Search for Neutral Higgs Bosons Decaying to Tau Pairs in $pp\bar{p}$ Collisions at $\sqrt{s} = 1.96$ TeV

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A search for the production of neutral Higgs bosons $\Phi$ decaying into $\tau^+ \tau^-$ final states in $p\bar{p}$ collisions at a center-of-mass energy of 1.96 TeV is presented. The data, corresponding to an integrated luminosity of approximately 325 pb$^{-1}$, were collected by the D0 experiment at the Fermilab Tevatron Collider. Since no excess compared to the expectation from standard model processes is found, limits on the production cross section times branching ratio are set. The results are combined with those obtained from the D0 search for $\Phi b(\bar{b}) \rightarrow bb(\bar{b})$ and are interpreted in the minimal supersymmetric standard model.

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Final states leading to high-mass tau lepton pairs can arise from various physics processes beyond the standard model (SM) including the production of neutral Higgs bosons (generally denoted as $\Phi$). Higgs bosons are an essential ingredient of electroweak symmetry breaking in the SM, but so far remain unobserved experimentally. A search for Higgs bosons decaying to tau leptons is of particular interest in models with more than one Higgs doublet, where production rates for $p\bar{p} \rightarrow \Phi \rightarrow \tau\tau$ can potentially be large enough for an observation at the Fermilab Tevatron Collider. For instance, the minimal supersymmetric standard model (MSSM) [1] contains two complex Higgs doublets, leading to two neutral CP-even ($h$, $H$), one CP-odd ($A$), and a pair of charged ($H^\pm$) Higgs bosons. At the tree level, the Higgs sector of the MSSM is fully specified by two parameters, generally chosen to be $M_A$, the mass of the CP-odd Higgs boson, and $\tan\beta$, the ratio of the vacuum expectation values of the two Higgs doublets. At large $\tan\beta$, the coupling of the neutral Higgs bosons to down-type quarks and charged leptons is strongly enhanced, leading to sizeable cross sections and increased decay rates to the third generation tau lepton and bottom quark. MSSM scenarios with large $\tan\beta$ are of considerable interest since they can provide a viable dark matter candidate [2].

Searches for neutral MSSM Higgs bosons have been conducted at LEP [3] and at the Tevatron [4,5]. In this Letter a search for $\Phi \rightarrow \tau\tau$ decays is presented. At least one of the tau leptons is required to decay leptonically, leading to final states containing $e\tau_h$, $\mu\tau_h$, and $e\mu$, where $\tau_h$ represents a hadronically decaying tau lepton.

The data were collected at the Fermilab Tevatron Collider between September 2002 and August 2004 at $\sqrt{s} = 1.96$ TeV and correspond to integrated luminosities of 328 pb$^{-1}$, 299 pb$^{-1}$, and 348 pb$^{-1}$ for the $e\tau_h$, $\mu\tau_h$, and $e\mu$ final states, respectively. Final states with two electrons or two muons have a small signal-to-background ratio due to the small branching fraction and the large background from $Z/\gamma^*$ production, and are therefore not considered.

A thorough description of the D0 detector can be found in Ref. [6]. Briefly, the detector consists of a magnetic central tracking system surrounded by a liquid-argon and uranium calorimeter and a toroidal muon spectrometer. The central tracking system comprises a silicon microstrip tracker (SMT) and a central fiber tracker, both located within a 2 T magnetic field provided by a superconducting solenoidal magnet. The SMT and central fiber tracker designs were optimized to provide precise tracking and vertexing capabilities over the pseudorapidity range $|\eta| < 2.5$, where $\eta = -\ln(\tan(\theta/2))$ and $\theta$ is the polar angle with respect to the proton beam. The calorimeter is divided into a central section covering $|\eta| \leq 1.1$, and two end calorimeters that extend coverage to $|\eta| = 4.2$. A muon system, at $|\eta| < 2$, consists of a layer of tracking detectors and scintillation trigger counters in front of 1.8 T toroids, followed by two similar layers after the toroids. The luminosity is measured by detecting inelastic $p\bar{p}$ scattering processes in plastic scintillator arrays located in front of the end calorimeter cryostats, covering $2.7 < |\eta| < 4.4$.

The $e\tau_h$ and the $\mu\tau_h$ analyses rely on single electron and single muon triggers, respectively, while the $e\mu$ analysis uses dilepton triggers. Signal and SM processes are modeled using the PYTHIA 6.202 [7] Monte Carlo generator, followed by a GEANT-based [8] simulation of the D0 detector geometry. All background processes, apart from QCD multijet production, are normalized using cross sections calculated at next-to-leading order and next-to-next-to-leading order (for $Z$ boson, $W$ boson, and Drell-Yan production) based on the CTEQ5 [9] parton distribution functions.

The normalization and shape of background contributions from QCD multijet production, where jets are misidentified as leptons, are estimated from the data by using like-sign $e$ and $\tau_h$ candidate events ($e\tau_h$ analysis) or by selecting background samples by inverting lepton identification criteria ($\mu\tau_h$ and $e\mu$ analyses). These samples are normalized to the data at an early stage of the selection in a region of phase space dominated by multijet production.

Isolated electrons are reconstructed based on their characteristic energy deposition in the calorimeter, including the transverse and longitudinal shower profile. In addition, a track must point to the energy deposition in the calorimeter, and the track momentum and calorimeter energy must be consistent. Further rejection against background from photons and jets is achieved by using a likelihood discriminant, which is exploiting characteristic calorimeter and tracking information. Muons are selected using tracks in the central tracking detector in combination with patterns of hits in the muon detector. Muons are required to be isolated in both the calorimeter and the tracker. Reconstruction efficiencies for both leptons are measured using data.

A hadronically decaying tau lepton is characterized by a narrow isolated jet with low track multiplicity. The tau reconstruction is either seeded by calorimeter energy clus-
ters or tracks [10]. Three \( \tau \) types are distinguished: (i) \( \tau \) type 1: a single track with energy deposition in the hadronic calorimeter (1-prong, \( \pi^\pm \)-like); (ii) \( \tau \) type 2: a single track with energy deposition in the hadronic and the electromagnetic calorimeter (1-prong, \( \rho^\pm \)-like); (iii) \( \tau \) type 3: two or three tracks with an invariant mass below 1.1 or 1.7 GeV, respectively, (3-prong).

A set of neural networks, one for each \( \tau \) type, has been developed based on further discriminating variables. The neural networks were used elsewhere for a cross section measurement of the process \( Z/\gamma^* \rightarrow \tau\tau \) [10]. The input variables exploit the differences between hadronically decaying tau leptons and jets in the longitudinal and transverse shower shape as well as differences in the isolation in the calorimeter and the tracker. The training of the neural networks is performed using multijet events from data as the background sample and tau Monte Carlo events as signal, resulting in a network output close to 1 for \( \tau \) and \( \tau \) Monte Carlo events. For the optimization of the signal selection, only the high-\( \tau \) output as an enhancement above the background from SM particles or tracks is used, which is defined as 

\[
M_{\text{vis}} = \sqrt{(P_{\tau_1} + P_{\tau_2} + P_T)^2},
\]

(1) calculated using the four vectors of the visible tau decay products \( P_{\tau_1,2} \) and of the missing momentum \( P_T = (E_T, \mathbf{E}_x, \mathbf{E}_y, 0) \). \( \mathbf{E}_x \) and \( \mathbf{E}_y \) indicate the components of \( \mathbf{E}_T \). For the optimization of the signal selection, only the high-mass region is used, which is defined as \( M_{\text{vis}} > 120 \) GeV in the \( e\tau_h \) and \( \mu\tau_h \) analyses and as \( M_{\text{vis}} > 110 \) GeV in the \( e\mu \) analysis.

In the \( e\tau_h \) and \( \mu\tau_h \) analyses, an isolated lepton \((e, \mu)\) and an isolated hadronic tau with transverse momenta above 4 GeV and 20 GeV, respectively, are required. In addition to the irreducible background from \( Z/\gamma^* \rightarrow \tau\tau \) production, a \( W \rightarrow e\nu \) decay can be misidentified as a high-mass di-tau event if it is produced in association with an energetic jet that is misidentified as a hadronic tau decay. In these events, a strongly boosted \( W \) boson recoils against the jet, and the mass of the \( W \) boson can be reconstructed in the following approximation 

\[
M_{W}^{\mu/e} = \sqrt{2E_eE_\mu(1 - \cos \Delta \phi)},
\]

where the azimuthal angle \( \Delta \phi \) is between the lepton and \( \mathbf{E}_T \), and 

\[
E_\nu = E_T \cdot E_e^\mu / E_T^{\mu/e}.
\]

\( M_{W}^{\mu/e} \) is required to be less than 20 GeV.

In the \( e\mu \) analysis, two isolated leptons each with \( p_T > 14 \) GeV are required. The dominant background contributions after the lepton selection come from the irreducible \( Z/\gamma^* \rightarrow \tau\tau \) process, followed by \( WW, WZ, t\bar{t}, W \rightarrow \ell\nu \), and multijet events. In this analysis the multijet background is suppressed by requiring \( E_T > 14 \) GeV. Background from \( W + \) jet events can be reduced using the transverse mass 

\[
M_{T}^{\mu/e} = \sqrt{2p_T^{\mu/e} E_T(1 - \cos \Delta \phi)},
\]

by requiring that either \( M_{T}^{\mu/e} \) is less than 10 GeV or \( M_{T}^{\mu/e} \) is less than 10 GeV. Furthermore, the minimum angle between the leptons and the \( \mathbf{E}_T \) vector, \( \min[\Delta \phi(e, \mathbf{E}_T), \Delta \phi(\mu, \mathbf{E}_T)] \), has to be smaller than 0.3. Finally, contributions from \( \bar{t}\bar{t} \) background are suppressed by a cut on the scalar sum of the transverse momenta of all jets in the event \( H_T < 70 \) GeV.

The numbers of events observed in the data and those expected from the various SM processes show good agreement, as can be seen in Table I and Fig. 1. The estimate of the expected numbers of background and signal events depends on numerous measurements that introduce a systematic uncertainty: integrated luminosity (6.5%), trigger efficiency (1%–4%), lepton identification and reconstruction efficiencies (2%–5%), jet and tau energy calibration (2%–6%), parton distribution function uncertainty (3%–4%), and modeling of multijet background (2%–9%). All except the last one are correlated among the three final states.

The efficiencies for a Higgs boson signal are found to vary among 1.6%, 4.0%, and 1.2% for \( M_\Phi = 100 \) GeV and 8.3%, 13.6%, and 9.3% for \( M_\Phi = 300 \) GeV for the \( e\tau_h \), \( \mu\tau_h \), and \( e\mu \) analyses, respectively. Since no significant evidence for the production of neutral Higgs bosons with

<table>
<thead>
<tr>
<th>Analysis</th>
<th>( e\tau_h )</th>
<th>( \mu\tau_h )</th>
<th>( e\mu )</th>
</tr>
</thead>
<tbody>
<tr>
<td>QCD</td>
<td>144 ± 19</td>
<td>62 ± 7</td>
<td>2.1 ± 0.4</td>
</tr>
<tr>
<td>( Z/\gamma^* \rightarrow \tau\tau )</td>
<td>130 ± 17</td>
<td>492 ± 53</td>
<td>39 ± 5</td>
</tr>
<tr>
<td>( Z/\gamma^* \rightarrow ee, \mu\mu )</td>
<td>12 ± 2</td>
<td>5 ± 1</td>
<td>0.6 ± 0.1</td>
</tr>
<tr>
<td>( W \rightarrow e\nu, \mu\nu, \tau\nu )</td>
<td>9 ± 1</td>
<td>14 ± 2</td>
<td>0.3 ± 0.2</td>
</tr>
<tr>
<td>Di-boson</td>
<td>0.4 ± 0.1</td>
<td>3.1 ± 0.3</td>
<td>1.0 ± 0.1</td>
</tr>
<tr>
<td>( \bar{t}\bar{t} )</td>
<td>0.3 ± 0.1</td>
<td>1.2 ± 0.2</td>
<td>0.06 ± 0.02</td>
</tr>
<tr>
<td>Total expected</td>
<td>296 ± 38</td>
<td>576 ± 62</td>
<td>44 ± 5</td>
</tr>
</tbody>
</table>

Efficiency % | 3.6 ± 0.4 | 8.6 ± 0.8 | 4.3 ± 0.5 |
decays $\Phi \to \tau \tau$ is observed, upper limits on the production cross section times branching ratio are extracted as a function of $M_\Phi$. In order to maximize the sensitivity (expected limit), the event samples of the $e\tau_h$ and $\mu \tau_h$ analyses are split into subsamples according to different signal-to-background ratios: The subsamples are separated by $\tau$ type and by $M_W$ ($M_W^{\mu\mu} < 6$ GeV, $6 < M_W^{e\mu} < 20$ GeV). Furthermore, the differences in shape between signal and background are exploited by using the information of the full mass spectrum of $M_{vis}$ in the limit calculation. Both the expected and the observed limits on the cross section times branching ratio at the 95% confidence level (C.L.), calculated using the modified frequentist approach [11], are presented in Fig. 2.

In the MSSM, the masses and couplings of the Higgs bosons depend, in addition to $\tan \beta$ and $M_A$, on the supersymmetry (SUSY) parameters through radiative corrections. In a constrained model, where unification of the SU(2) and U(1) gaugino masses is assumed, the most relevant parameters are the mixing parameter $X_t$, the Higgs mass parameter $\mu$, the gaugino mass term $M_2$, the gluino mass $M_g$, and a common scalar mass $M_{SUSY}$. Limits on $\tan \beta$ as a function of $M_A$ are derived for two scenarios assuming a CP-conserving Higgs sector: the so-called $m_h^{max}$ scenario (with the parameters $M_{SUSY} = 1$ TeV, $X_t = 2$ TeV, $M_2 = 0.2$ TeV, $\mu = \pm 0.2$ TeV, and $m_g = 0.8$ TeV) and the no-mixing scenario (with the parameters $M_{SUSY} = 2$ TeV, $X_t = 0$, $M_2 = 0.2$ TeV, $\mu = \pm 0.2$ TeV, and $m_g = 1.6$ TeV) [12]. The production cross sections, widths, and branching ratios for the Higgs bosons are calculated over the mass range from 90 to 300 GeV using the FEYNHIGGS program [13], where the complete set of one-loop corrections and all known two-loop corrections are incorporated. The contributions of SUSY particles in the loop of the gluon fusion process are taken into account, as well as mass- and $\tan \beta$-dependent decay widths. In the region of large $\tan \beta$, the $A$ boson is nearly degenerate in mass with either the $h$ or the $H$ boson, and their production cross sections are added.

Figure 3 shows the D0 results obtained in the present analysis in combination with those obtained in the $\Phi b(\bar{b}) \to b\bar{b}b(\bar{b})$ search [4], which are reinterpreted in the MSSM scenarios used in this Letter. The combined result currently represents the most stringent limit on the production of neutral MSSM Higgs bosons at hadron colliders.

![Figure 1](https://example.com/fig1.png)

**FIG. 1** (color online). The distribution of the visible mass $M_{vis}$ for the two final states involving hadronic tau decays and for the $e\mu$ final state. The Higgs signal is normalized to the cross section excluded by this analysis. The left distribution shows the subsample with the largest signal-to-background ratio ($M_{e\mu}^{c\mu} < 6$ GeV). The highest bin includes the overflow, the indicated luminosity represents the average of the three final states.

![Figure 2](https://example.com/fig2.png)

**FIG. 2** (color online). The observed and expected 95% C.L. limits on the cross section times branching ratio for $\Phi \to \tau \tau$ production as a function of $M_\Phi$ assuming a narrow width of the Higgs boson. The error bands include systematic and statistical uncertainties. CDF curves are taken from Ref. [5], where data corresponding to an integrated luminosity of 310 pb$^{-1}$ is used.
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