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# Evolutionary and functional insights into the mechanism underlying high-altitude adaptation of deer mouse hemoglobin

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**Adaptive modifications of heteromeric proteins may involve genetically based changes in single subunit polypeptides or parallel changes in multiple genes that encode distinct, interacting subunits. Here we investigate these possibilities by conducting a combined evolutionary and functional analysis of duplicated globin genes in natural populations of deer mice (*Peromyscus maniculatus*) that are adapted to different elevational zones. A multilocus analysis of nucleotide polymorphism and linkage disequilibrium revealed that high-altitude adaptation of deer mouse hemoglobin involves parallel functional differentiation at multiple unlinked gene duplicates: two  $\alpha$ -globin paralogs on chromosome 8 and two  $\beta$ -globin paralogs on chromosome 1. Differences in O<sub>2</sub>-binding affinity of the alternative  $\beta$ -chain hemoglobin isoforms were entirely attributable to allelic differences in sensitivity to 2,3-diphosphoglycerate (DPG), an allosteric cofactor that stabilizes the low-affinity, deoxygenated conformation of the hemoglobin tetramer. The two-locus  $\beta$ -globin haplotype that predominates at high altitude is associated with suppressed DPG-sensitivity (and hence, increased hemoglobin-O<sub>2</sub> affinity), which enhances pulmonary O<sub>2</sub> loading under hypoxia. The discovery that allelic differences in DPG-sensitivity contribute to adaptive variation in hemoglobin-O<sub>2</sub> affinity illustrates the value of integrating evolutionary analyses of sequence variation with mechanistic appraisals of protein function. Investigation into the functional significance of the deer mouse  $\beta$ -globin polymorphism was motivated by the results of population genetic analyses which revealed evidence for a history of divergent selection between elevational zones. The experimental measures of O<sub>2</sub>-binding properties corroborated the tests of selection by demonstrating a functional difference between the products of alternative alleles.**

gene duplication | hypoxia | molecular adaptation | *Peromyscus* | positive selection

**A**daptive modifications of heteromeric proteins may involve genetically based changes in single subunit polypeptides or parallel changes in multiple genes that encode distinct, interacting subunits. The tetrameric hemoglobin (Hb) protein, which transports O<sub>2</sub> from the respiratory surfaces to metabolizing tissues, is an ideal molecule for addressing questions about the functional evolution of allosteric, multimeric proteins. In jawed vertebrates, Hb is a heterotetramer, consisting of two  $\alpha$ -chain subunits and two  $\beta$ -chain subunits that form two semirigid  $\alpha\beta$  dimers ( $\alpha_1\beta_1$  and  $\alpha_2\beta_2$ ). A mutual rotation of the two  $\alpha\beta$  dimers occurs during the oxygenation-linked transition in quaternary structure between the deoxy- and oxyHb conformations that is basic to cooperativity (1). Because modifications of Hb function are often implicated in adaptation to environmental hypoxia, and because much is known about structure–function relationships of vertebrate Hbs and their role in blood–O<sub>2</sub> transport (2, 3), the study of Hb function in vertebrate species that are native to hypoxic

environments provides an opportunity to elucidate detailed molecular mechanisms of physiological adaptation.

In high-altitude mammals, fine-tuned adjustments in Hb–O<sub>2</sub> affinity are known to play a key role in adaptive modifications of the O<sub>2</sub>-transport system (3–7). Under conditions of extreme hypoxia when pulmonary O<sub>2</sub> loading is at a premium, an increased Hb–O<sub>2</sub> affinity can help maximize the level of tissue oxygenation for a given difference in O<sub>2</sub> tension between the sites of O<sub>2</sub>-loading in the lungs and the sites of O<sub>2</sub>-unloading in the tissue capillaries (6, 7). A common mechanism for increasing Hb–O<sub>2</sub> affinity involves decreasing the sensitivity of Hb to allosteric cofactors, particularly organic and inorganic anions such as 2,3-diphosphoglycerate (DPG) and Cl<sup>−</sup> that preferentially bind to positively charged sites in deoxyHb (8, 9). Because the binding of DPG and Cl<sup>−</sup> helps stabilize the low-affinity deoxyHb structure through the formation of salt bridges within and between subunits, diminished sensitivity to these RBC cofactors results in an increased O<sub>2</sub> affinity by shifting the allosteric equilibrium in favor of the high-affinity oxyHb conformation. Evolutionary adjustments in the position of this allosteric equilibrium may require coordinated changes in both  $\alpha$ - and  $\beta$ -chain subunits, thereby necessitating coordinated changes in two or more unlinked genes. Here we investigate this possibility by conducting a functional and evolutionary analysis of duplicated globin genes in natural populations of deer mice (*Peromyscus maniculatus*) that are adapted to different elevational zones.

Experimental studies of wild-derived strains of deer mice have demonstrated that adaptive variation in blood–O<sub>2</sub> affinity and aerobic performance is strongly associated with allelic variation at two tandemly duplicated genes (HBA-T1 and HBA-T2) that encode the  $\alpha$ -chain subunits of adult Hb (10–13). These experiments revealed that the two-locus  $\alpha$ -globin genotype that confers high blood–O<sub>2</sub> affinity is associated with superior aerobic performance under hypoxia at high altitude, but is associated with poor performance (relative to the low-affinity genotype) under normoxic conditions at low altitude. In both altitudinal extremes, double heterozygotes were intermediate with respect

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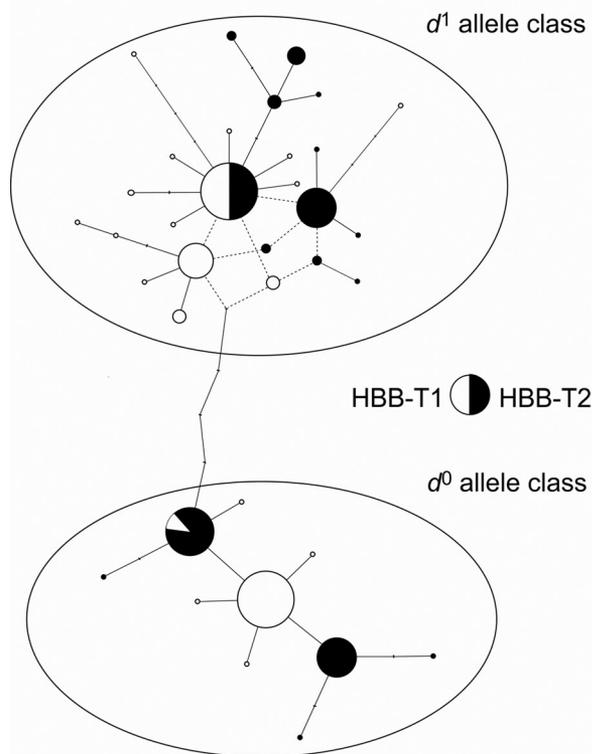
Data deposition: The DNA sequence data reported in this article have been deposited in the GenBank database (accession numbers GQ139365–GQ139470).

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**Fig. 2.** Haplotype network of HBB-T1 and HBB-T2 coding sequences in Colorado deer mice. The structure of the network reveals the high net sequence divergence between the  $d^0$  and  $d^1$  allele classes as well as the extensive allele-sharing between the two HBB paralogs due to gene conversion.

used here, the ancestral residue is listed first, and the derived residue (relative to *P. leucopus*) is listed second. The  $d^1$  allele is defined by the four-site haplotype “62Gly/72Gly/128Ala/135Ala,” whereas the  $d^0$  allele is defined by the alternative four-site haplotype “62Ala/72Ser/128Ser/135Ser.” At both HBB genes, the alternative allele classes are distinguished from one another by extremely high levels of nucleotide divergence ( $D_{xy}$  with Jukes and Cantor correction = 0.0356 [SD = 0.0020] and 0.0341 [SD = 0.0020] for HBB-T1 and HBB-T2, respectively). The high sequence divergence between  $d^1$  and  $d^0$  allele classes is

also clearly evident in the reconstructed haplotype network of HBB sequences (Fig. 2).

At the HBA-T1 and HBA-T2 genes, the two alternative allele classes are distinguished from one another by a total of five closely linked amino acid substitutions that span the highly conserved E-helix domain of the  $\alpha$ -globin polypeptide (17, 18). Relative to the two alternative HBB allele classes, the HBA allele classes are much more heterogeneous in amino acid composition, as there are several intermediate-frequency amino acid polymorphisms that are not perfectly associated with TL-IEF electromorphs (17). The five main amino acid polymorphisms at the HBA genes and the four main amino acid polymorphisms at the HBB genes exhibited parallel shifts in allele frequency between high- and low-altitude populations (Fig. S1).

**Tests of Spatially Varying Selection Between High- and Low-Altitude Populations.** To gain insight into the possible adaptive significance of the two-locus  $\beta$ -globin polymorphism, we used a simulation-based approach to test for evidence of spatially varying selection. Specifically, we conducted forward simulations under a neutral model of population structure to generate null distributions for between-sample measures of nucleotide divergence,  $\delta_{ST}$ , and LD,  $Z_g$  (18). These summary statistics were computed for comparisons between population samples and between functionally defined allele classes. The simulation model was parameterized with polymorphism data from a panel of eight unlinked, autosomal reference loci (18).

In comparison with the reference loci, the four adult globin genes were characterized by uniformly higher levels of nucleotide diversity at silent sites (Table S1). Although overall levels of nucleotide diversity were quite similar at all four adult globin genes, the HBA and HBB genes exhibited pronounced differences in the partitioning of this diversity within and between populations. In the comparison between the high- and low-altitude population samples, the HBB-T1 and HBB-T2 genes exhibited levels of nucleotide divergence that were several times higher than those of the HBA genes (Table 1). In contrast to the HBA genes, both of the HBB genes exceeded the simulation-based critical value for rejecting the neutral model of population structure. Levels of altitudinal divergence at the HBB genes also greatly exceeded the upper range of values for the panel of autosomal reference loci (Table 1). Although  $\delta_{ST}$  values at the HBA genes did not exceed neutral expectations when averaged across the entire 1.68 kb of sequence, sliding window plots of nucleotide divergence did successfully pinpoint specific gene

**Table 1.** Locus-specific measures of nucleotide divergence and LD between high- and low-altitude populations of deer mice, and between protein allele classes

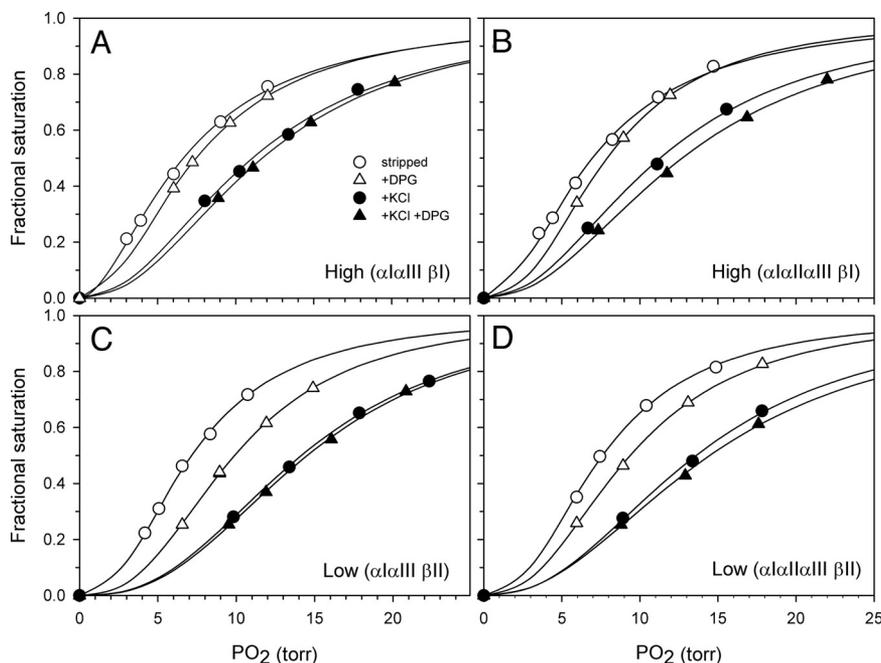
Locus	Between populations			Between allele classes		
	$k^*$	$\delta_{ST} (\times 10)$	$Z_g$	$k^\dagger$	$\delta_{ST} (\times 10)$	$Z_g$
$\alpha$ -globin genes <sup>‡</sup> (chromosome 8)						
HBA-T1 (1,680 bp)	45.24	0.0102	0.0015	38.27	0.0737	0.0170 <sup>§</sup>
HBA-T2 (1,680 bp)	43.77	0.0186	0.0031 <sup>§</sup>	37.57	0.0653	0.0160 <sup>§</sup>
$\beta$ -globin genes (chromosome 1)						
HBB-T1 (1,246 bp)	33.87	0.0724 <sup>§</sup>	0.0223 <sup>§</sup>	39.05	0.1766	0.0540 <sup>§</sup>
HBB-T2 (1,246 bp)	33.11	0.0667 <sup>§</sup>	0.0263 <sup>§</sup>	37.59	0.1678	0.0560 <sup>§</sup>
Reference loci ( $n = 8$ )						
Mean	11.98	0.0053	0.0020	-	-	-
Minimum	3.67	0.0006	0.0007	-	-	-
Maximum	26.56	0.0075	0.0033	-	-	-

\*Average number of nucleotide differences between populations.

†Average number of nucleotide differences between allele classes.

‡Data for the HBA-T1 and HBA-T2 genes were reported previously (18) and are presented here for the purpose of comparison with the HBB genes.

§ $P < 0.05$ .



**Fig. 3.** O<sub>2</sub> equilibrium curves of stripped deer mouse Hbs at pH 7.4 and 37 °C in the presence and absence of allosteric cofactors ([Cl<sup>-</sup>], 0.1 M; [NaHEPES], 0.1 M; DPG/Hb tetramer ratio, 2.0; [Heme], 0.16 mM). Curves for high-altitude mice that express the  $\beta$ I isoform (product of the  $d^1d^1/d^1d^1$  genotype) are shown in A and B, and curves for low-altitude mice that express the  $\beta$ II isoform (product of the  $d^0d^0/d^0d^0$  genotype) are shown in C and D. Comparisons of A vs. C and B vs. D reveal differences in O<sub>2</sub> equilibrium curves for matched pairs of high- and low-altitude mice that possessed Hbs with the same  $\alpha$ -chains but different  $\beta$ -chains. The three  $\alpha$ -chain Hb isoforms are defined by the following five-site amino acid combinations (sites 50, 57, 60, 64, 71):  $\alpha$ I = PGAGS,  $\alpha$ II = HGAGS,  $\alpha$ III = HAGDG. The two  $\beta$ -chain Hb isoforms are defined by the following four-site amino acid combinations (sites 62, 72, 128, 135):  $\beta$ I = GGAA,  $\beta$ II = ASSS.

regions that appear to be targets of divergent selection between high- and low-altitude populations (18).

With regard to the between-population component of LD, the two HBB genes were characterized by  $Z_g$  values that were one order of magnitude higher than those of the HBA genes (Table 1).  $Z_g$  values for the HBB genes also exceeded the upper range of values for the reference loci by roughly the same margin. Both HBB genes far exceeded the simulation-based critical value for rejecting neutrality, as did the HBA-T2 gene. With regard to the component of LD between allele classes, the two HBB genes were characterized by  $Z_g$  values that were more than two times higher than those of the HBA genes. In the comparison between allele classes,  $Z_g$  values for all four adult globin genes were statistically significant.

In summary, the observed patterns of nucleotide polymorphism and LD at each of the four adult globin genes are clearly indicative of local adaptation to different elevational zones (see ref. 18 for detailed analysis of the HBA genes). Moreover, the significant excess of LD between allele classes at all four genes suggests that the alternative protein alleles have been maintained as a balanced polymorphism by spatially varying selection between elevational zones. This history of spatially varying selection had already been established for the HBA genes, and is consistent with their known effects on fitness (5, 10–13, 16–18). Although previous studies of wild-derived strains of deer mice demonstrated that the HBA genes make the greatest contribution to adaptive variation in blood-O<sub>2</sub> affinity and aerobic performance under hypoxia, the HBB genes actually exhibit a much greater level of altitudinal differentiation, which is suggestive of stronger divergent selection. The strong evidence for adaptive altitudinal divergence at HBB-T1 and HBB-T2 suggested that the products of these genes may mediate some adaptive modification of Hb function that was previously overlooked.

**Functional Significance of  $\beta$ -Globin Polymorphism.** To assess the functional significance of the two-locus HBB polymorphism, we

compared O<sub>2</sub>-binding properties of hemolysates from high- and low-altitude deer mice that possessed Hbs with the same  $\alpha$ -chains but different  $\beta$ -chains. This permitted an assessment of functional differences between the  $\beta$ -chain products of  $d^0d^0$  and  $d^1d^1$  haplotypes against uniform HBA backgrounds. In each of two comparisons involving matched pairs of high- and low-altitude mice with identical  $\alpha$ -chain Hb isoforms, hemolysates of high-altitude mice containing the  $\beta$ I subunit isoform (product of the  $d^1d^1/d^1d^1$  genotype) were characterized by markedly suppressed DPG-sensitivities compared with those of low-altitude mice containing the  $\beta$ II subunit isoform (product of the  $d^0d^0/d^0d^0$  genotype) (Fig. 3 and Table S2). In the case of the low-altitude  $d^0d^0/d^0d^0$  mice, the addition of DPG produced a pronounced rightward shift in the O<sub>2</sub> equilibrium curve ( $\log-P_{50, (DPG)} - \log-P_{50, (str)} = 0.09-0.14$ , where  $P_{50}$  is the PO<sub>2</sub> at which Hb is 50% saturated). By contrast, in the case of the high-altitude  $d^1d^1/d^1d^1$  mice, the addition of DPG produced a scarcely detectable rightward shift ( $\log-P_{50, (DPG)} - \log-P_{50, (str)} = 0.04-0.06$ ; see Fig. 3). A less pronounced difference in Hb-O<sub>2</sub> affinity was seen in the presence of Cl<sup>-</sup> ions ( $\log-P_{50, (DPG)} - \log-P_{50, (0.1M Cl)} = 0.21$  vs. 0.25–0.30 for the Hbs of high- and low-altitude mice, respectively), indicating that Cl<sup>-</sup> has similar effects on Hb-O<sub>2</sub> affinity in mice from both elevational zones. The suppressed DPG-sensitivity of mice with the  $d^1d^1/d^1d^1$  genotype helps to maintain an elevated Hb-O<sub>2</sub> affinity (reduced  $P_{50}$ ) in the presence of RBC cofactors, which enhances pulmonary O<sub>2</sub>-loading under hypoxia. It thus appears that allelic differences in DPG-sensitivity make a significant contribution to the well-documented differences in blood-O<sub>2</sub> affinity between high- and low-altitude deer mice (10, 11, 14). Interestingly, the effect of Cl<sup>-</sup> on Hb-O<sub>2</sub> affinity is much greater than that of DPG in both high- and low-altitude mice (Fig. 3), which is uncommon in vertebrates.

Suppressed DPG-sensitivity is also responsible for the increased O<sub>2</sub> affinities of fetal Hb in humans (8) and the adult Hbs of Andean camelids such as the llama and vicuña (23). In the case

of fetal Hb, the suppressed DPG-sensitivity is attributable to an amino acid substitution in the  $\beta$ -chain product of the prenatally expressed  $\gamma^A$ - and  $\gamma^G$ -globin genes,  $\beta 143(\text{H21})\text{His} \rightarrow \gamma\text{Ser}$ , which eliminates a DPG-binding site near the C terminus of the  $\beta$ -type subunit. However, additional substitutions remote from the DPG-binding site also exert significant second-order effects on DPG-binding affinity (24). In the case of Andean camelids, the suppressed DPG-sensitivity is primarily attributable to a substitution,  $\beta 2(\text{NA2})\text{His} \rightarrow \text{Asn}$ , that eliminates a DPG-binding site at the N terminus of the  $\beta$ -chain. Although none of the four HBB substitutions that distinguish the alternative deer mouse Hb isoforms directly affect the set of charge-charge interactions responsible for DPG binding, the pair of Ser  $\rightarrow$  Ala substitutions at sites  $\beta 128(\text{H6})$  and  $\beta 135(\text{H13})$  alter the orientation of the E- and H-helices, and may therefore indirectly affect DPG-binding through stereochemical alterations of the binding cleft between the  $\beta$ -chain subunits.

In summary, results of this study indicate that the  $d^1d^1$  haplotype that predominates at high altitudes confers an increased Hb-O<sub>2</sub> affinity via suppressed DPG sensitivity, whereas the  $d^0d^0$  haplotype that predominates at low altitudes is associated with a relatively low Hb-O<sub>2</sub> affinity. These functional differences mirror the pattern of altitudinal differentiation that has been documented for the HBA genes (16) and indicates that the  $\alpha$ - and  $\beta$ -chain subunits both contribute to the divergent fine-tuning of Hb-O<sub>2</sub> affinity in deer mice that are native to different elevational zones.

## Conclusions

Patterns of nucleotide polymorphism and LD at each of the four adult globin genes of deer mice (HBA-T1, HBA-T2, HBB-T1, and HBB-T2) are clearly indicative of local adaptation to different elevational zones. Thus, high-altitude adaptation of deer mouse Hb appears to involve parallel functional differentiation at multiple unlinked gene duplicates: The two HBA paralogs on chromosome 8 and the two HBB paralogs on chromosome 1. In deer mice that are native to different elevational zones, the divergent fine-tuning of Hb-O<sub>2</sub> affinity appears to be attributable to the independent or joint effects of 5 amino acid mutations in the  $\alpha$ -chain subunits and 4 amino acid mutations in the  $\beta$ -chain subunits. Although altitudinal variation in blood-O<sub>2</sub> affinity has been well documented in deer mice (10, 11, 14), the functional (and adaptive) significance of the HBB polymorphism was previously unappreciated. The discovery that allelic differences in DPG-sensitivity contribute to adaptive variation in Hb-O<sub>2</sub> affinity illustrates the value of integrating evolutionary analyses of sequence variation with mechanistic appraisals of protein function (25). The population genetic analysis revealed evidence that the observed patterns of HBB polymorphism have been shaped by a history of divergent selection between elevational zones, and this result motivated our experimental investigation into the functional significance of the allelic variation. The experimental measures of O<sub>2</sub>-binding properties corroborated the tests of selection by demonstrating a functional difference between the products of alternative HBB alleles.

## Materials and Methods

**Samples.** We sampled a total of 75 *P. maniculatus* from a pair of high- and low-altitude localities in eastern Colorado. The high-altitude sample ( $n = 37$  mice) was collected from the summit of Mt. Evans, Clear Creek County, 4,347 m above sea level, and the low-altitude sample ( $n = 38$  mice) was collected from a prairie grassland site in Yuma County, 1,158 m above sea level (17). The population genetic analysis combined new sequence data from the two duplicated  $\beta$ -globin genes, HBB-T1 and HBB-T2, with previously published sequence data from the two HBA paralogs and eight unlinked autosomal genes (18).

**PCR, Cloning, and Sequencing.** Genomic DNA was extracted from frozen liver of each mouse by using DNeasy kits (QIAGEN). Paralog-specific primers were

designed by aligning flanking sequences of HBB-T1 and HBB-T2 orthologs in *P. maniculatus* and *P. leucopus* (19). For each of the 75 mice that were subject to the TL-IEF analysis, we used an allele-specific PCR assay to confirm the identity of  $d^0/d^0$ ,  $d^0/d^1$ , and  $d^1/d^1$  genotypes at both HBB paralogs (see *SI Text* for detailed protocol).

For both HBB-T1 and HBB-T2, we cloned and sequenced both alleles from a subset of mice from each population ( $n = 13$  from Mt. Evans and  $n = 12$  from Yuma County). Diploid PCR products were cloned by using the pCR4-TOPO vector (Invitrogen) and 8–10 clones per gene were sequenced by using T3/T7 and M13F/M13R vector primers. Thus, in the case of both HBB-T1 and HBB-T2, the haplotype phase of all heterozygous sites was determined experimentally. For each mouse in our sample, we were able to confirm that we had cloned both alleles from each HBB paralog by matching the sequence data with results of the TL-IEF and allele-specific PCR assays.

**Genetic Data Analysis.** DNA sequences were aligned and contigs assembled by using Clustal X (26). Summary statistics of nucleotide polymorphism and LD were computed with custom programs written in the C programming language (available on request). For comparisons between the high- and low-altitude samples, we used variation at silent sites to calculate  $\delta_{ST}$  as a measure of the between-population component of nucleotide diversity (27) and  $Z_g$  as a measure of the between-population component of LD (18). In the case of the HBB genes, we tested for differentiation between high- and low-altitude samples by using an exact test of genotype frequencies based on the log-likelihood G-statistic (28). The same Markov-chain contingency table approach was used to test for genotypic LD between the HBB-T1 and HBB-T2 paralogs. We also tested for deviations from Hardy-Weinberg genotype frequencies by performing the exact test of Guo and Thompson (29).

**Simulation-Based Test of Spatially Varying Selection.** To test for locus-specific evidence of spatially varying selection, we conducted forward simulations under a neutral model of population structure to generate null distributions for between-sample measures of  $\delta_{ST}$  and  $Z_g$  (18). The measures of nucleotide divergence and LD were computed in comparisons between population samples and in comparisons between alternative classes of protein alleles. Simulations were parameterized with empirical data from eight autosomal reference loci that were cloned and sequenced in the same panel of mice. Two key nuisance parameters in the simulation model are the scaled mutation rate ( $4Nu$ ) and the scaled recombination rate ( $4Nr$ ), where  $N$  is the effective size of the total diploid population,  $u$  is the neutral mutation rate, and  $r$  is the rate of crossing-over. We conducted simulations by using a range of locus-specific estimates for these two parameters:  $4Nu = 0.02$ – $0.03$  and  $4Nr = 0.01$ – $0.04$  (Table S1). Simulation-based critical values for each test statistic were calculated according to the methods described in Storz and Kelly (18).

**Determination of Hb Isoform Composition.** Hemolysates of wild-caught mice were prepared according to standard methods and were “stripped” of allosteric cofactors by ion exchange chromatography. Allelic variation at the HBB genes was resolved by using immobilized gradient TL-IEF as described previously (17). The Hb isoform composition of each hemolysate was determined by using TL-IEF native gels (PhastSystem, GE Healthcare Bio-Sciences), and the individual globin chain subunits were separated by means of 2D gel electrophoresis. The 2D gel analysis involved separating native Hb isoforms by TL-IEF in the first dimension, followed by the separation of dissociated  $\alpha$ - and  $\beta$ -globin monomers by means of SDS/PAGE in the second dimension. Globin chains excised from each 2D gel were then identified by means of electrospray ionization mass spectrometry. The peptide mass fingerprints derived from the mass spectrometry analysis were used to query a reference database of HBA and HBB DNA sequences from the same sample of mice.

**Measurement of Hb-O<sub>2</sub> Affinity and Cofactor Sensitivities.** O<sub>2</sub> equilibrium curves of hemolysates were measured by using a modified diffusion chamber, where changes in the absorbance of thin-layer Hb solutions were recorded after stepwise changes in the O<sub>2</sub> tension of gas mixtures in the chamber (30–33). The resultant measurements provide estimates of  $P_{50}$  (pH 7.4, 37 °C) and  $n_{50}$  (the cooperativity coefficient at 50% O<sub>2</sub> saturation) in the presence and absence of added cofactors ([CI], 0.10 M; [NaHEPES], 0.1 M; DPG/Hb tetramer ratio, 2.0; [Heme], 0.16 mM).

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