS anomaly shown in figure 8 is 0.17, whereas the NNndvi anomaly has a value of 0.45, and the AVHRR 0.47. So although the neural net correction has improved the data significantly, there are still differences that are systematic for every pixel. The quality of the corrected data is significantly better, however, as can be seen in figure 9. The removal of cloud contamination in regions, such as the Gulf of Guinea, that have always had depressed NDVI signals in the AVHRR dataset, is a contribution that should not be underestimated.



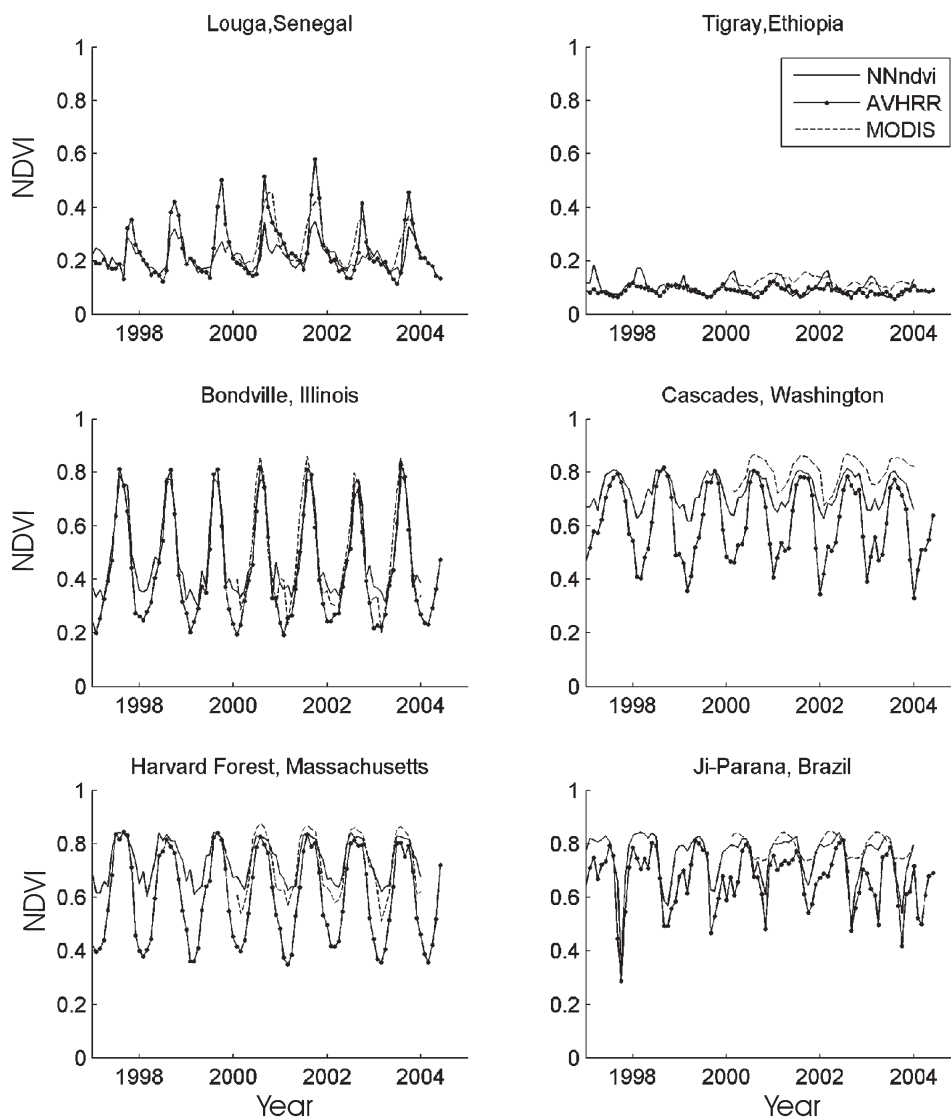


Figure 6. Time series plots of six latitude-longitude locations: Louga, Senegal (16, -16), Tigray Ethiopia (14, 40), Bondville Illinois (10, -88), Cascades Washington (44, -122), Harvard Forest Massachusetts (43, -72), and Ji-Parana Brazil (-11, -62).

## 6. Discussion

The lack of reliable climate observations throughout the AVHRR record is a major limitation in all attempts to correct the AVHRR data to match the quality of the MODIS record. In order to remove the systematic difference between the AVHRR and MODIS data due to atmospheric water vapour, we need accurate observations of the amount of water vapour in the atmosphere at the time of data acquisition. For AVHRR, the instrument that provides these data is derived from the Total Ozone Mapping Spectrometer (TOMS) data (McPeters *et al.* 1998). TOMS data have their own problems with data continuity and algorithms, which may reduce the

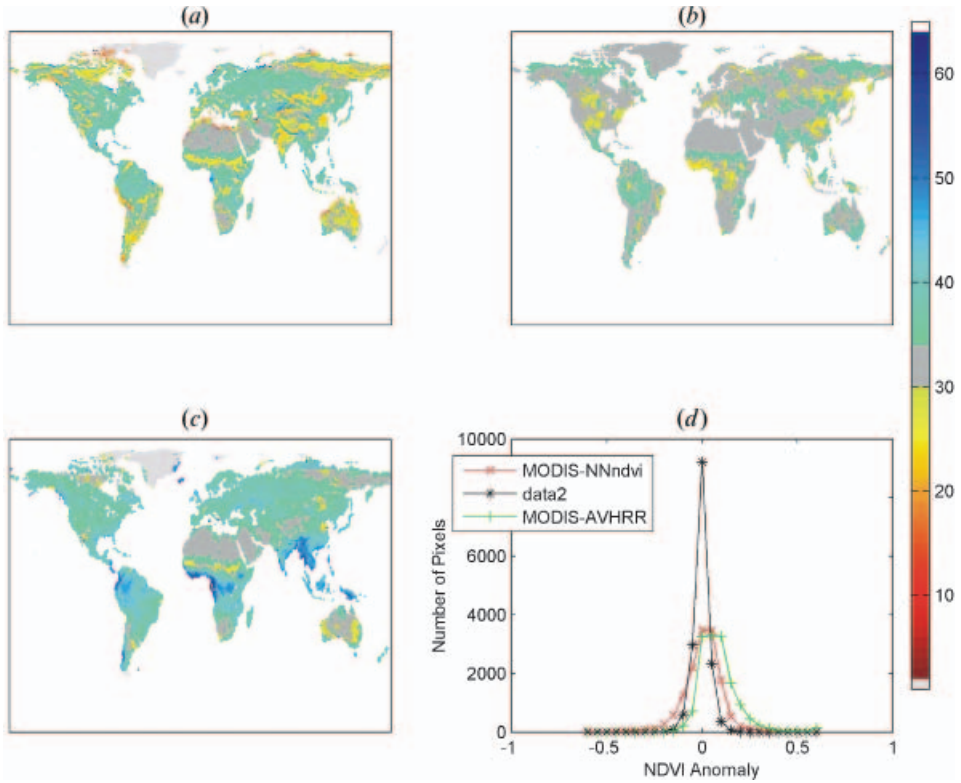


Figure 7. Correlation coefficient of AVHRR (a), NNndvi (b), and MODIS (c) versus GPCP rainfall data. (d) Shows the histogram of the correlation coefficient of the NDVI versus gridded rainfall by percent.

effectiveness of the neural network because the issues may interfere with the NDVI differences we are trying to remove.

One reason for the lack of strong results in this experiment is the use of aggregated data. The temporal mismatch between the 15-day AVHRR data, the 16-day MODIS data and the monthly TOMS datasets has consequences that are difficult to identify. Although an effort was made to minimize these problems through aggregation to the monthly time step, they may confound the neural net. Aggregated data are much cleaner than daily observations, require far less computational effort (a key factor in running neural networks), and are the most widely used products. In addition, daily data for the AVHRR NDVI and reflectances are currently not available, thus they are not used here.

An effort is being made in the context of a NASA funded collaborative project called the Long Term Data Record at the University of Maryland. In this project, daily AVHRR NDVI from NOAA-7 through -14 (1981 to 1999) will be combined directly with MODIS data from 2000 onwards. The data from the year 2003 will be used to relate the two datasets. The research presented in this paper will illuminate the efforts of this project.

## 7. Conclusion

Remote sensing datasets are the result of a complex interaction between the design of a sensor, the spectral response function, stability in orbit, the processing of the



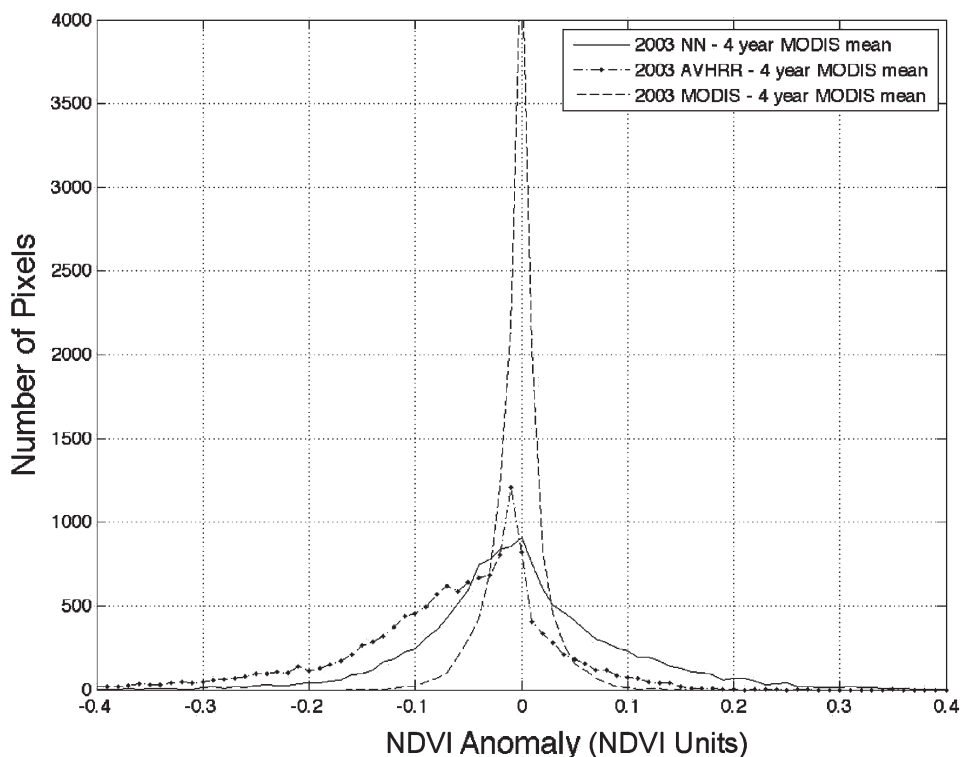


Figure 8. The August 2003 anomaly, defined as the difference between the MODIS, AVHRR and NNndvi image for August 2003 and the mean of four August MODIS images (2000–2003).

raw data, compositing schemes, and post-processing corrections for various atmospheric effects including clouds and aerosols. The interaction between these various elements is often nonlinear and non-additive, where some elements increase the vegetation signal-to-noise ratio (compositing, for example) and others reduce it (clouds and volcanic aerosols) (Los 1998). Thus, although other authors have used simulated data to explore the relationship between AVHRR and MODIS (Trishchenko *et al.* 2002, van Leeuwen *et al.* 2006), these techniques are not directly useful in producing a sensor-independent vegetation dataset that can be used by data users in the near term.

There are substantial differences between the processed vegetation data from AVHRR and MODIS. In order to have a long data record that utilizes all available data back to 1981, we must find practical ways of incorporating the AVHRR data into a continuum of observations that include both MODIS and VIIRS. The results in this paper show that the TOMS data record on clouds, ozone and aerosols can be used to identify and remove sensor-specific atmospheric contaminants that differentially affect the AVHRR over MODIS. Other sensor-related effects, particularly those of changing BRDF, viewing angle, illumination, and other effects that are not accounted for here, remain important sources of additional variability. Although this analysis has not produced a dataset with identical properties to MODIS, it has demonstrated that a neural net approach can remove most of the atmospheric-related aspects of the differences between the sensors, and match the

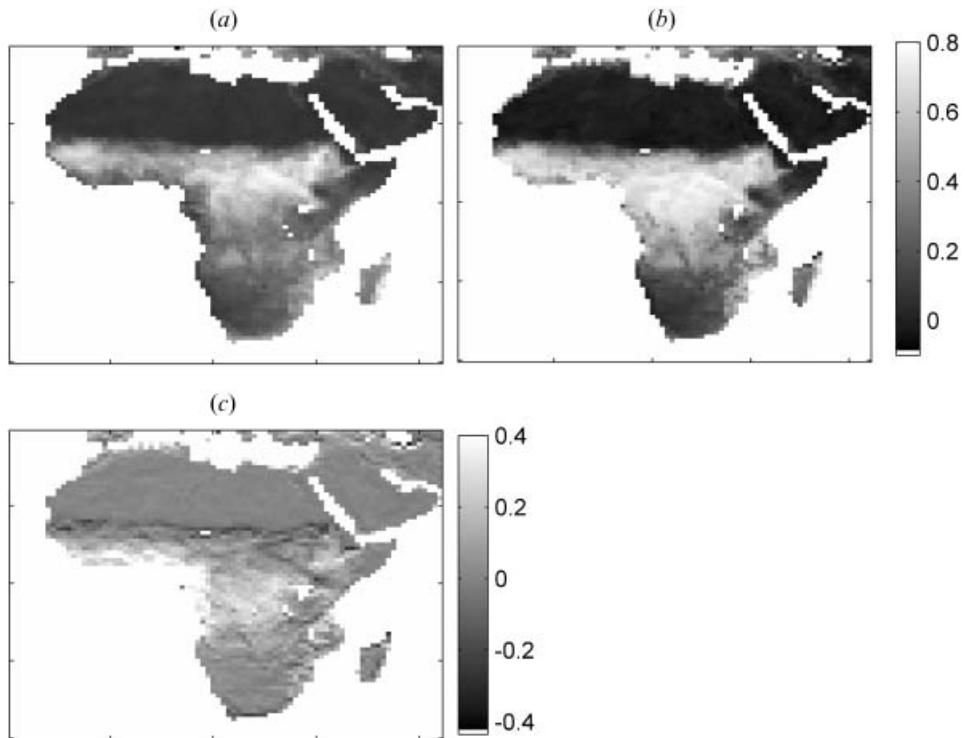


Figure 9. Africa subset of  $1^\circ$  images for July 2002 for the AVHRR (a), NNndvi (b), and the difference between the two (c).

mean, standard deviation and range of the two sensors. A similar technique can be used for the VIIRS sensor once the data is released.

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