# **University of Nebraska - Lincoln [DigitalCommons@University of Nebraska - Lincoln](http://digitalcommons.unl.edu?utm_source=digitalcommons.unl.edu%2Fmechengfacpub%2F222&utm_medium=PDF&utm_campaign=PDFCoverPages)**



2013

# Effects of mode coupling on the admittance of an AT-cut quartz thickness-shear resonator

Huijing He *University of Nebraska-Lincoln*, he.hui.jing@hotmail.com

Jiashi Yang *University of Nebraska-Lincoln*, jyang1@unl.edu

Wei-Ping Zhang *Hoffman Estates, IL*

Ji Wang *Ningbo University*

Follow this and additional works at: [http://digitalcommons.unl.edu/mechengfacpub](http://digitalcommons.unl.edu/mechengfacpub?utm_source=digitalcommons.unl.edu%2Fmechengfacpub%2F222&utm_medium=PDF&utm_campaign=PDFCoverPages)

Part of the [Mechanics of Materials Commons,](http://network.bepress.com/hgg/discipline/283?utm_source=digitalcommons.unl.edu%2Fmechengfacpub%2F222&utm_medium=PDF&utm_campaign=PDFCoverPages) [Nanoscience and Nanotechnology Commons](http://network.bepress.com/hgg/discipline/313?utm_source=digitalcommons.unl.edu%2Fmechengfacpub%2F222&utm_medium=PDF&utm_campaign=PDFCoverPages), [Other Engineering Science and Materials Commons](http://network.bepress.com/hgg/discipline/284?utm_source=digitalcommons.unl.edu%2Fmechengfacpub%2F222&utm_medium=PDF&utm_campaign=PDFCoverPages), and the [Other Mechanical Engineering](http://network.bepress.com/hgg/discipline/304?utm_source=digitalcommons.unl.edu%2Fmechengfacpub%2F222&utm_medium=PDF&utm_campaign=PDFCoverPages) [Commons](http://network.bepress.com/hgg/discipline/304?utm_source=digitalcommons.unl.edu%2Fmechengfacpub%2F222&utm_medium=PDF&utm_campaign=PDFCoverPages)

He, Huijing; Yang, Jiashi; Zhang, Wei-Ping; and Wang, Ji, "Effects of mode coupling on the admittance of an AT-cut quartz thicknessshear resonator" (2013). *Mechanical & Materials Engineering Faculty Publications*. 222. [http://digitalcommons.unl.edu/mechengfacpub/222](http://digitalcommons.unl.edu/mechengfacpub/222?utm_source=digitalcommons.unl.edu%2Fmechengfacpub%2F222&utm_medium=PDF&utm_campaign=PDFCoverPages)

This Article is brought to you for free and open access by the Mechanical & Materials Engineering, Department of at DigitalCommons@University of Nebraska - Lincoln. It has been accepted for inclusion in Mechanical & Materials Engineering Faculty Publications by an authorized administrator of DigitalCommons@University of Nebraska - Lincoln.

# Effects of mode coupling on the admittance of an AT-cut quartz thickness-shear resonator[∗](#page-1-0)

He Hui-Jing(何慧晶)<sup>a)</sup>,Yang Jia-Shi(杨嘉实)<sup>a)[†](#page-1-1)</sup>,Zhang Wei-Ping(张卫平)<sup>b)</sup>,and Wang Ji(王 骥)<sup>c)</sup>

a) Department of Mechanical and Materials Engineering, University of Nebraska-Lincoln, Lincoln, NE 68588-0526, USA b)1785 Pebblewood Lane, Hoffman Estates, IL <sup>60195</sup>, USA

c) Piezoelectric Device Laboratory, School of Mechanical Engineering and Mechanics, Ningbo University, Ningbo 315211, China

(Received 23 June 2012; revised manuscript received 9 September 2012)

We study the effects of couplings to flexure and face-shear modes on the admittance of an AT-cut quartz plate thickness-shear mode resonator. Mindlin's two-dimensional equations for piezoelectric plates are employed. Electrically forced vibration solutions are obtained for three cases: pure thickness-shear mode alone; two coupled modes of thickness shear and flexure; and three coupled modes of thickness shear, flexure, and face shear. Admittance is calculated and its dependence on the driving frequency and the length/thickness ratio of the resonator is examined. Results show that near the thickness-shear resonance, admittance assumes maxima, and that for certain values of the length/thickness ratio, the coupling to flexure causes severe admittance drops, while the coupling to the face-shear mode causes additional admittance changes that were previously unknown and hence are not considered in current resonator design practice.

Keywords: quartz, plate, resonance, resonator

# 1. Introduction

Piezoelectric crystals are widely used to make acoustic wave resonators as frequency standards for time-keeping, frequency operation, telecommunications, and sensing. In these applications crystal resonators operate as elements of electrical circuits for alternating currents called oscillators. Two basic properties of a crystal resonator, its resonant frequency and its electrical admittance, are of primary interest for complete circuit analyses of oscillators. There exist extensive theoretical results on crystal resonators using the equations of anisotropic elasticity or piezoelectricity, e.g., Refs. [\[1\]](#page-6-0)–[\[8\]](#page-6-1). More refer-ences can be found in a review article.<sup>[\[9\]](#page-6-2)</sup> These analyses were overwhelmingly for resonator-free vibration frequency analysis. The admittance of a resonator, which can only be obtained from an electrically forced vibration analysis, was studied less often. Limited by the computational capability available, early forced vibration analyses had limited numerical results, e.g., Refs. [\[10\]](#page-6-3)–[\[13\]](#page-6-4). Recently, there has been growing interest in the computation of resonator admittance, e.g., Refs. [\[14\]](#page-6-5)–[\[21\]](#page-6-6). However, usually only the dependence of the admittance on the driving frequency of the applied voltage was examined. The dependence of the admittance on the plate aspect ratio (length/thickness) and the related admittance drop due to mode couplings was rarely studied, for which limited numerical re-sults can be found in Refs. [\[14\]](#page-6-5) and [\[15\]](#page-6-7) for coupled thicknessshear and flexural motions of a resonator.

#### PACS: 77.65.Fs, 77.65.−j DOI: [10.1088/1674-1056/22/4/047702](http://dx.doi.org/10.1088/1674-1056/22/4/047702)

vibration frequency analysis, it can be expected that as the aspect ratio of a resonator changes, for certain discrete values of the aspect ratio, the operating thickness-shear mode of the resonator becomes coupled to other unwanted modes and the resonator admittance dips. For the case of coupled thickness shear and flexure, this was confirmed by the limited numerical results in Refs. [\[14\]](#page-6-5) and [\[15\]](#page-6-7). However, for a resonator with a properly designed aspect ratio so that coupling to flexure is avoided, sometimes unexpected and sudden changes of admittance still occur. It has been suspected that maybe the coupling to some other unwanted modes is involved, but this has not been confirmed theoretically, and it is not clear specifically which unwanted mode is causing the additional admittance drop.

It is known<sup>[\[3,](#page-6-8)[13\]](#page-6-4)</sup> that, in the frequency range of interest from zero to slightly above the fundamental thickness-shear frequency, the operating thickness-shear mode is also coupled to the face-shear mode<sup>[\[3](#page-6-8)[,13\]](#page-6-4)</sup> in addition to flexure. The coupling to face shear is through the relatively small elastic constant *c*<sup>56</sup> and therefore is usually neglected. However, since the face-shear mode directly contributes to the charge and current through the piezoelectric constant  $e_{25}$  which is of the same order of magnitude as the main piezoelectric constant  $e_{26}$  in the most widely used AT-cut quartz resonators, the face-shear mode is expected to have some effect on resonator admittance. At present little is known about this effect, either qualitatively or quantitatively. In particular, we suspect that the face-shear

From the frequency spectra<sup>[\[1\]](#page-6-0)</sup> obtained in resonator-free

†Corresponding author. E-mail: [jyang1@unl.edu](mailto:jyang1@unl.edu)

<span id="page-1-0"></span><sup>∗</sup>Project supported in part by the National Natural Science Foundation of China (Grant Nos. 10932004, 11072116, and 10772087) and the Doctoral Program Fund of Ministry of Education of China (Grant No. 20093305110003/JW). Additional Funds were from the Sir Y. K. Pao Chair Professorship, the K. C. Wong Magna Fund through Ningbo University, and the K. C. Wong Education Foundation in Hong Kong. The project also supported in part by the US Army Research Laboratory/US Army Research Office (Grant No. W911NF-10-1-0293).

<span id="page-1-1"></span><sup>© 2013</sup> Chinese Physical Society and IOP Publishing Ltd <http://iopscience.iop.org/cpb> <http://cpb.iphy.ac.cn>

mode may be related to the so far unexplained additional admittance drops when coupling to flexure is already excluded.

Therefore, in this paper, we study the effect of resonator aspect ratio on admittance through an electrically forced vibration analysis in a manner more general and systematic than Refs. [\[14\]](#page-6-5) and [\[15\]](#page-6-7). The main difference from Refs. [\[14\]](#page-6-5) and [\[15\]](#page-6-7) is that the face-shear mode is included in the present analysis. Due to material anisotropy and piezoelectric coupling, theoretical analyses of crystal resonators usually present considerable mathematical challenges. To simplify the analysis of plate resonators, in a series of papers (Refs. [\[10\]](#page-6-3), [\[11\]](#page-6-9), and [\[22\]](#page-6-10)–[\[24\]](#page-6-11)), Mindlin and his coworkers developed and refined the two-dimensional equations for motions of piezoelectric plates which have been widely used for both theoretical and numerical analyses of crystal resonators, and are also used in the present paper.

## 2. Equations for piezoelectric plates

Consider a thin piezoelectric plate with thickness 2*b* as shown in Fig. [1](#page-2-0) along with its coordinate system. The two major surfaces of the plate at  $x_2 = \pm b$  are fully electroded. We assume thin electrodes whose mechanical effects like inertia and stiffness are negligible. We study vibrations independent of  $x_3$  which are called straight-crested wave (modes).<sup>[\[1,](#page-6-0)[2](#page-6-12)[,6,](#page-6-13)[7](#page-6-14)[,10\]](#page-6-3)</sup>

<span id="page-2-0"></span>

Fig. 1. An AT-cut quartz plate and coordinate system.

In the frequency range of interest, the three relevant waves are governed by Mindlin's first-order plate theory<sup>[\[23,](#page-6-15)[25\]](#page-6-16)</sup>

<span id="page-2-3"></span>
$$
T_{13,1}^{(0)} = 2b\rho \ddot{u}_{3}^{(0)},
$$
  
\n
$$
T_{12,1}^{(0)} = 2b\rho \ddot{u}_{2}^{(0)},
$$
  
\n
$$
T_{11,1}^{(1)} - T_{21}^{(0)} = \frac{2b^3}{3}\rho \ddot{u}_{1}^{(1)},
$$
\n(1)

where  $u_3^{(0)}$  $f_3^{(0)}(x_1,t)$  is the plate face-shear displacement,  $u_2^{(0)}$  $u_2^{(0)}(x_1,t)$  is the flexural displacement, and  $u_1^{(1)}$  $f_1^{(1)}(x_1,t)$  is the thickness-shear displacement. For crystals of monoclinic symmetry which include the most widely used AT-cut quartz as a special case, the plate resultants  $T_{13}^{(0)}$ ,  $T_{12}^{(0)}$ , and  $T_{11}^{(1)}$  describing the bending moment and various shear forces are given by the following plate constitutive relations:[\[23,](#page-6-15)[25\]](#page-6-16)

<span id="page-2-1"></span>
$$
T_{13}^{(0)} = \int_{-b}^{b} T_{13} dx_2 = 2b(c_{55}S_5^{(0)} + \kappa_1 c_{56}S_6^{(0)} - e_{25}E_2^{(0)}),
$$

$$
T_{12}^{(0)} = \int_{-b}^{b} T_{12} dx_2 = 2b(\kappa_1 c_{56} S_5^{(0)} + \kappa_1^2 c_{66} S_6^{(0)} - \kappa_1 e_{26} E_2^{(0)}),
$$
  
\n
$$
T_{11}^{(1)} = \int_{-b}^{b} x_2 T_{11} dx_2 = \frac{2b^3}{3} (\gamma_1 S_1^{(1)} - \psi_{11} E_1^{(1)}),
$$
\n(2)

where  $c_{pq}$ ,  $e_{kp}$ , and  $\varepsilon_{kl}$  are the usual elastic stiffness, piezoelectric constants, and dielectric constants. The plate strains  $S_5^{(0)}$ 5 , *S* (0)  $S_6^{(0)}$ , and  $S_1^{(1)}$  $I_1^{(1)}$  as well as the plate electric field  $E_2^{(0)}$  $i_2^{(0)}$  and  $E_1^{(1)}$  $1^{(1)}$  are related to the plate displacements and electric potential  $\phi^{(1)}$  through<sup>[\[23](#page-6-15)[,25\]](#page-6-16)</sup>

<span id="page-2-2"></span>
$$
S_5^{(0)} = u_{3,1}^{(0)}, \quad S_6^{(0)} = u_{2,1}^{(0)} + u_1^{(1)}, \quad S_1^{(1)} = u_{1,1}^{(1)},
$$
  

$$
E_2^{(0)} = -\phi^{(1)}, \quad E_1^{(1)} = -\phi_{,1}^{(1)}.
$$
 (3)

The plate material constants in Eqs. [\(2\)](#page-2-1) are defined by  $[23,25]$  $[23,25]$ 

$$
\gamma_{11} = s_{33}/(s_{11}s_{33} - s_{13}^2), \quad \psi_{11} = d_{11}\gamma_{11} + d_{13}\gamma_{13}, \quad (4)
$$

where  $s_{pq}$  are the usual elastic compliance.  $d_{kp}$  are piezoelectric constants different from and related to  $e_{kn}$ .  $\kappa_1$  in Eqs. [\(2\)](#page-2-1) is a parameter called the shear correction factor, given by $^{[23,25]}$  $^{[23,25]}$  $^{[23,25]}$  $^{[23,25]}$ 

$$
\kappa_1^2 = \frac{\pi^2}{12} \Big( 1 - \frac{8}{\pi^2} k_{26}^2 \Big), \ k_{26}^2 = \frac{e_{26}^2}{\varepsilon_{22} \hat{c}_{66}}, \ \hat{c}_{66} = c_{66} + \frac{e_{26}^2}{\varepsilon_{22}}. \tag{5}
$$

With successive substitutions from Eqs.  $(2)$  and  $(3)$ , equa-tion [\(1\)](#page-2-3) can be written as three equations for  $u_3^{(0)}$  $u_3^{(0)}, u_2^{(0)}$  $\frac{1}{2}^{\left(0\right)}$ , and  $u_1^{(1)}$  $_1^{(1)}$  as follows:<sup>[\[23,](#page-6-15)[25\]](#page-6-16)</sup>

<span id="page-2-4"></span>
$$
\kappa_1 c_5 \omega_{2,11}^{(0)} + c_5 \omega_{3,11}^{(0)} + \kappa_1 c_5 \omega_{1,1}^{(1)} + e_{25} \phi_{1}^{(1)} = \rho \ddot{u}_3^{(0)},
$$
(6a)  

$$
\kappa_{1,1}^{(0)} + \kappa_{2,2} \omega_{1,1}^{(0)} + \kappa_{3,1}^{(0)} + \kappa_{4,2} \omega_{1,1}^{(1)} + \kappa_{5,1} \phi_{1}^{(1)} = \rho \ddot{u}_3^{(0)}.
$$

<span id="page-2-7"></span>
$$
\kappa_1^2 c_{66} u_{2,11}^{(0)} + \kappa_1 c_{56} u_{3,11}^{(0)} + \kappa_1^2 c_{66} u_{1,1}^{(1)} + \kappa_1 e_{26} \phi_{,1}^{(1)} = \rho \ddot{u}_2^{(0)}, \text{ (6b)}
$$
  

$$
\gamma_{11} u_{1,11}^{(1)} + \psi_{11} \phi_{,11}^{(1)} - 3b^{-2} \left[ \kappa_1 c_{56} u_{3,1}^{(0)} + \kappa_1^2 c_{66} (u_{2,1}^{(0)}) \right]
$$

<span id="page-2-6"></span>+ 
$$
u_1^{(1)}
$$
 +  $\kappa_1 e_{26} \phi^{(1)}$ ] =  $\rho \ddot{u}_1^{(1)}$ . (6c)

For electrically forced vibrations of a fully electroded plate,  $\phi^{(1)}$  is related to the driving voltage *V* across the plate thickness through $[23,25]$  $[23,25]$ 

<span id="page-2-5"></span>
$$
\phi^{(1)} = \frac{1}{2b} V \exp(i\omega t). \tag{7}
$$

Therefore the spatial derivative of  $\phi^{(1)}$  in Eqs. [\(6\)](#page-2-4) vanishes. We note that equation [\(7\)](#page-2-5) is for a plate driven by an electric field in the plate thickness direction or the so-called thickness field excitation (TFE). A plate can also be driven by an in-plane electric field or the so-called lateral field excitation (LFE).[\[26](#page-6-17)[–28\]](#page-6-18) We consider time-harmonic motions and use the usual complex notation. All fields have the same time dependence factor, which will be dropped in the following. The equations in Eqs.  $(6)$  are a system of ordinary differential equations with constant coefficients whose solution can be found in a standard manner. To calculate the charge on the electrodes, we need the following electric constitutive relation:<sup>[\[23,](#page-6-15)[25\]](#page-6-16)</sup>

$$
D_2^{(0)} = \int_{-b}^{b} D_2 \, dx_2 = 2b \big( e_{25} S_5^{(0)} + \kappa_1 e_{26} S_6^{(0)} + \varepsilon_{22} E_2^{(0)} \big). \tag{8}
$$

The charge on and the current flowing into the top electrode of the resonator  $are^{[23,25]}$  $are^{[23,25]}$  $are^{[23,25]}$  $are^{[23,25]}$ 

$$
Q_{\mathbf{e}} = -2c \int_{-a}^{a} D_2 \, \mathrm{d}x_1, \quad I = \dot{Q}_{\mathbf{e}} = \mathrm{i} \,\omega Q_{\mathbf{e}}, \tag{9}
$$

where

$$
D_2 \cong \frac{D_2^{(0)}}{2b} = e_{25}u_{3,1}^{(0)} + \kappa_1 e_{26}(u_{2,1}^{(0)} + u_1^{(1)}) - \varepsilon_{22}\phi^{(1)}.
$$
 (10)

Then the frequency-dependent admittance of the resonator per unit plate area is given by $[29]$ 

<span id="page-3-2"></span>
$$
Y = \frac{1}{4ac} \frac{I}{V}.
$$
 (11)

#### 3. Pure thickness-shear vibration:  $u_1^{(1)}$ 1

For comparison, in this section, we consider the ideal case of a resonator vibrating in pure thickness-shear mode without coupling to flexure and face shear. Strictly speaking, pure thickness-shear vibration can only exist in infinite plates unbounded in the  $x_1$  direction. For finite plates, when couplings to other modes are weak, the plates can be considered as vibrating in pure thickness-shear modes approximately. For pure thickness shear, from Eq.  $(6c)$ , the boundary-value problem is

<span id="page-3-0"></span>
$$
\gamma_{11}u_{1,11}^{(1)} + \psi_{11}\phi_{,11}^{(1)} - 3b^{-2}(\kappa_1^2 c_{66}u_1^{(1)} + \kappa_1 e_{26}\phi^{(1)})
$$
  
=  $-\rho \omega^2 u_1^{(1)}, \quad |x_1| < a,$  (12a)

$$
T_{12}^{(0)} = 0, \quad x_1 = \pm a,\tag{12b}
$$

where we have included free-edge boundary conditions. The general solution of the homogeneous form of Eq. [\(12a\)](#page-3-0) with  $\phi^{(1)} = 0$  symmetric in  $x_1$  can be written as

$$
u_1^{(1)h} = C\cos\xi x_1, \quad \xi = \sqrt{\frac{\rho\omega^2 - \frac{3}{b^2}\kappa_1^2 c_{66}}{\gamma_{11}}},\tag{13}
$$

where *C* is an undetermined constant. A particular solution of the inhomogeneous form of Eqs.  $(12a)$  can be found as

$$
u_1^{(1)p} = \frac{3\kappa_1 e_{26} V}{2\gamma_{11} \xi^2 b^3}.
$$
 (14)

Then the general symmetric solution of the inhomogeneous form of Eqs.  $(12a)$  is

<span id="page-3-1"></span>
$$
u_1^{(1)} = u_1^{(1)h} + u_1^{(1)p}.
$$
 (15)

Substitution of Eq. [\(15\)](#page-3-1) into Eqs. [\(12b\)](#page-3-0) determines *C*:

<span id="page-3-3"></span>
$$
C = -\frac{e_{26}V}{2b\cos(\xi a)} \Big(\frac{1}{\kappa_1 c_{66}} + \frac{3\kappa_1}{\gamma_{11}\xi^2 b^2}\Big). \tag{16}
$$

Then the admittance can be calculated using Eqs.  $(7)$ – $(11)$ . If  $\cos \xi a$  in the denominator of Eq. [\(16\)](#page-3-3) is set to zero, it determines the resonant frequencies of the resonator as

$$
\xi a = a \sqrt{\frac{\rho \omega^2 - \frac{3}{b^2} \kappa_1^2 c_{66}}{\gamma_{11}}} = n\pi + \frac{\pi}{2}, \quad n = 0, 1, 2, \dots (17)
$$

<span id="page-3-4"></span>As a numerical example, we consider a resonator of a typical thickness  $2b = 0.4$  mm. An unbounded plate ( $2a = \infty$ ) with this thickness has a fundamental thickness-shear frequency  $\omega_0 = 2.599099 \times 10^7 \text{ s}^{-1}$ . To take material damping into consideration, in the numerical calculation, complex elastic stiffness  $c_{pq}(1 + iQ^{-1})$  and compliance  $s_{pq}(1 - iQ^{-1})$  are used where *Q* is a positive and large real number (material quality factor).  $Q = 10^3$  is used in our calculation. This value

is smaller than the usual material *Q* of quartz which is of the order of  $10^5$ .  $Q = 10^3$  is used so that resonances are not too sharp and narrow to be shown graphically.  $Q = 10^3$ may be understood as a representation of the damping of the whole structure of the resonator including damping from the supports or mounting and air resistance, etc. Numerical results for resonant frequencies from Eq.  $(17)$  are presented in Fig.  $2(a)$  versus the plate aspect ratio. For a given aspect ratio, there are many (infinite) resonant frequencies as shown in Eq. [\(17\)](#page-3-4). Only three of them in the frequency range of interest are shown. The nearly flat one with  $\omega/\omega_0$  slightly above one with  $n = 0$  in Eq. [\(17\)](#page-3-4) is the fundamental mode of main interest. Near this mode, the admittance with a unit of S=siemens= $1/\Omega$  assumes maxima as shown in Fig. [2\(b\).](#page-3-5)

<span id="page-3-5"></span>

Fig. 2. The pure thickness shear: (a) frequency spectra and (b) admittance/m<sup>2</sup> .

#### 4. Coupled thickness-shear and flexure:  $u_1^{(1)}$  $a_1^{(1)}$  and  $u_2^{(0)}$ 2

It can be seen from Eqs. [\(6\)](#page-2-4) that, when the modes have  $x_1$ dependence which is usually the case for finite plates, thickness shear  $u_1^{(1)}$  $u_1^{(1)}$  and flexure  $u_2^{(0)}$  $2^{(0)}$  are coupled. In this section we examine the effect of this coupling on admittance. From Eqs.  $(6b)$  and  $(6c)$ , the boundary-value problem is:

<span id="page-3-6"></span>
$$
\kappa_1^2 c_{66} u_{2,11}^{(0)} + \kappa_1^2 c_{66} u_{1,1}^{(1)} + \kappa_1 e_{26} \phi_{,1}^{(1)} = -\rho \omega^2 u_2^{(0)},
$$
\n
$$
|x_1| < a, \qquad (18a)
$$
\n
$$
\gamma_{11} u_{1,11}^{(1)} + \psi_{11} \phi_{,11}^{(1)} - 3b^{-2} [\kappa_1^2 c_{66} (u_{2,1}^{(0)} + u_1^{(1)}) + \kappa_1 e_{26} \phi^{(1)}]
$$
\n
$$
= -\rho \omega^2 u_1^{(1)}, \ |x_1| < a, \qquad (18b)
$$

$$
T_{12}^{(0)} = 0, T_{11}^{(1)} = 0, x_1 = \pm a.
$$
 (18c)

The general solution to Eq.  $(18a)$  with  $u_1^{(1)}$  $1^{(1)}$  symmetric in  $x_1$  can be written as

<span id="page-4-0"></span>
$$
u_1^{(1)} = A_1 \cos(\xi_1 x_1) + A_2 \cos(\xi_2 x_1) + \tilde{u}_1^{(1)},
$$
  
\n
$$
u_2^{(0)} = B_1 \sin(\xi_1 x_1) + B_2 \sin(\xi_2 x_1),
$$
\n(19)

where

$$
\tilde{u}_1^{(1)} = \frac{3e_{26}\kappa_1 V}{2b^3} \left(\rho \omega^2 - \frac{3}{b^2} \kappa_1^2 c_{66}\right)^{-1}.\tag{20}
$$

 $\xi_1^2$  and  $\xi_2^2$  are the two roots of the following quadratic equation for  $\xi^2$ 

$$
\gamma_{11}\xi^{4} - \rho\omega^{2}\Big(\frac{\gamma_{1}}{c_{66}\kappa_{1}^{2}} + 1\Big)\xi^{2} + \rho\omega^{2}\Big(\frac{3}{b^{2}} - \frac{\rho\omega^{2}}{c_{66}\kappa_{1}^{2}}\Big) = 0. \quad (21)
$$

 $A_1$ ,  $A_2$ ,  $B_1$ , and  $B_2$  are undetermined constants. They are related by the following two relations:

$$
B_m = \xi_m \left(\frac{\rho \omega^2}{\kappa_1^2 c_{66}} - \xi_m^2\right)^{-1} A_m, \quad m = 1, 2. \tag{22}
$$

Therefore there are two independent undetermined constants. Substitution of Eq. [\(19\)](#page-4-0) into the boundary conditions in Eq. [\(18c\)](#page-3-6) determines  $A_1$ ,  $A_2$ ,  $B_1$ , and  $B_2$ . Then the admittance can be calculated using Eqs.  $(7)$ – $(11)$ . In the special case when the driving voltage  $V = 0$ , the free vibration solution is obtained which determines the resonant frequencies.

Figure  $3(a)$  shows the free vibration frequencies of the modes of interest (corresponding to the modes with  $n = 0$  in Eq. [\(17\)](#page-3-4)) when  $\omega/\omega_0$  is slightly larger than one. Comparison of Fig.  $2(a)$  and Fig.  $3(a)$  shows that, due to the coupling to flexure, the nearly flat curve with  $n = 0$  in Fig. [2\(a\)](#page-3-5) breaks into sections by another family of curves related to flexure. Near the ends of each section, coupling to flexure is known to become severe and the corresponding values of *a*/*b* should be avoided in resonator design.<sup>[\[1\]](#page-6-0)</sup> Figure  $3(b)$  clearly shows that, near the ends of the flat sections in Fig.  $3(a)$ , when coupling to flexure is strong, the admittance drops significantly. Figure [3](#page-4-1) represents the current understanding of the effect of mode coupling on admittance, except that we have plotted Fig. [3\(b\)](#page-4-1) more generally, using a surface. The results of Refs. [\[14\]](#page-6-5) and [\[15\]](#page-6-7) represent the intersection of the surface in Fig.  $3(b)$  with a plane defined by a constant  $\omega$ .

<span id="page-4-1"></span>

Fig. 3. Coupled thickness shear and flexure: (a) frequency spectra, (b) admittance/ $m<sup>2</sup>$ .

#### 5. Coupled thickness-shear, flexure, and faceshear:  $u_1^{(1)}$  $u_1^{(1)}, u_2^{(0)}$  $u_2^{(0)},$  and  $u_3^{(0)}$ 3

From Eqs. [\(6\)](#page-2-4), it can be seen that both the thicknessshear mode and the flexural mode are coupled to the face-shear mode through the elastic constant  $c_{56}$ . Since  $c_{56}$  is relatively small,<sup>[\[29\]](#page-6-19)</sup> this coupling was rarely studied in frequency analysis. The effect of  $c_{56}$  on admittance is little known and will be examined below. The boundary-value problem is:

$$
\kappa_1 c_5 \omega_{2,11}^{(0)} + c_5 \omega_{3,11}^{(0)} + \kappa_1 c_5 \omega_{1,1}^{(1)} + e_{25} \phi_{1,1}^{(1)} = -\rho \omega^2 u_3^{(0)}, \ |x_1| < a,\tag{23a}
$$

<span id="page-4-2"></span>
$$
\kappa_1^2 c_6 u_{2,11}^{(0)} + \kappa_1 c_{56} u_{3,11}^{(0)} + \kappa_1^2 c_{66} u_{1,1}^{(1)} + \kappa_1 e_{26} \phi_{11}^{(1)} = -\rho \omega^2 u_2^{(0)}, \ |x_1| < a,\tag{23b}
$$

$$
\gamma_{11}u_{1,11}^{(1)} + \psi_{11}\phi_{,11}^{(1)} - 3b^{-2}[\kappa_{1}c_{56}u_{3,1}^{(0)} + \kappa_{1}^{2}c_{66}(u_{2,1}^{(0)} + u_{1}^{(1)}) + \kappa_{1}e_{26}\phi^{(1)}] = -\rho\omega^{2}u_{1}^{(1)}, \ |x_{1}| < a,\tag{23c}
$$

<span id="page-4-4"></span>
$$
T_{13}^{(0)} = 0, \quad T_{12}^{(0)} = 0, \quad T_{11}^{(1)} = 0, \quad x_1 = \pm a. \tag{23d}
$$

Depending on the driving frequency, the general solution to Eqs. [\(23\)](#page-4-2) with  $u_1^{(1)}$  $1^{(1)}$  symmetric in  $x_1$  can be written as

<span id="page-4-3"></span>
$$
\begin{Bmatrix} u_1^{(1)} \\ u_2^{(0)} \\ u_3^{(0)} \end{Bmatrix} = \begin{Bmatrix} \sum_{m=1}^3 A_m \cos(\xi_m x_1) + \tilde{u}_1^{(1)} \\ \sum_{m=1}^3 B_m \sin(\xi_m x_1) \\ \sum_{m=1}^3 C_m \sin(\xi_m x_1) \\ 047702-4 \end{Bmatrix}, \text{ when } \omega^2 > \frac{3\kappa_1^2 c_{66}}{\rho b^2},
$$
 (24)

or

<span id="page-5-1"></span>
$$
\begin{Bmatrix} u_1^{(1)} \\ u_2^{(0)} \\ u_3^{(0)} \end{Bmatrix} = \begin{Bmatrix} \sum_{m=1}^2 A_m \cos(\xi_m x_1) \\ \sum_{m=1}^2 B_m \sin(\xi_m x_1) \\ \sum_{m=1}^2 C_m \sin(\xi_m x_1) \end{Bmatrix} + \begin{Bmatrix} A_3 \cosh(\xi_3 x_1) + \tilde{u}_1^{(1)} \\ B_3 \sinh(\xi_3 x_1) \\ C_3 \sinh(\xi_3 x_1) \end{Bmatrix}, \text{ when } 0 < \omega^2 < \frac{3\kappa_1^2 c_{66}}{\rho b^2},
$$
 (25)

where  $\xi_1^2$ ,  $\xi_2^2$ , and  $\xi_3^2$  are the three roots of the cubic equation for  $\xi^2$  obtained by setting the coefficient matrix of the following linear homogeneous equations of *A*, *B*, and *C* to zero:

<span id="page-5-0"></span>
$$
\begin{bmatrix}\n-\kappa_1 c_{56} \xi & -\kappa_1 c_{56} \xi^2 & \rho \omega^2 - c_{55} \xi^2 \\
-\kappa_1^2 c_{66} \xi & \rho \omega^2 - \kappa_1^2 c_{66} \xi^2 & -\kappa_1 c_{56} \xi^2 \\
\rho \omega^2 - \gamma_{11} \xi^2 - 3b^{-2} \kappa_1^2 c_{66} & -3b^{-2} \kappa_1^2 c_{66} \xi & -3b^{-2} \kappa_1 c_{56} \xi\n\end{bmatrix}\n\begin{Bmatrix}\nA \\
B \\
C\n\end{Bmatrix} =\n\begin{Bmatrix}\n0 \\
0 \\
0\n\end{Bmatrix}.
$$
\n(26)

 $A_m$ ,  $B_m$ , and  $C_m$  are undetermined constants. For each value of  $m$ ,  $A_m$ ,  $B_m$ , and  $C_m$  are proportional to an eigenvector of Eq. [\(26\)](#page-5-0) and are thus related. There are three independent undetermined constants. Substitution of Eq.  $(24)$  or Eq.  $(25)$  into the boundary conditions in Eq. [\(23d\)](#page-4-4) determines  $A_m$ ,  $B_m$ , and *Cm*.

<span id="page-5-2"></span>

Fig. 4. Coupled thickness shear, flexure, and face shear: (a) frequency spectra, (b) admittance/ $m^2$ .

Figures  $4(a)$  and  $4(b)$  show the results from free and forced vibration analyses, respectively. Comparison of Fig.  $3(a)$  with Fig.  $4(a)$  shows that, due to the coupling to the face-shear mode, there are additional curves in the frequency spectra with what seem to be "intersections" with the nearly flat sections and the corresponding values of  $a/b$  may be undesirable in design. The admittance in Fig. [4\(b\)](#page-5-2) shows that along the curves related to the face shear in Fig.  $4(a)$ , the admittance has additional peaks or drops. These additional peaks and drops are small and sharp. The main problem is that they

occur when the admittance is supposed to be smooth and the effect of flexure is already avoided, and therefore they require additional considerations in design. It should be noted that the coupling to the face-shear modes may have other undesirable implications. For example, the face-shear mode does not have a cutoff frequency<sup>[\[2,](#page-6-12)[25\]](#page-6-16)</sup> and the related energy trapping<sup>[2,25]</sup> behavior. As a consequence, the unwanted coupling to the faceshear mode may also cause energy leaking at the mounting points.

Figure [4](#page-5-2) represents the main contribution of the present paper and deserves a closer look. In Fig. [5](#page-5-3) we magnify the area near an "intersection" between thickness shear and face shear in Fig.  $4(a)$ . It can be seen that the "intersection" in Fig.  $4(a)$  is not a real intersection. The two curves in fact turn away from each other just as a coupled theory typically predicts.

<span id="page-5-3"></span>

Fig. 5. Local view of the coupling between thickness shear and face shear

The three-dimensional plot in Fig. [4\(b\)](#page-5-2) shows the overall behavior of the admittance clearly. However, for design, it is more convenient to have two-dimensional plots for admittance versus  $a/b^{[14,15]}$  $a/b^{[14,15]}$  $a/b^{[14,15]}$  $a/b^{[14,15]}$  like what is shown in Fig. [6.](#page-6-20) The curves in Fig. [6](#page-6-20) are intersections of the surface in Fig. [4\(b\)](#page-5-2) with planes of constant frequency. They are sensitive to the frequency. In addition to the major admittance drops related to the coupling with flexure, there are additional sharp peaks and drops due to the coupling with face shear. They occur in otherwise smooth portions of the curves.

<span id="page-6-20"></span>

Fig. 6. Admittance/m<sup>2</sup> versus  $a/b$  for coupled thickness shear, flexure, and face shear.

### 6. Conclusion

Quartz thickness-shear resonator admittance with couplings to flexure and face shear is obtained from forced vibration analyses. Results show that at the fundamental thicknessshear mode, admittance assumes maxima. The coupling to flexure causes severe drops of admittance. Coupling to face shear results in additional, small, and sharp peaks or drops. These additional peaks or drops are within regions of the aspect ratio where the coupling to flexure is already avoided. Therefore they may affect the resonator performance in ways unexpected in current design practice. The coupling to face shear may also affect resonator performance in other ways because face shear does not have energy trapping. Therefore values of *a*/*b* corresponding to couplings to flexure and face shear should all be avoided in design in general.

## References

<span id="page-6-0"></span>[1] Mindlin R D 1951 *[J. Appl. Phys.](http://dx.doi.org/10.1063/1.1699948)* 22 316

- <span id="page-6-12"></span><span id="page-6-8"></span>[2] Mindlin R D and Lee P C Y 1966 *[Int. J. Solids Structures](http://dx.doi.org/10.1016/0020-7683(66)90010-2)* 2 125
- [3] Mindlin R D and Spencer W J 1967 *[J. Acoust. Soc. Am.](http://dx.doi.org/10.1121/1.1910716)* 42 1268
- [4] Tiersten H F 1985 *[J. Acoust. Soc. Am.](http://dx.doi.org/10.1121/1.392754)* 78 1684
- [5] Yong Y K and Stewart J T 1991 *[IEEE Trans. Ultrason., Ferroelect.,](http://dx.doi.org/10.1109/58.67837) [Freq. Control](http://dx.doi.org/10.1109/58.67837)* 38 67
- <span id="page-6-13"></span>[6] Wang J and Zhao W H 2005 *[IEEE Trans. Ultrason., Ferroelect., Freq.](http://dx.doi.org/10.1109/TUFFC.2005.1561671) [Control](http://dx.doi.org/10.1109/TUFFC.2005.1561671)* 52 2023
- <span id="page-6-14"></span>[7] Wang J N, Hu Y T and Yang J S 2010 *[IEEE Trans. Ultrason., Ferro](http://dx.doi.org/10.1109/TUFFC.2010.1526)[elect., Freq. Control](http://dx.doi.org/10.1109/TUFFC.2010.1526)* 57 1146
- <span id="page-6-1"></span>[8] Chen G J, Wu R X, Wang J, Du J K and Yang J S 2012 *[IEEE Trans.](http://dx.doi.org/10.1109/TUFFC.2012.2259) [Ultrason., Ferroelect., Freq. Control](http://dx.doi.org/10.1109/TUFFC.2012.2259)* 59 811
- <span id="page-6-2"></span>[9] Wang J and Yang J S 2000 *[Appl. Mech. Rev.](http://dx.doi.org/10.1115/1.3097341)* 53 87
- <span id="page-6-3"></span>[10] Mindlin R D 1952 *[J. Appl. Phys.](http://dx.doi.org/10.1063/1.1701983)* 23 83
- <span id="page-6-9"></span>[11] Tiersten H F and Mindlin R D 1962 *Quart. Appl. Math.* 20 107
- <span id="page-6-4"></span>[12] Bleustein J L and Tiersten H F 1968 *[J. Acoust. Soc. Am.](http://dx.doi.org/10.1121/1.1910986)* 43 1311
- <span id="page-6-5"></span>[13] Mindlin R D 1974 *[Int. J. Solids Structures](http://dx.doi.org/10.1016/0020-7683(74)90112-7)* 10 453
- <span id="page-6-7"></span>[14] Zhang W P 1998 *Proc. 1998 IEEE Int. Freq. Control Sym.* 981
- [15] Zhang W P and Doyle M 2000 *Mechanics of Electromagnetic Materials and Structures,* ed. Yang J S and Maugin G A (Amsterdam: IOS) p. 147
- [16] Lee P C Y and Wang J 1996 *[J. Appl. Phys.](http://dx.doi.org/10.1063/1.361388)* 79 3411
- [17] Lee P C Y and Lin W S 1998 *[J. Appl. Phys.](http://dx.doi.org/10.1063/1.367957)* 83 7822
- [18] Wang J, Yu J D, Yong Y K and Imai T 2000 *[Int. J. Solids Structures](http://dx.doi.org/10.1016/S0020-7683(99)00241-3)* 37 [5653](http://dx.doi.org/10.1016/S0020-7683(99)00241-3)
- [19] Wang J, Zhao W H and Du J K 2006 *[Ultrasonics.](http://dx.doi.org/10.1016/j.ultras.2006.05.033)* 44 869
- [20] Zhang C L, Chen W Q and Yang J S 2009 *Int. J. Appl. Elect. Mech.* 31 207
- <span id="page-6-6"></span>[21] Yong Y K, Patel M S and Tanaka M 2010 *[IEEE Trans. Ultrason., Fer](http://dx.doi.org/10.1109/TUFFC.2010.1622)[roelect., Freq. Control](http://dx.doi.org/10.1109/TUFFC.2010.1622)* 57 1831
- <span id="page-6-10"></span>[22] Mindlin R D 1961 *Quart. Appl. Math.* 19 51
- <span id="page-6-15"></span><span id="page-6-11"></span>[23] Mindlin R D 1972 *[Int. J. Solids Structures](http://dx.doi.org/10.1016/0020-7683(72)90004-2)* 8 895
- [24] Lee P C Y, Syngellakis S and Hou J P 1987 *[J. Appl. Phys.](http://dx.doi.org/10.1063/1.338102)* 61 1249
- <span id="page-6-16"></span>[25] Yang J S 2006 *The Mechanics of Piezoelectric Structures* (Singapore: World Scientific) Chap. 2 and Chap. 4
- <span id="page-6-17"></span>[26] Wang W Y, Zhang C, Zhang Z T, Liu Y and Feng G P 2009 *[Chin. Phys.](http://dx.doi.org/10.1088/1674-1056/18/2/064) B* 18 [795](http://dx.doi.org/10.1088/1674-1056/18/2/064)
- [27] Ma T F, Zhang C, Feng G P and Jiang X N 2010 *[Chin. Phys. B](http://dx.doi.org/10.1088/1674-1056/19/8/087701)* 19 [087701](http://dx.doi.org/10.1088/1674-1056/19/8/087701)
- <span id="page-6-18"></span>[28] Ma T F, Zhang C, Jiang X N and Feng G P 2011 *[Chin. Phys. B](http://dx.doi.org/10.1088/1674-1056/20/5/057701)* 20 [057701](http://dx.doi.org/10.1088/1674-1056/20/5/057701)
- <span id="page-6-19"></span>[29] Tiersten H F 1969 *Linear Piezoelectric Plate Vibrations* (New York: Plenum) p. 186