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Trauma exposure influences cue elicited affective responses among smokers with and without a history of major depression

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Abstract
The current study tested the emotional reactivity of smokers with and without histories of major depression (MDD Hx) and trauma exposure (TE). Four counterbalanced conditions nested negative (e.g., dysphoric) or neutral mood inductions with in vivo versus control smoking paraphernalia cues (Neutral+Control; Neutral+Cigarette; Neg+Control; Neg+Cigarette). Mixed model analysis of covariance (ANCOVA) tested between and within subjects differences in negative affective symptoms pre- to post-exposure across four groups (TE+MDD Hx; TE only; MDD Hx only; no history). Results produced two notable effects. First, TE only individuals endorsed the greatest increase in depressive symptoms across both negative mood induction conditions (regardless of smoking paraphernalia) compared with other groups. Second, dual history participants (TE+MDD Hx) show a potentiated depressive response to the Neg+Cigarette condition compared with the Neg+Control condition. Implications to a depression-specific negative affective vulnerability among TE only smokers that is independent of MDD Hx and greater than smokers with a MDD Hx are discussed.

Keywords: trauma, major depression, cigarette smoking, affect

1. Introduction

In most studies (Boscarino et al., 2002; Boyd et al., 1997; Breslau, 2002; Brown et al., 2000; Cremer et al., 2005; Galea et al., 2002; Shalev et al., 1998), but not all (Breslau, Davis, Peterson, & Schultz, 2000), exposure to traumatic life events (TE) has been associated with the development of or the co-occurrence with severe psychopathology, such as Major Depressive Disorder histories (MDD Hx) and/or Posttraumatic Stress Disorder (PTSD). Such TE-related disorders also influence the onset of cigarette smoking behavior (e.g., Koenen et al., 2005; Koenen et al., 2006; Rauch et al., 2006) and are associated with greater smoking prevalence rates (e.g., Acierno et al., 1996; Acierno et al., 2000) compared with the general population (Centers for Disease Control, 2005). Despite this evidence, most research is focused on PTSD-related cigarette smoking (Feldner et al., 2007; Fu et al., 2007) with very little attention placed on other psychological sequelae, such as TE-related MDD Hx. Given that approximately 77% of the TE population does not develop PTSD (Breslau, Davis, Andreski & Peterson, 1991), broadening knowledge of health risk behavior among subgroups of non-PTSD smokers with a TE history (TE only) would provide important information for the study of trauma on smoking behavior.

Research suggests that lifetime violent assault status with a MDD Hx predicts 45% of current smokers compared with TE only (non-PTSD) individuals without a history of MDD (30%) and non-vulnerable individuals (23%; Acierno et al., 1996). TE only and MDD Hx also produce independent additive effects on smoking-related health risks (Benyamini and Solomon, 2005; Kramer et al., 2003; Links and Comstock, 1990; Spertus et al., 2003) and on health care utilization (Adams et al., 2006; Kates and Mach, 2007; Kramer et al., 2003). Little is unknown about biobehavioral mechanisms that may uniquely influence such dually vulnerable (TE + MDD Hx) compared with singularly vulnerable (e.g., MDD Hx without TE or TE only) and non-vulnerable smokers.
2. Method

2.1. Participants

The current study was a secondary analysis of a larger study featuring smokers with and without a MDD Hx. Given the high comorbidity with this population and those with a history of trauma (Brady et al., 2000; Maes et al., 2000; Pfefferbaum et al., 2002), it was deemed a suitable sample in which to assess the study question after controlling for nicotine and depression-related variables. Participant recruitment was accomplished by distributing flyers and newspaper advertisements in a large Midwestern city. Ineligible persons included those with current mental health disorders other than nicotine dependence, those actively using smoking cessation techniques, those abstinent less than 6 months from a previous substance dependence other than nicotine, those unable to read the questionnaires, those on psychiatric medications (other than antidepressant medication that was stabilized for 2 months), and those younger than 21 or older than 55. Additionally, the ethnic representation of the sample reflected the surrounding community and participant selection was stratified by gender. Smoking severity as measured by the FTND (See Section 2.3.4) indicated that 6.3% of participants reported very low nicotine dependence, 19.0% low dependence, 16.5% medium dependence, 38.0% high dependence, and 20.2% very high dependence. For univariate statistics see Table 1.

2.2. Participant flow

Initially, 274 participants responded to the advertisements and were screened via telephone. Seventy-two individuals (26.2%) were found ineligible during the telephone screening for the following reasons: 38% currently psychiatically medicated, 25% uninterested in participating, 14% not meeting stratification requirements, 5% high blood pressure, and 5% currently in treatment with the remaining individuals found ineligible due to current drug use, smoking less than was required by the study (<15 cigarettes per day), recent cessation attempts, age requirements, disconnected telephone, and pregnancy, each contributing less than 3%. After telephone screening completion, 202 individuals were deemed initially eligible and scheduled for the screening visit; 96 (48%) did not attend. Accordingly, 106 participants consented to the study with an additional 27 (25%) not completing for the following reasons: 17 (63%) did not complete experimental sessions, three (11%) met criteria for current Axis I disorders, three (11%) attempted to participate in the study more than once after being found ineligible, two (7%) did not report exclusionary medication use until screening session, one person (4%) was referred to a physician due to a high carbon monoxide reading, and one person (4%) was dropped for missing more than three scheduled sessions. In total, 79 participants completed the study.
2.3. Measures

2.3.1. Caffeine and alcohol intake
Given evidence that suggests daily consumption of alcohol and caffeine may influence cue reactivity (Cooney et al., 1997; Smith et al., 2003), a beverage score was assessed. As with our prior studies (McChargue & Doran, 2009), the beverage intake form comprised 6 questions that assessed the amount of coffee, tea, soda, spirits, wine and beer that was consumed within the last 24 h prior to the exposure session. A total beverage consumption score was derived by summing the number from each question. Scores ranged from 0 to 20.

2.3.2. Depression Proneness Inventory
Demonstrating bivariate relations with TE and MDD Hx, the Depression Proneness Inventory (DPI) measures risk to depressive symptomatology including cognitive vulnerabilities, tendencies to feel inadequate and experience depressive symptoms, and recent experiences of depressive symptoms. Showing good convergent validity, the DPI is a strong predictor of depression history and affective risk factors for future depression proneness (Strong, Brown, Kahler, Lloyd-Richardson, & Niaura, 2004). Cronbach’s alpha equaled .92.

2.3.3. Dysfunctional Attitude Scale
Designed to assess cognitive thinking errors associated with depression, the Dysfunctional Attitude Scale (DAS; Beck, Steer, Brown, & Weissman, 1991) is a 40-item scale comprised of two factors: a factor measuring attitudes about achievement and a factor associated with attachment and interpersonal relationships. Incorporated as a covariate to provide a cognitive measure associated with a MDD Hx, the DAS has shown good test–retest reliability (.73), convergent validity (Oliver & Baumgart, 1985), and internal consistency (Cronbach’s alpha = .93 in the current study).

2.3.4. Fagerstrom Test for Nicotine Dependence
Revised from the Fagerstrom Tolerance Questionnaire, the Fagerstrom Test for Nicotine Dependence (FTND; Heatherton, Kozlowski, Frecker, & Fagerstrom, 1991) is a widely used measure of nicotine dependence and operated as a covariate in the current study to control for participant smoking levels. The brief, 6-item questionnaire produces scores ranging from 0–10 and uses categorical descriptions to interpret an individual’s dependence severity. The FTND has shown high test–retest reliability and convergent validity (Buckley et al., 2005; Fagerstrom and Schneider, 1989), positively related to smokers’ baseline carbon monoxide levels ($r = .33$) and cigarettes smoked per day ($r = .44$) in the current study. Cronbach’s alpha for the current study was .45.

2.3.5. The Fawcett–Clark Anhedonia Scale
The Fawcett–Clark Anhedonia Scale (Fawcett, Clark, Scheftner, & Gibbons, 1983) asks participants to rate current hedonic reactions to hypothetical pleasurable situations in a 36-item questionnaire. The instrument provided a measure of anhedonia included in the analyses to rule out subsyndromal PTSD symptoms (Kashdan, Elhai, & Frueh, 2006).

<table>
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<tr>
<th>Table 1. Summary of univariate and bivariate statistics</th>
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$N = 79$; $a$ = bivariate statistical analyses.
The scale items have been shown to effectively tap a single latent dimension (loss of pleasure) suggesting adequate internal consistency (Cronbach’s alpha = .93 in current study) and have demonstrated good overall psychometric properties in clinical and non-clinical samples (Fawcett et al., 1983).

2.3.6. Profile of Mood States
The Profile of Mood States (POMS; McNair, Lorr, & Droppelman, 1971) is a self-report questionnaire designed to measure transient affective states. Consisting of 65 adjectives rated on a 5-point Likert scale, the POMS subscales were utilized to measure changes in affective symptoms pre- to post-experimental conditions (independent variables). Additionally, selected subscales (i.e. anxiety, anger, and depression) provided baseline ratings of affective indicators used to rule out subsyndromal PTSD symptoms. The measure has shown good convergent and discriminant validity (Nyenhuis, Yamamoto, Luchetta, Terrien, & Parmentier, 1999). Internal consistency for the POMS was measured across all four experimental sessions and Cronbach’s alpha ranged from .96 to .97.

2.3.7. Structured Clinical Interview for DSM-IV
To determine study eligibility and independent variables, participants were screened using the Structured Clinical Interview for DSM-IV Non-Patient version (SCID-NP; First, Spitzer, Gibbon, & Williams, 1996). The SCID-NP was specifically used to assess both the history and presence of mood disorders including major depressive disorder and number of previous episodes. Trauma exposure was also determined and defined as meeting criterion A for PTSD (i.e., the individual had experienced actual or threatened death or serious injury and responded with intense fear, helplessness, or horror). Individuals currently meeting full criteria or a lifetime diagnosis of PTSD were excluded from the study. The SCID-NP has been reported to have good-to-excellent validity as well as high reliability for most Axis I and Axis II disorders (Segal, Hersen, & Van Hasselt, 1994).

2.4. Procedure
2.4.1. Screening session
Initially, assenting participants were screened over the telephone to evaluate demographic and general medical information; those meeting preliminary study criteria were scheduled for a screening visit. After obtaining written consent from all individuals, the current study’s screening session assessed eligibility via clinical interview by the principle investigator (DEM) and a trained post-doctoral staff member using the SCID-NP. Weekly meetings were held to maintain clinical interview consensus. Next, an ecolyzer test was administered to assess smoking status via expired carbon monoxide samples and those still remaining eligible for the study (CO readings > 10) completed basal mood and smoking questionnaires. Guided imagery scripts utilized in the mood induction procedure were then generated (full description in Section 2.4.2.1).

2.4.2. Experimental sessions
Following the screening session, a counterbalanced series of four experimental sessions nested mood induction (negative vs. neutral) with environmental cue (in vivo cigarette vs. control cue). Participants were tested individually, and on testing days were asked to abstain from caffeine 0 prior to experimental session. No individual was scheduled before 11:00 am to reduce the impact of diurnal variations in mood. Further, ecolyzer readings and self-reported 24-h alcohol/caffeine intake were measured at the start of each session. All participants then smoked one cigarette to prevent nicotine withdrawal and to standardize the time from their last cigarette. Following the cigarette, individuals rested for 30 min in a comfortable chair to stabilize mood effects. Baseline mood was recorded via self-report using the POMS questionnaire subscales (i.e. anxiety, depression, fatigue, confusion, and anger). After baseline assessments, mood + cue exposure procedures were simultaneously implemented for 10 min as described in Sections 2.4.2.1 and 2.4.2.2. Subsequent mood ratings were measured post-exposure via the POMS to assess the level of change from pre- to post-manipulation. Used to ensure adequate engagement in memory recall and response to the induction technique, memory vividness was rated on a 100-point scale at the 5 min mark of the mood induction (Tiffany & Hakenewerth, 1991).

2.4.2.1. Mood induction procedure
Mood induction scripts were initially generated during the screening procedure. Participants were invited to verbally describe memories of four events in the past year which had caused feelings of “upset, very anxious, angry, or sad,” as well as four events which did not elicit feelings of “upset or happy” (Litt, Cooney, Kadden, & Gaupp, 1990). Next, participants used a 10-point Likert scale to indicate the degree to which each event made them feel sad, angry, or anxious. Events scoring a 7 or greater were scripted for the negative mood induction while those scoring a 0 or 1 were included in the neutral mood induction. During the experimental sessions, research assistants prompted participants to recall these previously generated negative memories. Further, participants undergoing the negative mood induction received headphones and listened to audiotaped pieces of classical music including Russia Under the Mongolian Yoke and Adagio Pour Cordes which have both been shown to induce negative mood (Clark and Teasdale, 1985; Gerrards-Hesse et al., 1994; Marin, 1990). In contrast, because prior research has shown music can evoke negative and positive mood states (Clark, 1983; Vaestfjaell, 2002), the neutral mood induction did not include a musical component. Instead, participants were prompted by research assistants to recall the previously rated neutral memory (e.g., doing laundry). All memory prompts given by research assistants were scripted and individuals who had completed the negative mood induction received a positive mood induction before leaving the
laboratory to dispel any lingering negative feelings. Research has found this autobiographical technique successful in manipulating mood (Ekman, Levenson, & Friesen, 1983).

2.4.2.2. Cue exposure procedure. When an in vivo cigarette cue was coupled with the mood induction procedure, participants were shown their brand of cigarettes, a lighter, and an ashtray. Prior to mood evocation, participants were instructed to light one cigarette (without putting the cigarette in their mouth) and hold the cigarette comfortably in their dominant hand until the research assistant asked them to extinguish it. In comparison, during the cigarette control condition, participants were shown a roll of scotch tape and instructed to hold the scotch tape in their dominant hand until the research assistant asked them to place it back on the tray.

2.5. Analytic plan

The current analysis was conducted using a mixed-group factorial analysis of covariance (ANCOVA) to examine the influence of trauma exposure (TE) and a history of major depressive disorder (MDD Hx) on differences in affective symptoms pre- to post-four Latin-squared counterbalanced experimental conditions (Tabachnick & Fidell, 2001). Group was the primary between-subjects factor (TE+MDD Hx; TE only; MDD Hx only; no history). The within subjects factor was experimental condition that nested negative or neutral mood inductions with in vivo cigarette versus control environmental cues (Neutral+Control; Neutral+Cigarette; Neg+Control; Neg+Cigarette). The dependent variables reflected affective change scores (anger, anxiety, depression and vigor) from pre- to post-exposure. Greenhouse-Geisser estimates were used to adjust for any sphericity issues that were associated with multiple comparisons (Tabachnick & Fidell, 2001).

Covariates (italicized) were chosen for statistical and theoretical purposes, based on the likelihood that they may influence the current results. Both TE and MDD Hx were significantly correlated with the number of prior major depressive episodes \((r = .40\) and \(r = .64\), respectively) and total score on the DPI \((r = .35\) and \(.48\), respectively) with all \(p < .01\). Further, depression change scores in the neutral cig condition \((r = .23\), \(p < .05\)) as well as the negative no cig condition \((r = -.29\), \(p < .01\)) were significantly correlated with age while scores in the neutral no cig condition were related to ethnicity \((r = -.24\), \(p < .05\)). Because study participants were smokers, total FTND scores were controlled to address severity of nicotine dependence. Also, because MDD symptom presentation differs across men and women (Khan, Gardner, Prescott, & Kendler, 2002), gender was controlled. Lastly, prior research has reported a link between a history of MDD and elevated dysfunctional attitudes independent of subsyndromal depression symptoms (Otto et al., 2007). Consequently, DAS responses were included as a covariate to provide a cognitive descriptor of depressive characteristics. Antidepressant medication status, Latin-squared order of exposures and 24-h alcohol/caffeine intake were dropped from the analysis for lack of covariance with independent and dependent variables.

3. Results

3.1. Preliminary analysis

Initially, univariate statistics from individuals who completed the study versus individuals who were originally found eligible before the telephone screening and subsequent screening session were compared. Independent samples t-tests revealed no differences between demographic variables including age, ethnicity, gender \((p > .12)\) as well as smoking-related variables including FTND and cigarettes smoked per day \((p > .36)\). Additionally, while no significant differences were found in depression-related variables \((p > .62)\), those completing the study \((M = .81, SD = .40)\) endorsed higher rates of trauma \((t(82) = −2.44, p = .02)\) compared to individuals who did not complete \((M = .56, SD = .50)\).

To rule out the possibility that subsyndromal PTSD symptoms among the TE groups may influence results, screening session responses on several POMS indices were compared using one-way ANOVAs across individuals with and without TE. No group differences were found in screening session ratings of depression \([F(1, 77) = 3.19, p = .078, Mse = 58.15, r = .20]\), anhedonia \([F(1, 77) = .63, p = .43, Mse = 220.35, r = .09]\), anger \([F(1, 73) = .004, p = .95, Mse = 70.57, r = .007]\), or anxiety \([F(1, 73) = .44, p = .51, Mse = 41.04, r = .08]\). These findings are consistent with previous research reporting elevated symptoms of depression, anger, and anxiety scores among PTSD individuals compared to TE and no-trauma controls who reported no mean differences (Butler, Mueser, Sprock, & Braff, 1996). See Table 1 for the bivariate means.

3.2. Mood manipulation check

Indicating successful participant response to the mood induction technique regardless of group, paired sample t-test demonstrated significant increases in negative affect pre- to post mood induction for both the Neg+Control condition \((t(77) = −8.08, p < .001, r = .68)\) and the Neg+Cigarette condition \((t(77) = −8.00, p < .001, r = .67)\). Negative affect did not significantly increase pre- to post neutral mood induction for either the cigarette \((p = .193)\) or environmental control condition \((p = .749)\). Overall, vividness ratings used to evaluate degree of memory engagement exceeded the third quartile in the Neutral+Control condition \((M = 77.00, SD = 25.10)\), Neutral+Cigarette condition \((M = 79.29, SD = 22.73)\), Neg+Control condition \((M = 76.22, SD = 25.43)\), and Neg+Cigarette condition \((M = 78.62, SD = 22.14)\). Vividness results were comparable to previous studies (Tiffany and Drobos, 1990; Tiffany and Hakenewerth, 1991) while effectiveness of the negative mood induction procedure exceeded similar studies \((r = .53\) compared to the current \(rs = .68\) and .67; Hufford, 2001).
3.3. Primary analysis

After controlling for noted covariates, ANCOVA analyses indicated that changes in anxiety, anger and vigor were statistically equivalent across groups during the four experimental conditions (p > .05). Results also revealed a significant 3-way interaction among TE, MDD Hx and condition when examining participants’ change in self-reported depression pre- to post-condition, [F(3, 177) = 5.28, p = .006, Mse = 70.51, r = .29]. Cell means, LSD minimum mean difference and group sizes for the 3-way interaction are displayed in Figure 1. Significant group differences were found within the negative mood induction conditions and not the neutral condition. TE only individuals endorsed the greatest elevation in self-reported depression across both negative mood conditions (regardless of smoking paraphernalia) compared with other groups. MDD Hx only smokers showed similar effects, but to a lesser degree of TE only smokers. Further, dual history participants reported a dramatic increase in self-reported depression during the Neg+Cigarette condition compared with Neg+Control condition.

Results also showed a significant 2-way interaction between TE and MDD Hx [F(1, 59) = 6.19, p = .02, Mse = 114.40, r = .31]. Examination of simple effects indicated that TE only individuals reported a significantly greater change in self-reported depression compared to individuals in the no history group (no TE or MDD Hx). Lastly, there was a main effect of condition [F(3, 177) = 9.54, p = .001, Mse = 70.51, r = .37]. Individuals reported a significantly greater change in self-reported depression during the negative mood conditions compared to the neutral mood conditions (regardless of smoking paraphernalia).

To exclude the possibility that spurious trauma-related scripts influenced the changes in depression across experimental conditions, negative mood induction scripts were evaluated for traumatic content and responses of TE individuals were assessed. While five (19.2%) TE individuals received a negative mood script containing traumatic content; individuals who received trauma scripts were not disproportionately reactive compared with those who did not receive a trauma script. This suggests that the TE group findings were not influenced by depressive reports related to the trauma scripts.

4. Discussion

The overall study results produced expected and unexpected effects. Most notably, individuals with TE only histories showed the greatest elevations in negative mood-induced depressive symptoms compared with all other groups (i.e., non-vulnerable, MDD Hx only, dually vulnerable). This global depression reaction was also independent of cigarette cues. The MDD Hx group had similar global changes in depressive symptoms following both negative mood induction conditions, but to a lesser magnitude. Dually vulnerable smokers compared with non-vulnerable smokers showed significant depressive symptoms changes only during the negative mood induction plus in vivo cigarette condition. Lastly, null group effects were documented across the neutral mood induction conditions.

Research has examined emotional reactivity using negative affect as the manipulated emotion for TE only smokers (e.g., Beckham et al., 2007; McClernon et al., 2005), but has yet to examine negative affect-specific effects. This study is the first to implicate a depressive symptom vulnerability for non-trauma-related stressors that appears independent of a clinically significant MDD Hx and independent of other affective reactions (e.g., anxiety, anger, and vigor). Findings also suggest that negative affective smoking among TE only individuals may be specific to the alleviation of depression, thus suggesting the need to supplement smoking treatment for TE only smokers with psychosocial and/or pharmacological treatments for depression.

Contrary to expectations, dual history smokers reported fewer changes in depressive symptoms following the negative mood induction procedure compared to singularly vulnerable smokers (MDD only or TE only). Our finding may reflect a blunted affective response to the negative mood induction procedure such that dually vulnerable smokers had limited capacity to fully experience negative emotions, which is consistent with literature suggesting that
numbing symptoms are a core trauma symptom (Litz & Gray, 2002); however, future research is needed to more fully examine links among trauma exposure, a history of MDD, and depressive states.

The only exception to the finding of diminished negative emotional reactivity among dual history smokers was in the negative mood + in vivo cigarette condition. Dually vulnerable smokers showed self-reported depression elevations that were comparable to MDD Hx only smokers. These self-reported depression reactions were significantly greater than the reactions in the negative mood + environmental neutral cue and significantly greater than non-vulnerable smokers’ reactions in both negative mood induction conditions (see Figure 1). As such, smokers with TE+MDD Hx showed a potentiated depressogenic effect when the smoking cue was paired with the negative mood induction, suggesting that smoking cues may eradicate the previously observed numbing response. These data may suggest that dually vulnerable smokers compared with non-vulnerable smokers are selectively susceptible to negative affective smoking only when smoking paraphernalia are present.

Our results are tempered by a few study limitations. First, cell sample sizes for each group were not equally stratified and the TE only group was substantially smaller in comparison. As a result, it may be important for future studies to replicate and extend these findings with equally stratified samples of vulnerable smokers. Second, it is possible that subsyndromal symptoms of PTSD or depression may have accounted for the increase in emotional reactivity observed among TE only individuals. To assuage such concerns, preliminary data show that groups did not differ at the screening session across subsyndromal states of anhedonia, anger, anxiety and depression. Third, a total of five people who reported TE were inadvertently presented with trauma-related scripts, making it possible that TE individuals evidenced a selectively heightened emotional response to the negative mood induction procedure because the mood induction scripts involved traumatic events rather than non-trauma-related stressors. Despite this possibility, comparison of script effects on affective changes showed that TE smokers who received trauma scripts did not demonstrate significantly different affective responses when compared to those receiving non-trauma-related stressor scripts. Because the primary dependent variable is self-reported affective changes, it is also plausible that demand characteristics influenced the results. Concerns about potential participant demand characteristics were, however, minimized with the depression-specific findings. Given that the negative mood induction procedures were structured to illicit general negative affect, the lack of a global negative affective response across anxiety, anger, and depression ratings suggests that participants’ self-reported affect may not have been unduly influence by social desirability. Finally, exclusionary criteria helped maintain the internal validity of our findings but diminished our ability to completely generalize the results.

In conclusion, the present study adds to extant literature that reports a potential negative affective mechanism linking non-PTSD-related TE with cigarette smoking (Beckham et al., 2007; Feldner et al., 2007; Feldner et al., 2007; McClernon et al., 2005) by showing that TE only smokers’ affective vulnerability may be specific to depressive states, independent of MDD Hx, and greater than MDD Hx smokers’ depressive vulnerability. We further show that dually vulnerable smokers are less reactive to stressors, but may be influenced by self-reported depressive changes when environmental smoking cues are present. Future studies should replicate these findings and extend them to examine self-reported depression changes during smoking abstinence. For example, future data showing that the present study’s depressive vulnerability reactions extend to withdrawal-related depression that moderates relapse would further our understanding of affect-specific influences on smoking maintenance and relapse among TE only smokers.

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