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Measurement of the polar-angle distribution of leptons from W boson decay as a function of the W transverse momentum in $\bar{p}p$ collisions at $\sqrt{s}=1.8$ TeV

Darin Acosta

University of Florida, acosta@phys.ufl.edu

Kenneth A. Bloom

University of Nebraska-Lincoln, kenbloom@unl.edu

Collider Detector at Fermilab Collaboration

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Measurement of the polar-angle distribution of leptons from W boson decay as a function of the W transverse momentum in $p\bar{p}$ collisions at $\sqrt{s}=1.8$ TeV

D. Acosta,¹⁴ T. Affolder,⁷ M.G. Albrow,¹³ D. Ambrose,³⁶ D. Amidei,²⁷ K. Anikeev,²⁶ J. Antos,¹ G. Apollinari,¹³ T. Arisawa,⁵⁰ A. Artikov,¹¹ W. Ashmanskas,² F. Azfar,³⁴ P. Azzi-Bacchetta,³⁵ N. Bacchetta,³⁵ H. Bachacou,²⁴ W. Badgett,¹³ A. Barbaro-Galtieri,²⁴ V.E. Barnes,³⁹ B.A. Barnett,²¹ S. Baroian,⁵ M. Barone,¹⁵ G. Bauer,²⁶ F. Bedeschi,³⁷ S. Behari,²¹ S. Belforte,⁴⁷ W.H. Bell,¹⁷ G. Bellettini,³⁷ J. Bellinger,⁵¹ D. Benjamin,¹² A. Beretvas,¹³ A. Bhatti,⁴¹ M. Binkley,¹³ D. Bisello,³⁵ M. Bishai,¹³ R.E. Blair,² C. Blocker,⁴ K. Bloom,²⁷ B. Blumenfeld,²¹ A. Bocci,⁴¹ A. Bodek,⁴⁰ G. Bolla,³⁹ A. Bolshov,²⁶ D. Bortoletto,³⁹ J. Boudreau,³⁸ C. Bromberg,²⁸ E. Brubaker,²⁴ J. Budagov,¹¹ H.S. Budd,⁴⁰ K. Burkett,¹³ G. Busetto,³⁵ K.L. Byrum,² S. Cabrera,¹² M. Campbell,²⁷ W. Carithers,²⁴ D. Carlsmith,⁵¹ A. Castro,³ D. Cauz,⁴⁷ A. Cerri,²⁴ L. Cerrito,²⁰ J. Chapman,²⁷ C. Chen,³⁶ Y.C. Chen,¹ M. Chertok,⁵ G. Chiarelli,³⁷ G. Chlachidze,¹³ F. Chlebana,¹³ M.L. Chu,¹ J.Y. Chung,³² W.-H. Chung,⁵¹ Y.S. Chung,⁴⁰ C.I. Ciobanu,²⁰ A.G. Clark,¹⁶ M. Coca,⁴⁰ A. Connolly,²⁴ M. Convery,⁴¹ J. Conway,⁴³ M. Cordelli,¹⁵ J. Cranshaw,⁴⁵ R. Culbertson,¹³ D. Dagenhart,⁴ S. D'Auria,¹⁷ P. de Barbaro,⁴⁰ S. De Cecco,⁴² S. Dell'Agnello,¹⁵ M. Dell'Orso,³⁷ S. Demers,⁴⁰ L. Demortier,⁴¹ M. Deninno,³ D. De Pedis,⁴² P.F. Derwent,¹³ C. Dionisi,⁴² J.R. Dittmann,¹³ A. Dominguez,²⁴ S. Donati,³⁷ M. D'Onofrio,¹⁶ T. Dorigo,³⁵ N. Eddy,²⁰ R. Erbacher,¹³ D. Errede,²⁰ S. Errede,²⁰ R. Eusebi,⁴⁰ S. Farrington,¹⁷ R.G. Feild,⁵² J.P. Fernandez,³⁹ C. Ferretti,²⁷ R.D. Field,¹⁴ I. Fiori,³⁷ B. Flaughner,¹³ L.R. Flores-Castillo,³⁸ G.W. Foster,¹³ M. Franklin,¹⁸ J. Friedman,²⁶ I. Furic,²⁶ M. Gallinaro,⁴¹ M. Garcia-Sciveres,²⁴ A.F. Garfinkel,³⁹ C. Gay,⁵² D.W. Gerdes,²⁷ E. Gerstein,⁹ S. Giagu,⁴² P. Giannetti,³⁷ K. Giolo,³⁹ M. Giordani,⁴⁷ P. Giromini,¹⁵ V. Glagolev,¹¹ D. Glenzinski,¹³ M. Gold,³⁰ N. Goldschmidt,²⁷ J. Goldstein,³⁴ G. Gomez,⁸ M. Goncharov,⁴⁴ I. Gorelov,³⁰ A.T. Goshaw,¹² Y. Gotra,³⁸ K. Goulianos,⁴¹ A. Gresele,³ C. Grosso-Pilcher,¹⁰ M. Guenther,³⁹ J. Guimaraes da Costa,¹⁸ C. Haber,²⁴ S.R. Hahn,¹³ E. Halkiadakis,⁴⁰ R. Handler,⁵¹ F. Happacher,¹⁵ K. Hara,⁴⁸ R.M. Harris,¹³ F. Hartmann,²² K. Hatakeyama,⁴¹ J. Hauser,⁶ S.J. Haywood,⁵³ J. Heinrich,³⁶ M. Hennecke,²² M. Herndon,²¹ C. Hill,⁷ A. Hocker,⁴⁰ K.D. Hoffman,¹⁰ S. Hou,¹ B.T. Huffman,³⁴ R. Hughes,³² J. Huston,²⁸ C. Issever,⁷ J. Incandela,⁷ G. Introzzi,³⁷ M. Iori,⁴² A. Ivanov,⁴⁰ Y. Iwata,¹⁹ B. Iyutin,²⁶ E. James,¹³ M. Jones,³⁹ T. Kamon,⁴⁴ J. Kang,²⁷ M. Karagoz Unel,³¹ S. Kartal,¹³ H. Kasha,⁵² Y. Kato,³³ R.D. Kennedy,¹³ R. Kephart,¹³ B. Kilminster,⁴⁰ D.H. Kim,²³ H.S. Kim,²⁰ M.J. Kim,⁹ S.B. Kim,²³ S.H. Kim,⁴⁸ T.H. Kim,²⁶ Y.K. Kim,¹⁰ M. Kirby,¹² L. Kirsch,⁴ S. Klimenko,¹⁴ P. Koehn,³² K. Kondo,⁵⁰ J. Konigsberg,¹⁴ A. Korn,²⁶ A. Korytov,¹⁴ J. Kroll,³⁶ M. Kruse,¹² V. Krutelyov,⁴⁴ S.E. Kuhlmann,² N. Kuznetsova,¹³ A.T. Laasanen,³⁹ S. Lami,⁴¹ S. Lammel,¹³ J. Lancaster,¹² K. Lannon,³² M. Lancaster,²⁵ R. Lander,⁵ A. Lath,⁴³ G. Latino,³⁰ T. LeCompte,² Y. Le,²¹ J. Lee,⁴⁰ S.W. Lee,⁴⁴ N. Leonardo,²⁶ S. Leone,³⁷ J.D. Lewis,¹³ K. Li,⁵² C.S. Lin,¹³ M. Lindgren,⁶ T.M. Liss,²⁰ T. Liu,¹³ D.O. Litvintsev,¹³ N.S. Lockyer,³⁶ A. Loginov,²⁹ M. Loreti,³⁵ D. Lucchesi,³⁵ P. Lukens,¹³ L. Lyons,³⁴ J. Lys,²⁴ R. Madrak,¹⁸ K. Maeshima,¹³ P. Maksimovic,²¹ L. Malferrari,³ M. Mangano,³⁷ G. Manca,³⁴ M. Mariotti,³⁵ M. Martin,²¹ A. Martin,⁵² V. Martin,³¹ M. Martínez,¹³ P. Mazzanti,³ K.S. McFarland,⁴⁰ P. McIntyre,⁴⁴ M. Menguzzato,³⁵ A. Menzione,³⁷ P. Merkel,¹³ C. Mesropian,⁴¹ A. Meyer,¹³ T. Miao,¹³ R. Miller,²⁸ J.S. Miller,²⁷ S. Miscetti,¹⁵ G. Mitselmakher,¹⁴ N. Moggi,³ R. Moore,¹³ T. Moulik,³⁹ M. Mulhearn,²⁶ A. Mukherjee,¹³ T. Muller,²² A. Munar,³⁶ P. Murat,¹³ J. Nachtman,¹³ S. Nahn,⁵² I. Nakano,¹⁹ R. Napora,²¹ F. Niell,²⁷ C. Nelson,¹³ T. Nelson,¹³ C. Neu,³² M.S. Neubauer,²⁶ C. Newman-Holmes,¹³ T. Nigmanov,³⁸ L. Nodulman,² S.H. Oh,¹² Y.D. Oh,²³ T. Ohsugi,¹⁹ T. Okusawa,³³ W. Orejudos,²⁴ C. Pagliarone,³⁷ F. Palmonari,³⁷ R. Paoletti,³⁷ V. Papadimitriou,⁴⁵ J. Patrick,¹³ G. Pauletta,⁴⁷ M. Paulini,⁹ T. Pauly,³⁴ C. Paus,²⁶ D. Pellett,⁵ A. Penzo,⁴⁷ T.J. Phillips,¹² G. Piacentino,³⁷ J. Piedra,⁸ K.T. Pitts,²⁰ A. Pompos,³⁹ L. Pondrom,⁵¹ G. Pope,³⁸ T. Pratt,³⁴ F. Prokoshin,¹¹ J. Proudfoot,² F. Ptohos,¹⁵ O. Poukhov,¹¹ G. Punzi,³⁷ J. Rademacker,³⁴ A. Rakitine,²⁶ F. Ratnikov,⁴³ H. Ray,²⁷ A. Reichold,³⁴ P. Renton,³⁴ M. Rescigno,⁴² F. Rimondi,³ L. Ristori,³⁷ W.J. Robertson,¹² T. Rodrigo,⁸ S. Rolli,⁴⁹ L. Rosenson,²⁶ R. Roser,¹³ R. Rossin,³⁵ C. Rott,³⁹ A. Roy,³⁹ A. Ruiz,⁸ D. Ryan,⁴⁹ A. Safonov,⁵ R. St. Denis,¹⁷ W.K. Sakumoto,⁴⁰ D. Saltzberg,⁶ C. Sanchez,³² A. Sansoni,¹⁵ L. Santi,⁴⁷ S. Sarkar,⁴² P. Savard,⁴⁶ A. Savoy-Navarro,¹³ P. Schlabach,¹³ E.E. Schmidt,¹³ M.P. Schmidt,⁵² M. Schmitt,³¹ L. Scodellaro,³⁵ A. Scribano,³⁷ A. Sedov,³⁹ S. Seidel,³⁰ Y. Seiya,⁴⁸ A. Semenov,¹¹ F. Semeria,³ M.D. Shapiro,²⁴ P.F. Shepard,³⁸ T. Shibayama,⁴⁸ M. Shimojima,⁴⁸ M. Shochet,¹⁰ A. Sidoti,³⁵ A. Sill,⁴⁵ P. Sinervo,⁴⁶ A.J. Slaughter,⁵² K. Sliwa,⁴⁹ F.D. Snider,¹³ R. Snihur,²⁵ M. Spezziga,⁴⁵ F. Spinella,³⁷ M. Spiropulu,⁷ L. Spiegel,¹³ A. Stefanini,³⁷ J. Strogas,³⁰ D. Stuart,⁷ A. Sukhanov,¹⁴ K. Sumorok,²⁶ T. Suzuki,⁴⁸ R. Takashima,¹⁹ K. Takikawa,⁴⁸ M. Tanaka,² M. Tecchio,²⁷ R.J. Tesarek,¹³ P.K. Teng,¹ K. Terashi,⁴¹ S. Tether,²⁶ J. Thom,¹³ A.S. Thompson,¹⁷ E. Thomson,³² P. Tipton,⁴⁰ S. Tkaczyk,¹³ D. Toback,⁴⁴ K. Tollefson,²⁸ D. Tonelli,³⁷ M. Tönnemann,²⁸ H. Toyoda,³³ W. Trischuk,⁴⁶ J. Tseng,²⁶ D. Tsybychev,¹⁴ N. Turini,³⁷ F. Ukegawa,⁴⁸ T. Unverhau,¹⁷ T. Vaiciulis,⁴⁰ A. Varganov,²⁷ E. Vataga,³⁷ S. Vejcik III,¹³ G. Velev,¹³ G. Veramendi,²⁴ R. Vidal,¹³ I. Vila,⁸ R. Vilar,⁸ I. Volobouev,²⁴ M. von der Mey,⁶ R.G. Wagner,² R.L. Wagner,¹³ W. Wagner,²² Z. Wan,⁴³ C. Wang,¹² M.J. Wang,¹ S.M. Wang,¹⁴ B. Ward,¹⁷ S. Waschke,¹⁷ D. Waters,²⁵ T. Watts,⁴³ M. Weber,²⁴ W.C. Wester III,¹³ B. Whitehouse,⁴⁹ A.B. Wicklund,² E. Wicklund,¹³ H.H. Williams,³⁶ P. Wilson,¹³ B.L. Winer,³² S. Wolbers,¹³ M. Wolter,⁴⁹ S. Worm,⁴³ X. Wu,¹⁶ F. Würthwein,²⁶ U.K. Yang,¹⁰ W. Yao,²⁴ G.P. Yeh,¹³ K. Yi,²¹ J. Yoh,¹³ T. Yoshida,³³ I. Yu,²³ S. Yu,³⁶ J.C. Yun,¹³ L. Zanello,⁴² A. Zangeneh,⁴⁷ F. Zetti,²⁴ and S. Zucchelli³

(CDF Collaboration)

- ¹*Institute of Physics, Academia Sinica, Taipei, Taiwan 11529, Republic of China*
- ²*Argonne National Laboratory, Argonne, Illinois 60439, USA*
- ³*Istituto Nazionale di Fisica Nucleare, University of Bologna, I-40127 Bologna, Italy*
- ⁴*Brandeis University, Waltham, Massachusetts 02254, USA*
- ⁵*University of California at Davis, Davis, California 95616, USA*
- ⁶*University of California at Los Angeles, Los Angeles, California 90024, USA*
- ⁷*University of California at Santa Barbara, Santa Barbara, California 93106, USA*
- ⁸*Instituto de Fisica de Cantabria, CSIC-University of Cantabria, 39005 Santander, Spain*
- ⁹*Carnegie Mellon University, Pittsburgh, Pennsylvania 15213, USA*
- ¹⁰*Enrico Fermi Institute, University of Chicago, Chicago, Illinois 60637, USA*
- ¹¹*Joint Institute for Nuclear Research, RU-141980 Dubna, Russia*
- ¹²*Duke University, Durham, North Carolina 27708, USA*
- ¹³*Fermi National Accelerator Laboratory, Batavia, Illinois 60510, USA*
- ¹⁴*University of Florida, Gainesville, Florida 32611, USA*
- ¹⁵*Laboratori Nazionali di Frascati, Istituto Nazionale di Fisica Nucleare, I-00044 Frascati, Italy*
- ¹⁶*University of Geneva, CH-1211 Geneva 4, Switzerland*
- ¹⁷*Glasgow University, Glasgow G12 8QQ, United Kingdom*
- ¹⁸*Harvard University, Cambridge, Massachusetts 02138, USA*
- ¹⁹*Hiroshima University, Higashi-Hiroshima 724, Japan*
- ²⁰*University of Illinois, Urbana, Illinois 61801, USA*
- ²¹*The Johns Hopkins University, Baltimore, Maryland 21218, USA*
- ²²*Institut für Experimentelle Kernphysik, Universität Karlsruhe, 76128 Karlsruhe, Germany*
- ²³*Center for High Energy Physics: Kyungpook National University, Taegu 702-701; Seoul National University, Seoul 151-742; and SungKyunKwan University, Suwon 440-746; Korea*
- ²⁴*Ernest Orlando Lawrence Berkeley National Laboratory, Berkeley, California 94720, USA*
- ²⁵*University College London, London WC1E 6BT, United Kingdom*
- ²⁶*Massachusetts Institute of Technology, Cambridge, Massachusetts 02139, USA*
- ²⁷*University of Michigan, Ann Arbor, Michigan 48109, USA*
- ²⁸*Michigan State University, East Lansing, Michigan 48824, USA*
- ²⁹*Institution for Theoretical and Experimental Physics, ITEP, Moscow 117259, Russia*
- ³⁰*University of New Mexico, Albuquerque, New Mexico 87131, USA*
- ³¹*Northwestern University, Evanston, Illinois 60208, USA*
- ³²*The Ohio State University, Columbus, Ohio 43210, USA*
- ³³*Osaka City University, Osaka 588, Japan*
- ³⁴*University of Oxford, Oxford OX1 3RH, United Kingdom*
- ³⁵*Universita di Padova, Istituto Nazionale di Fisica Nucleare, Sezione di Padova, I-35131 Padova, Italy*
- ³⁶*University of Pennsylvania, Philadelphia, Pennsylvania 19104, USA*
- ³⁷*Istituto Nazionale di Fisica Nucleare, University and Scuola Normale Superiore of Pisa, I-56100 Pisa, Italy*
- ³⁸*University of Pittsburgh, Pittsburgh, Pennsylvania 15260, USA*
- ³⁹*Purdue University, West Lafayette, Indiana 47907, USA*
- ⁴⁰*University of Rochester, Rochester, New York 14627, USA*
- ⁴¹*Rockefeller University, New York, New York 10021, USA*
- ⁴²*Istituto Nazionale de Fisica Nucleare, Sezione di Roma, University di Roma I, "La Sapienza," I-00185 Roma, Italy*
- ⁴³*Rutgers University, Piscataway, New Jersey 08855, USA*
- ⁴⁴*Texas A&M University, College Station, Texas 77843, USA*
- ⁴⁵*Texas Tech University, Lubbock, Texas 79409, USA*
- ⁴⁶*Institute of Particle Physics, University of Toronto, Toronto M5S 1A7, Canada*
- ⁴⁷*Istituto Nazionale di Fisica Nucleare, University of Trieste/Udine, Italy*
- ⁴⁸*University of Tsukuba, Tsukuba, Ibaraki 305, Japan*
- ⁴⁹*Tufts University, Medford, Massachusetts 02155, USA*
- ⁵⁰*Waseda University, Tokyo 169, Japan*
- ⁵¹*University of Wisconsin, Madison, Wisconsin 53706, USA*
- ⁵²*Yale University, New Haven, Connecticut 06520, USA*
- ⁵³*CCLRC Rutherford Appleton Laboratory, Didcot OX11 0QX, United Kingdom*

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We present a measurement of the polar-angle distribution of leptons from W boson decay, as a function of the W transverse momentum. The measurement uses an $80 \pm 4 \text{ pb}^{-1}$ sample of $p\bar{p}$ collisions at $\sqrt{s} = 1.8 \text{ TeV}$ collected by the CDF detector and includes data from both the $W \rightarrow e + \nu$ and $W \rightarrow \mu + \nu$ decay channels. We fit the W boson transverse mass distribution to a set of templates from a Monte Carlo event generator and detector simulation in several ranges of the W transverse momentum. The measurement agrees with the standard model expectation, whereby the ratio of longitudinally to transversely polarized W bosons, in the Collins-Soper W rest frame, increases with the W transverse momentum at a rate of approximately 15% per 10 GeV/ c .

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I. INTRODUCTION

According to the standard model (SM), the polarization of W bosons in hadronic collisions produced at high transverse momentum (p_T^W) is strongly affected by initial-state gluon radiation and quark-gluon scattering. The leading-order diagrams in quantum chromodynamics (QCD) for high- p_T W production are shown in Fig. 1. The angular distribution of the leptons from the $W \rightarrow \ell + \nu$ decay reflects the changes in the W polarization. In the Collins-Soper W rest frame [1] the dependence of the cross section on the leptonic polar-angle can be parametrized as

$$\frac{d\sigma}{d\cos\theta_{CS}} \propto (1 - Q\alpha_1\cos\theta_{CS} + \alpha_2\cos^2\theta_{CS}), \quad (1)$$

where Q is the lepton charge. The effects of QCD contribute to the coefficients α_1 and α_2 , which are functions of p_T^W . Figure 2 shows the theoretical expectation for $\alpha_1(p_T^W)$ and $\alpha_2(p_T^W)$, neglecting a correction from sea quarks, calculated up to next-to-leading order (NLO) in QCD [2,3]. Sea quarks give an opposite sign contribution to the $\cos\theta_{CS}$ term when the W is produced by an antiquark in the proton and a quark in the antiproton, reducing the value of α_1 . Only in the limit $p_T^W \rightarrow 0 \text{ GeV}/c$, when $\alpha_1 = 2$ and $\alpha_2 = 1$, does Eq. (1) describe the distribution of leptons from a transversely polarized W boson: $d\sigma/d\cos\theta_{CS} \propto (1 - Q\cos\theta_{CS})^2$, which is typical of a pure $V-A$ interaction. As α_2 decreases, the contribution from longitudinally polarized W bosons increases and so does the probability for the decay lepton to be emitted at large polar angle. On the other hand, α_1 measures the forward-backward leptonic-decay asymmetry. Figure 2 indicates that the asymmetry is reduced at higher p_T^W .

Measuring the polarization state of the W as a function of its transverse momentum is a powerful test of the validity of QCD. Moreover, understanding how QCD corrections affect lepton angular distributions is important in the measurement of the W mass (M_W) and rapidity distributions in $p\bar{p}$ experiments. The lepton angular distribution changes the shape of the transverse mass distribution, which is used to measure M_W . The effect cannot be neglected even at modest values of the W transverse momentum (less than 15–20 GeV/ c , where the W mass is typically measured) as α_2 falls significantly within that range. It has been estimated that an overall shift of $\pm 1\%$ on α_2 corresponds to a change in the measured value of M_W , determined by fitting the transverse mass distribution, of approximately $\pm 10 \text{ MeV}/c^2$ [4]. This effect is only partially reduced in the measurement of the W boson mass by typically requiring low- p_T^W events ($p_T^W < 20 \text{ GeV}/c$) and by restricting the range of the transverse mass where the fit is to be performed to values greater than 65 GeV/ c^2 .

We present the measurement of α_2 at various W transverse momenta, using both the electron and muon channels. The sensitivity for a measurement of α_1 is too low, due to the fact that the sign of $\cos\theta_{CS}$ is undetermined without a full reconstruction of the kinematics of the neutrino from the W decay. Hence, the only sensitivity to α_1 comes from the correlation between the geometrical acceptance of the detector and the phase space of the observed events. The current best measurement of α_2 is reported in Ref. [3]. The results presented here reduce the uncertainty on α_2 by about 50% up to $p_T^W \sim 30 \text{ GeV}/c$, and are of comparable uncertainty at higher transverse momenta of the W .

For completeness, the cross section differential in the azimuthal and polar lepton angles can be expressed in the most general form as

$$\begin{aligned} \frac{d^4\sigma}{d(p_T^W)^2 dy d\cos\theta_{CS} d\phi_{CS}} = & \frac{3}{16\pi} \frac{d^2\sigma^{TOT}}{d(p_T^W)^2 dy} \left[(1 + \cos^2\theta_{CS}) + \frac{1}{2}A_0(1 - 3\cos^2\theta_{CS}) - QA_1\sin 2\theta_{CS}\cos\phi_{CS} \right. \\ & + \frac{1}{2}A_2\sin^2\theta_{CS}\cos 2\phi_{CS} + A_3\sin\theta_{CS}\cos\phi_{CS} - QA_4\cos\theta_{CS} + A_5\sin^2\theta_{CS}\sin 2\phi_{CS} \\ & \left. - QA_6\sin 2\theta_{CS}\sin\phi_{CS} + A_7\sin\theta_{CS}\sin\phi_{CS} \right], \end{aligned} \quad (2)$$

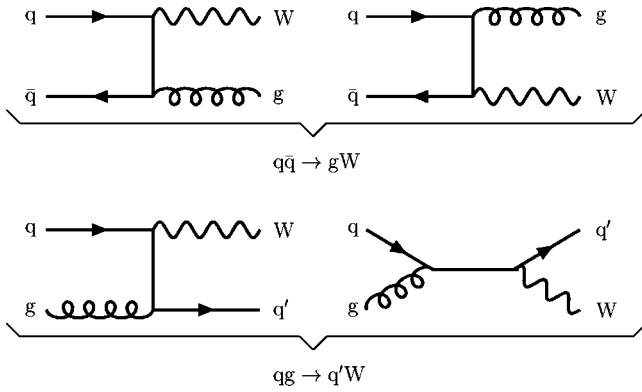


FIG. 1. The QCD leading-order processes that give rise to W production at high- p_T^W . In the top diagrams a gluon is radiated from one of the scattering quarks. In the bottom diagrams a quark-gluon scattering produces a W , together with a quark.

where y is the rapidity of the W boson, σ^{TOT} is the total (angle integrated) rate, and the A_i terms weight the relative contributions to the total cross section due to the different polarizations of the W boson. By integrating Eq. (2) over ϕ and comparing with Eq. (1) it follows that

$$\alpha_1 = \frac{2A_4}{2+A_0}, \quad \alpha_2 = \frac{2-3A_0}{2+A_0}, \quad (3)$$

which relates the α_1 and α_2 with the A_i coefficients. The A_i coefficients are explicitly calculated in Refs. [2,5].

This paper is structured as follows: Sections II and III describe the CDF detector and the W boson data sample. Sections IV and V outline the measurement method and detail the Monte Carlo event generator and detector simulation. Section V contains the estimate of the background to the W data sample, and Sec. VII summarizes the fits and the systematic uncertainties. The results and conclusions are presented in Sec. VIII.

II. THE COLLIDER DETECTOR AT FERMILAB (CDF)

A complete description of the CDF detector can be found elsewhere [6]. We describe here only the components relevant to this work. CDF uses a cylindrical coordinate system (r, ϕ, z) with the origin at the center of the detector and the z axis along the nominal direction of the proton beam. We define the polar angle θ as the angle measured with respect to the z axis and the pseudorapidity (η) as $\eta = -\ln[\tan(\theta/2)]$. A schematic drawing of one quadrant of the CDF detector is shown in Fig. 3.

A. Tracking

The CDF tracking system in run I consists of three tracking detectors: a silicon vertex detector (SVX'), a vertex time projection chamber (VTX), and an open-cell multiwire drift chamber (CTC). The tracking system is immersed in a 1.4 T solenoidal magnetic field aligned with the z axis. The silicon vertex detector [7] is a silicon microstrip detector that covers a region in radius from 2.86 to 7.87 cm. It is divided into two identical "barrels" which surround the beampipe on opposite

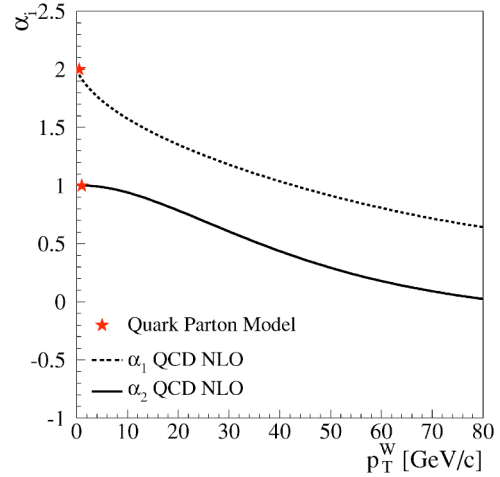


FIG. 2. Theoretical NLO-QCD calculation of α_2 and α_1 vs. p_T^W . The limit $p_T^W \rightarrow 0$ GeV/c is the quark parton model, for which $\alpha_2 = 1$ and $\alpha_1 = 2$.

sides of the $z=0$ plane. Each barrel consists of four radial layers of silicon strip detectors, and each layer is divided in azimuth into 30° wedges. The microstrips run parallel to the z direction so that the SVX' tracks particles in $r-\phi$. The VTX [8] is a set of 28 time projection chambers, each 9.4 cm in length, surrounding the SVX' detector. It provides the z position of the interaction point with a resolution of 1 to 2 mm. The CTC [9], which extends in radius from 28 to 138 cm and $|z| < 160$ cm, measures a three-dimensional track by providing up to 60 axial and 24 stereo position measurements. The basic drift cell has a line of 12 sense wires strung parallel to the z axis for axial measurements or 6 sense wires tilted $\pm 3^\circ$ in ϕ for stereo measurements. The set of all drift cells located at the same radius from the origin of the detector is called a superlayer.

In this analysis the CTC is used for the tracking and VTX and SVX' are only used to provide vertex information. The CTC track is constrained to point to the event vertex. The z location of the vertex is determined with the VTX, and the position in $r-\phi$ is determined from the beam line measured with the SVX'. The result of this procedure is a significant improvement in the CTC resolution. The momentum resolution of such tracks is $\sigma(p_T)/p_T = [(0.0009 p_T)^2 + (0.0066)^2]^{1/2}$ with p_T measured in units of GeV/c.

B. Calorimetry

The CDF calorimetry is provided by four different calorimeter systems with a nearly contiguous coverage out to $|\eta| = 4.2$. Three of the four systems have both electromagnetic (EM) and hadronic (HA) calorimetry. They are called "central" (CEM, CHA), "wall" (WHA), "plug" (PEM, PHA), and "forward" (FEM, FHA). The central and wall calorimeters are scintillator based, whereas the plug and forward calorimeters are a sandwich of proportional tube arrays with lead (PEM) or steel (PHA) absorber, and they are all segmented into towers which point back to the nominal interaction point.

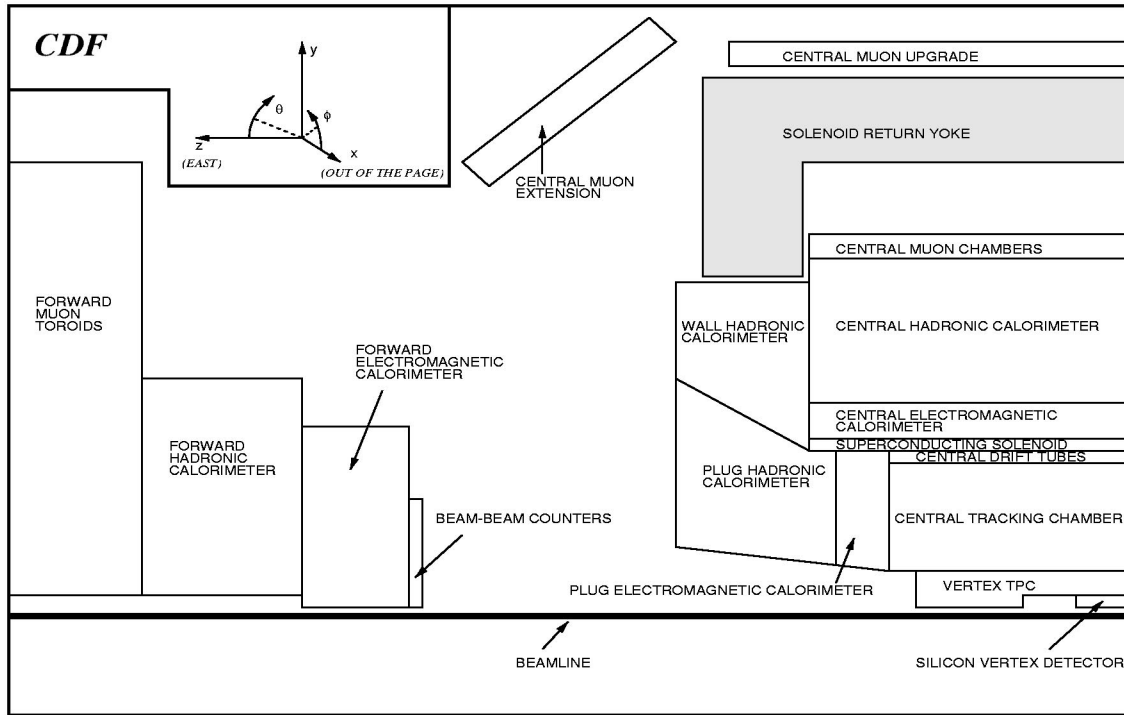


FIG. 3. One quarter of the CDF detector. The detector is symmetric about the interaction point. This is the configuration for run 1b.

The CEM [10] provides electron and photon energy measurements in the region $|\eta| < 1.1$ with resolution $\sigma_E/E = 13.5\%/\sqrt{E \sin \theta} \oplus 1.5\%$, where E is measured in units of GeV and \oplus indicates sum in quadrature. The CEM is physically separated into two halves, one covering $\eta > 0$ and one covering $\eta < 0$. Both halves are divided in azimuth into 24 wedges that subtend 15° each. Each wedge extends along the z axis for 246 cm and is divided into ten projective towers of approximately 0.1 units in η . The CEM is 18 radiation lengths thick and consists of 31 layers of plastic scintillator interleaved with 30 layers of lead sheets. A proportional chamber (CES) measures the electron shower position in the ϕ and z directions at a depth of ~ 6 radiation lengths in the CEM. The CES module in each wedge is a multiwire proportional chamber with 64 anode wires oriented parallel to the beam axis. The cathodes are segmented into 128 strips perpendicular to anode wires. An electron and photon shower typically spans several CES channels in each dimension. When CTC tracks made by electrons from W boson decays are extrapolated to the CES ($r \approx 184$ cm), the CTC extrapolation and the CES shower position match to 0.22 cm (rms) in azimuth and 0.46 cm (rms) in z . Both CES/CTC position matching and the CES shower shape are used as electron identification variables.

The PEM provides energy measurement in the range $1.1 < |\eta| < 2.4$ and the FEM covers $2.2 < |\eta| < 4.2$. The towers subtend approximately 0.1 in pseudorapidity by 5° in ϕ . Details of the plug and forward calorimeters can be found in Refs. [11,12].

All the calorimeters are used to measure missing transverse energy and the central electromagnetic calorimeter provides the energy measurement for the electrons in this analysis.

C. Muon systems

Three systems of scintillators and proportional chambers are used to identify muons in this analysis. A four-layer array of drift chambers, embedded in each wedge directly outside of the CHA, form the central muon detection system (CMU) [13,14]. The CMU covers the region $|\eta| < 0.6$ and measures a four-point trajectory (called a “stub”) with an accuracy of $250 \mu\text{m}$ per point in $r-\phi$. Charge division gives an accuracy of 1.2 mm per point in z . A 0.6-m-thick layer of steel separates the CMU from a second four-layer array of drift chambers (CMP). Requiring a muon to have a stub in the CMP reduces the background due to hadrons and in-flight decays by approximately a factor of ten. The CMU covers approximately 84% of the solid angle for $|\eta| < 0.6$, while 63% is covered by the CMP, and 53% by both. Additional four-layer muon chambers (CMX) with partial (70%) azimuthal coverage lie within $0.6 < |\eta| < 1.0$.

D. Trigger requirements

The CDF trigger [15] is a three-level system that selects events for recording to magnetic tape. The first two levels of the trigger consist of dedicated electronics. At level 1, electrons are selected by requiring the presence of deposited energy above 8 GeV in a trigger tower (one trigger tower is two physical towers, with a width in pseudorapidity of $\Delta\eta = 0.2$). Muons are selected by requiring the presence of a track stub in the CMU or CMX and, where there is coverage, a track stub in the CMP in coincidence with the CMU. The level 2 trigger starts after a level 1 trigger has accepted an event. Trigger towers in the calorimeters are combined into clusters of total or electromagnetic energy by a hardware cluster finder. Clusters and stubs are then matched to tracks

found in the CTC by the fast hardware tracking processor. The third-level trigger uses software based on optimized offline reconstruction code to analyze the whole event.

III. DATA SELECTION

The data presented here were collected by the CDF detector at the Tevatron collider between 1994 and 1995 (“run Ib”). The signature for a $W \rightarrow \ell + \nu$ event is a lepton with high transverse momentum and large missing transverse momentum in the event, due to the undetected neutrino. In the electron channel, we select candidate events with the primary lepton in the CEM. In the muon channel, the lepton candidate is required to have stubs in the CMU, CMP, or CMX. These conditions specify what is referred to here as the “central lepton” sample. Two samples of $Z \rightarrow e^+ + e^-$ and $Z \rightarrow \mu^+ + \mu^-$ are also used for tuning the simulation. The details of the trigger requirements can be found in Ref. [16]. The integrated luminosity is $80 \pm 4 \text{ pb}^{-1}$.

The missing transverse momentum is inferred from the energy imbalance in the event. For this purpose, a recoil-energy vector \vec{u} is defined as the vector sum of the transverse energies of all calorimeter towers (including both electromagnetic and hadronic, up to $|\eta| < 3.6$), except the ones identified as part of the electromagnetic clusters associated with the primary leptons:

$$\vec{u} = \sum_{i \text{ not } \ell} E_i \sin \theta_i \hat{n}_i, \quad (4)$$

where \hat{n}_i is a transverse unit vector pointing to the center of each tower and $\sin \theta_i$ is computed using the z vertex closest to the electron track, or using the electron track z_0 if there is no z vertex within 5 cm of the electron track. The vector \vec{u} is a measure of the calorimeter’s response to jets and particles recoiling against the W . Thus, the missing transverse energy (identified with the transverse momentum of the neutrino) is derived as $\vec{E}_T = -(\vec{P}_T^\ell + \vec{u})$, where \vec{P}_T^ℓ denotes the muon transverse momentum (p_T) or the electron transverse energy (E_T). The modulus (u) of the recoil vector is an estimator of the W boson transverse momentum and it is used to select different ranges of the W boost.

The analysis uses the transverse mass (M_T), which is analogous to the invariant mass except only the transverse components of the four-momenta are used. M_T is determined from the data as

$$M_T = \sqrt{2P_T^\ell E_T (1 - \cos \Delta\phi^{\ell\nu})}, \quad (5)$$

where $\Delta\phi^{\ell\nu}$ is the angle in the r - ϕ plane between the transverse momentum of the lepton and the missing energy.

Several selection criteria are chosen to isolate a sample of well measured electrons and muons and reduce the backgrounds.

For the $W \rightarrow e + \nu$ sample the selection begins with 105 073 candidate events that pass the level-3 trigger and have an electromagnetic cluster with $E_T > 20 \text{ GeV}$ and an associated track with $p_T > 13 \text{ GeV}/c$. We then select elec-

trons with $E_T > 25 \text{ GeV}$ and with the p_T of the associated track greater than $15 \text{ GeV}/c$. Events are accepted only if $E_T > 25 \text{ GeV}$. We require a well measured track (crossing all eight superlayers of the CTC and with more than 12 stereo hits attached). To exploit the projective geometry of the CDF detector, the event vertex reconstructed with the VTX is selected to be within 60 cm in z from the origin of the detector coordinates. Fiducial requirements are applied to ensure that candidates are selected in regions of well understood efficiency and performance of the detector. To remove Z -boson events from the W sample a search is made for a partner electron in the central (CEM), plug (PEM), or forward (FEM) calorimeter. Partner electrons are sought with cluster transverse energies greater than 20 GeV, 15 GeV, and 10 GeV in the CEM, PEM, and FEM, respectively. Tracks with transverse momentum greater than $10 \text{ GeV}/c$ and opposite sign to the primary electron are also considered. The event is rejected if the invariant mass of the primary electron with the partner electron exceeds $60 \text{ GeV}/c^2$. The event is also rejected if the partner electron is pointing to any nonfiducial volume of the calorimeter, as this may cause the cluster’s energy to be mismeasured and consequently cause the invariant mass rejection to fail.

In order to improve electron identification, additional variables are used. They are the ratio of the hadronic to electromagnetic deposited energies ($E_{had}/E_{em} < 0.1$), the match between the extrapolated track and the measured position at the CES ($\Delta z_{CES} < 5 \text{ cm}$), the transverse CES shower shape [17], and the track isolation ($ISO_{0.25} < 1 \text{ GeV}/c$). The track isolation variable ISO_R is defined as the total transverse momentum from tracks (unconstrained by the vertex position) of $p_T > 1 \text{ GeV}/c$, that lie within a cone of semiopening $R = \sqrt{(\Delta\eta)^2 + (\Delta\phi)^2}$ centered on the lepton track and within 5 cm of the lepton z vertex.

For the $W \rightarrow \mu + \nu$ sample the selection begins with 60 607 candidate events that pass the level-3 trigger and have a track with $p_T > 18 \text{ GeV}/c$, matched with a muon stub. We then select events where the muon p_T and the E_T are greater than 25 GeV. The quality requirements on the tracks are the same as for the electrons. In addition, there are requirements on the impact parameter of the track ($|d_0| < 0.2 \text{ cm}$) and on the opening angle ($> 10^\circ$) with any second high- p_T track to remove cosmic rays. The muon identification is based on the presence of track stubs in the muon systems and on the deposited energy of the candidates in the calorimeters. The deposited energy associated with the muon candidate is required to be less than 2 GeV in the CEM and 6 GeV in the CHA. Furthermore, we require that the CTC track, extrapolated at the center of the muon chambers, and the track stub reconstructed in the muon systems match to within 2 cm in the CMU or 5 cm in the CMP and CMX. The track isolation cut has not been applied to muon candidates since the muon sample is smaller in size and we have preferred a looser selection. The Z removal rejects events where there is a second highest- p_T ($> 10 \text{ GeV}/c$) track in the CTC, of opposite sign to the μ candidate and back-to-back in space (within 10°), that has an invariant mass with the μ candidate greater

TABLE I. Set of requirements applied to select the $W \rightarrow e + \nu$ data sample.

| Criterion | W events after requirements |
|-----------------------------|-------------------------------|
| Initial sample | 105 073 |
| Fiducial requirements | 75 135 |
| Good electron track | 68 337 |
| $E_T^e > 25$ GeV | 64 254 |
| $E_T^\nu > 25$ GeV | 54 409 |
| $u < 100$ GeV | 54 300 |
| $p_T^e > 15$ GeV/ c | 52 573 |
| $M_T = 50 - 100$ GeV/ c^2 | 51 077 |
| Electron ID | 42 882 |
| Z removal | 41 730 |
| $u < 10$ GeV | 31 363 |
| $10 < u < 20$ GeV | 7739 |
| $20 < u < 35$ GeV | 2033 |
| $35 < u < 100$ GeV | 595 |

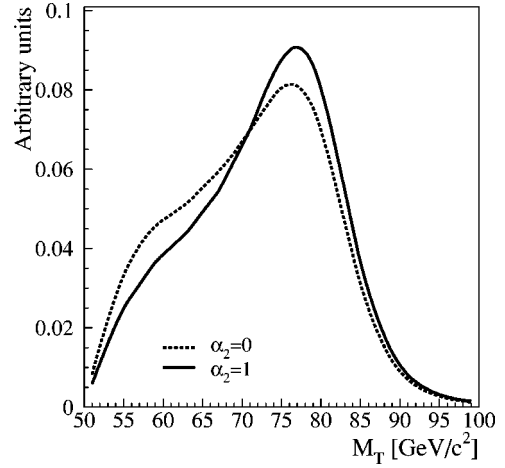
than 50 GeV/ c^2 . There is no significant bias due to the triggers on the transverse mass distribution of the W samples.

The Z samples are selected with the same W selection criteria, except the E_T is replaced with a partner high- p_T lepton, and the Z removal requirements are not applied. Moreover, the sample of $Z \rightarrow e^+ + e^-$ used for the tuning of the simulation has two CEM electrons, both passing electron ID cuts. This choice removes almost all of the QCD background.

A summary of the selection requirements and the number of surviving events is shown in Tables I (electrons) and II (muons). The accepted samples consists of 22 235 $W \rightarrow \mu + \nu$ candidates and 41 730 $W \rightarrow e + \nu$ candidates, divided in four recoil ranges.

TABLE II. Set of requirements applied to select the $W \rightarrow \mu + \nu$ data sample.

| Criterion | W events after requirements |
|---|-------------------------------|
| Initial sample | 60 607 |
| $E_T^{CEM} < 2$ GeV and $E_T^{CHA} < 6$ GeV | 56 489 |
| Not a cosmic candidate | 42 296 |
| Impact parameter $d_0 < 0.2$ cm | 37 310 |
| Track-muon stub match | 36 596 |
| Good muon track | 33 887 |
| $p_T > 25$ GeV/ c | 29 146 |
| $E_T^\nu > 25$ GeV | 25 575 |
| $u < 70$ GeV | 25 493 |
| Z removal | 22 877 |
| $M_T = 50 - 100$ GeV/ c^2 | 22 235 |
| $u < 7.5$ GeV | 13 813 |
| $7.5 < u < 15$ GeV | 5910 |
| $15 < u < 30$ GeV | 2088 |
| $30 < u < 70$ GeV | 424 |

FIG. 4. Example of the sensitivity of the M_T distribution to α_2 . Here α_2 has been set to 0 and 1, and p_T^W is less than 20 GeV/ c .

IV. MEASUREMENT METHOD

Ideally one would like to fit the distribution of $\cos \theta_{CS}$ for the coefficients α_1 and α_2 of Eq. (1). However, since the neutrino coming from the W decay is undetected, the kinematics of the decay are not completely reconstructed and it is not possible to perform a boost into the W rest frame and uniquely determine $\cos \theta_{CS}$. The finite width of the W boson makes it difficult to solve the equations of the W two-body decay. Even if the mass of the W were known on an event by event basis and the detector had perfect resolution, the unknown longitudinal component of the neutrino momentum would leave a sign ambiguity in determining $\cos \theta_{CS}$.

This measurement therefore exploits the relationship between the transverse mass of the W and the lepton polar angle on a statistical basis, i.e., by using the shape of the M_T distribution. A similar technique has been successfully applied in Ref. [3] to measure α_2 from $W \rightarrow e + \nu$ decays. Figure 4 shows an example of how the distribution of the transverse mass of the W changes with different values of α_2 . Also, since M_T does not contain any information on the longitudinal boost of the W boson, it is affected by α_1 (the forward-backward lepton decay asymmetry term) only through residual effects of the geometrical acceptance of the detector.

The parameter α_2 is determined by fitting the M_T distribution to a set of Monte Carlo generated templates, each with a different value of α_2 . A binned log-likelihood method is applied to find the best estimate for α_2 . The procedure is repeated selecting different regions of the transverse momentum of the W boson.

V. MONTE CARLO SIMULATION OF W PRODUCTION AND DECAY

A fast Monte Carlo (MC) generator and a parametrization of the detector response have been used in this analysis to simulate W events at CDF [16]. The event generator is based on a leading order calculation of W production and leptonic decay in quark-antiquark annihilation, including final state QED radiation [18–21]. The distribution of momenta of the

quarks is based on the MRS-R2 [22] set of parton distribution functions (PDFs). The generated W boson is then Lorentz-boosted, in the center-of-mass frame of the quark-antiquark pair, to a specific transverse momentum p_T^W . This measurement uses a broad range of p_T^W , including events at low p_T^W , where theoretical calculations are not reliable. The spectrum of p_T^W as a function of the W boson rapidity is therefore derived from p_T^Z (the p_T of a Z boson – determined experimentally from $Z \rightarrow e^+ + e^-$, $\mu^+ + \mu^-$ events) after correcting it by the theoretical prediction for p_T^W/p_T^Z . There is no physics simulation of the recoiling jets; instead we model directly the detector response to the recoil against a W boson. The parametrization of the detector response and the modeling of the W boson recoil up to 20 GeV/ c is described in detail in Ref. [16]. We have tuned the parameters of the model to describe the range of p_T^W up to 100 GeV/ c . Overall, the MC tuning performed for this analysis involves:

- (a) the effects of QCD on the lepton angular distribution,
- (b) the parametrization of the Z transverse momentum spectrum, up to $p_T^Z = 100$ GeV/ c , and
- (c) the detector response to the recoil against high- p_T Z and W bosons.

A. Effects of QCD on the lepton angular distribution

The QCD effects on the lepton angular distribution are implemented with an event weighting procedure in the simulation. Leptons from W decays, generated with a tree-level quark-antiquark annihilation, have a purely $V-A$ angular spectrum with a very small distortion due to the final state photon emission. Therefore, events are first unweighted by $1/(1 - Q \cos \theta)^2$, where θ is the lepton polar angle in the parton frame and Q is the lepton charge. This effectively factors out any small distortion of the spectrum with respect to a parabola. Events are then assigned the appropriate weight (w_{QCD}), where w_{QCD} is defined as a function of the lepton angles (θ_{CS} , ϕ_{CS}) in the Collins-Soper W boson rest frame:

$$\begin{aligned}
 w_{QCD}(\theta_{CS}, \phi_{CS}) = & 1 + \cos^2 \theta_{CS} + \frac{1}{2} A_0 (1 - 3 \cos^2 \theta_{CS}) \\
 & + \frac{1}{2} A_2 \sin^2 \theta_{CS} \cos 2 \phi_{CS} \\
 & + A_3 \sin \theta_{CS} \cos \phi_{CS} - Q A_4 \cos \theta_{CS}.
 \end{aligned} \tag{6}$$

Equation (6) describes the angular modulation induced by the effects of QCD as expressed also in Eq. (2), except for the terms with $A_{1,5,6,7}$; here they are set to zero, corresponding to the standard model prediction in the accessible p_T^W range. The coefficients A_2 and A_3 are kept in the angular distribution and assigned the SM dependence with p_T^W , calculated in Ref. [2]. Notice that the angular coefficients to A_2 and A_3 cancel out when integrating analytically over ϕ_{CS}

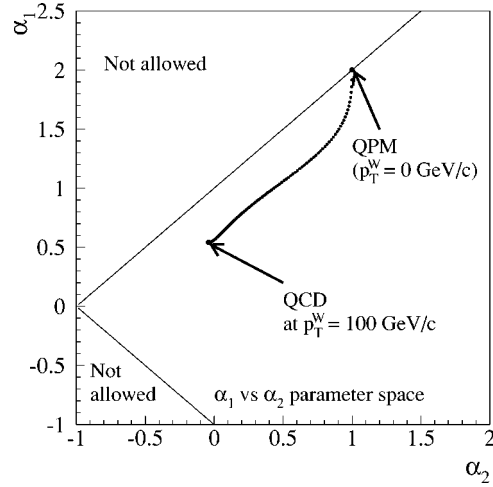


FIG. 5. The α_1 vs α_2 parameter space. The regions marked with “not allowed” are where the combination of α_2 and α_1 gives unphysical negative weights to the differential cross section. The dotted line shows the values of α_1 and α_2 at different p_T^W between 0 and 100 GeV/ c .

between 0 and 2π . Nevertheless, detector acceptance effects introduce a small residual dependence in the polar-angle spectrum.

In Eq. (6), w_{QCD} can take negative values if A_0 and A_4 (or, equivalently, α_2 and α_1) are varied independently in the procedure of fitting for the best parameters. Figure 5 shows the allowed parameter space for α_2 and α_1 . The diagonals in the plot correspond to the requirement:

$$(1 + \alpha_2 \cos^2 \theta_{CS} \pm \alpha_1 \cos \theta_{CS}) \geq 0, \tag{7}$$

for $\cos \theta_{CS} = \pm 1$. The point $(\alpha_1, \alpha_2) = (2, 1)$ is the quark parton model (QPM) limit in the case that the sea quark contribution is neglected, and it has a vanishing cross section at $\theta_{CS} = \pm 180^\circ$, as described by the $V-A$ lepton angular distribution. The dotted line is the relationship between α_2 and α_1 (at different p_T^W up to 100 GeV/ c), expected from the SM including QCD corrections. To prevent w_{QCD} from taking negative values, α_1 and α_2 are varied only within the allowed region. Note that the sea quark contribution to α_1 is correctly taken into account in the Monte Carlo simulation.

Because this is an event-weighting procedure, it does not correspond to the inclusion of QCD corrections to the generated events: the large- p_T^W W events still have to be introduced by hand, by imposing a transverse momentum distribution.

B. Z transverse momentum spectrum

Prior to the determination of the Z transverse momentum distribution, the Monte Carlo simulation is tuned and checked against the $Z \rightarrow e^+ + e^-$ and $Z \rightarrow \mu^+ + \mu^-$ invariant mass distributions from the data. In the electron channel, the Monte Carlo simulation reproduces the data with an input Z mass equal to the world average [23] within a scale factor of 1.0002 ± 0.0009 , consistent with Ref. [16]. In the parametrization of the energy resolution of the CEM:

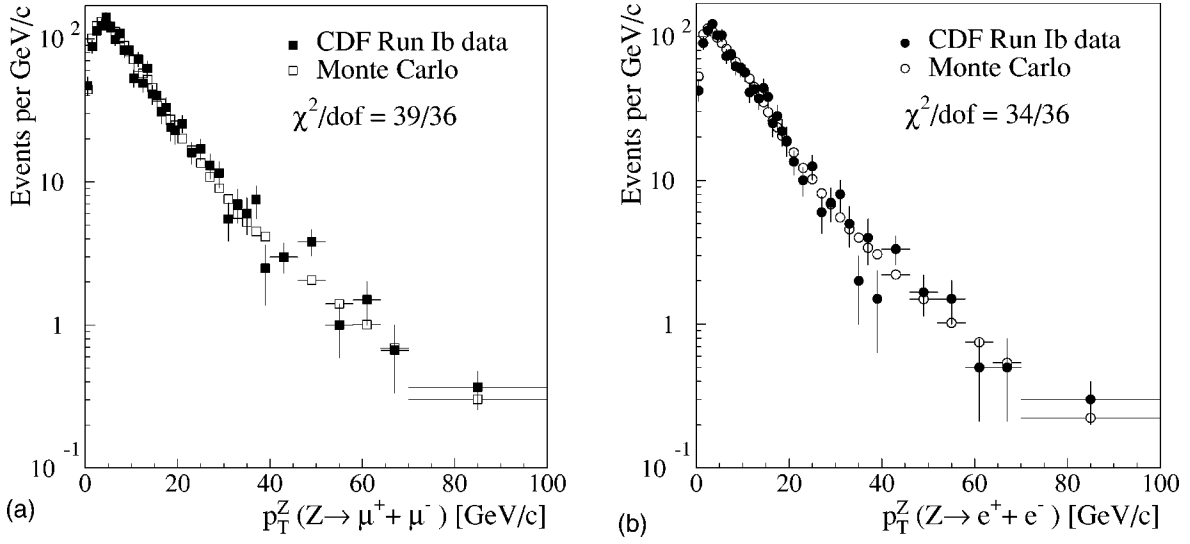


FIG. 6. Distributions of p_T^Z from $Z \rightarrow \mu^+ + \mu^-$ data (a) and $Z \rightarrow e^+ + e^-$ data (b) compared with the simulation.

$$\frac{\sigma_E}{E} = \frac{13.5\%}{\sqrt{E_T}} \oplus \kappa, \quad (8)$$

we use $\kappa = (1.23 \pm 0.26)\%$. The κ term accounts for residual gain variations not corrected by the calibration procedure and is obtained from a fit to the Z invariant mass peak.

There is a small nonlinearity correction to extrapolate the energy-scale corrections from electrons at the Z pole to the energies typical of a W decay. The average E_T for electrons coming from Z decay is about 4.5 GeV higher than the E_T for W decay. The nonlinearity over a small range of energies can be expressed with a slope as

$$S_E(W) = S_E(Z) \cdot [1 + \xi \Delta E_T], \quad (9)$$

where $S_E(Z)$ is the measured scale at the Z pole, ξ is the nonlinearity factor, and ΔE_T is the difference in the average E_T between Z and W electrons. The estimate of ξ is derived by looking at E/p distributions from the W data and comparing them to the Monte Carlo simulation in separate regions of E_T . We estimate ξ to be

$$\xi = -0.00027 \pm 0.00005(\text{stat}) \text{ GeV}^{-1}. \quad (10)$$

For muons, we use a momentum resolution of

$$\sigma(1/p_T) = (0.097 \pm 0.005) \times 10^{-2} \text{ (GeV/c)}^{-1}, \quad (11)$$

and the reconstructed Z mass peaks in the data and MC match with a ratio of central values of 1.0008 ± 0.0011 . With these inputs, the Monte Carlo simulation reproduces correctly the peak position and width of the invariant mass distribution of electron and muon pairs from Z bosons.

Since the QCD corrections to Z production are not included in the Monte Carlo simulation, the transverse momentum of the Z bosons needs to be determined from data. The p_T^Z distribution is generated in the Monte Carlo simulation using the following *ad hoc* four-parameter functional form:

$$f(p_T^Z) = \frac{x^{P_4}}{\Gamma(P_4 + 1)} [(1 - P_1) P_2^{P_4 + 1} e^{-P_2 x} + P_1 P_3^{P_4 + 1} e^{-P_3 x}], \quad x = p_T^Z / (50.0 \text{ GeV/c}). \quad (12)$$

The parameters P_1, \dots, P_4 are determined from a fit to the observed p_T^Z distribution and then corrected to account for the difference between the *observed* and the *generated* p_T^Z spectrum. Since the difference between the two spectra is very small, the unfolding of the effect of the reconstruction is obtained by considering the ratio between them, as predicted by the detector simulation. We determine the p_T^Z distribution using separately $Z \rightarrow \mu^+ + \mu^-$ and $Z \rightarrow e^+ + e^-$ data, and the average is used as the p_T^Z spectrum that is input to the Monte Carlo simulation. The uncertainty on the average is used to evaluate the systematic uncertainty due to the transverse momentum spectrum determination. Figure 6 shows the p_T^Z distribution of $Z \rightarrow \mu^+ + \mu^-$ and $Z \rightarrow e^+ + e^-$ data. The p_T^Z spectra are compared with the simulation where the parameters have been fit to the data. There is a good agreement between data and Monte Carlo simulation and the χ^2 values, normalized per degree of freedom, are very close to 1.

C. Detector response to the recoil against high- p_T Z and W bosons

An estimate of the W boost in the transverse plane comes from the measurement of the calorimeter response to jets and particles recoiling against the W . The definition of the recoil-energy vector \vec{u} is given in Eq. (4). The modeling of \vec{u} in terms of the W boson transverse momentum is called the “recoil model” and it is implemented in the Monte Carlo simulation of the event. The recoil model is derived using the observed recoil against Z bosons, whose kinematics are completely determined by the two leptons. The assumption is made that the recoil against Z bosons can be extended to model W events, since the W and Z bosons share a common

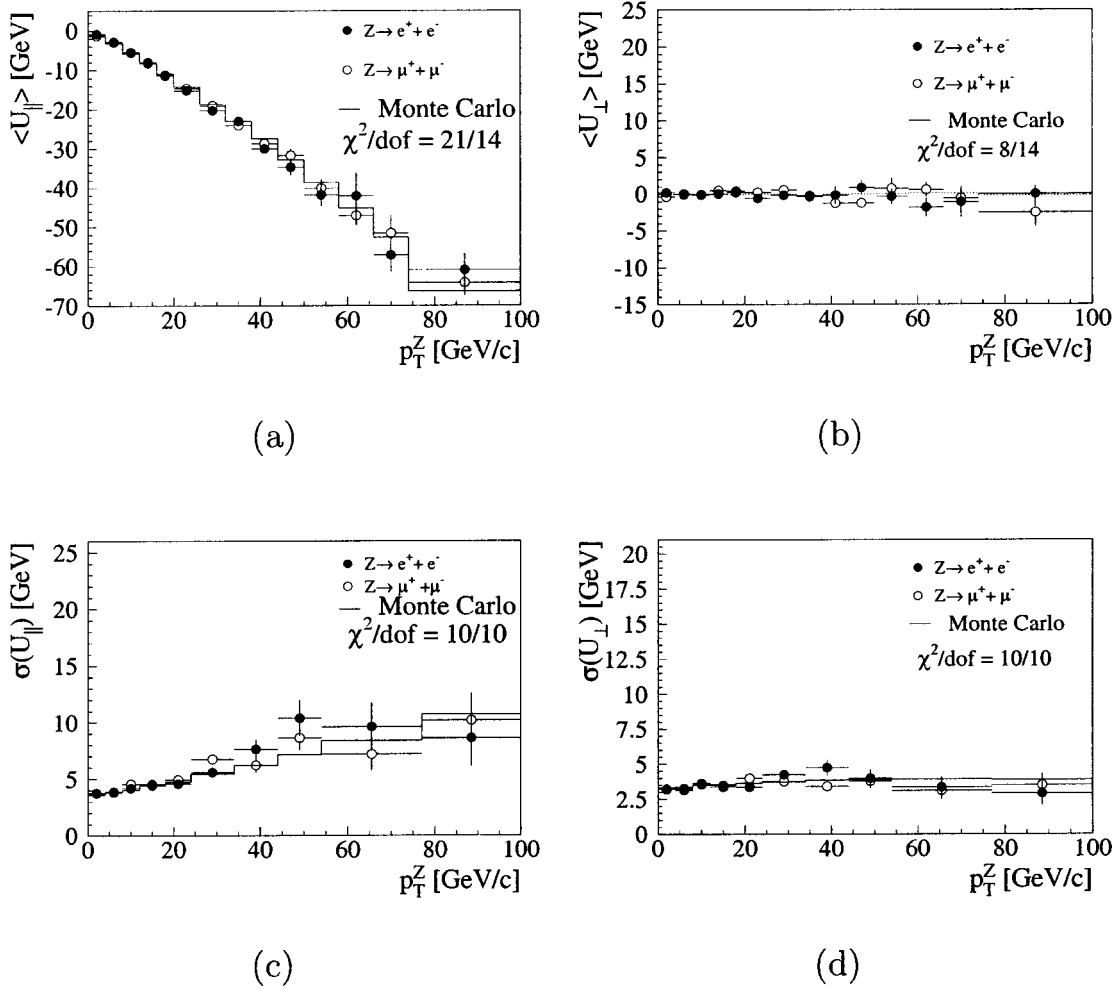


FIG. 7. (a) and (b) Comparison of the data with the simulation for the recoil response components u_{\parallel} and u_{\perp} versus p_T^Z . (c) and (d) the resolutions $\sigma(u_{\parallel})$ and $\sigma(u_{\perp})$ versus p_T^Z .

production mechanism and are close in mass. We summarize below the key elements of the recoil model and show how the simulation describes the data after fitting the model's parameters to the high- p_T Z boson data.

1. Recoil model

The direction of \vec{p}_T^Z measured from the reconstructed decay leptons and the perpendicular to it form a base in the r - ϕ plane on which the recoil vector \vec{u} can be projected: $\vec{u} = (u_{\parallel}, u_{\perp})$. The values of u_{\parallel} and u_{\perp} are functions of p_T^Z (addressed here as “response functions”) with a certain smearing. The smearings are to a good approximation Gaussian distributions [4], so that u_{\parallel} and u_{\perp} can be parametrized as Gaussians with variable mean and width:

$$\begin{pmatrix} u_{\parallel} \\ u_{\perp} \end{pmatrix} = \begin{pmatrix} G[f_{\parallel}(p_T^Z), \sigma_{\parallel}(p_T^Z)] \\ G[f_{\perp}(p_T^Z), \sigma_{\perp}(p_T^Z)] \end{pmatrix}. \quad (13)$$

2. Response functions

The response function f_{\parallel} is well described by a second order polynomial in the Z transverse momentum measured

from the reconstruction of the decay leptons. The parameters for $f_{\parallel}(p_T^Z)$ are obtained from a fit to $Z \rightarrow e^+ + e^-$ and $Z \rightarrow \mu^+ + \mu^-$ data and the function is corrected for a small difference between the true p_T^Z and the observed p_T^Z —which is measured from the two leptons' momentum vectors—to feed the correct parameters to the simulation. Figure 7(a) shows the average of u_{\parallel} , which is the response function for the parallel component, together with the simulation after fitting for the parameters of f_{\parallel} . u_{\parallel} is on average smaller than p_T^Z , due to the gaps in the calorimeter and inefficiency in the reconstruction of the total energy deposited. Nonetheless, measuring u_{\parallel} provides an estimate of p_T^Z (or ultimately p_T^W).

The response function $f_{\perp}(p_T^Z)$ is consistent with zero within the statistical uncertainty, as expected since u_{\perp} is the recoil projection perpendicular to p_T^Z . The average of u_{\perp} is shown in Fig. 7(b).

3. Resolutions

The resolution of the recoil vector components depends on the underlying event and the jet activity, in addition to the calorimeter resolution. σ_{\parallel} and σ_{\perp} are parametrized in the form

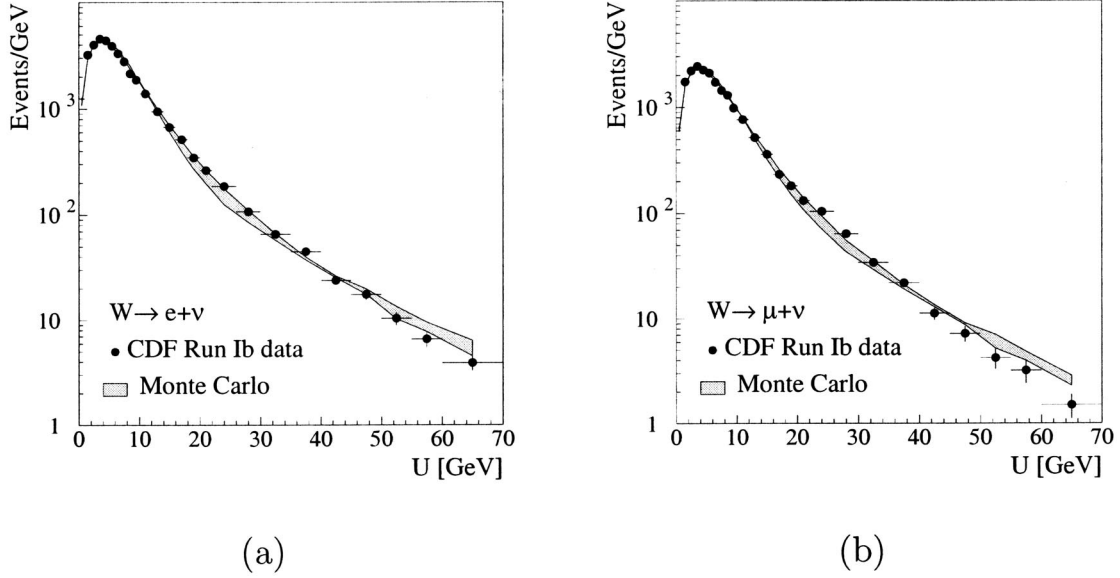


FIG. 8. Distribution of the recoil against the W boson compared with the simulation in $W \rightarrow e + \nu$ data (a) and $W \rightarrow \mu + \nu$ data (b).

$$\begin{pmatrix} \sigma_{\parallel} \\ \sigma_{\perp} \end{pmatrix} = \sigma_{mbs} \left(\sum E_T \right) \times \begin{pmatrix} P_{2,\parallel}(p_T^Z) \\ P_{2,\perp}(p_T^Z) \end{pmatrix}, \quad (14)$$

where $P_{2,\parallel}$ and $P_{2,\perp}$ are second order polynomials in p_T^Z , whereas σ_{mbs} contains the underlying event contribution and is modeled by minimum bias events. In Eq. (14), σ_{mbs} is expressed as a function of the total transverse energy $\sum E_T$, defined as the scalar sum of tower transverse energies:

$$\sum E_T = \sum_{i \text{ not } \ell^\pm} E_i \sin \theta_i. \quad (15)$$

$\sum E_T$ is a measure of the total transverse energy in the event from all sources, excluding the primary lepton. The functional dependence of σ_{mbs} versus $\sum E_T$ is calculated in Ref. [16]. The explicit p_T^Z dependence in the polynomials is derived here from Z data, using both electrons and muons. The parameters are then corrected for the dependence of the observed p_T^Z versus the true p_T^Z , as done for the response functions. Figures 7(c) and 7(d) show the resolution of u_{\parallel} and u_{\perp} . The resolution $\sigma(u_{\parallel})$ worsens at higher p_T^Z , due to increased jet activity in the event. The agreements between data and Monte Carlo simulation are good in all the plots and the χ^2 's normalized per degree of freedom are close to 1.

D. W transverse momentum distribution

To turn the p_T^Z distribution into a p_T^W distribution, the simulation applies two weighting functions. The first allows for the fact that the p_T^Z distribution [as in Eq. (12)] is derived with a fit performed to data averaged over all rapidity values (with mean $|y|=0.3$). However, W events need to be generated differentially in both p_T and y . This weighting function is taken from a theoretical calculation of $(d^2\sigma/dp_T dy)/(d\sigma/dp_T)_y$ [16].

The second weighting function turns the p_T^Z distribution, generated with both p_T and y dependence, into a distribution for the transverse momentum of the W boson. This is obtained from the theoretical calculation of $d^2\sigma/dy dp_T|_W/d^2\sigma/dy dp_T|_Z$ [24–27]. Resummed calculations are used for correcting the difference between the W and the Z p_T distributions. The ratio is between 0.9 and 1.0 over the p_T range of interest. Since this is a ratio, the uncertainty is expected to be small because of cancellation of systematics. Indeed, by varying the PDF, α_s , or the type of calculation, the resulting uncertainty in p_T^W is small in comparison to the uncertainty arising from the statistics of the Z sample used to define the distribution [28–31].

Although due to the undetected neutrino we cannot compare directly the p_T^W spectrum in the simulation with the data, Fig. 8 shows a comparison of the recoil against the W in the electron and muon channel. The recoil includes the p_T^W distribution as well as all the response and resolution parameters derived using the Z sample. The shaded band corresponds to the uncertainty on the p_T^Z spectrum only. Since the recoil model and the p_T^Z spectrum are derived with a sample that is much smaller than the W sample, there is a degree of freedom in optimizing the parameters to improve the agreement with W data. However, we choose not to optimize the parameters using any of the W boson distributions to prevent a possible source of bias when fitting the transverse mass distribution. We treat the statistical uncertainty of the recoil model and p_T^Z spectrum as a source of systematic uncertainty for α_2 .

VI. BACKGROUNDS

There are three main sources of background to the $W \rightarrow \ell + \nu$ data sample of this analysis (where ℓ stands either for an electron or a muon):

TABLE III. Summary of the backgrounds to $W \rightarrow e + \nu$ (as percentages of the W candidate sample) in different W recoil ranges. The uncertainty is negligible for $W \rightarrow \tau + \nu$ and $Z \rightarrow e + (e)$.

| Type | Recoil [GeV] | | | | |
|----------------------------|-----------------|-----------------|-----------------|-----------------|-----------------|
| | 0–10 | 10–20 | 20–35 | 350–100 | All |
| $W \rightarrow \tau + \nu$ | 2.15 | 1.74 | 1.31 | 1.57 | 2.01 |
| $Z \rightarrow e + (e)$ | 0.00 | 0.02 | 0.12 | 0.39 | 0.01 |
| QCD jets | 0.23 ± 0.11 | 0.39 ± 0.14 | 0.14 ± 0.10 | 0.5 ± 0.3 | 0.26 ± 0.12 |
| $t\bar{t}$ | 0.00 | 0.00 | 0.49 ± 0.20 | 2.50 ± 0.80 | 0.06 ± 0.02 |
| Total | 2.38 ± 0.11 | 2.15 ± 0.14 | 2.06 ± 0.22 | 4.96 ± 0.85 | 2.42 ± 0.12 |

(1) $W \rightarrow \tau + \nu$ events, with the τ subsequently decaying into a muon or electron and two neutrinos.

(2) $Z \rightarrow \ell^+ + \ell^-$ events, where one of the leptons is not detected.

(3) QCD dijet events, where a jet is wrongly identified as a lepton and the total energy in the event is incorrectly measured to give a E_T signal.

There is a small background contribution from $t\bar{t}$ decays, which is estimated to be ~ 25 events in the electron channel and ~ 12 in the muon channel [32] and affects the high recoil range only. The background from cosmic rays in the muon channel is approximately 0.2% [16] of the total $W \rightarrow \mu + \nu$ candidates, with a flat M_T distribution. This corresponds to a negligible contribution compared with the dominant backgrounds.

A shape for the transverse mass distribution is determined for each background source and added to the transverse mass distribution of the simulated W events. For $t\bar{t}$ background the shape is taken from Ref. [33].

A. $W \rightarrow \tau + \nu$ background

The background from $W \rightarrow \tau + \nu$ events, where the τ decays leptonically, is virtually indistinguishable from the $W \rightarrow e + \nu$ or $W \rightarrow \mu + \nu$ signal. The event generator used for the simulation of W events in this analysis is capable of simulating $W \rightarrow \tau + \nu$, where the τ lepton is then decayed into $\mu + 2\nu$ or $e + 2\nu$. The background level is found to be approximately 2% of the total W sample, with softer charged lepton p_T and E_T spectra. The $W \rightarrow \tau + \nu$ background fractions are listed in Tables III and IV for the electron and muon channel, respectively. The shape of the transverse mass dis-

tribution is also taken from the Monte Carlo simulation of $W \rightarrow \tau + \nu$ events, separately for each of the W boson recoil ranges.

B. $Z \rightarrow \ell^+ + \ell^-$ background

Z events enter the W sample when one of the leptons is not detected (“lost leg”) and there is missing transverse energy in the event.

1. Electron channel

As part of the W candidate selection procedure the primary electron is always required to have been detected in the central calorimeter. The Z removal procedure ensures the rejection of events with a second oppositely charged high- p_T track, or high-energy calorimeter cluster, and invariant mass of the electron-candidate pair compatible with a Z boson decay ($M_{ee} > 60 \text{ GeV}/c^2$). When the track associated with the second electromagnetic cluster is pointing to any nonfiducial volume of the calorimeter, the event is rejected irrespective of the invariant mass value. This ensures that the event would still be rejected if the second electron has emitted a photon and the invariant mass with the primary electron track falls outside the Z invariant mass exclusion range.

The Monte Carlo simulation is used to estimate the Z background [34] due to the inefficiency of the calorimeters in detecting the second leg, or when the second electron points beyond the coverage of the forward calorimeter ($|\eta| > 4.2$). The total background level from Z events in the electron channel is very small, and is listed in Table III.

2. Muon channel

The event selection applied in this analysis removes events with opposite sign tracks (found in the CTC) that

TABLE IV. Summary of the backgrounds to $W \rightarrow \mu + \nu$ (as percentages of the W candidate sample) in different W recoil ranges. The uncertainty is negligible for $W \rightarrow \tau + \nu$ and $Z \rightarrow \mu + (\mu)$.

| Type | Recoil [GeV] | | | | |
|-----------------------------|-----------------|-----------------|-----------------|-----------------|-----------------|
| | 0–7.5 | 7.5–15 | 15–30 | 30–70 | All |
| $W \rightarrow \tau + \nu$ | 2.24 | 1.94 | 1.63 | 2.37 | 2.11 |
| $Z \rightarrow \mu + (\mu)$ | 4.25 | 4.00 | 3.67 | 2.95 | 4.11 |
| QCD jets | 0.45 ± 0.19 | 0.79 ± 0.29 | 0.81 ± 0.52 | 1.40 ± 1.18 | 0.59 ± 0.26 |
| $t\bar{t}$ | 0.00 | 0.00 | 0.19 ± 0.09 | 1.89 ± 0.70 | 0.05 ± 0.02 |
| Total | 6.94 ± 0.19 | 6.73 ± 0.29 | 6.30 ± 0.53 | 8.61 ± 1.37 | 6.86 ± 0.26 |

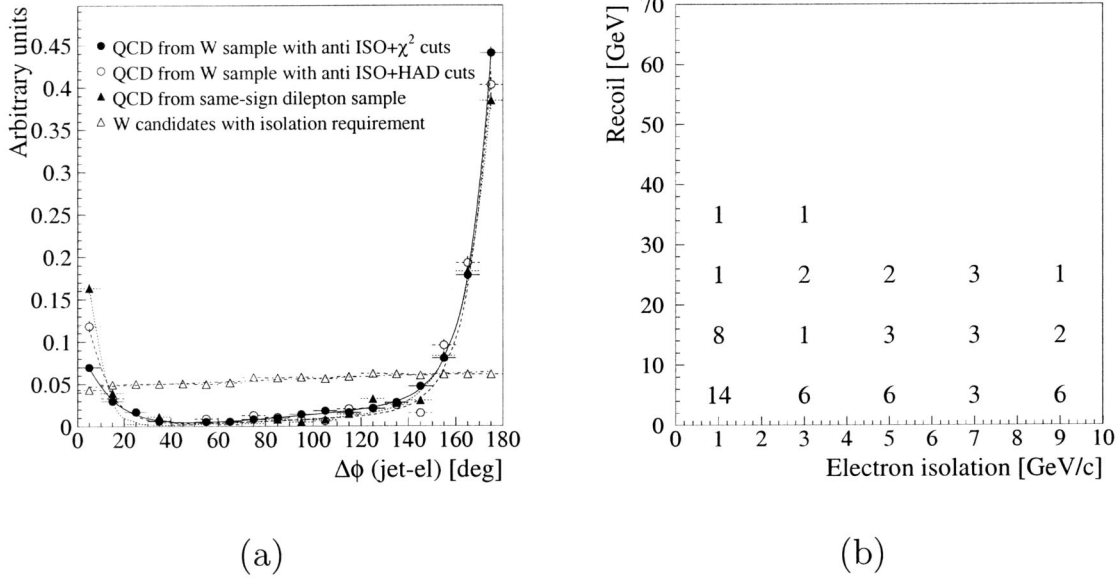


FIG. 9. (a) Azimuthal angle between the electron candidate and the leading jet in the QCD samples and in the W -candidates sample. (b) Number of events in the plane of recoil versus isolation in the QCD-enriched sample, derived from the dilepton sample with a same-sign requirement and all the electron-ID cuts applied.

combine with the identified muon to give an invariant mass greater than $50 \text{ GeV}/c^2$. The number of $Z \rightarrow \mu^+ + \mu^-$ events not removed by the Z selection criteria is consistent with zero when both muons pass through the fiducial tracking volume ($|\eta| < 1$).

However, a significant number of Z events may enter the W sample when one of the muons goes outside the fiducial tracking volume. About 20% of $Z \rightarrow \mu^+ + \mu^-$ events have one of the muons outside $|\eta| < 1$, either at the edge of the tracking volume ($|\eta| \sim 1.1$) or at higher η , beyond the coverage of the CTC. The estimate of the background in these cases is based on the simulation, which uses the tracking efficiency map determined using electrons detected in the calorimeter from the $W \rightarrow e + \nu$ sample. The background level found is of the order of 4% and it is listed in Table IV. The shape of the transverse mass distribution of lost-leg events is also derived from the Monte Carlo simulation.

C. QCD background

Dijet events can pass the W selection cuts if one of the jets is misidentified as a lepton and one of them is incorrectly measured and gives a high missing- E_T signal. This is referred to as QCD background. W candidate events which are background from QCD would typically have the charged lepton or the neutrino predominantly back-to-back or collinear with the leading jet. Real W events, on the other hand, have a nearly uniform distribution of the lepton-jet opening angle, at least for low p_T^W . For higher p_T^W , W events also exhibit a slight tendency to have the leading jet, which is recoiling against the W , in the opposite direction to the charged lepton and the neutrino.

1. Electron channel

Figure 9(a) shows the distribution of the opening angle in the r - ϕ plane between the electron and the leading jet. The leading jet is the highest energy jet in the event with energy of at least 5 GeV. The plot shows three samples enriched in QCD background together with the W candidates sample. Two of the enriched QCD samples are derived by reversing the electron ID cuts in the W preselection sample. The third is taken from dilepton events (Z candidates that do not pass the opposite charge requirement on the two leptons) which we refer to as the QCD control sample. The samples enriched in QCD all show the expected peaks at 0° and 180° .

When the W recoil is less than 20 GeV the background is estimated by counting the excess of events in the distribution of $\Delta\phi(\ell\text{-jet})$. The signal component is estimated by fitting a linear function to the middle part of the $\Delta\phi(\ell\text{-jet})$ distribution. Almost all the W candidates with recoil greater than 10 GeV come associated with at least one jet, and we account separately for events that do not have an associated 5 GeV jet. Since the W candidates greatly outnumber the background events when the electron is isolated, the counting is done in bins of increasing isolation, and the background is extrapolated back to the signal region of $\text{ISO}_{0.25} < 1 \text{ GeV}/c$. The same background estimate is cross-checked by selecting events at high isolation ($6 < \text{ISO}_{0.25} < 10 \text{ GeV}/c$) and using the fraction of isolated to nonisolated QCD events, seen in the QCD control sample, to predict the number in the signal region. Figure 9(b) shows the two-dimensional distribution of the recoil versus lepton isolation in the QCD control sample.

We estimate 74 ± 36 background events due to QCD in the 0–10 GeV recoil range and 30 ± 11 in the 10–20 GeV recoil range. This includes an additional 10 ± 7 events in the

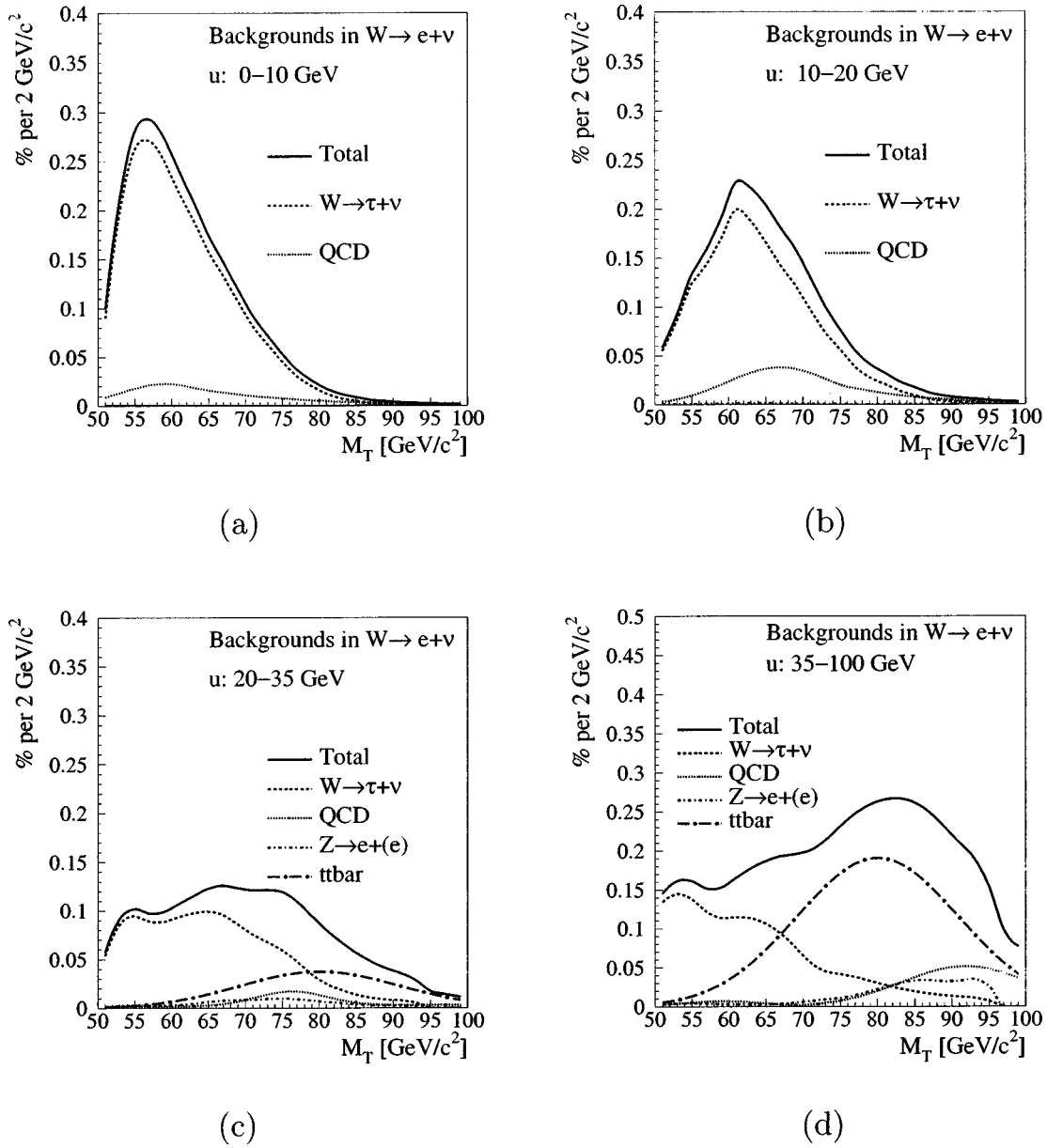


FIG. 10. Electron channel: the transverse mass distribution from the background sources in four W recoil ranges. The plots are in percentage of the W data in the specific p_T^W region.

0–10 GeV recoil bin due to W events with no leading 5 GeV jet, as derived from the fraction of events with and without a jet in the QCD control sample. The uncertainties include a systematic component due to the method. At higher W recoil the estimate of the background is 3 ± 2 events in both the 20–35 and 35–100 GeV bins. This is estimated with both the QCD control sample (by using the ratio of low to high recoil) and the direct counting of the excess of events at 0° and 180° . In the latter, the nonuniform opening angle distribution of the recoiling jet and W -decay leptons is partially accounted for by a slope in the fit to the opening angle distribution. The small background contribution makes it unnecessary to accurately model the signal angular distribution.

The shape of the transverse mass distribution of the QCD background is obtained by reversing the isolation cut and selecting events with anti-isolated electron tracks. The M_T

distribution shapes, at different recoil ranges, are seen to be largely independent of the anti-isolation cut. Figure 10 shows the M_T distribution of the backgrounds in the electron channel, scaled by the estimated amount as a percentage of the W candidates.

2. Muon channel

QCD events can mimic $W \rightarrow \mu + \nu$ mainly in two ways. The first is when a heavy flavor quark in one of the jets decays into particles that include a high- p_T muon (e.g., $b \rightarrow c + \mu + \nu$). In order for the muon and neutrino to have enough p_T to pass the W selection cuts, the b quark needs to have a high transverse momentum, which leads to small opening angles. Therefore this type of event will have the muon and the neutrino almost parallel to one of the jets. The

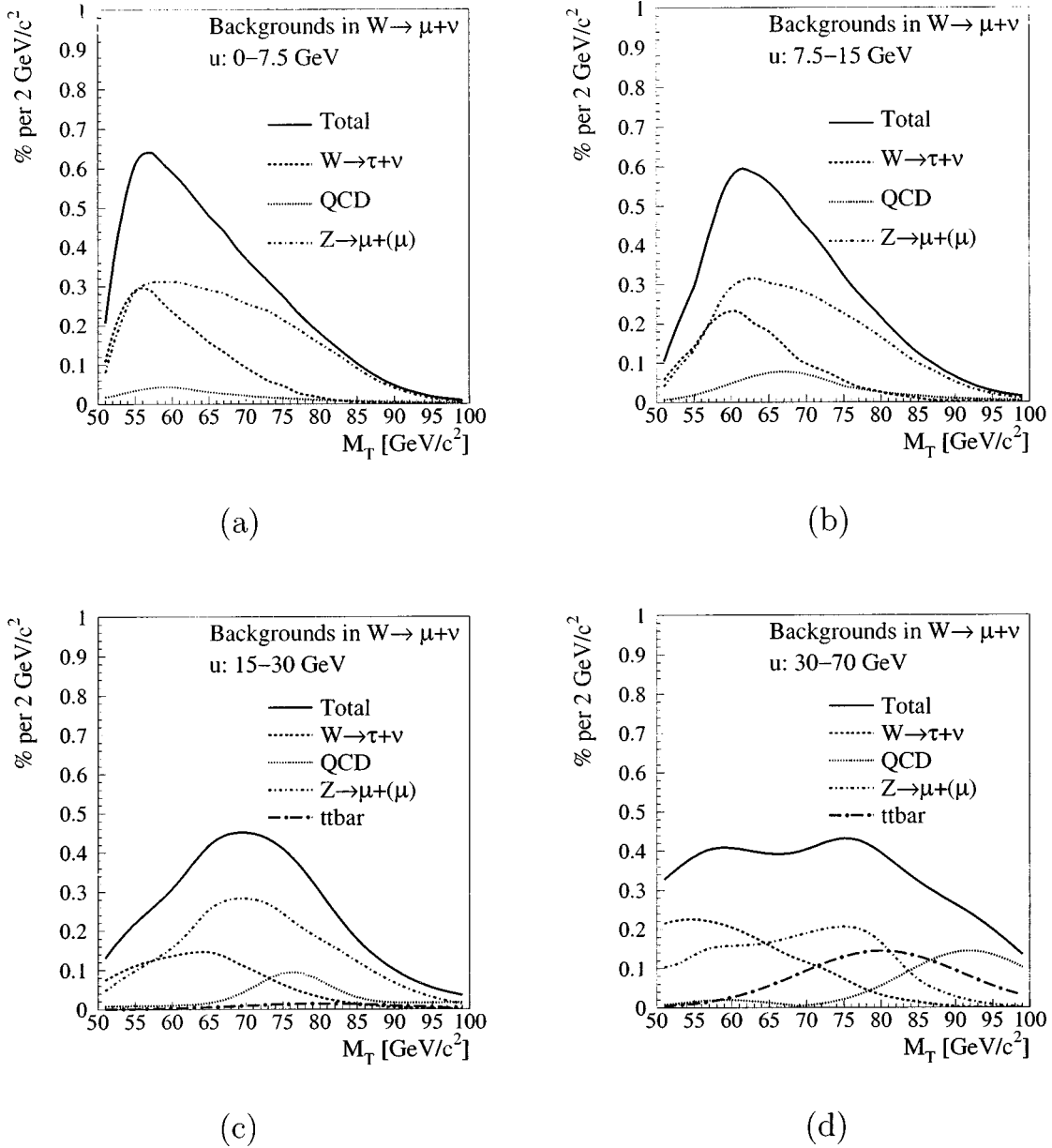


FIG. 11. Muon channel: the transverse mass distribution from the background sources in four W recoil ranges. The plots are in percentage of the W data in the signal p_T^W region.

second major type of QCD background process occurs when a hadron is misidentified as a muon. The energy of one of the jets should also be incorrectly measured, in order to give the appearance of a high missing- E_T signal. In this case, the neutrino and the muon will be reconstructed either nearly parallel to one jet or back-to-back and parallel to the two jets. Moreover, in both the processes considered, the muon is not likely to be isolated.

The QCD background to $W \rightarrow \mu + \nu$ events is estimated in the same way as for the electron channel in the four recoil bins. We expect 62 ± 26 , 47 ± 17 , 17 ± 11 , and 6 ± 5 events in the four recoil ranges. Figure 11 shows the M_T distribution of the backgrounds in the muon channel scaled by the estimated amount as a fraction (percent) of the W candidates.

VII. FITS AND SYSTEMATIC UNCERTAINTIES

A. The likelihood fits

A set of Monte Carlo generated templates of the M_T distribution is compared to the distribution derived from the data. When each template distribution is compared to the data, a likelihood is computed according to

$$\log L(\alpha_2) = \sum_{i=1}^{N_{\text{bins}}} n_i^{\text{data}} \log[p_i^{\text{MC}}(\alpha_2)], \quad (16)$$

where the sum runs over the number of bins of the M_T histogram, n_i^{data} is the number of entries in each bin of the data histogram, and p_i^{MC} are the probabilities per bin. The values

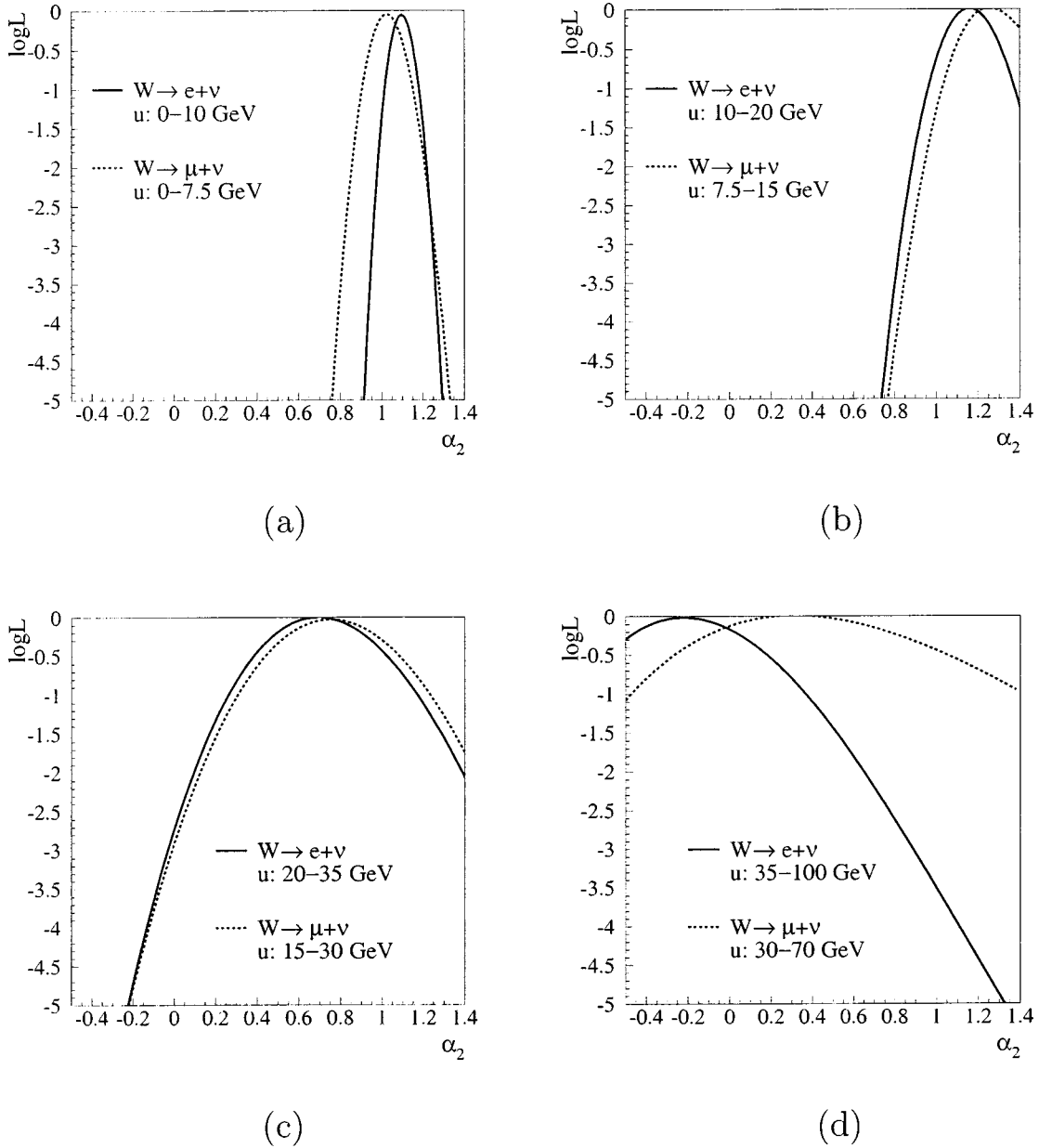


FIG. 12. Likelihood functions of the fits for α_2 , in the four W boson recoil regions for the electron and muon channels.

of $p_i^{\text{MC}}(\alpha_2) = n_i^{\text{MC}}/n_{\text{tot}}^{\text{MC}}$ are given by the entries in the template histogram, one template for each value of α_2 . The maximum of the likelihood function locates the best estimate for the value of α_2 . Figure 12 shows the likelihood functions in four different p_T^W regions for the electron and muon channels. The likelihood functions have been shifted vertically so that the maximum is always at zero. The 1σ statistical uncertainty on each fit is evaluated at the points on the likelihood curve which are $1/2$ unit below the maximum. The four recoil regions are 0–10, 10–20, 20–35, and 35–100 GeV/ c for the $W \rightarrow e + \nu$ data and 0–7.5, 7.5–15, 15–30, and 30–100 GeV/ c for the $W \rightarrow \mu + \nu$ data. The choice of the ranges is constrained by the sample size in the high- p_T^W regions, due to the rapidly falling p_T^W distribution. Moreover, the smaller sample of the muon channel is reflected in the recoil ranges covering lower p_T^W values than in the electron

channel. Tables V and VI summarize the results of the fits for α_2 . Figures 13 and 14 show the transverse mass distribution of the data compared with the simulation, where α_2 has been set to the best-estimate values.

B. Systematic uncertainties

Systematic uncertainties on the measurement of α_2 for this analysis derive from the simulation of W events, the detector response, and the estimate of backgrounds. Some of these, although classified as systematic, may be statistical in nature. This is the case for the detector recoil response and the W transverse momentum spectrum, since they are derived from the $Z \rightarrow e^+ + e^-$ and $Z \rightarrow \mu^+ + \mu^-$ data samples. In the following, each source of systematic uncertainty is discussed and an estimate is determined for the shift on the measured

TABLE V. Summary of the measurement of α_2 with the $W \rightarrow e + \nu$ data. The mean p_T^W corresponding to each recoil range is the mean of the distribution of the “true” W transverse momentum in the Monte Carlo simulation.

| Recoil range [GeV] | 0–10 | 10–20 | 20–35 | 35–100 |
|--------------------------|-------------|-------------|-------------|--------------|
| α_2 measured | 1.09 | 1.14 | 0.67 | –0.22 |
| Statistical uncertainty | ± 0.05 | ± 0.13 | ± 0.29 | ± 0.36 |
| α_2 predicted | 0.98 | 0.84 | 0.55 | 0.25 |
| Mean p_T^W [GeV/ c] | 6.2 | 15.9 | 33.3 | 59.2 |
| N_{evt} | 31363 | 7739 | 2033 | 595 |
| Systematic uncertainties | | | | |
| PDFs | ± 0.01 | ± 0.01 | ± 0.01 | ± 0.01 |
| W mass | ± 0.02 | ± 0.01 | ± 0.04 | ± 0.04 |
| Input p_T^Z | ± 0.02 | ± 0.03 | ± 0.03 | ± 0.04 |
| Recoil model | ± 0.01 | ± 0.05 | ± 0.04 | ± 0.20 |
| Backgrounds | ± 0.01 | ± 0.01 | ± 0.01 | ± 0.01 |
| Combined systematic | ± 0.03 | ± 0.06 | ± 0.07 | ± 0.21 |

values of α_2 . Tables V and VI contain a summary of the various contributions and the total systematic uncertainty.

1. Event selection bias

The electron isolation requirement may introduce a bias on the measurement of α_2 . For example, if the electron travels close to the recoil, there is greater opportunity for the event to be rejected. Also, there could be a correlation of the selected sample with α_2 , which is correlated with the QCD activity in the event. This bias is investigated by removing the isolation requirement, evaluating appropriately the increase in backgrounds, and measuring the change in α_2 . The maximum shifts observed are within the systematic uncertainty of the background determination. Moreover, by changing widely α_2 in the simulation, the spectrum of the opening angle between recoil and electron directions is not signifi-

cantly affected. We do not apply an isolation requirement to the muon channel.

2. Parton density functions

The parton distribution functions are used in the Monte Carlo simulation to determine the quark content of the proton, and hence the rapidity distribution of the generated W bosons. The set of PDFs used to simulate the events in this analysis is MRS-R2 [22]. These PDFs describe well the CDF low- η W -charge asymmetry data. To evaluate the impact of the choice of PDFs on the measurement of α_2 , two Monte Carlo samples have been generated with MRMS-D $^-$ and MRMS-D0, sets that were not tuned on CDF data and differ significantly from MRS-R2. α_2 has been measured with both sets of PDFs. The observed shifts are ± 0.01 in all recoil

TABLE VI. Summary of the measurement of α_2 with the $W \rightarrow \mu + \nu$ data. The mean p_T^W corresponding to each recoil range is the mean of the distribution of the “true” W transverse momentum in the Monte Carlo simulation.

| Recoil range [GeV] | 0–7.5 | 7.5–15 | 15–30 | 30–70 |
|--------------------------|-------------|-------------|-------------|-------------|
| α_2 measured | 1.03 | 1.24 | 0.74 | 0.24 |
| Statistical uncertainty | ± 0.08 | ± 0.18 | ± 0.40 | ± 0.51 |
| α_2 predicted | 0.99 | 0.92 | 0.70 | 0.32 |
| Mean p_T^W [GeV/ c] | 5.4 | 11.1 | 24.7 | 49.7 |
| N_{evt} | 13813 | 5910 | 2088 | 424 |
| Systematic uncertainties | | | | |
| PDFs | ± 0.01 | ± 0.01 | ± 0.01 | ± 0.01 |
| W mass | ± 0.02 | ± 0.01 | ± 0.04 | ± 0.04 |
| Input p_T^Z | ± 0.02 | ± 0.03 | ± 0.03 | ± 0.04 |
| Recoil model | ± 0.01 | ± 0.05 | ± 0.04 | ± 0.20 |
| Backgrounds | ± 0.01 | ± 0.02 | ± 0.02 | ± 0.03 |
| Combined systematic | ± 0.03 | ± 0.06 | ± 0.07 | ± 0.21 |

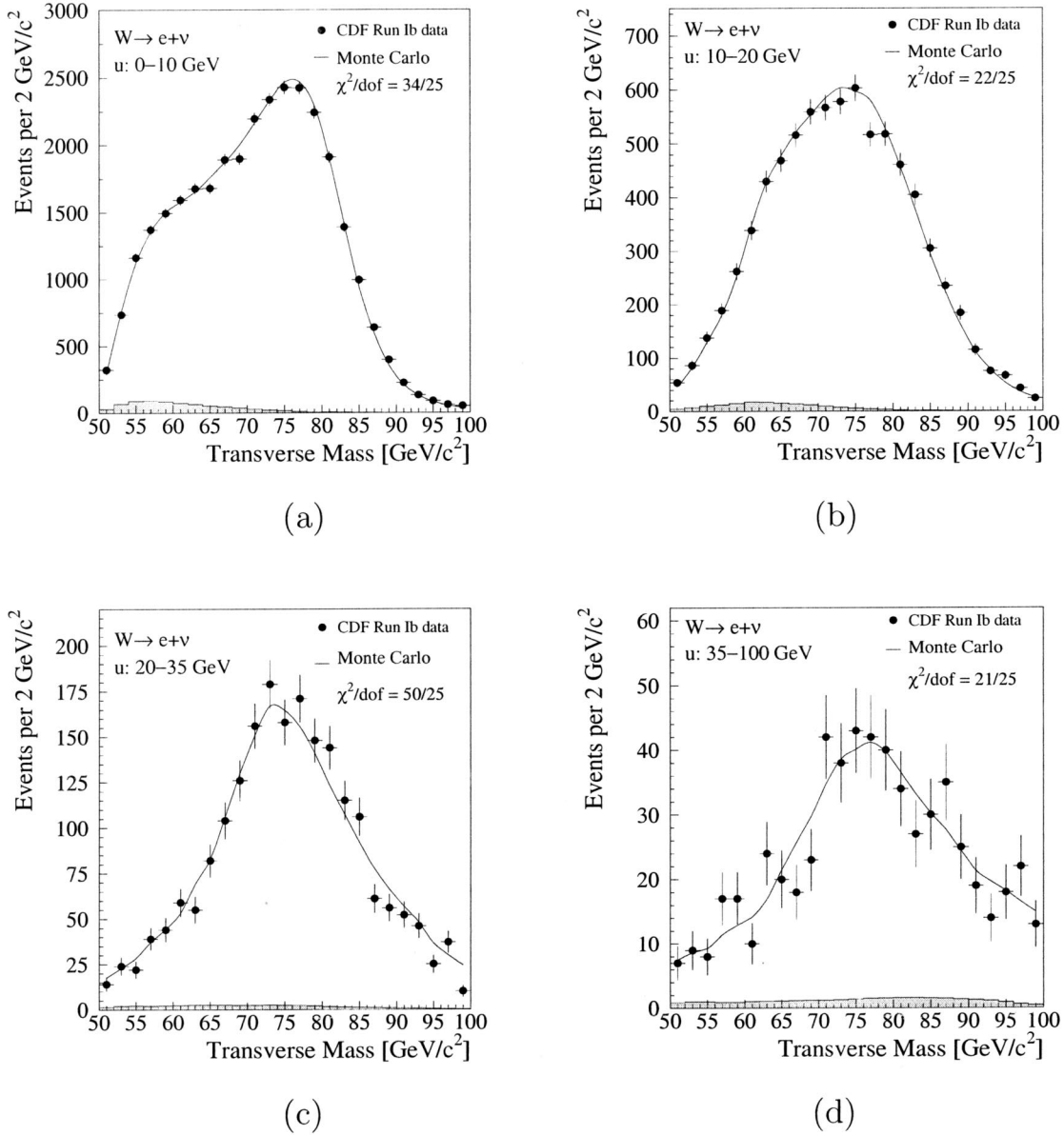


FIG. 13. Comparison of the transverse mass distribution from the $W \rightarrow e + \nu$ data (filled circles) with the simulation (solid line) in four recoil regions. In the Monte Carlo simulation, α_2 has been set to the best-fit value for each recoil range. The shaded histograms indicate the background contribution that is estimated to be present in the data and that has been added to the simulation.

regions, a small fraction of the statistical uncertainty. This is conservatively taken to be the systematic uncertainty due to the choice of PDFs.

3. The W mass value

The transverse mass distribution is sensitive to the value of the W mass used in the Monte Carlo simulation. The dependence comes from the fact that the transverse mass spectrum has a Jacobian peak at about the value of the W mass. The value of the W mass in the Monte Carlo simulation is set to the LEP average [35] $80.412 \pm 0.042 \text{ MeV}/c^2$, in order to be independent of the value measured at CDF. An uncertainty on M_W of $40 \text{ MeV}/c^2$ corresponds to a systematic uncertainty on α_2 of 0.01 – 0.04 .

4. p_T^W spectrum

The W transverse momentum spectrum is derived from the Z sample by measuring p_T^Z , and using the relatively well known ratio of the p_T^W/p_T^Z distributions from theory. The p_T^Z distribution is measured from both the $Z \rightarrow e^+ + e^-$ and $Z \rightarrow \mu^+ + \mu^-$ data, and then averaged. To account for statistical and systematic uncertainties in determining the p_T^Z spectrum, additional MC data sets are generated using the p_T^Z from the electron or the muon Z -decay channels only. The measured α_2 shifts by between 0.02 and 0.04 .

5. Recoil model

The recoil model consists of response and resolution functions derived from the $Z \rightarrow e^+ + e^-$ and $Z \rightarrow \mu^+ + \mu^-$ data.

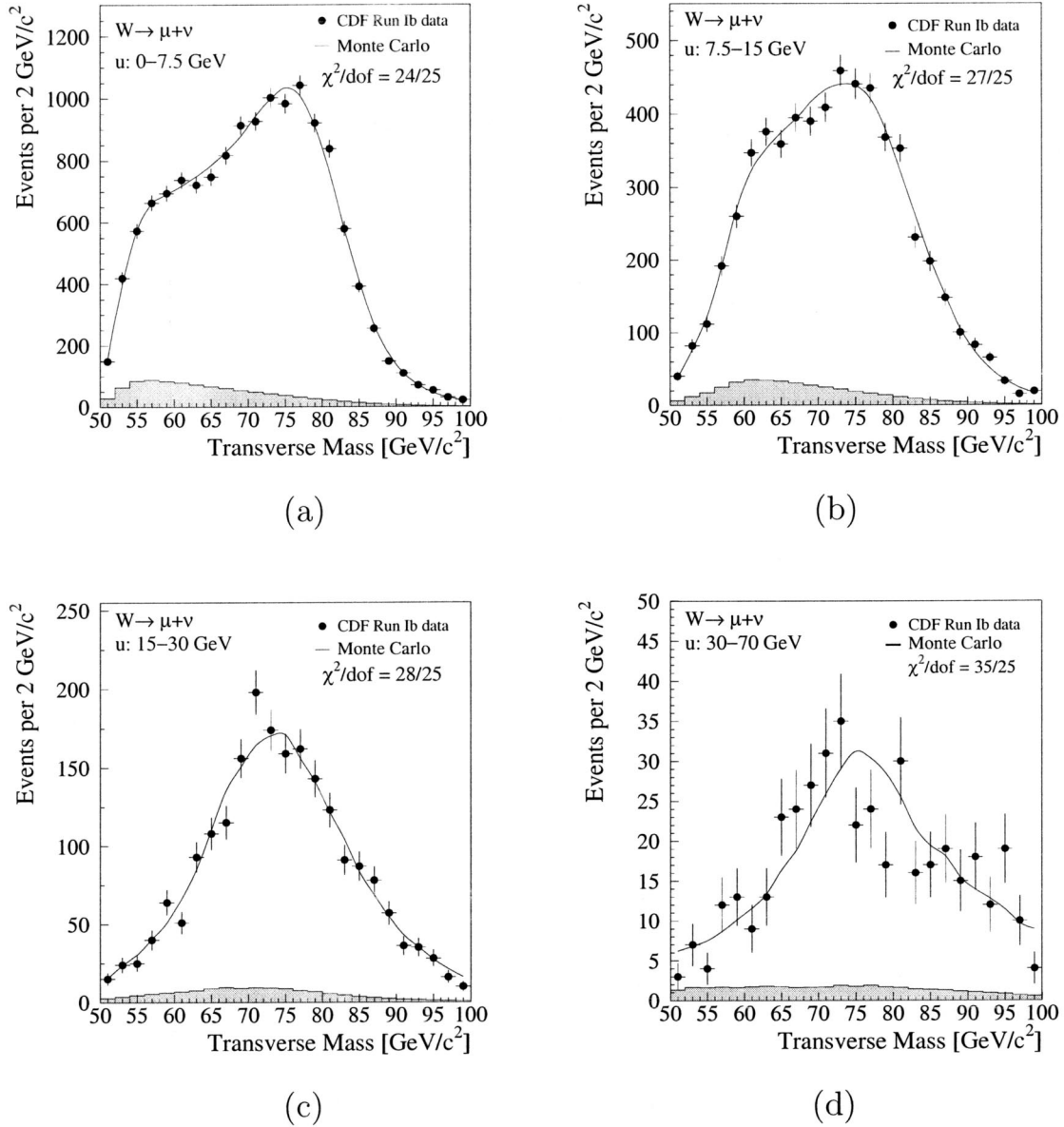


FIG. 14. Comparison of the transverse mass distribution from the $W \rightarrow \mu + \nu$ data (filled circles) with the simulation (solid line) in four recoil regions. In the Monte Carlo simulation, α_2 has been set to the best-fit value for each recoil range. The shaded histograms indicate the background contribution that is estimated to be present in the data and that has been added to the simulation.

There are statistical uncertainties in the coefficients of the model, which are used here to evaluate a systematic uncertainty. Each of the parameters is changed and the α_2 value is measured. The dispersion of the set of new measurements is taken as the systematic uncertainty, which increases with p_T^W , as shown in Tables V and VI. The recoil model is one of the main sources of uncertainty here since it is constrained with a statistical sample much smaller than the W sample itself. The impact of a slight disagreement between the W recoil distribution in data and simulation has been estimated by shifting the edges of the recoil ranges one at a time by 0.1 GeV/c, only in the data but not in the Monte Carlo simulation, simulating event migration between bins. The value of 0.1 GeV/c is the difference between the mean of the recoil

distributions in the data and in the MC simulation. The coefficient α_2 has been observed to shift between 0.01 and 0.04 in the four bins. This is included in the quoted systematic uncertainty due to the recoil model.

6. The angular coefficients and α_1 input value

Although the distribution of $|\cos \theta_{CS}|$, and hence M_T , should only depend on α_2 and all the remaining angular coefficients should integrate out, in practice geometric acceptance causes some angular coefficients to have a residual effect on the shape of the M_T distribution. Coefficients A_1 , A_5 , A_6 , A_7 are predicted to be negligible in the standard model and are set to zero. A_2 and A_3 are kept in the angular distribution [see Eq. (2)] and are set to their standard model

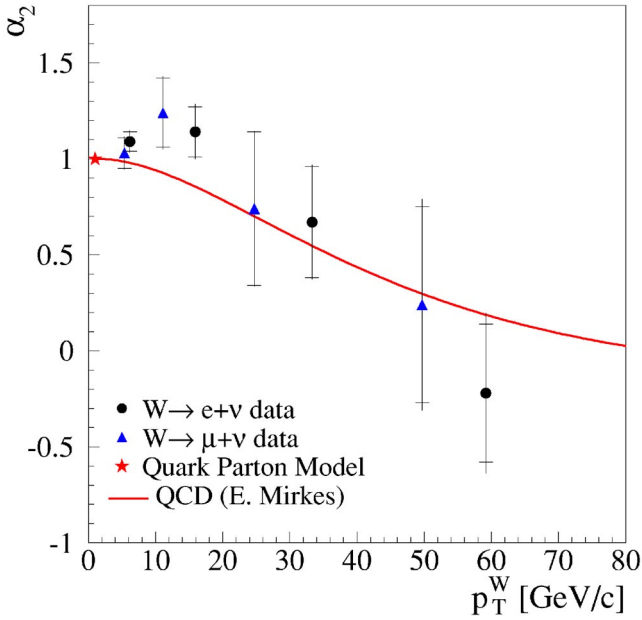


FIG. 15. Measurement of α_2 with the electron (filled circles) and the muon (triangles) channels. The error bars include statistical and systematic uncertainties, and the tick marks show statistics only.

values. As an estimate of the sensitivity to these terms, neglecting A_2 and A_3 results in a shift in the value of α_2 of 0.02–0.07 in the four p_T^W bins. These values are not included in the systematic uncertainty since the uncertainty on the theoretical SM calculation is expected to be much smaller than 100%.

The coefficient α_1 also enters the M_T distribution. However, when fitting for α_2 at low p_T^W , α_1 cannot be set to the SM expected value, due to the requirement of positive event weights expressed in Eq. (7). α_1 is therefore set to $2\sqrt{\alpha_2}$, which lies in the vicinity of the SM path for low p_T^W . With this choice, Eq. (7) translates into a condition for $(1 \pm \sqrt{\alpha_2} \cos \theta_{CS})^2$, which is always positive and prevents assigning negative weights in the region around the quark parton model point. A negligible change in the measured α_2 is visible by setting $\alpha_1(p_T^W)$ to different paths around the SM expectation. For higher p_T^W (≥ 20 GeV/c), α_1 is set to the full SM prediction as there is no danger of assigning negative weights in that region.

7. Backgrounds

The main sources of uncertainty due to backgrounds come from the estimates of the QCD and $t\bar{t}$ contributions. The QCD background is estimated from the data using the lepton isolation and the angular distribution between the lepton and the jets in the event and the $t\bar{t}$ background is taken from Ref. [32]. The systematic uncertainty on the measured values of α_2 is derived by changing the QCD and $t\bar{t}$ background contents in each p_T^W range by the uncertainty given in the background estimate results in Tables III and IV. A maximum shift of 0.03 on α_2 is observed.

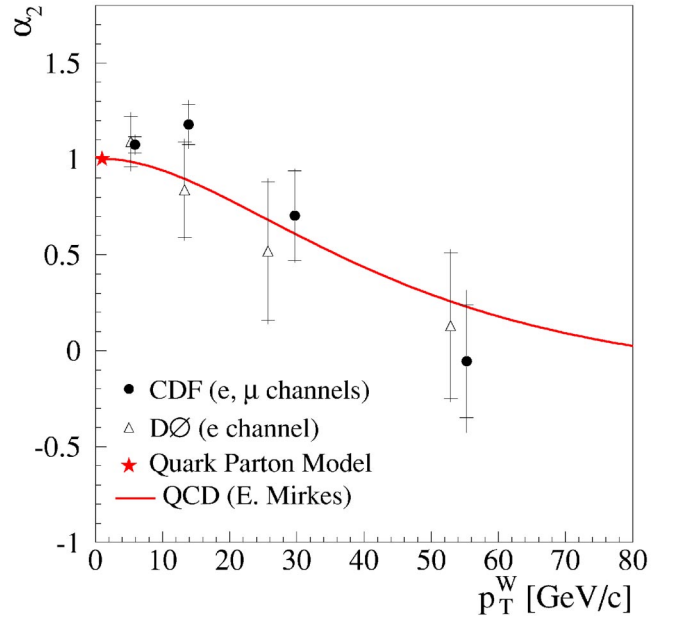


FIG. 16. Measurement of α_2 combining the electron and the muon channels (filled circles). The error bars show the combination of the statistical and systematic uncertainties. The $D\bar{0}$ measurement (open triangles) is from Ref. [3].

VIII. RESULTS AND CONCLUSIONS

Figure 15 shows the results of this measurement on a plot of α_2 versus p_T^W . The position of the points on the x axis has been determined by using the mean of the Monte Carlo distribution of p_T^W corresponding to each recoil range. The solid curve represents the standard model prediction reported in Ref. [2]. The trend is a decrease of α_2 with increasing p_T^W , which corresponds to an increase of the longitudinal component of the W polarization. The rate measured from a linear fit is $\sim 15\%$ per 10 GeV/c. The four measurement points from the electron channel can be used together with those from the muon channel to compute a χ^2 with respect to the standard model expectation. The result is $\chi^2 = 1.5$, normalized for 8 degrees of freedom and considering statistical and systematic uncertainties.

The measurements of α_2 with the electron and muon channels are combined in Fig. 16 and Table VII. The position in p_T^W is determined by a weighted mean of each pair of electron and muon measurements. The values of α_2 are then scaled at the common p_T^W value using a linear fit and then averaged taking into account the size of the statistical uncer-

TABLE VII. Summary of the measured α_2 combining the electron and muon channels.

| p_T^W [GeV/c] | α_2 (CDF combined) | α_2 (theory) |
|-----------------|---|---------------------|
| 5.9 | $1.07 \pm 0.04(\text{stat}) \pm 0.03(\text{syst})$ | 0.98 |
| 13.9 | $1.18 \pm 0.10(\text{stat}) \pm 0.06(\text{syst})$ | 0.89 |
| 29.7 | $0.70 \pm 0.23(\text{stat}) \pm 0.07(\text{syst})$ | 0.61 |
| 55.3 | $-0.05 \pm 0.29(\text{stat}) \pm 0.21(\text{syst})$ | 0.23 |

tainties. Systematic uncertainties are completely correlated between the electron and muon channels. The triangles are from Ref. [3] and represent the current best values.

In conclusion, we have measured the leptonic polar-angle distribution coefficient α_2 as a function of the transverse momentum of the W boson. The results obtained from the electron and muon channels are combined together and the measurement reduces by about 50% the uncertainty on the current best values up to $p_T^W \sim 30$ GeV/ c . The result is in good agreement with the standard model expectation up to NLO, whereby α_2 decreases with p_T^W as a consequence of QCD corrections to the W polarization. Since the uncertainty is largely dominated by statistics especially at higher W transverse momenta, this measurement can significantly benefit from the larger data sample of $p\bar{p}$ collisions at $\sqrt{s} = 1.96$ TeV that is being collected at CDF in Run II.

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