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# Configuration of Traffic-Responsive Plan Selection System Parameters and Thresholds: Robust Bayesian Approach

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Traffic-responsive plan selection (TRPS) is one of the two major closed-loop system modes of operation. The TRPS mode is more beneficial than its competitor (time-of-day mode) because of its ability to accommodate abnormal traffic conditions such as incidents, special events, and holiday traffic. However, no guidelines are available for optimal setup of TRPS systems. Improper configuration of a TRPS system can result in inefficient system performance or unstable operation in which the closed-loop system operates in a perpetual transitioning state. The TRPS mechanism implemented in current traffic signal controllers is described, and a Bayesian-based methodology for selecting an optimal set of TRPS factors, parameters, and thresholds is proposed. The proposed methodology was tested with data from a closed-loop system in Texas and resulted in 100% classification accuracy.

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Coordinating traffic signals in a closed-loop system can provide significant reductions in travel and delay times. A study published in 1997 found that interconnecting previously uncoordinated signals or pretimed signals with a central master controller and providing newly optimized timing plans could result in a travel time reduction of 10% to 20% (1). In addition to significantly reducing travel time, properly timed closed-loop systems will also reduce stops, fuel consumption, and vehicle emissions. Another study evaluating the impact of properly timing a closed-loop system in Texas reported a 13.5% (20.8-million gallons/year) reduction in fuel consumption, a 29.6% (22-million hours/year) reduction in delay, and an 11.5% (729-million/year) reduction in stops (2). The study estimated total savings to the public of approximately \$252 million in the following year alone. These kinds of benefits, however, require the implementation of timing plans that are most suitable to the existing traffic conditions in the field. This operation in turn will require that timing plans be varied in a timely manner as the traffic conditions change.

## TYPICAL CLOSED-LOOP SIGNAL SYSTEM COORDINATION MODES

The coordination of traffic signals can be achieved by interconnecting a master controller to a series of traffic signal controllers forming what is known as a closed-loop

traffic signal system. The on-street master supervises the individual intersection controllers and issues commands to implement timing plans stored at the local controllers. The master controller can also report detailed information back to a traffic management center using dial-up telephone or other similar communications channels for monitoring purposes.

The two major modes by which timing plans are selected and activated at the controller at any given time are time-of-day (TOD) and traffic-responsive plan selection (TRPS).

### TOD Mode

The TOD mode is the most common mode of operation of closed-loop systems. In the TOD mode, a particular timing plan is implemented according to a predetermined time schedule regardless of the existing traffic conditions. TOD mode can provide stable and good performance when traffic patterns are predictable in terms of when and where they occur in the network (3-6). However, for networks in which traffic patterns are not predictable or in which demands shift with time, the TOD mode can cause the signal system to implement plans that are inappropriate for the actual traffic patterns. Another major drawback of the TOD mode is that engineers need to continually update the timing plans so that the plans match the temporal distribution of traffic patterns.

### TRPS Mode

The TRPS mode provides a mechanism by which the traffic signal system is able to select timing plans in real time in response to changes in traffic demand. In the TRPS mode, system detectors are used to measure occupancy and counts in the closed-loop system network. The occupancy and count information is smoothed, scaled (normalized), and then aggregated by multiplying each value by its corresponding detector weight. The master controller keeps track of the aggregated values and continuously compares them with corresponding thresholds. If the new values exceed their corresponding thresholds, the control system selects a different timing plan from a stored library of timing plans.

The TRPS mode has limited implementation nationwide. The city of Milwaukee, Wisconsin, has implemented a TRPS mode in one of their closed-loop systems

to manage congestion and reduce traffic accidents (7). Even though the study used only two cycle lengths, 90 seconds and 120 seconds, an increase in approach capacity and vehicle speed over system detectors was reported. The study also reported a significant reduction in adjusted frequency of congestion-related intersection accidents.

Another study of two networks in Lafayette, Indiana, found that the TRPS mode reduced total system delay by 14% compared with the TOD mode for the midday traffic pattern (8). It was also found that the TRPS system reduced total system delay for morning traffic by 38%. However, because of the lack of guidelines on setting up TRPS systems, a fine-tuning process was performed in the laboratory until the TRPS mode behaved as expected. Consequently, the study reported that TRPS had frequently resulted in unexpected time plans changes, which reduced overall system performance (8).

### TRPS CONTROL MECHANISM

Numerous factors and parameters need to be set up correctly for the TRPS mode to function properly. The TRPS control mechanism is explained and each of the TRPS factors and parameters is elaborated on in relation to system detector data.

#### System Detectors

The TRPS mode uses information collected from system detectors (occupancy and counts) to measure the traffic conditions in the closed-loop system network. FHWA provided limited guidelines in locating system detectors (9). The general guidelines require that the system detectors be located relatively far from the traffic signal (10). The Indiana study, for example, used 10 system detectors with setback distances greater than 650 feet from the stop line (8).

A common understanding among traffic controller manufacturers, as reflected in their TRPS mechanism design is that system detectors can be categorized into three groups. Each of these categories would serve a different purpose in the TRPS mechanism:

1. Cycle-level detectors. The information from these detectors is used for determining the appropriate cycle level and therefore they should be located near the critical intersection or intersections.
2. Arterial detectors or directionality detectors. The information from these detectors is used to determine the appropriate offset level and therefore they should be placed in the inbound and outbound directions on the arterial.
3. Nonarterial detectors. The information from these detectors is usually used to determine the appropriate split level and therefore they should be placed on the side streets.

#### TRPS Factors

Once the occupancy and count data are collected from system detectors, the information is aggregated by means of certain master controller functions using scaling, smoothing, and weighting factors (11-13).

#### Scaling Factors

Scaling factors are used to convert occupancy and count data into a combined value that is independent of the value of the approach capacity. The scaled value ranges from 0% to 100%, which indicates how close the approach is to its capacity. Some literature provides a recommendation to set the values to the highest observed occupancy value for the system detector over a long period of time (14).

#### Smoothing Factors

Smoothing refers to producing a weighted average of the occupancy and count data over time to eliminate the effect of short-term fluctuation of traffic patterns. Each controller manufacturer uses a different approach for smoothing data. However, these approaches are generally based on two mathematical functions. The first approach is called filtering. The filtering method calculates the new value of a variable  $x$  (e.g., count) by multiplying the difference between the old smoothed value and the newly collected value of the same variable by a smoothing factor and adding the result to the last smoothed value of the variable:

$$\bar{x}_{\text{new}} = \bar{x}_{\text{old}} + k(x_{\text{new}} - \bar{x}_{\text{old}})$$

where

$$\begin{aligned} \bar{x}_{\text{new}} &= \text{new smoothed value,} \\ \bar{x}_{\text{old}} &= \text{old smoothed value,} \\ x_{\text{new}} &= \text{new raw value, and} \\ k &= \text{smoothing factor.} \end{aligned}$$

Smaller values of  $k$  give more weight to past data and result in sluggish system response to changes in the variable  $x$ . However, larger values of  $k$  cause the system to be more responsive to changes in data, but that might also lead the system to be more affected by noise in traffic data. Thus, the smoothing factor must be selected to provide maximum responsiveness while maintaining system stability.

The other smoothing approach is to average the values of the variable  $x$  over the previous  $n$  time intervals. Clearly, the greater the number of previous time intervals used, the less sensitive the smoothed value is to changes.

#### Weighting Factors

Each system detector is assigned a weighting factor by which its data are multiplied during the aggregation process. Despite the implication of the name, a weighting factor does not emphasize the importance of an individual system detector, as will be discussed later. Some manufacturers allow assigning different weighting factors to occupancy and counts as well as a weighting factor at the detector itself. Although selection of the weighting factors is crucial to the operation of the TRPS mode, no guidelines have been offered to help in achieving this task.

#### TRPS Mechanism and Thresholds

TRPS uses several computational channel (CC) and pattern selection (PS) parameters to arrive at the final selected timing plan. Figure I shows a general TRPS mech-

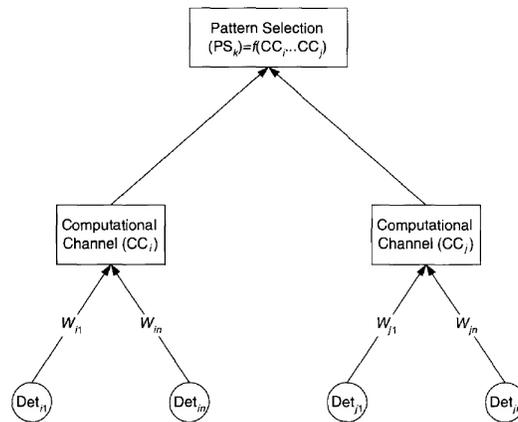


FIGURE 1 General TRPS mechanism.

anism in which occupancy and count information from a group of  $n$  system detectors ( $n$  differs from one manufacturer to another; e.g., Eagle controllers use eight) is aggregated into a CC parameter (i.e., by multiplying each system detector by its corresponding weight  $W$ ). It should be noted that system detectors used with a CC parameter mayor may not be the same system detectors used with another CC parameter. The name and number of CC parameters in a TRPS system differ from one manufacturer to another. Most TRPS manufacturers, however, agree on the names and number of the PS parameters, namely, cycle, split, and offset. Each PS parameter is calculated as a function of several CC parameters. Some of these functions are user selected, whereas others are predefined by the controller manufacturer.

In addition, the TRPS mode requires the operator to predefine entering and exiting thresholds for each PS parameter. The definition of a different entering and exiting threshold provides a hysteresis control, which enhances system stability when the thresholds for each TRPS parameter are set up correctly.

The master controller compares each PS parameter value with its corresponding threshold to identify the appropriate PS level. The three PS levels are used as index values in a table lookup procedure. The lookup-table entries determine which one of the stored timing plans will be selected.

This cycle-split-offset PS parameter nomenclature can be somewhat confusing to the user. Each PS parameter value merely specifies an index to the TRPS lookup table and not the actual cycle, split, and offset values. In addition, it is not necessary to use all PS parameters in the TRPS mechanism. For example, if four timing plans are to be implemented in a closed-loop system and they are differentiable by one PS parameter, then only one PS parameter is needed for TRPS operation. This PS parameter could be anyone of the cycle, split, or offset PS parameters.

As can be deduced from the previous section, setting up a TRPS system to work efficiently is not a trivial task. Besides the possibility of selecting incorrect plans, im-

proper values of TRPS parameters can set the system into a perpetual transitioning state. When the system is not in a steady state, benefits of a better timing plan might be offset by the delays associated with the transition between timing plans. Previous research had shown that only marginal benefits could be achieved over TOD operation when fluctuation in traffic demand caused frequent timing plan changes (14). Therefore, there is a need for statistically and theoretically sound guidelines on how TRPS parameters and thresholds can be set up so that TRPS results in optimal and stable system operation.

ROBUST BAYESIAN-BASED APPROACH FOR TRPS SETUP

The methodology followed in this research was based on the realization that TRPS control is essentially a pattern recognition problem of different traffic conditions, or states. Every intersection approach movement in the closed-loop system is a dimension in the TRPS state space. Variation in the state variable along any of these dimensions can be potentially “sensed” through the occupancy and count information obtained from a system detector placed at that approach. The major challenge of TRPS system setup is the determination of a set of detector weights that can map the multidimensional state space into a unidimensional PS parameter ordinate. This mapping should occur such that maximum separation of different traffic states can be achieved with a set of PS parameter thresholds.

This concept is illustrated in Figure 2, which is a simplified three-dimensional space that shows samples from two different state distributions. The reader can think of these two states as low- and high-volume demand cases, respectively. The three-dimensional sample points from these two states correspond to occupancy data from three system detectors placed at three different approaches. Figure 2a, b, and c correspond to three different sets of detector weights. Figure 2a shows a set of weights that provides poor separation of the two state distributions. Figure 2b shows a different set of weights that provides

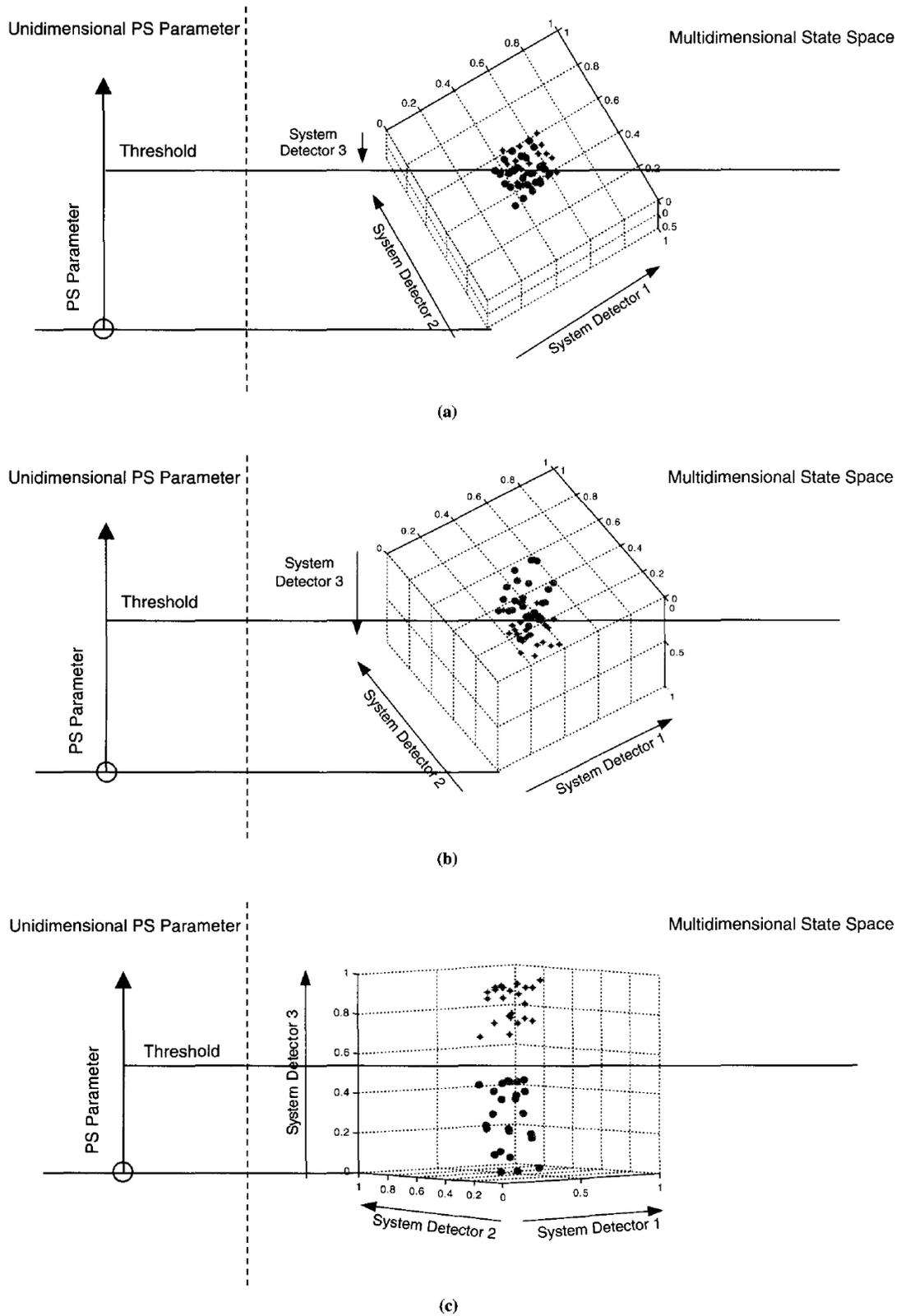


FIGURE 2 Effects of detector weights on TRPS state space: (a) worst set of weights, (b) better set of weights, and (c) best set of weights.

a better separation, and Figure 2c shows the best set of weights, which provides total separation of the two state distributions.

**Optimal Framework for TRPS System Design**

A comprehensive TRPS design approach for closed-loop systems was developed by Abbas *et al.* (15). The general framework can be summarized in the following steps:

1. Design the closed-loop system to address a wide range of traffic conditions (states). This design can be achieved by selecting several levels of traffic conditions and designing an appropriate timing plan for each level.
2. Group similar traffic states together (using clustering techniques) and select a representative state from each group.
3. Use a simulation program, such as CaRS1M(16), to simulate the closed-loop system with system detectors placed at all candidate approaches. Obtain the system measures of effectiveness (MOEs) and the system detectors' occupancy and counts for each simulated state.
4. Perform global system optimization to select the best n timing plans for overall system performance and assign each state to a timing plan (n could be up to the maximum number of timing plans that can be stored in the controllers).
5. Select system detectors that allow the best discrimination of different states. This objective can be achieved by using stepwise discriminant analysis (17).
6. Determine the weights associated with the selected system detectors such that the CC parameter calculated using these weights captures most of the variability between different states. This objective can be achieved by using canonical discriminant analysis (17).
7. Using the PS parameter calculated from the relevant CC parameters, obtain the discriminant functions that can distinguish between different states.
8. Plot the discriminant functions and determine the points of their intersections. These points of intersections define the TRPS thresholds for different states.

Selection of a limited set of timing plans for optimum system performance under a wide range of traffic conditions is discussed by Abbas *et al.* elsewhere (15). The focus here is on the robust configuration of TRPS factors, parameters, and thresholds for a given set of timing plans (namely, Steps 5 through 8 in the proposed framework). The application of the foregoing procedure to one closed-loop system in Texas is described in the following sections.

**Odem Closed-Loop System**

A closed-loop system in adem, Texas, was studied and analyzed following the proposed methodology. The system consisted of three intersections operated with a video image vehicle detection system. The site was selected because the intersection video cameras could be used to record video and collect data over several days including one of the major holidays (Thanksgiving). The adem system is shown in Figure 3, which also shows the location

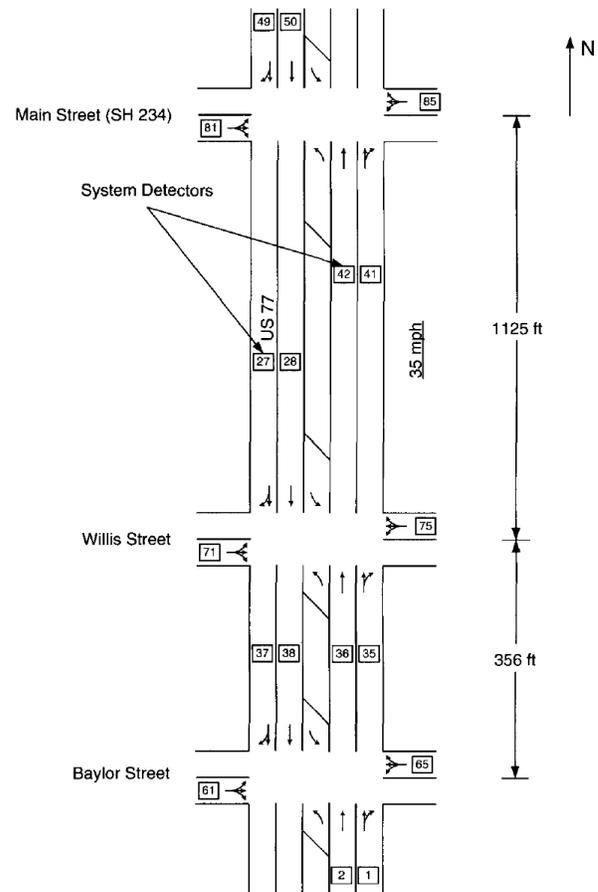


FIGURE 3 Odem closed-loop system network.

and identification of system detectors as they were later placed in the CORSIM network. These system detectors were placed 400 ft upstream of each intersection, except for the system detectors between Willis and Baylor Streets, where the spacing between the intersections did not allow placing the detectors 400 ft upstream. These specific detectors were placed midway between the two intersections.

**Timing Plans**

Table 1 shows the traffic states for the adem system. These states are representative of normal and holiday traffic conditions. Timing plans corresponding to each of these states were developed using Synchro 5.0 (18). The final plans are given in Table 2. Figure 4 shows the association of adem temporal traffic distribution with the designed timing plans (shown as Pi). After the system detectors were placed in the adem CaRS1M network, simulations were performed for all plan-state combinations. Detector occupancy and count values were collected over 5-minute intervals for all of the simulation files.

**Discriminant Analysis**

Discriminant analysis is a Bayesian-based procedure in which previous knowledge of observation states is used

TABLE 1 Traffic Volume States on Odem Network

State	Intersection	Traffic Volume (vph)					
		EB	NB	NBL	WB	SB	SBL
1	Baylor & US77	56	847	11	85	1462	13
	Willis & US77	50	1030	14	94	1742	1
	Main St & US77	24	1305	22	52	1836	40
2	Baylor & US77	61	828	9	15	1369	23
	Willis & US77	60	926	15	64	1571	0
	Main St & US77	33	1371	4	73	1969	24
3	Baylor & US77	71	567	14	63	574	8
	Willis & US77	46	620	17	52	688	4
	Main St & US77	27	984	25	68	808	57
4	Baylor & US77	21	199	0	14	100	0
	Willis & US77	24	175	0	14	80	0
	Main St & US77	18	261	1	19	131	9
5	Baylor & US77	17	225	1	14	60	0
	Willis & US77	21	187	0	14	80	0
	Main St & US77	16	299	1	20	88	4
6	Baylor & US77	70	494	26	62	429	9
	Willis & US77	45	534	14	49	514	4
	Main St & US77	33	883	38	66	607	61

to formulate a discriminant function for each state. These discriminant functions, in turn, can be used to classify future observations into one of the known states. Predicting observation states with known classifications (*e.g.*, resubstitution of original data) using the formulated discriminant functions can be used to estimate the rates of correct classifications, which are typically used to evaluate the performance of the discriminant functions.

Canonical discriminant analysis, however, is a dimensionality reduction technique similar to principal component analysis that can be used to determine the best linear combinations of variables such that the differences between classes are well defined.

Discriminant analysis and canonical discriminant analysis were used to obtain PS thresholds and TRPS detector weights, respectively.

#### Selection of System Detectors

One of the limitations imposed by the TRPS control mechanism implemented by traffic controller manufacturers is the maximum number of system detectors that can be assigned to each CC parameter. To design the TRPS system within this constraint, stepwise discriminant analysis was used to select a maximum of eight system detectors that have the most correlation with the changes in the state variable. Stepwise discriminant analysis is a procedure that iteratively includes or excludes a subset of quantitative variables to produce a good discrimination model. The final subset of system detectors is shown in Table 3. It should be noted that the final detectors selected were those at the entrances and exit of the closed-loop system and one detector on one of the cross streets. This result was expected since these detectors capture significant changes in traffic states on the closed-loop system network.

TABLE 2 Designed Timing Plans on Odem Network

Plan	Intersection	Cycle (sec.)	Split (sec.)						Offset (sec.)
			SBL	NB	EB	NBL	SB	WB	
1	Baylor & US77	90	9	48	33	9	48	33	0
	Willis & US77	90	9	48	33	9	48	33	83
	Main St & US77	90	9	48	33	9	48	33	65
2	Baylor & US77	70	10	34	26	9	35	26	0
	Willis & US77	70	9	35	26	9	35	26	63
	Main St & US77	70	9	36	25	9	36	25	40
3	Baylor & US77	85	9	58	18	10	57	18	0
	Willis & US77	85	9	58	18	9	58	18	82
	Main St & US77	85	10	57	18	9	58	18	65
4	Baylor & US77	125	9	86	30	9	86	30	0
	Willis & US77	125	9	86	30	9	86	30	120
	Main St & US77	125	9	86	30	9	86	30	8
5	Baylor & US77	60	9	31	20	9	31	20	0
	Willis & US77	60	9	31	20	9	31	20	55
	Main St & US77	60	9	31	20	9	31	20	31
6	Baylor & US77	115	9	62	44	9	62	44	0
	Willis & US77	115	9	62	44	9	62	44	111
	Main St & US77	115	9	62	44	9	62	44	105

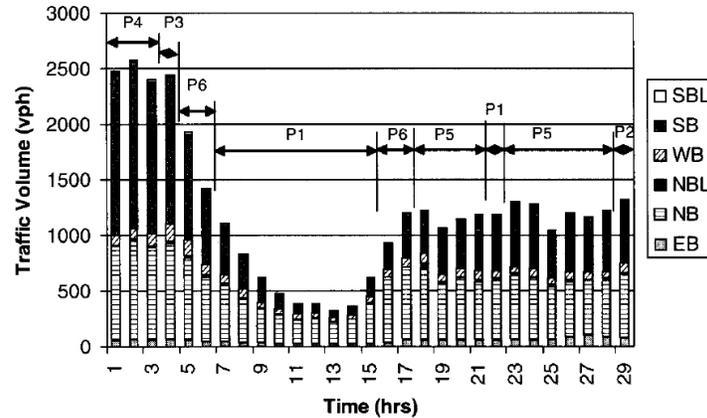


FIGURE 4 Assignment of timing plans to different traffic states.

*Determination of Detector Weights*

Detector weights were determined using canonical discriminant analysis. This procedure was especially important since each of the PS parameters (cycle, split, and offset) is calculated on the basis of a combination of system detectors. Theoretically, each PS parameter can have its own canonical variable such that the most differentiation power is achieved. In the Odem network, however, only the cycle-level parameter was needed. The SAS canonical discriminant procedure was used (19). Detector weights associated with each of the occupancies and counts are given in Table 3. It should be noted that final detector weights do not show negative values since negative values cannot be entered as weights in the current setup of traffic controllers (another limitation of the TRPS mechanism). The final discriminant functions were plotted using the modified weights to account for this limitation.

The count scaling factor is calculated in the controller as the raw volume divided by the maximum approach capacity as an input by the user. Since the analysis used volumes accumulated over 5 min as the sampling rate and the controller will convert the raw volume back to a volume per minute, the maximum approach capacity should be entered as 20 (100/5) vehicles per minute. For example, if the raw volume over 5 min was 10 vehicles, the controller will divide that by the sampling period as  $10/5 = 2$  vehicles per minute. The controller will then divide that by the maximum capacity of 20 vehicles per minute to arrive at  $2/20 = 10\%$ , which is the value used

in the analysis. The maximum occupancy rate should be kept at 100% since the controller will always interpret occupancy as a percentage rate. For example, if the raw occupancy over the 5-minute sampling period was 30%, the controller will divide that by the maximum occupancy rate to arrive at  $30/100 = 30\%$ .

*Determination of State Discriminant Functions*

Once the canonical variable coefficients were determined, discriminant analysis was performed on the new defined variable. That is, each observation in the data set had an associated state as well as a PS parameter value calculated as the summation of each system detector actuation multiplied by the final weight assigned to that detector. The results of the discriminant analysis are shown in Figure 5, in which the misclassification rate for each state is shown. It should be noted that Figure 5 shows high cross-classification between States 1 and 2, which suggests that States 1 and 2 should be considered as one state to minimize the transitioning effect between the two. Similarly, States 3 and 4 should be considered as another state, and States 5 and 6 should be considered as the third state.

The similarity of States 1 and 2 as far as detector actuation is concerned is probably due to the actuated operation of the signal in CORSIM, which might not have been fully accounted for by Synchro when the timing plans were designed. This factor is evident in Table 4, in which total control delay resulting from implementing Plan 2 with State I actually resulted in less delay than implementing Plan 1 with State 1 (which is

TABLE 3 System Detector Weights

System Detector Number	Raw Weight		Detector Weight	
	Count	Occupancy	Count	Occupancy
2	0.136	0.095	14	10
38	-0.006	0.006	0	1
61	-0.063	0.007	0	1
42	0.039	0.007	4	1
50	0.072	0.149	7	15

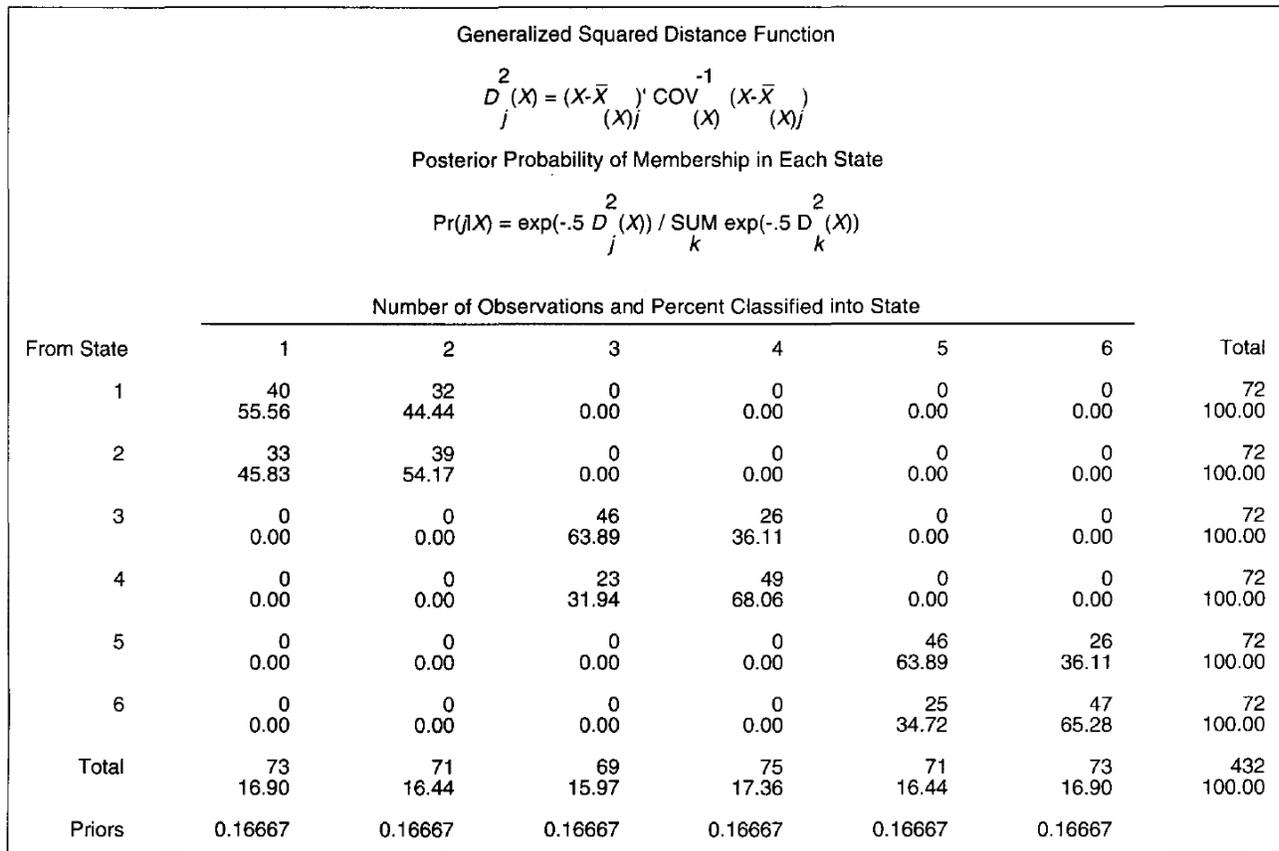


FIGURE 5 Output of SAS discriminant analysis.

supposed to be the optimal plan for State 1). Other entries in Table 4 support the same argument. It should be noted that the misclassification error from the suggested groups into other groups is 0%. This finding means that if the six original states were treated as three states and were assigned timing plans accordingly, TRPS will achieve 100% state identification accuracy.

**Threshold Selection**

Discriminant analysis results in determination of discriminant functions that can be used to determine the group to which every observation belongs. Figure 6 shows a plot of the discriminant functions for each state versus

the cycle PS parameter, which clearly shows that the original six states are actually only three. For any PS parameter value, the discriminant function with the highest value determines the group to which the observation belongs. As such, the intersection points of the discriminant functions determine the PS parameter thresholds. The values shown in Figure 6 suggest that thresholds of 16 and 22 are needed to switch from Timing Plan 1 to Timing Plan 5 and from Timing Plan 5 to Timing Plan 3, respectively. The fact that using three states to represent the system results in 0% misclassification error means that there is no need to set up different entering and exiting thresholds.

**TABLE 4 Total Delay in Vehicle Minutes for Combinations of States and Timing Plans**

State	Timing Plan					
	1	2	3	4	5	6
1	159923	144345	860351	797163	146272	187125
2	174956	147681	890592	807475	169938	202559
3	142325	146893	146963	138874	194654	145279
4	162874	156435	141550	152474	195355	157304
5	164547	136740	545243	187995	134028	182488
6	191926	160047	882086	803113	155608	225721

NOTE: Shaded cell in each row identifies the timing plan that results in minimum control delay for the particular traffic state.

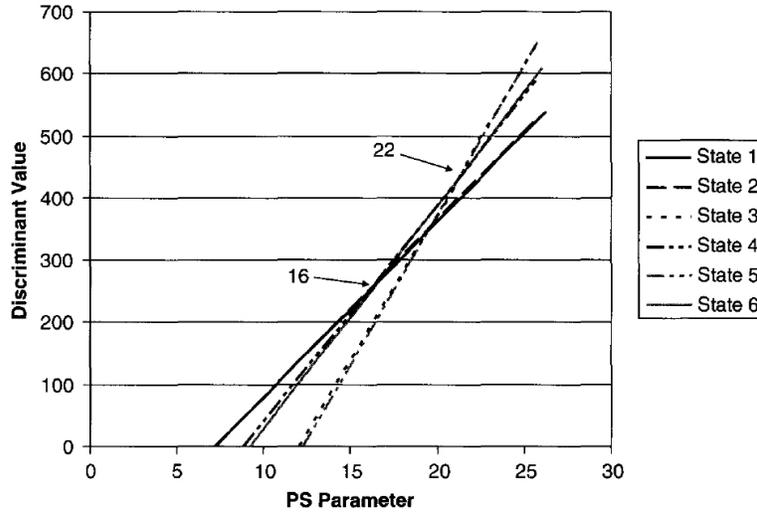
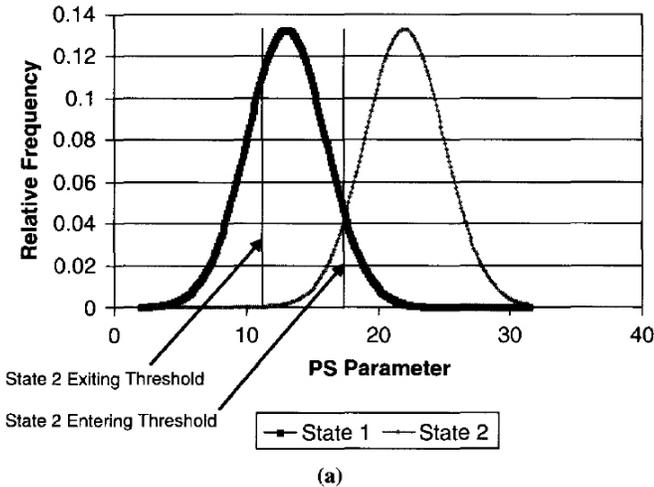
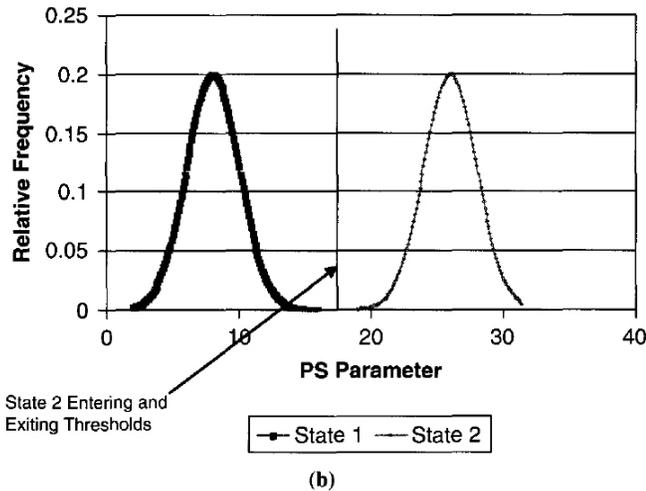


FIGURE 6 TRPS threshold determination.



(a)



(b)

FIGURE 7 Conceptual illustrations of entering and exiting thresholds: (a) states with cross-classification errors; (b) states without cross-classification errors.

As shown in Figure 7, different entering and exiting thresholds are only needed when state distributions are not mutually exclusive. Figure 7a shows a conceptual case of two states with cross-classification errors. It should be noted that in such a case, an exiting threshold needs to be set lower than the entering threshold to achieve a stable operation. Figure 7b shows mutually exclusive state distributions in which the exiting threshold could be set equal to the entering threshold without the system stability's being affected.

CONCLUSION

Although the TRPS mode has the greatest potential to provide an optimal operation utilizing existing capabilities of closed-loop systems, it remains an untapped resource for lack of system setup guidelines. A new robust methodology for the selection of TRPS optimal parameters and thresholds using Bayesian-based discriminant analysis is outlined. The methodology proposes that timing plans only be assigned to distinct states. The proposed methodology was tested using field data from a closed-loop system in Odem, Texas, and achieved 100% classification accuracy.

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