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## Modeling *Pichia pastoris* Growth on Methanol and Optimizing the Production of a Recombinant Protein, the Heavy-Chain Fragment C of Botulinum Neurotoxin, Serotype A

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**Abstract:** An unstructured growth model for the recombinant methylotrophic yeast *P. pastoris* Mut<sup>+</sup> expressing the heavy-chain fragment C of botulinum neurotoxin serotype A [BoNT/A(Hc)], was successfully established in quasi-steady state fed-batch fermentations with varying cell densities. The model describes the relationships between specific growth rate and methanol concentration, and the relationships between specific methanol and ammonium consumption rates and specific growth rate under methanol-limited growth conditions. The maximum specific growth rate ( $\mu$ ) determined from the model was 0.08 h<sup>-1</sup> at a methanol concentration of 3.65 g/L, while the actual maximum  $\mu$  was 0.0709 h<sup>-1</sup>. The maximum specific methanol consumption rate was 0.0682 g/g WCW/h. From the model, growth can be defined as either methanol-limited or methanol-inhibited and is delineated at a methanol concentration of 3.65 g/L. Under inhibited conditions, the observed biomass yield ( $Y_{X/MeOH}$ ) was lower and the maintenance coefficient ( $m_{MeOH}$ ) was higher than compared to limited methanol conditions. The  $Y_{X/MeOH}$  decreased and  $m_{MeOH}$  increased with increasing methanol concentration under methanol-inhibited conditions. BoNT/A(Hc) content in cells (a) under inhibited growth was lower than that under limited growth, and decreased with increasing methanol concentration. A maximum a of 1.72 mg/g WCW was achieved at a  $\mu$  of 0.0267 h<sup>-1</sup> and induction time of 12 h.

**Keywords:** *Pichia pastoris*; fed-batch; growth modeling; optimization; fermentation; botulinum neurotoxin

## INTRODUCTION

The *Pichia pastoris* expression system has been used extensively for the production of heterologous proteins. The advantages of this system include high cell densities on a defined minimal basal salts medium (Brierley et al., 1990; Cregg and Higgins, 1995), efficient post-translational modifications (Digan et al., 1988; Tschopp et al., 1987), less secretion of endogenous proteins while expressing secreted recombinant protein (Digan et al., 1988; Laroche et al., 1994; Tschopp et al., 1987), and a strong, well-regulated methanol-induced promoter (Cregg and Madden, 1988; Cregg and Vedvick, 1993). It is known that *P. pastoris* can assimilate methanol but cannot tolerate high methanol concentrations. This is due to the accumulation of formaldehyde and hydrogen peroxide inside the cells, both of which are the oxidized products of methanol by the alcohol oxidase and are toxic to the cell (Couderc and Baratti, 1980; Cregg and Madden, 1988; Van der Klei et al., 1990). In order to obtain high cell densities while maintaining a low level of methanol, a fed-batch strategy is commonly used. The methanol feeding strategy, which also dictates the specific growth rate, is one of the most important factors for maximizing recombinant protein production, since all of the biochemical reactions for product formation are directly or indirectly associated with cell growth (Shioya, 1992). The methanol feeding strategy used by most researchers for methanol utilization positive (Mut<sup>+</sup>) recombinant *P. pastoris* fed-batch fermentation is from "Pichia Fermentation Process Guidelines" of Invitrogen Co. (San Diego, CA). There are two different empirical feeding strategies that are commonly used (Stratton et al., 1998). The first is the dissolved oxygen (D.O.) spike method, where during methanol induction the methanol feed pump is stopped and the amount of time is recorded for the D.O. to increase 10% (a limited culture will have D.O. spike times of 15–30 s). The second method uses preprogrammed linear feed rates that typically do not exceed 11 mL/L/h. Both protocols are designed to maintain a residual methanol concentration of nearly 0 g/L, which is not necessarily optimum for maximum protein production. Some protocols for regulating methanol feed rate were reported in the production of bovine lysozyme (Brierley et al., 1990), but the effect of methanol feed

rate on the protein production was not detailed. Shake flask cultures with methanol maintained at 0.3% v/v were studied by using a methanol sensor (Guarna et al., 1997). The results showed the volumetric protein productivity, while maintaining a constant methanol level, increased 5-fold over cultures that were not maintained with constant methanol. Because the specific growth rate and specific production rate were not compared, it is hard to conclude if the methanol affected the protein production rate. The specific growth rate and specific protein production rate were determined with methanol concentrations ranging between 1.5 and 31 g/L (Katakura et al., 1998), but the study did not extend to methanol-limited growth or develop a growth model. The purpose of this research is to establish an unstructured growth model for *P. pastoris* Mut<sup>+</sup> and use the model to optimize the production of a recombinant protein, the heavy-chain fragment C of botulinum neurotoxin, serotype A [BoNT/A(Hc)]. The botulinum neurotoxin is produced by *Clostridium botulinum* under anaerobic conditions and is classified into seven serotypes designated types A through G. It is the most potent toxin known to science (Lamanna, 1959). BoNTA(Hc), the 50-kDa carboxyl-terminal region of the botulinum neurotoxin, is involved in the recognition of specific ectoreceptors on peripheral cholinergic nerve cells. The toxin fragment is nontoxic and has been shown to elicit significant protective immunity in mice and is a vaccine candidate (Byrne et al., 1998; Clayton et al., 1995).

## MATERIALS AND METHODS

### Experimental Setup

Fermentations were performed with a 5-L Bioflo 3000 fermentor interfaced with the computer-based software, AFSBioCommand, for data acquisition and supervisory control (New Brunswick Scientific Co., Edison, GA). A part of the off-gas was diverted to an MC-168 methanol monitor and controller (PTI Instruments, Inc., Kathleen, GA) equipped with a TGS822 methanol sensor (Figaro Engineering Inc., Osaka, Japan), which were used to maintain a constant level of methanol in the broth. A methanol feed pump (Model 101U/R, Watson-Marlow Ltd., England), balance (Model PR1203, Mettler Toledo, Switzerland), and the MC-168 controller were interfaced with the AFS-BioCommand to make a closed-loop control system, Fig. 1. The D.O. was set to 20% and controlled by an agitation/O<sub>2</sub> cascade available as part of the BioFlo 3000 system. Pure oxygen was supplied as needed to maintain 20% of D.O. saturation.

### Strain and Inoculum Preparation

*P. pastoris* GS115 Mut<sup>+</sup>, expressing the BoNT/A(Hc) fragment intracellularly, is described elsewhere (Byrne et al., 1998). Attempts were made to secrete the BoNT/A(Hc) fragment, but the fragment was glycosylated, which rendered the fragment immunologically inactive. The native toxin is not glycosylated. The gene was determined to be stable after a minimum of 25 generations (data not shown). Individual fermentors were inoculated with 40 mL of freshly thawed starter culture. These cultures were prepared by inoculating 200 mL of BMGY (buffered minimal glycerol complex medium, *Pichia* Expression Kit, Invitrogen Co.) containing 10 g/L glycerol in a 2-L baffled shake flask with 1 mL of a stock culture maintained frozen in liquid nitrogen (vapor phase). The culture was incubated at 30°C with 200-rpm shaking rate until an OD<sub>600</sub> (optical density at 600 nm) between 2 and 6 was reached. The entire 200 mL was aseptically

transferred to a 5-L fermentor containing 4 L of BMGY with 40 g/L glycerol. When the density reached an OD<sub>600</sub> of 50, the cells were harvested aseptically using sterile 500-mL centrifuge bottles and centrifuged at 2000g. The pellets were resuspended in 1 L of sterilized BMGY containing 150 g/L glycerol. Cell suspension aliquots of 40 mL (approximately 200 OD<sub>600</sub>) were dispensed into 50-mL sterile conical tubes and stored at -80°C until ready for use.

## Fermentation

### Glycerol Batch Phase

The batch phase was 2 L of basal salts medium (BSM), which, per liter, consists of 26.7 mL 85% H<sub>3</sub>PO<sub>4</sub>, 0.93 g CaSO<sub>4</sub>, 18.2g K<sub>2</sub>SO<sub>4</sub>, 14.9 g MgSO<sub>4</sub> · 7H<sub>2</sub>O, 4.13 g KOH, and 40.0 g glycerol. Prior to inoculation, the pH was adjusted to 5.0 with concentrated ammonium hydroxide followed by the addition of 8.7 mL of PTM1 trace salts (containing, per liter, 6.0 g CuSO<sub>4</sub> · 5H<sub>2</sub>O, 0.08 g NaI, 3.0 g MnSO<sub>4</sub> · H<sub>2</sub>O, 0.2 g Na<sub>2</sub>MoO<sub>4</sub> · 2H<sub>2</sub>O, 0.02 g H<sub>3</sub>BO<sub>3</sub>, 0.5 g CoCl<sub>2</sub>, 20.0 g ZnCl<sub>2</sub>, 65.0 g FeSO<sub>4</sub> · 7H<sub>2</sub>O, 0.2 g biotin, and 5.0 mL H<sub>2</sub>SO<sub>4</sub>). Batch phase conditions were temperature, 30°C; pH, 5.0 (controlled with concentrated ammonium hydroxide); and D.O., 20%. These variables were monitored and maintained continuously by the fermentation unit. The end of batch phase was indicated by a spike in the D.O. caused by the exhaustion of glycerol. The cell mass at the end of the batch phase was approximately 100 g/L wet cells.

### Glycerol Fed-Batch Phase and Transition Phase

The fed-batch phase was performed under glycerol-limited conditions to increase cell mass and prepare the cells for induction. Limited feed benefits the induction of the AOX1 (alcohol oxidase) promoter by facilitating the consumption of metabolites, such as acetate and ethanol, which build up during the batch phase and are inhibitory to AOX1 induction. The suggested minimum length of time for the fedbatch phase is 1 h. Longer times and/or higher feed rates may be used to increase cell mass prior to induction. For this project a 1-h fed-batch period was used at a feed rate of 20 g 50% w/w glycerol (containing 12 mL of PTM1 per liter) per hour per liter of broth. Following the fed-batch phase, a transition phase was designed to shorten the time required for the cells to fully adapt to methanol. The transition phase is initiated by the addition of 1.5 g/L methanol, which initiates induction. The glycerol feed rate is simultaneously set to ramp down linearly from 20 g/L/h to 0 over a 3-h period. During the first hour, the cells continued to utilize glycerol as the primary carbon and energy source, which was confirmed by off-gas analysis. After the first hour the methanol concentration was observed to decrease quickly until by the end of the second hour the detection limit of the methanol sensor was reached. These two hours were defined as the transition phase, during which the cells initiated the switch from glycerol to methanol. By the end of the transition phase the cells were fully adapted to methanol, which was confirmed by a sharp drop in the D.O. when the methanol feeding profile was initiated. This two-hour adaptation time compares to a period of 4–5 h when using the traditional method of complete glycerol exhaustion followed by a very low methanol feed (Stratton et al., 1998).

### Methanol Fed-Batch Phase

Once the cells were fully transitioned, the methanol (containing 12 mL of PTM1 per liter) was fed to start the methanol fed-batch phase (production phase). Two feeding strategies were investigated. The first was a methanol-excess feed strategy in which the methanol concentration was controlled at set levels between 2.0 and 30.0 g/L to study the effects of excess methanol on growth and protein production. The rate of increasing the methanol concentration was limited to 10 g/L/h to prevent the cells from being shocked. This strategy allowed the maximum specific growth rate,

$\mu_m$ , to be determined. The second strategy controlled the growth rate below  $\mu_m$  by methanol-limited feeding. The specific growth rate was kept at desired values by controlling the methanol feed rate at an exponential increase, which allowed the growth, production, and substrate consumption rates to be determined.

## Analytical Methods

Cell density was expressed as wet cell weight (WCW), which was measured by removing duplicate 10-mL aliquots of the fermentation broth into preweighed 15-mL conical tubes. The samples were centrifuged at 2000g, the supernatants were decanted, and the pellets were weighed. One gram of wet cells is equivalent to approximately 0.28 g dry cell weight. The off-line methanol concentration was determined by gas chromatography (GC-17A, Shimadzu Co., Columbia, MD) with isopropyl alcohol as an internal standard. Cells were disrupted as a 150 g WCW/L suspension of cells in lysis buffer [2.92 g NaCl, 1.86 g EDTA, 10.47 g MOPS, sodium (Calbiochem Co., San Diego, CA), 2.5 g CHAPS (Pierce Co., Rockford, IL), in 1 L ddH<sub>2</sub>O, pH to 7.5 with NaOH]. Aliquots of 1 mL were mixed with approximately 2.2 g zirconia/silica beads (Biospec Products, Inc. Bartlesville, OK) in 2.0-mL screw-cap tubes followed by disruption at 4°C with a vibrating disrupter (Mini-BeadBeater-8, Biospec Products, Inc.) for 8 cycles (1 min vibrating and 4 min resting in each cycle). The lysate/bead mixture was centrifuged until the supernatant was clear, and 100  $\mu$ L aliquots were diluted 20-fold with lysis buffer containing 0.5 g/L CHAPS and then stored frozen at -20°C until analyzed for BoNT/A(Hc). BoNT/A(Hc) was determined by using a modified ELISA procedure (Byrne et al., 1998). All incubations were completed at 37°C with sealed plate covers unless indicated differently. Microtiter plates (Immulon 4HBX; Dynex Technologies Inc., Chantilly, VA) were incubated overnight at 4°C with 100  $\mu$ L per well of coating monoclonal antibody 5BA2 (Hallis et al., 1993) at 0.5  $\mu$ g/mL in 100 mM sodium carbonate buffer, pH 9.6 (SCB). The plates were then blocked for 2 h with 5% w/v nonfat dry milk in SCB. The plates were washed with SCB followed by the addition of 100  $\mu$ L of either sample or standard to each well and were then incubated for 90 min. Lysate samples were diluted 1/1000 to 1/2000 in SCB which contained 5% w/v nonfat dry milk and 0.05% w/v CHAPS before addition to the plates. The plates were washed with SCB then incubated for 1 h after the addition of 100  $\mu$ L per well of buffered affinity-purified horse anti-BoNT/A(Hc) at 2.0  $\mu$ g/mL in 5% w/v nonfat dry milk in phosphate-buffered saline with 0.05% w/v Tween 20 (PBS-T). The wash solution was changed to PBS-T. The plates were washed and incubated for 1 h with 100  $\mu$ L per well of peroxidase-labeled goat anti-horse IgG [H+L] (Kirkegaard & Perry Laboratories, Gaithersburg, MD) at 2.0  $\mu$ g/mL in 5% w/v nonfat dry milk in PBS-T. The plates were washed again and 100  $\mu$ L of ABTS [2,2'-azino-bis(3-ethylbenzthiazoline-6-sulfonic acid)] peroxidase substrate (Kirkegaard & Perry Laboratories, Gaithersburg, MD)

was added to each well followed immediately by incubation at room temperature (for 10–30 min) until the  $A_{405}$  of the highest standard was near 2. The reaction was stopped by addition of 100  $\mu\text{L}$  of 2% w/v oxalic acid in water. The  $A_{405}$  was read with a MRX microplate reader (Dy nex Technologies Inc.).

### Calculation of the Specific Rates

The specific growth rate,  $\mu$ , for a fed-batch culture is expressed as:

$$\mu = \frac{d(XV)}{(XV)dt} \quad (1)$$

where  $X$ ,  $V$ , and  $t$  are cell density, culture volume, and time, respectively, and  $\mu$  is determined from the slope of  $\ln(XV)$  versus  $t$ . At each sampling point  $t$ ,  $V$  is determined from the sum of the initial broth volume, the volume of ammonium hydroxide, glycerol, and methanol added up to that time, minus the volume sampled. If the methanol concentration and pH are constant from  $t_0$  to  $t$ , the specific methanol ( $\nu_{\text{MeOH}}$ ) and ammonium ( $\nu_{\text{NH}_3}$ ) consumption rates, and the specific BoNT/A(Hc) production rate,  $r$ , are expressed as (Katakura et al., 1998; Omasa et al., 1992a,b)

$$Q_{\text{MeOH}} = \nu_{\text{MeOH}} \int_{t_0}^t (XV)dt, \quad 2$$

$$Q_{\text{NH}_3} = \nu_{\text{NH}_3} \int_{t_0}^t (XV)dt, \quad 3$$

$$J = \rho \int_{t_0}^t (XV)dt, \quad 4$$

where  $Q_{\text{MeOH}}$  and  $Q_{\text{NH}_3}$  are the total amount of methanol and ammonium consumed, respectively, and  $J$  is the total protein produced from  $t_0$  to  $t$ .  $Q_{\text{MeOH}}$  and  $Q_{\text{NH}_3}$  are determined by measuring the amount of methanol and ammonium added to the system. If  $\mu$  is constant from  $t_0$  to  $t$ , and,  $X = X_0$  and  $V = V_0$  at  $t = t_0$ , the following equations are obtained:

$$XV = X_0V_0e^{\mu(t-t_0)}, \quad 5$$

$$\int_{t_0}^t (XV)dt = (XV - X_0V_0)/\mu. \quad 6$$

When Eq. (6) is combined with Eqs. (2) and (3),  $\nu_{\text{MeOH}}$  and  $\nu_{\text{NH}_3}$  are obtained from the slope of  $Q_{\text{MeOH}}$  versus  $(XV - X_0V_0)/\mu$  and  $Q_{\text{NH}_3}$  versus  $(XV - X_0V_0)/\mu$ , respectively.  $J$  is determined from

$$J = \alpha(XV) - \alpha_0(X_0V_0), \quad 7$$

where  $\alpha$  is the BoNT/A(Hc) content in the cells, as determined by ELISA, and  $\alpha = \alpha_0$  at  $t = t_0$ . If  $\alpha$  is constant during the fed-batch culture at a constant  $\mu$  from  $t_0$  to  $t$ , Eq. (7) becomes

$$J = \alpha(XV - X_0V_0). \quad 8$$

When Eqs. (4), (6), and (8) are combined,  $\rho$  is

$$\rho = \alpha\mu. \quad 9$$

### MODELING OF THE FED-BATCH GROWTH

A CSTR or exponential fed-batch culture can operate as a chemostat when the substrate feed concentration,  $S_f$ , satisfies the following equation (Blanch and Clark, 1996):

where  $Y_{X/S}$  is the observed yield of biomass to substrate. Both  $Y_{X/S}$  and  $S$  are dependent upon the desired  $\mu$  and the type of substrate,

$$F = \nu_{\text{MeOH}}(X_0V_0)e^{\mu t}, \quad 11$$

so  $X$  is decided by  $S_f$  for a desired  $\mu$ . Since the maximum  $X$  is limited to a certain level based on a given medium and fermentor design, i.e., oxygen supply, heat transfer, etc., the maximum  $S_f$  is also limited. It is proposed to model the fed-batch culture as a quasisteady state system, where  $\mu$  and  $S$  are constant, and  $X$  varies within a limited range. This is possible if the biochemical activities of the cell do not vary significantly over the range of the cell densities being studied, then  $\mu$ ,  $\nu$ , and  $r$  will be constant. Therefore, a quasi-steady state will be defined as a growth condition where  $X$  varies while  $\mu$ ,  $\nu$ ,  $r$ , and  $S$  are constant within an experimental range of cell densities. Maintaining a constant  $\mu$  is essential for attaining a quasisteady state.  $\mu$  is a function of  $S$ , and  $S$  can be maintained constant over a range of 1–30 g/L using a methanol sensor. When the methanol concentration is below the sensor's limit of detection, an alternative means of maintaining a constant  $\mu$  is required. In this case, the methanol feed rate ( $F$ ) varies exponentially to maintain a constant  $\mu$  using the following equation:

where  $X_0$  is the cell density and  $V_0$  is the broth volume when initiating the feed profile ( $t = 0$ ). Once  $\mu_m$  and  $\nu_{\text{MeOH},m}$  have been determined empirically under methanol excess conditions,  $\nu_{\text{MeOH}}$  is estimated for a desired  $\mu$  by the following equation:

Eq. (12) is based on an assumption that  $Y_{X/S} = \mu_m/\nu_{\text{MeOH},m}$  and is independent of  $\mu$  and that the maintenance coefficient is negligible. This assumption is false, but it allows for an initial estimation of

$$\nu_{\text{MeOH}} = \mu\nu_{\text{MeOH},m}/\mu_m. \quad 12$$

$\nu_{\text{MeOH}}$ . Eq. (12) will be revised later to give the true relationship between  $\mu$  and  $\nu_{\text{MeOH}}$ . When Eq. (12) is substituted into Eq. (11),  $F$  is estimated to deliver a constant desired  $\mu$ . Although the actual values of  $\mu$  and  $\nu_{\text{MeOH}}$  will differ from the estimated values, it is only important that they are constant. If  $\mu$  and  $\nu_{\text{MeOH}}$  are constant, a quasi-steady state is attained. Once the values of  $S$ ,  $\nu_{\text{MeOH}}$ ,  $\nu_{\text{NH}_3}$ , and  $\alpha$  in the quasisteady state with different desired  $\mu$  have been determined by using either limited or excess feeding, an unstructured growth model which describes the relationships between  $\mu$  and  $S$ ,  $\nu_{\text{MeOH}}$ , and  $\nu_{\text{NH}_3}$ , and  $\mu$  can be established. The production model, which describes the relationship between  $\mu$  and  $\alpha$  or between  $\mu$  and  $r$ , is also achieved. From these two models all of the parameters related to growth and production can be predicted.

### RESULTS AND DISCUSSION

Prior to this work, optimization of the methanol feeding strategy was viewed as empirical, following the standard procedure using either the D.O. spike method of methanol feeding (Brierley et al.,

1990) or preprogrammed linear feed rates (Stratton et al., 1998). Even though the D.O. spike method was used to successfully produce protein, the method is inconvenient and subjects a high-cell density culture to changes in methanol feeding during D.O. spikes. The standard procedure is to reach a certain methanol-feeding rate, i.e., 11 mL/L/h and maintain this rate for the duration of the fermentation, which could sometimes be 36–70 h. Thus, as the biomass increased, the amount of methanol being fed per unit cell mass was decreasing. Since the production of recombinant protein and growth are regulated by the same type of promotor, our approach was to develop a model that coupled growth rate to product formation.

## Establishing the Growth Model

### Methanol-Nonlimited Cultures

To determine the growth characteristics of the culture when methanol is in excess, fermentations were performed with  $S$  between 2.0 and 30.0 g/L. The methanol concentrations were maintained at the desired levels throughout the fermentations with only minor fluctuations. Exponential growth was maintained at all levels of methanol, and this demonstrates that a quasi-steady state was maintained. As the  $S$  increased,  $\mu$  decreased, which shows the

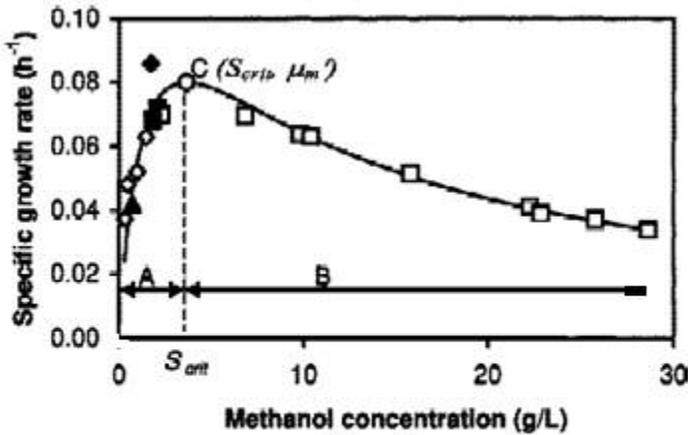


Figure 2

Relationship between specific growth rate and methanol concentration. (□) Methanol non-limited cultures; (◇) methanol-limited cultures; (◆, ■, ▲) cultures of *P. pastoris* wild type Y-11430, host strain GS115 his<sup>-</sup> and GS115 his<sup>+</sup> BoNT/E(H<sub>c</sub>) clone, respectively.

inhibitory

$$v_{\text{MeOH}} = 0.84\mu + 0.0071, \quad 16$$

$$v_{\text{NH}_3} = 0.14\mu. \quad 17$$

The true yield of biomass and the maintenance coefficient,  $Y_{X/S,t}$  and  $m$  with respect to methanol and ammonium, were  $Y_{X/\text{MeOH},t}$  4 1.19 g WCW/g MeOH,  $m_{\text{MeOH}}$  4 0.0071 g MeOH/g WCW/h;  $Y_{X/\text{NH}_3,t}$  4 7.14 g WCW/g 28% NH<sub>3</sub>,  $m_{\text{NH}_3}$  4 0, respectively. Substitution of Eq. (16) into Eq. (11) results in the following equation:

$$F = (0.84\mu + 0.0071) (X_0 V_0) e^{\mu t}, \quad 18$$

which provides the corrected value of  $F$  for a methanol-limited fed-batch culture at a constant  $\mu$ . The following equations (19–24) were derived on the basis of the feeding strategy described by Eq. (18). In this simulation,  $\mu < \mu_m$  4 0.08 h<sup>-1</sup>,  $S < 3.65$  g/L, and  $X < 450$  g WCW/L which was found to be the maximum  $X$  that the medium of BSM supplemented with PTM1 trace salts can support in a quasi-steady state.

$$XV = (X_0 V_0) e^{\mu t}, \quad 19$$

$$V_{\text{MeOH}} = (0.84\mu + 0.0071)(X_0 V_0)(e^{\mu t} - 1)/0.79\mu \quad 20$$

$$V_{\text{NH}_3} = 0.14(X_0 V_0)(e^{\mu t} - 1)/0.9, \quad 21$$

$$V = V_0 + V_{\text{MeOH}} + V_{\text{NH}_3}, \quad 22$$

$$X = \mu X_0 e^{\mu t} / [\mu + (1.22\mu + 0.009)X_0(e^{\mu t} - 1)], \quad 23$$

$$S = f(\mu) \left( \text{rewritten } \mu = \frac{0.146S}{S + 1.5 + S^2/8.86} \right). \quad 24$$

To confirm if the model is applicable to other strains, the fed-batch cultivation of *P. pastoris* wild type NRRL Y-11430, host strain GS115 his<sup>-</sup> (supplemented with 1 g/L histidine) and a GS115 his<sup>+</sup> BoNT/E(H<sub>c</sub>) clone were synthesized. The actual values of  $S$ ,  $\mu$ ,  $\eta_{\text{MeOH}}$ , and  $\eta_{\text{NH}_3}$  were within experimental agreement with the prediction. Therefore, the model could be applied to all the *P. pastoris* Mut<sup>+</sup> strains as a starting point, provided that protein expression does not significantly change the growth properties of the strain. It had been reported that the recombinant protein expression could change the strain bioactivities and make the substrate metabolism much different from the host strain (Katakura et al., 1998; Wong et al., 1998). In this case, the model should be modified on the basis of the individual protein properties.

### $Y_{X/\text{MeOH,obs}}$ and $m_{\text{MeOH}}$ During Inhibited Growth

For fed-batch fermentations under inhibited growth ( $S > S_{\text{crit}}$ ), the effect of  $S$  on  $m_{\text{MeOH}}$  and the observed yield,  $Y_{X/\text{MeOH,obs}}$  4  $\mu/\eta_{\text{MeOH}}$ , were determined. The relationship between  $\eta$  and  $\mu$  during inhibited growth under quasi-steady state conditions was determined to be nonlinear, Fig. 4. It was observed that when  $S$  increased,  $Y_{X/\text{MeOH,obs}}$  decreased and  $m_{\text{MeOH}}$  increased. From Eq. (16), the following equation was derived for calculating  $Y_{X/\text{MeOH,obs}}$ :

$$Y_{X/\text{MeOH,obs}} = \mu/v = 1.19 - 0.010/(0.085 + \mu). \quad 25$$

The broken line A in Fig. 4 shows the predicted values of  $Y_{X/\text{MeOH,obs}}$  calculated by substitution of Eq. (14) into Eq. (25), and the broken line B is the  $m_{\text{MeOH}}$  obtained in limited cultures. It was observed that the  $Y_{X/\text{MeOH,obs}}$  was lower, while  $m_{\text{MeOH}}$  was higher compared to limited cultures when  $S > 10$  g/L, illustrating a lower efficiency for cell mass synthesis at higher  $S$ .

### Protein Production

A typical protein production time course during a fed-batch culture is presented in Fig. 5.  $\alpha$  reached a maximum after 12 h post-induction and remained constant or decreased slightly until the end of the quasi-steady state, which demonstrates that quasi-steady

state for  $a$  or  $r$  was not reached until BoNT/A(Hc) inside the cells reached a maximum. After  $X$  reached 400–450 g WCW/L,  $a$  started to decrease quickly, which confirmed the assumption that quasi-steady state exists within a certain range of  $X$ . The production

$$J_m = r_m \sim X_0 V_0! e^{\mu t} / \mu \mu_c. \quad (26)$$

For the second case, the system is defined by the limitation of the media, i.e., final cell mass. The media describe in this work will support growth up to 450 g WCW/L. The objective is to optimize conditions so that once cell mass reaches a maximum that all of the cells contain the maximum amount of product.  $J_m$  is achieved

when  $\mu = \mu_c$ :

$$J_m = \alpha_{mr} X_f V_f. \quad 27$$

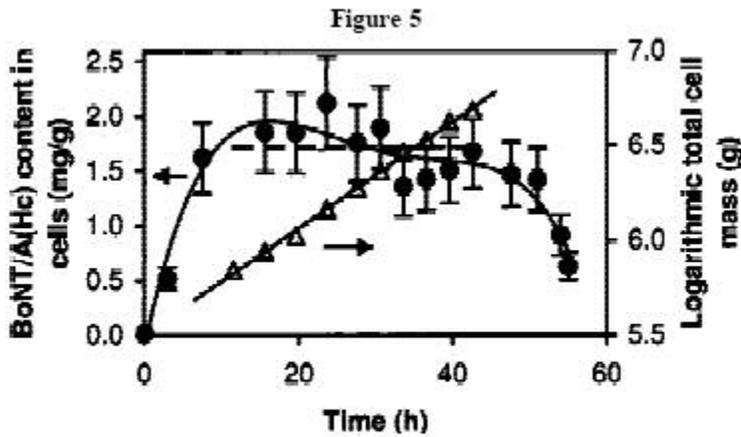


Figure 5 Typical time course of BoNT/A(Hc) production. Broken line average value of BoNT/A(Hc) content in cells during the quasi-steady state

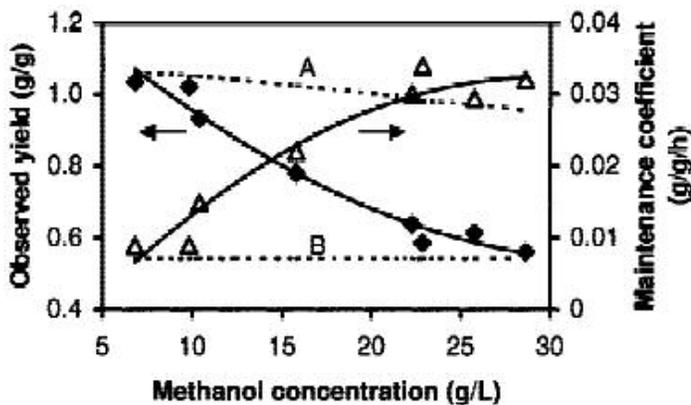


Figure 4 Effect of methanol concentration on observed yield of biomass and maintenance coefficient based on methanol in methanol-inhibited fermentations. (A) Observed yield calculated by substitution of Eq. 14 into Eq. 25. (B) Maintenance coefficient,  $m$  (0.0071), in the methanol-limited cultures.

level,  $a$ , shown in Fig. 6 is an average value ( $n = 4-10$ ) during this quasi-steady state, which is indicated by the linear increase in  $\ln(XV)$ , Fig. 5. The effect of methanol concentration on  $a$  is presented in Fig. 6, where a critical specific growth rate  $\mu_c = 0.0267 \text{ h}^{-1}$  (at  $S_1 = 0.34 \text{ g/L}$ ) produced a maximum BoNT/A(Hc) per cell mass,  $a_m$ , of 1.72 mg BoNT/A(Hc)/g WCW. Using Eq. (9),  $r$  was calculated for each  $\mu$  and is plotted in Fig. 6. The maximum specific product formation rate,  $r_m$ , is 0.082 mg/g WCW/h when  $\mu = 0.0709 \text{ h}^{-1}$  (at  $S_2 = 2.1 \text{ g/L}$ ). Imbalances of energy supply for growth and production is usually considered to be the reason that  $\mu$  affects  $a$  or  $r$  (Chim-Anage et al., 1991; Shioya, 1992). There are two strategies for optimizing BoNT/A(Hc) production depending on oxygen transfer capabilities. If the fermentation system has limited oxygen transfer capabilities the objective is to grow the cells to a pre-induction condition of  $X = X_0$ ,  $V = V_0$ , where  $J_0 = 0$  and  $t = 0$ . The culture is induced for as long as  $\mu = \mu_c = 0.0709 \text{ h}^{-1}$  ( $t_f > 12 \text{ h}$ ) is maintained. Integration of Eq. (4) based on these conditions results in an expression for  $J_m$ .

The constraints on the system for maximum production of BoNT/A(Hc) are an induction time of 12 h, final cell mass of 450 g/L and  $\mu = 0.04 \text{ h}^{-1}$  during induction. Using Eqs. 19 through 23, we developed a spreadsheet that models the entire fermentation process and calculates all of system parameters, such as length of glycerol fed-batch phase and induction phase on the basis of growth rates, starting and ending volume, and all media requirements. From the model, we determined that the maximum yield of BoNT/

A(Hc) is 0.77 g/L with a total fermentation time of 54 h. This assumes the glycerol batch phase is 20 h (inoculum is 10% of the initial fermentation volume with an  $OD_{600} = 4.6$ ) and that the fed-batch phase, which lasts 22 h, reaches a cell density of 410 g/L using a feed rate of 20 g/L/h (50% w/v glycerol) and results in a final cell mass of 450 g/L after a 12-h methanol induction at  $\mu_c$ .

## CONCLUSION

An unstructured growth model was developed for a Mut+ strain of *P. pastoris* expressing BoNT/A(Hc). The model describes the relationships between the specific growth rate and methanol concentration and between the specific growth rate and specific methanol and ammonium consumption rates. The maximum specific growth rate ( $\mu$ ) calculated from the model was  $0.08 \text{ h}^{-1}$  at a methanol concentration of 3.65 g/L, while the realized maximum  $\mu$  was  $0.0709 \text{ h}^{-1}$  and maximum specific methanol consumption rate was  $0.0682 \text{ g/g WCW/h}$ . When the effect of methanol concentration on specific growth rate was investigated, it was determined that above a methanol concentration of 3.65 g/L the cultured exhibited substrate inhibited and followed an uncompetitive inhibition model. The results from this study showed that there is an optimum growth rate for optimum product formation of BoNT/A(Hc). It was determined that  $a_m$  reached a maximum of 1.72 mg/g wet cell mass when  $\mu = 0.0267 \text{ h}^{-1}$ , and  $r_m = 0.082 \text{ mg/g WCW/h}$  when  $\mu = 0.0709 \text{ h}^{-1}$ . It is interesting to note that maximum intracellular product yield,  $a_m$ , was reached at approximately one-third of the maximum growth

rate. At this time, the authors realize that the optimum growth rate for BoNT/A(Hc) formation is probably protein specific. Studies are in progress to test this model for other intracellular and secreted products.

## Nomenclature

BSM	basal salts medium
$F$	methanol feed rate (g/h)
$J$	total produced product (mg)
$m$	maintenance coefficient (g/g/h)
$Q$	total consumed amount of substrate (g)
$S$	methanol concentration (g/L)
$S_{crit}$	$S$ to obtain $\mu_m'$ in Eq. (14) (g/L)
$t$	culture time (h)
$V$	broth volume (L)
$V_{MeOH}$	total consumed methanol (L)
$V_{NH_3}$	total consumed 28% ammonium (L)
WCW	wet cell weight (g)
$X$	cell density (g WCW/L)
$Y_{XS}$	observed yield of biomass to substrate (g/g)
$Y_{XS,t}$	true yield of biomass to substrate (g/g)

### Greek Symbols

$\alpha$	product content in cells (mg/g)
$\mu$	specific growth rate ( $h^{-1}$ )
$\mu_c$	specific growth rate obtaining $\alpha_m$
$\mu_m'$	maximum $\mu$ in Eq. (14) ( $h^{-1}$ )
$\nu$	specific substrate consumption rate (g/g/h)
$\rho$	specific product formation rate (mg/g/h)

### Subscripts

0	at initial time
f	at final time
m	maximum
MeOH	methanol
NH <sub>3</sub>	28% ammonium
obs	observed
t	true

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