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Frobenius Betti Numbers and Modules of Finite Protective Dimension

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FROBENIUS BETTI NUMBERS AND MODULES OF FINITE PROJECTIVE DIMENSION

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ABSTRACT. Let (R, \mathfrak{m}, K) be a local ring, and let M be an R -module of finite length. We study asymptotic invariants, $\beta_i^F(M, R)$, defined by twisting with Frobenius the free resolution of M . This family of invariants includes the Hilbert-Kunz multiplicity ($e_{HK}(\mathfrak{m}, R) = \beta_0^F(K, R)$). We discuss several properties of these numbers that resemble the behavior of the Hilbert-Kunz multiplicity. Furthermore, we study when the vanishing of $\beta_i^F(M, R)$ implies that M has finite projective dimension. In particular, we give a complete characterization of the vanishing of $\beta_i^F(M, R)$ for one-dimensional rings. As a consequence of our methods we give conditions for the non-existence of syzygies of finite length.

1. Introduction. Let (R, \mathfrak{m}, K) denote an F -finite local ring of dimension d and characteristic $p > 0$, and let $\alpha = \log_p[K : K^p]$. Given an R -module M and an integer $e \geq 0$, eM denotes the R -module structure on M given by $r * m = r^{p^e}m$ for every $m \in {}^eM$ and $r \in R$. In addition, $\lambda_R(M)$, or simply $\lambda(M)$ when the ring is clear from the context, denotes the length of M as an R -module.

Let $q = p^e$ be a power of p . For an ideal $I \subseteq R$, let $I^{[q]} = (i^q \mid i \in I)$ be the ideal generated by the q th powers of elements in I . If I is \mathfrak{m} -primary, the *Hilbert-Kunz multiplicity of I in R* is defined by

$$e_{HK}(I, R) = \lim_{e \rightarrow \infty} \frac{\lambda(R/I^{[q]})}{q^d}.$$

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The existence of the previous limit was proven by Monsky [25]. Under mild conditions, $e_{HK}(\mathfrak{m}, R) = 1$ if and only if R is a regular ring [34]. The Hilbert-Kunz multiplicity can be interpreted as a measure of singularity: the smaller it is, the nicer is the ring. For instance, Aberbach and Enescu proved rings with small Hilbert-Kunz multiplicity are Gorenstein and F -regular [1] (see also [7]). We have that

$$\lambda(R/I^{[q]}) = q^\alpha \lambda(R/I \otimes_R {}^e R) = q^\alpha \lambda(\mathrm{Tor}_0^R(R/I, {}^e R)).$$

This gives rise to the following extension of the Hilbert-Kunz multiplicity for higher Tor functors. Let N be a finitely generated R -module, and let M be an R -module of finite length. For an integer $i \geq 0$, define

$$\beta_i^F(M, N) = \lim_{e \rightarrow \infty} \frac{\lambda(\mathrm{Tor}_i^R(M, {}^e N))}{q^{(d+\alpha)}}.$$

We denote $\beta_i^F(K, R)$ by $\beta_i^F(R)$ and call it the *ith Frobenius Betti number of R* .

These higher invariants also detect regularity, namely, Aberbach and Li [3] showed that R is a regular ring if and only if $\beta_i^F(R) = 0$ for some $i \geq 1$. Note that R is regular if and only if K has finite projective dimension as R -module.

In this manuscript, we seek an answer to the following question.

Question 1.1. *Let M be an R -module of finite length. What vanishing conditions on $\beta_i^F(M, R)$ imply that M has finite projective dimension?*

Miller [23] showed that, if R is a complete intersection and M is an R -module of finite length, then the vanishing of $\beta_i^F(M, R)$ for some $i \geq 1$ implies that M has finite projective dimension. We refer to [13] for related results for Gorenstein rings. In Section 4, we answer this question for rings that have small regular algebras, and for rings that have F -contributors. Later, we focus on one-dimensional rings and give the following characterization for the vanishing of $\beta_i^F(M, R)$.

Theorem (see Theorem 4.7). *Let (R, \mathfrak{m}, K) be a one-dimensional local ring of positive characteristic p , and let M be an R -module of finite length. Let $(G_j, \varphi_j)_{j \geq 0}$ be a minimal free resolution of M . Then the following are equivalent:*

- (i) $\text{Im}(\varphi_{i+1}) \subseteq H_{\mathfrak{m}}^0(G_i)$.
- (ii) $\text{Tor}_i^R(M, {}^e(R/\mathfrak{p})) = 0$ for all $e \geq 0$, for all $\mathfrak{p} \in \text{Min}(R)$.
- (iii) $\text{Tor}_i^R(M, {}^e(R/\mathfrak{p})) = 0$ for all $e \gg 0$, for all $\mathfrak{p} \in \text{Min}(R)$.
- (iv) $\beta_i^F(M, R) = 0$.

Assume, in addition, that R is complete and K is algebraically closed. If V denotes the integral closure of R in its ring of fractions, then the conditions above are equivalent to

- (v) $\text{Tor}_i^R(M, V) = 0$.

As a consequence of this theorem, we show that, if R is a one dimensional Cohen-Macaulay local ring and $\lambda(M) < \infty$, then $\beta_i^F(M, R) = 0$ for any $i \geq 1$ implies that M has finite projective dimension (see Corollary 4.8). Furthermore, we prove that the vanishing of two consecutive $\beta_i^F(M, R)$ implies that M has finite projective dimension in every one-dimensional local ring (see Corollary 4.9).

From the above theorem we have that $\beta_i^F(M, R) = 0$ if and only the $(i + 1)$ -syzygy has finite length. On the other hand, there are modules of infinite projective dimension over one-dimensional rings which have second syzygies of finite length (see Example 5.1). Motivated by Iyengar’s question about the eventual stability of dimensions of syzygies and by our results regarding $\beta_i^F(M, R)$, we ask the following question.

Question 1.2. *Let R be a d -dimensional local ring, and let M be a finitely generated R -module such that $\text{pd}_R(M) = \infty$ and $\lambda(M) < \infty$. If $i > d + 1$, then must the length of the i th syzygy be infinite?*

In Section 5, we study this question, mainly for one-dimensional rings. In particular, we show that the answer to Question 1.2 is positive for one-dimensional Buchsbaum rings (see Proposition 5.3). We also obtain a partial answer for modules whose Betti numbers are eventually non-decreasing (see Proposition 5.7). Furthermore, we show that the first and third syzygies of M are either zero or have infinite length for every finite length module M over a one-dimensional ring (see Corollary 5.10). The assumption of M having finite length is necessary, as shown in Example 5.11. Aside from the study of projective dimension, we study basic properties of the higher invariants that resemble the Hilbert-Kunz multiplicity in other aspects.

2. Notation and terminology. Throughout this article, (R, \mathfrak{m}, K) will denote a local ring of Krull dimension $\dim(R) = d$. For a finitely generated R -module M , we define $\dim(M) = \dim(R/(0 :_R M))$, where $0 :_R M = \{x \in R \mid xM = 0\}$. When $M = 0$, we set $\dim(M) = -1$. An element $x \in R$ such that $\dim(R/(x)) = d - 1$ will be called a *parameter of R* . Given a finitely generated R -module M , a *minimal free resolution* $(G_\bullet, \varphi_\bullet)$ of M is an exact sequence

$$\cdots \rightarrow G_{i+1} \xrightarrow{\varphi_{i+1}} G_i \xrightarrow{\varphi_i} \cdots \rightarrow G_1 \xrightarrow{\varphi_1} G_0 \rightarrow M \rightarrow 0$$

such that $G_i \cong R^{\beta_i(M)}$ are free R -modules and $\text{Im}(\varphi_{i+1}) \subseteq \mathfrak{m}G_i$. The integers $\beta_i(M) = \text{rk}(G_i) = \lambda(\text{Tor}_j^R(M, K))$ are called the *Betti numbers of M* . If $\beta_i(M) = 0$ for some i , we say that M has *finite projective dimension*, and that it is equal to $\text{pd}_R(M) = \max\{i \in \mathbb{N} \mid \beta_i(M) \neq 0\}$. We adopt the convention that $\text{pd}_R(M) = -\infty$, when $M = 0$. For all $i \geq 0$, we set $\Omega_i(M) = \text{Coker}(\varphi_i)$, and we call it the *i th syzygy of the module M* . Note that $\Omega_0(M) = M$. When no confusion may arise, we will denote $\Omega_i(M)$ simply by Ω_i .

Herein, we often use local cohomology tools. For every $k \in \mathbb{N}$, the quotient map $R/\mathfrak{m}^{k+1} \rightarrow R/\mathfrak{m}^k$ induces maps of functors

$$\text{Ext}_R^i(R/\mathfrak{m}^k, -) \longrightarrow \text{Ext}_R^i(R/\mathfrak{m}^{k+1}, -).$$

For an R -module M , we define the *i th local cohomology of M with support on \mathfrak{m}* by

$$H_{\mathfrak{m}}^i(M) = \lim_{k \rightarrow \infty} \text{Ext}_R^i(R/\mathfrak{m}^k, M).$$

In particular,

$$H_{\mathfrak{m}}^0(M) = \bigcup_{k \in \mathbb{N}} 0 :_M \mathfrak{m}^k = \{v \in M \mid \mathfrak{m}^k v = 0 \text{ for some } k \in \mathbb{N}\}.$$

For a non-zero finitely generated R -module M , $\text{depth}(M)$ denotes the smallest integer j such that $H_{\mathfrak{m}}^j(M) \neq 0$. When $\text{depth}(M) = \dim(M)$, the module is called *Cohen-Macaulay*, and M is called *maximal Cohen-Macaulay* if $\text{depth}(M) = \dim(R)$.

We now review some basic facts regarding integral closures. For an ideal $I \subseteq R$ and an element $x \in R$, we say that x is integral over I if it satisfies an equation of the form $x^n + r_1 x^{n-1} + \cdots + r_n = 0$, where $r_j \in I^j$ for all $j = 1, \dots, n$. The set of elements integral over I forms

an ideal, which is called the *integral closure of I* , and denoted \bar{I} . For an ideal $J \subseteq I$, we say that J is a *reduction of I* if $\bar{J} = \bar{I}$. We say that J is a *minimal reduction of I* if it is a reduction of I which is minimal with respect to containment. We refer the reader to [32, Chapter 8] for more details about reductions. For a domain R , let V be the integral closure of R in its field of fractions L . We define the *conductor of R* as the set of all elements $z \in L$ such that $zV \subseteq R$, and we denote it by C . When V is finite over R , it can be shown that C is the largest ideal which is common to R and V , and that C contains a non-zero divisor for R [32, Exercise 2.11]. In particular, if (R, \mathfrak{m}, K) is an excellent one-dimensional local domain, the conductor is \mathfrak{m} -primary. See [32, Chapter 12] for more results about conductors.

We also need the notion of *dualizing complex*. We refer to [27, page 51] or to [15, Chapter V] for more details.

Definition 2.1. Let (S, \mathfrak{n}, L) be a local ring of dimension d . We say that a complex D^\bullet is a dualizing complex of S , if

- (i) $D^i = \bigoplus_{\dim S/\mathfrak{p}=d-i} E_S(S/\mathfrak{p})$.
- (ii) The cohomology $H^i(D^\bullet)$ is finitely generated.

Remark 2.2. If (S, \mathfrak{n}, L) is a complete ring, then S has a dualizing complex, D_S^\bullet [15, page 299]. If \mathfrak{p} is a prime ideal such that $\dim S/\mathfrak{p} = \dim S$, we have that $S_{\mathfrak{p}}$ is Artinian, hence complete. In addition, $D_{S_{\mathfrak{p}}}^\bullet := D_S^\bullet \otimes S_{\mathfrak{p}}$ is a dualizing complex for $S_{\mathfrak{p}}$. Furthermore, $H^j(D_{S_{\mathfrak{p}}}^\bullet) = H^j(D_S^\bullet) \otimes S_{\mathfrak{p}} = 0$ for $j > 0$ and $\omega_{S_{\mathfrak{p}}} \cong H^0(D_{S_{\mathfrak{p}}}^\bullet) = E_{S_{\mathfrak{p}}}(S_{\mathfrak{p}}/\mathfrak{p}S_{\mathfrak{p}})$, since $S_{\mathfrak{p}}$ is Artinian, and thus it is Cohen-Macaulay.

We now introduce Buchsbaum rings. We study Question 1.2 in Section 5.

Definition 2.3. Let (R, \mathfrak{m}, K) be a local ring of dimension d . We say that R is a *Buchsbaum ring* if, for any system of parameters x_1, \dots, x_d , we have

$$(x_1, \dots, x_{i-1}) : x_i = (x_1, \dots, x_{i-1}) : \mathfrak{m}$$

for every $i = 1, \dots, d$. When $i = 1$, the ideal (x_1, \dots, x_{i-1}) is simply the zero ideal.

There are several equivalent ways for defining Buchsbaum rings, but that above is the most convenient for our purposes.

Remark 2.4. Let (R, \mathfrak{m}, K) be a one-dimensional local ring. Suppose that R is not Cohen-Macaulay, so that $H_{\mathfrak{m}}^0(R) \neq 0$. Then there exists a parameter x of R such that $H_{\mathfrak{m}}^0(R) = 0 :_{Rx}$. In fact, fix an integer $n \in \mathbb{N}$ such that $\mathfrak{m}^n H_{\mathfrak{m}}^0(R) = 0$, using that $H_{\mathfrak{m}}^0(R) \subseteq R$ is an ideal; hence, it is finitely generated. Take any parameter $y \in \mathfrak{m}$, and set $x = y^n$. With this choice, we have $xH_{\mathfrak{m}}^0(R) \subseteq \mathfrak{m}^n H_{\mathfrak{m}}^0(R) = 0$, so that $H_{\mathfrak{m}}^0(R) \subseteq 0 :_{Rx}$. On the other hand, there exists a $k \in \mathbb{N}$ such that $\mathfrak{m}^k \subseteq (x)$. Therefore, if $r \in 0 :_{Rx}$, we get $r\mathfrak{m}^k \subseteq r(x) = 0$, so that $r \in H_{\mathfrak{m}}^0(R)$. We conclude that $H_{\mathfrak{m}}^0(R) = 0 :_{Rx}$.

Remark 2.5. Let (R, \mathfrak{m}, K) be a one-dimensional Buchsbaum ring. By Remark 2.4, there exists a parameter $x \in R$ such that $0 :_R x = H_{\mathfrak{m}}^0(R)$. By the definition of the Buchsbaum ring, we have that

$$H_{\mathfrak{m}}^0(R) = 0 :_{Rx} = 0 :_{R\mathfrak{m}}.$$

In particular, $\mathfrak{m}H_{\mathfrak{m}}^0(R) = 0$, that is, $H_{\mathfrak{m}}^0(R) \cong \bigoplus_{j=1}^t K$ is a finite-dimensional K -vector space.

For the rest of the section, assume that (R, \mathfrak{m}, K) is a local ring of characteristic $p > 0$. For an integer $e \geq 1$, we consider the e th iteration of the Frobenius endomorphism $F^e : R \rightarrow R$, $F^e(r) = r^{p^e}$ for all $r \in R$. For an R -module M , we can consider M with the action induced by restriction of scalars, via F^e . We denote this module by eM . More explicitly, for $r \in R$ and $m \in {}^eM$, we have $r * m = r^{p^e} m$.

Definition 2.6. We say that R is F -finite if 1R is a finitely generated R -module.

Note that R is F -finite if and only if eR is a finitely generated R -module for any $e \geq 1$ or, equivalently, for all $e \geq 1$. Furthermore, F -finite rings are excellent [20, Theorem 2.5]. When R is F -finite, we have that $[K : K^p] < \infty$. In this case, we set $\alpha = \log_p [K : K^p]$.

3. Definition and properties of $\beta_i^F(M, N)$ and $\mu_i^F(M, N)$. We begin by defining the Frobenius Betti numbers and showing basic properties that resemble the Hilbert-Kunz multiplicity.

Definition 3.1 (see also [22]). Let (R, \mathfrak{m}, K) be a local ring of characteristic $p > 0$, let M be an R -module of finite length, and let N be a finitely generated R -module. Define

$$\beta_{i,R}^F(M, N) = \lim_{e \rightarrow \infty} \frac{\lambda(\text{Tor}_i^R(M, {}^eN))}{q^{(d+\alpha)}}.$$

We denote $\beta_{i,R}^F(K, R)$ by $\beta_{i,R}^F(R)$ and call it the i th Frobenius Betti number of R . If the ring is clear from the context, we only write $\beta_i^F(M, N)$. The above limit exists by the main result in [29].

We point out that Li [22] focused on $\beta_i^F(R/I, R)$, which he denoted by $t_i(I, R)$.

Example 3.2. Suppose that $R = S/fS$, where S is an F -finite regular local ring of characteristic $p > 0$, and $f \in S$. We write ${}^eR \cong R^{a_e} \oplus M_e$, where M_e has no free summands. The limit $s(R) := \lim_{e \rightarrow \infty} (a_e/q^{(d+\alpha)})$ exists [33, Theorem 4.9], and it is called the F -signature of R , which is an important invariant related to strong F -regularity [2, Theorem 0.2]. We consider the minimal free resolution of eR :

$$\dots \rightarrow R^{\beta_i({}^eR)} \rightarrow R^{\beta_{i-1}({}^eR)} \rightarrow \dots \rightarrow R^{\beta_0({}^eR)} \rightarrow {}^eR \rightarrow 0.$$

We note that $\beta_0({}^eR) = a_e + \beta_0(M_e)$ and $\beta_i({}^eR) = \beta_i(M_e)$ for $i > 0$. Since M_e is a maximal Cohen-Macaulay module with no free summands, we have that $\beta_i(M_e) = \beta_0(M_e)$ for $i > 0$ [14, Proposition 5.3 and Theorem 6.1]. Then,

$$\begin{aligned} \beta_0^F(R) &= e_{HK}(\mathfrak{m}, R) = \lim_{e \rightarrow \infty} \frac{\beta_0({}^eR)}{q^{(d+\alpha)}} \\ &= \lim_{e \rightarrow \infty} \frac{a_e}{q^{(d+\alpha)}} + \lim_{e \rightarrow \infty} \frac{\beta_0(M_e)}{q^{(d+\alpha)}} \\ &= s(R) + \lim_{e \rightarrow \infty} \frac{\beta_0(M_e)}{q^{(d+\alpha)}}. \end{aligned}$$

Hence,

$$\begin{aligned} \beta_i^F(R) &= \lim_{e \rightarrow \infty} \frac{\beta_i({}^eR)}{q^{(d+\alpha)}} = \lim_{e \rightarrow \infty} \frac{\beta_i(M_e)}{q^{(d+\alpha)}} \\ &= \lim_{e \rightarrow \infty} \frac{\beta_0(M_e)}{q^{(d+\alpha)}} = e_{HK}(\mathfrak{m}, R) - s(R) \end{aligned}$$

for $i > 0$.

As for the Hilbert-Kunz multiplicity, the Frobenius Betti numbers also increase after taking the quotient by a nonzero divisor.

Proposition 3.3. *Let (R, \mathfrak{m}, K) be a local ring of characteristic $p > 0$, M an R -module of finite length, and $x \in \text{ann}(M)$ a nonzero divisor on R . Then,*

$$\begin{aligned} \beta_{i,R}^F(M, R) &= \lim_{e \rightarrow \infty} \frac{\lambda(\text{Tor}_i^R(M, {}^eR))}{q^{(d+\alpha)}} \leq \beta_{i,R/(x)}^F(N, R/(x)) \\ &= \lim_{e \rightarrow \infty} \frac{\lambda(\text{Tor}_i^{R/(x)}(M, {}^e(R/(x))))}{q^{(d-1+\alpha)}}, \end{aligned}$$

where the subscripts indicate over which ring we are computing the Frobenius Betti numbers. In particular, $\beta_{i,R}^F(R) \leq \beta_{i,R/(x)}^F(R/(x))$.

Proof. Let $G_\bullet \rightarrow {}^eR$ be a minimal free resolution of eR . Let \bar{R} denote R/xR . We have that $\bar{G}_\bullet = G_\bullet \otimes_R \bar{R}$ is a free resolution for ${}^eR \otimes_R \bar{R}$ as an \bar{R} -module. Furthermore, we have that $H_0(\bar{G}_\bullet) = {}^eR \otimes_R \bar{R}$. This is a consequence of the fact that $H_i(\bar{G}_\bullet) = \text{Tor}_i^R({}^eR, \bar{R}) = 0$ for $i > 0$ since x is a nonzero divisor on R and eR .

Due to the fact that $x \in \text{ann}(M)$, we have

$$\begin{aligned} \text{Tor}_i^R(M, {}^eR) &= H_i(M \otimes_R G_\bullet) = H_i(M \otimes_{\bar{R}} \bar{R} \otimes_R G_\bullet) \\ &= H_i(M \otimes_{\bar{R}} \bar{G}_\bullet) \\ &= \text{Tor}_i^{\bar{R}}(M, {}^eR \otimes_R \bar{R}). \end{aligned}$$

Since x is a nonzero divisor on R , there is a filtration

$$0 = L_0 \subseteq L_1 \subseteq \dots \subseteq L_q = {}^eR \otimes_R \bar{R}$$

such that $L_{r+1}/L_r = {}^e(\bar{R})$. As a consequence, $\lambda(\text{Tor}_i^{\bar{R}}(M, {}^eR \otimes_R \bar{R})) \leq q \cdot \lambda(\text{Tor}_i^{\bar{R}}(M, {}^e\bar{R}))$. Then,

$$\lim_{e \rightarrow \infty} \frac{\lambda(\text{Tor}_i^R(M, {}^eR))}{q^{(d+\alpha)}} \leq \lim_{e \rightarrow \infty} \frac{\lambda(\text{Tor}_i^{\bar{R}}(M, {}^e\bar{R}))}{q^{(d-1+\alpha)}} \quad \square$$

We now introduce $\mu_i^F(M, N)$, a dual version of $\beta_i^F(M, N)$, which is defined in terms of Ext. In Proposition 3.11, we establish a relation between these asymptotic invariants.

Definition 3.4. Let (R, \mathfrak{m}, K) be a local ring of characteristic $p > 0$, let M be an R -module of finite length, and let N be a finitely generated R -module. We define

$$\mu_i^F(M, N) = \lim_{e \rightarrow \infty} \frac{\lambda(\text{Ext}_R^i(M, {}^eN))}{q^{(d+\alpha)}}$$

Next, we prove that the numbers $\mu_i^F(M, N)$ are well defined. The proof is essentially the same as that for $\beta_i^F(M, N)$, as it uses the main result in [29]. Nonetheless, we include it here for completeness.

Proposition 3.5. *Let (R, \mathfrak{m}, K) be a local ring of characteristic $p > 0$, let M be an R -module of finite length, and let N be a finitely generated R -module. Then, $\lim_{e \rightarrow \infty} [\lambda(\text{Ext}_R^i(N, {}^eM))] / q^{(d+\alpha)}$ exists. Moreover, if*

$$0 \longrightarrow N_1 \longrightarrow N_2 \longrightarrow N_3 \longrightarrow 0$$

is a short exact sequence, then

$$\lim_{e \rightarrow \infty} \frac{\lambda(\text{Ext}_R^i(M, {}^eN_2))}{q^{(d+\alpha)}} = \lim_{e \rightarrow \infty} \frac{\lambda(\text{Ext}_R^i(M, {}^eN_1))}{q^{(d+\alpha)}} + \lim_{e \rightarrow \infty} \frac{\lambda(\text{Ext}_R^i(M, {}^eN_3))}{q^{(d+\alpha)}}.$$

Proof. Let $G_\bullet \rightarrow M$ be a minimal free resolution of M , and define

$$g_e(N) = \lambda(H^i(\text{Hom}_R(G_\bullet, {}^eN)).$$

Let $0 \rightarrow N_1 \rightarrow N_2 \rightarrow N_3 \rightarrow 0$ be a short exact sequence of finitely generated R -modules. We have that $g_e(N_2) \leq g_e(N_1) + g_e(N_3)$, and equality holds if the sequence splits. Then,

$$\lim_{e \rightarrow \infty} \frac{g_e(N)}{q^{(d+\alpha)}} = \lim_{e \rightarrow \infty} \frac{\lambda(\text{Ext}_R^i(M, {}^eN))}{q^{(d+\alpha)}}$$

exists, and it is additive in short exact sequences [29]. □

Proposition 3.6. *Let (R, \mathfrak{m}, K) be a local ring of characteristic $p > 0$, M an R -module of finite length, and N a finitely generated R -module. Let Λ be the set of all prime ideals \mathfrak{p} such that $\dim R/\mathfrak{p} = \dim R$. We have that*

$$\beta_i^F(M, N) = \sum_{\mathfrak{p} \in \Lambda} \beta_i^F(M, R/\mathfrak{p}) \lambda_{R/\mathfrak{p}}(N_{\mathfrak{p}})$$

and

$$\mu_i^F(M, N) = \sum_{\mathfrak{p} \in \Lambda} \mu_i^F(M, R/\mathfrak{p}) \lambda_{R_{\mathfrak{p}}}(N_{\mathfrak{p}}).$$

Proof. We only prove the first statement, since the proof of the second is completely analogous. Let $0 = N_0 \subseteq N_1 \subseteq \dots \subseteq N_h = N$ be a filtration for N such that $N_j/N_{j-1} \cong R/\mathfrak{p}_j$, where $\mathfrak{p}_j \subseteq R$ is a prime ideal; we have short exact sequences

$$0 \longrightarrow N_{j-1} \longrightarrow N_j \longrightarrow R/\mathfrak{p}_j \longrightarrow 0.$$

We deduce that

$$\beta_i^F(M, N) = \sum_{j=1}^h \beta_i^F(M, R/\mathfrak{p}_j)$$

[29, Proposition 1 (b)]. In addition, we have that $\beta_i^F(M, R/\mathfrak{p}_j) = 0$ whenever $\dim(R/\mathfrak{p}_j) < \dim(R)$ [29, Proposition 1 (a)]. In order to prove the result, we need to count the number of times that a prime \mathfrak{p} such that $\dim R/\mathfrak{p} = \dim R$ appears in the prime filtration. This number is obtained by localizing the above filtration at \mathfrak{p} and counting the length of the resulting chain. Since the localized chain is a composition series of the module $N_{\mathfrak{p}}$, we obtain that the number of times \mathfrak{p} appears in the above prime filtration is given by $\lambda_{R_{\mathfrak{p}}}(N_{\mathfrak{p}})$. Then,

$$\beta_i^F(M, N) = \sum_{j=1}^h \sum_{\mathfrak{p}_j \in \Lambda} \beta_i^F(M, R/\mathfrak{p}_j) = \sum_{\mathfrak{p} \in \Lambda} \beta_i^F(M, R/\mathfrak{p}) \lambda_{R_{\mathfrak{p}}}(N_{\mathfrak{p}}). \quad \square$$

Remark 3.7. It follows from Proposition 3.6 that, if $\beta_i^F(M, R) = 0$ for some $i \in \mathbb{N}$, we have that $\beta_i^F(M, R/\mathfrak{p}) = 0$ for every minimal prime of R such that $\dim(R/\mathfrak{p}) = d$. Therefore, if this is the case, $\beta_i^F(M, N) = 0$ for every finitely generated R -module N , again using Proposition 3.6. A similar statement holds for $\mu_i^F(M, R)$.

The following theorem is related to results of Chang [10, Lemma 1.20, Corollary 2.4], and in some cases it follows from them. We present a different proof that does not use spectral sequences.

Theorem 3.8. *Let (R, \mathfrak{m}, K) be a local ring of characteristic $p > 0$, M an R -module of finite length, and N a finitely generated R -module. Then*

$$\lim_{e \rightarrow \infty} \frac{\lambda(\text{Ext}_R^i(M, {}^e N))}{q^{(i+1+\alpha)}} = 0$$

for $i < d$. In particular, $\mu_i^F(M, N) = 0$ for $i < d$.

Proof. Our proof follows by induction on $n = \dim(N)$.

If $n = 0$, we have that $h = \lambda(N)$ is finite. There is a filtration

$$0 = N_0 \subseteq N_1 \subseteq \dots \subseteq N_h = N$$

such that $N_j/N_{j-1} \cong K$. From the short exact sequences

$$0 \longrightarrow N_{j-1} \longrightarrow N_j \longrightarrow K \longrightarrow 0,$$

we have that

$$\lambda(\text{Ext}_R^i(M, {}^e N_j)) \leq \lambda(\text{Ext}_R^i(K, {}^e N_{j-1})) + \lambda(\text{Ext}_R^i(K, {}^e K)).$$

Since

$$\begin{aligned} \lim_{e \rightarrow \infty} \frac{\lambda(\text{Ext}_R^i(M, {}^e K))}{q^{(i+1+\alpha)}} &= \lim_{e \rightarrow \infty} \frac{q^\alpha \lambda(\text{Ext}_R^i(M, K))}{q^{(i+1+\alpha)}} \\ &= \lim_{e \rightarrow \infty} \frac{\lambda(\text{Ext}_R^i(M, K))}{q^{(i+1)}} = 0, \end{aligned}$$

we have that

$$\lim_{e \rightarrow \infty} \frac{\lambda(\text{Ext}_R^i(M, {}^e N))}{q^{(i+1+\alpha)}} = 0$$

by an inductive argument.

Suppose that our claim is true for modules of dimension less than or equal to $n - 1$. There is a filtration

$$0 = N_0 \subseteq N_1 \subseteq \dots \subseteq N_h = N$$

such that $N_j/N_{j-1} \cong R/\mathfrak{p}_j$, where $\mathfrak{p}_j \subset R$ is a prime ideal. From the short exact sequences $0 \rightarrow N_{j-1} \rightarrow N_j \rightarrow R/\mathfrak{p}_j \rightarrow 0$, we have that

$$\lambda(\text{Ext}_R^i(M, {}^e N_j)) \leq \lambda(\text{Ext}_R^i(M, {}^e N_{j-1})) + \lambda(\text{Ext}_R^i(M, {}^e (R/\mathfrak{p}_j))).$$

It suffices to show that

$$(3.1) \quad \lim_{e \rightarrow \infty} \frac{\lambda(\text{Ext}_R^i(M, {}^e(R/\mathfrak{p}_j)))}{q^{(i+1+\alpha)}} = 0$$

for primes \mathfrak{p}_j such that $\dim_R(R/\mathfrak{p}_j) = n = \dim_R N$. Let $T = R/\mathfrak{p}_j$. Let $x \in \text{Ann}_R M \setminus \mathfrak{p}_j$, which exists because $\dim_R T = \dim_R N > 0 = \dim_R M$. We have a short exact sequence

$$0 \longrightarrow {}^eT \xrightarrow{x} {}^eT \longrightarrow {}^eT/x({}^eT) \longrightarrow 0,$$

which induces a long exact sequence

$$(3.2) \quad \cdots \longrightarrow \text{Ext}_R^i(M, {}^eT) \xrightarrow{0} \text{Ext}_R^i(M, {}^eT) \longrightarrow \text{Ext}_R^i(M, {}^eT/x({}^eT)) \longrightarrow \cdots.$$

Then, for every i ,

$$\lambda(\text{Ext}_R^i(M, {}^eT)) \leq \lambda(\text{Ext}_R^{i-1}(M, {}^eT/x({}^eT))).$$

We have a filtration

$$0 = L_0 \subseteq L_1 \subseteq \cdots \subseteq L_q = {}^eT/x({}^eT)$$

such that $L_{r+1}/L_r = {}^e(T/xT)$ since x is not a zero divisor of T . From the induced long exact sequence by $\text{Ext}_R^i(M, -)$, we have that

$$\lambda(\text{Ext}_R^i(M, {}^eT/x({}^eT))) \leq q \cdot \lambda(\text{Ext}_R^i(M, {}^e(T/xT))).$$

Therefore,

$$\begin{aligned} \lim_{e \rightarrow \infty} \frac{\lambda(\text{Ext}_R^i(M, {}^eT))}{q^{(i+1+\alpha)}} &\leq \lim_{e \rightarrow \infty} \frac{\lambda(\text{Ext}_R^{i-1}(M, {}^eT/x({}^eT)))}{q^{(i+1+\alpha)}} \\ &\leq \lim_{e \rightarrow \infty} \frac{q \cdot \lambda(\text{Ext}_R^{i-1}(M, {}^e(T/xT)))}{q^{(i+1+\alpha)}} \\ &= \lim_{e \rightarrow \infty} \frac{\lambda(\text{Ext}_R^{i-1}(K, {}^e(T/xT)))}{q^{(i+\alpha)}} \\ &= 0 \quad \text{since } \dim T/xT = n - 1. \quad \square \end{aligned}$$

Corollary 3.9. *Let (R, \mathfrak{m}, K) be a local ring of characteristic $p > 0$. Let N be a finitely generated R -module, and let C be an R -module such that, for all $e \gg 0$, C^{θ_e} is a direct summand of eN for some $\theta_e \in \mathbb{N}$. Assume that $\theta = \limsup_{e \rightarrow \infty} (\theta_e/q^{(d+\alpha)}) > 0$. Then, for all R -modules*

M of finite length, and all integers i , we have

$$\mu_i^F(M, N) \geq \theta \cdot \lambda(\text{Ext}_R^i(M, C)).$$

In particular, C is a maximal Cohen-Macaulay module.

Proof. We have

$$\begin{aligned} \mu_i^F(M, N) &= \lim_{e \rightarrow \infty} \frac{\lambda(\text{Ext}_R^i(M, {}^eN))}{q^{(d+\alpha)}} \geq \limsup_{e \rightarrow \infty} \frac{\theta_e \cdot \lambda(\text{Ext}_R^i(M, C))}{q^{(d+\alpha)}} \\ &= \theta \cdot \lambda(\text{Ext}_R^i(M, C)). \end{aligned}$$

Using $M = K$ in Theorem 3.8, we obtain that $\mu_i^F(K, N) = 0$ for all $i < d$. It follows from the inequality that $\text{Ext}_R^i(K, C) = 0$ for all $i < d$, and then C is a maximal Cohen-Macaulay module. \square

Remark 3.10. Let (R, \mathfrak{m}, K) be a local ring of characteristic $p > 0$, and let N be a finitely generated R -module. We say that an R -module C is an F -contributor of N if C^{θ_e} is a direct summand of eN for $e \gg 0$, and $\limsup_{e \rightarrow \infty} (\theta_e/q^{(d+\alpha)}) > 0$ [35]. Corollary 3.9 shows that every F -contributor is a maximal Cohen-Macaulay module. This was already noted by Yao [35, Lemma 2.2] when N has finite F -representation type.

The next proposition shows that taking limits with respect to Tor or Ext give the same invariants up to a shift in the homological degrees.

Proposition 3.11. *Let (R, \mathfrak{m}, K) be a local ring of characteristic $p > 0$ and M an R -module of finite length. Then,*

$$\beta_i^F(M, R) = \mu_{d+i}^F(M, R)$$

for every $i \in \mathbb{N}$.

Proof. Since $\beta_i^F(M, R)$ and $\mu_{d+i}^F(M, R)$ are not affected by completion at \mathfrak{m} , we may assume that R is a complete local ring. In this case, R has a dualizing complex D_R^\bullet by Remark 2.2. We have that

$$\beta_i^F(M, R) = \mu_{d+i}^F(M, H^0(D_R^\bullet))$$

by [10, Proposition 2.3(2)]. Let Λ be the set of all prime ideals of R such that $\dim R/\mathfrak{p} = \dim R$. Let $\mathfrak{p} \in \Lambda$. We have that

$(H^0(D_R^\bullet))_{\mathfrak{p}} = H^0(D_{R_{\mathfrak{p}}}^\bullet) = \omega_{R_{\mathfrak{p}}}$ by Remark 2.2. We have that $\omega_{R_{\mathfrak{p}}} = \text{Hom}_{R_{\mathfrak{p}}}(R_{\mathfrak{p}}, E_{R_{\mathfrak{p}}}(R_{\mathfrak{p}}/\mathfrak{p}R_{\mathfrak{p}}))$ and $\lambda_{R_{\mathfrak{p}}}(\omega_{R_{\mathfrak{p}}}) = \lambda_{R_{\mathfrak{p}}}(R_{\mathfrak{p}})$. Finally, by Proposition 3.6,

$$\begin{aligned} \mu_{d+i}^F(M, H^0(D_R^\bullet)) &= \sum_{\mathfrak{p} \in \Lambda} \mu_{d+i}^F(M, R/\mathfrak{p}) \lambda_{R_{\mathfrak{p}}}(H^0(D_{R_{\mathfrak{p}}}^\bullet)) \\ &= \sum_{\mathfrak{p} \in \Lambda} \mu_{d+i}^F(M, R/\mathfrak{p}) \lambda_{R_{\mathfrak{p}}}(\omega_{R_{\mathfrak{p}}}) \\ &= \sum_{\mathfrak{p} \in \Lambda} \mu_{d+i}^F(M, R/\mathfrak{p}) \lambda_{R_{\mathfrak{p}}}(R_{\mathfrak{p}}) \\ &= \mu_{d+i}^F(M, R). \end{aligned} \quad \square$$

Remark 3.12. If R itself has an F -contributor C , then we get a relation involving the β_i^F 's. In fact, by Proposition 3.11, we have $\beta_i^F(M, R) = \mu_{d+i}^F(M, R)$ for all $i \in \mathbb{N}$. Thus, in the notation of Corollary 3.9, we have $\beta_i^F(M, R) \geq \theta \cdot \lambda(\text{Ext}_R^{d+i}(M, C))$.

We end this section with a proposition which shows how $\beta_i^F(M, N)$ behaves under some flat ring extensions. First, we need a different way of computing $\beta_i^F(M, N)$.

Remark 3.13. Let (R, \mathfrak{m}, K) be a local ring of characteristic $p > 0$, let M be an R -module of finite length, and let N be a finitely generated R -module. Let $G_\bullet = (G_j, \varphi_j)_{j \geq 0}$ denote a minimal free resolution of M . Let $G_\bullet^{[q]}$ be the complex $(G_j, \varphi_j^{[q]})_{j \geq 0}$, where the matrix of $\varphi_j^{[q]}$ has as entries the q th powers of the entries in the matrix of φ_j . We have that

$$\lambda(\text{Tor}_i^R(M, {}^eN)) = q^\alpha \lambda(H_i(G_\bullet^{[q]} \otimes_R N)).$$

Hence,

$$\beta_i^F(M, N) = \lim_{q \rightarrow \infty} \frac{\lambda(H_i(G_\bullet^{[q]} \otimes_R N))}{q^d}.$$

Proposition 3.14. *Let $(R, \mathfrak{m}, K) \rightarrow (S, \mathfrak{n}, L)$ be a flat extension of two F -finite local rings of characteristic $p > 0$. Let M be a finite length R -module. Let $\alpha = \log_p[K : K^p]$ and $\theta = \log_p[L : L^p]$. Suppose that*

$\mathfrak{m}S = \mathfrak{n}$. Then,

$$\begin{aligned} \beta_{i,R}^F(M, R) &= \lim_{e \rightarrow \infty} \frac{\lambda(\text{Tor}_i^R(M, {}^eR))}{p^{e(d+\alpha)}} \\ &= \lim_{e \rightarrow \infty} \frac{\lambda(\text{Tor}_i^S(M \otimes_R S, {}^eS))}{p^{e(d+\theta)}} \\ &= \beta_{i,S}^F(M \otimes_R S, S). \end{aligned}$$

In particular, we have that $\beta_{i,R}^F(M, R) = \beta_{i,\widehat{R}}^F(\widehat{M}, \widehat{R})$.

Proof. Let $q = p^e$. We have:

$$\begin{aligned} \frac{\lambda_R(\text{Tor}_i^R(M, {}^eR))}{q^\alpha} &= \lambda_R(H_i(G_\bullet^{[q]})) \quad \text{by Remark 3.13} \\ &= \lambda_S(H_i(G_\bullet^{[q]} \otimes_R S)) \\ &\quad \text{since } S \text{ is flat and } \mathfrak{m}S = \mathfrak{n} \\ &= \lambda_S(H_i((G_\bullet \otimes_R S)^{[q]})) \\ &\quad \text{since } G_\bullet \text{ is free} \\ &= \frac{\lambda_S(\text{Tor}_i^S(M \otimes_R S, {}^eS))}{q^\theta} \\ &\quad \text{by Remark 3.13 and since } S \text{ is flat.} \end{aligned}$$

After dividing by q^d and taking limits, we have that

$$\beta_{i,R}^F(M, R) = \beta_{i,S}^F(M \otimes_R S, S). \quad \square$$

4. Relations with projective dimension. Let (R, \mathfrak{m}, K) be a local F -finite ring of characteristic $p > 0$, and let M be an R -module of finite length. In this section, we investigate when the vanishing of $\beta_i^F(M, R)$ detects whether M has finite projective dimension.

First we recall known results in this direction. We have that R is a regular ring if and only if $\beta_i^F(R) = \beta_i^F(K, R) = 0$ for some $i \geq 1$ [3, Corollary 3.2]. Let M be a finitely generated R -module. If M has finite projective dimension, then $\text{Tor}_i^R(M, {}^eR) = 0$ for all $i > 0$ and all $e \geq 0$ [26, Theorem 1.7]. Conversely, if $\text{Tor}_i^R(M, {}^eR) = 0$ for infinitely many e and all $i > 0$, then M has finite projective dimension [16, Theorem 3.1]. In fact, even more is true: if $\text{Tor}_i^R(M, {}^eR) = 0$ for $\text{depth}(R) + 1$

consecutive values of i and some $e \gg 0$, then M has finite projective dimension [18, Proposition 2.6] (see also [24, Theorem 2.2.8]). Now, suppose that R is a complete intersection. If $\beta_i^F(M, R) = 0$ for some $i > 0$, then M has finite projective dimension by [23, Corollary 2.5] (see also [13, Corollary 4.11]).

Proposition 4.1. *Let (R, \mathfrak{m}, K) be a local ring of characteristic $p > 0$, and let M be an R -module of finite length. Suppose that there is a regular local ring (A, \mathfrak{n}, L) and a map of local rings $\phi : R \rightarrow A$ such that A is finitely generated as an R -module, and $\dim A = d$. If*

$$\beta_j^F(M, R) = \beta_{j+1}^F(M, R) = \cdots = \beta_{j+d}^F(M, R) = 0,$$

then M has finite projective dimension.

Proof. We note that $\log_p[L : L^p] = \log_p[K : K^p] = \alpha < \infty$, and thus, A is F -finite. Since A is regular and local, ${}^e A \cong \bigoplus^{q^{(d+\alpha)}} A$. Let $x_1, \dots, x_d \in A$ be a set of generators for \mathfrak{n} , and let $I_r := (x_1, \dots, x_r)A$. By induction on r , we will show that

$$(4.1) \quad \text{Tor}_{j+r}^R(M, A/I_r) = \cdots = \text{Tor}_{j+d}^R(M, A/I_r) = 0$$

for every r . If $r = 0$, we have that $\text{Tor}_i^R(M, {}^e A) = \bigoplus^{q^{(d+\alpha)}} \text{Tor}_i^R(M, A)$ for every $i \in \mathbb{N}$. Then, $\lambda(\text{Tor}_i^R(M, {}^e A)) = q^{(d+\alpha)}\lambda(\text{Tor}_i^R(M, A))$, and thus,

$$\beta_i^F(M, A) = \lambda(\text{Tor}_i^R(M, A)).$$

Since A is finitely generated, and since $\beta_i^F(M, R) = 0$ for $i = j, \dots, j+d$ by assumption, we have that $\beta_j^F(M, A) = \cdots = \beta_{j+d}^F(M, A) = 0$ by Remark 3.7. Hence, $\text{Tor}_j^R(M, A) = \cdots = \text{Tor}_{j+d}^R(M, A) = 0$. We suppose that (4.1) holds for $r - 1$ and prove it for r . We have a short exact sequence

$$0 \longrightarrow A/I_{r-1} \xrightarrow{x_r} A/I_{r-1} \longrightarrow A/I_r \longrightarrow 0.$$

This induces a long exact sequence

$$\cdots \longrightarrow \text{Tor}_i^R(M, A/I_{r-1}) \xrightarrow{x_r} \text{Tor}_i^R(M, A/I_{r-1}) \longrightarrow \text{Tor}_i^R(M, A/I_r) \longrightarrow \text{Tor}_{i-1}^R(M, A/I_{r-1}) \longrightarrow \cdots$$

Since $\text{Tor}_{j+r-1}^R(M, A/I_{r-1}) = \cdots = \text{Tor}_{j+d}^R(M, A/I_{r-1}) = 0$, we have that $\text{Tor}_{j+r}^R(M, A/I_r) = \cdots = \text{Tor}_{j+d}^R(M, A/I_r) = 0$, proving the claim.

In particular, we obtain $\text{Tor}_{j+d}^R(M, A/I_d) = 0$. Since $L = A/I_d$ is a finite field extension of K , we have

$$0 = \lambda(\text{Tor}_{j+d}^R(M, A/I_d)) = [L : K] \cdot \lambda(\text{Tor}_{j+d}^R(M, K)).$$

Therefore, $\text{Tor}_{j+d}^R(M, K) = 0$ and M has finite projective dimension. \square

Lemma 4.2. *Let (R, \mathfrak{m}, K) be a local ring of characteristic $p > 0$. Suppose that there is an R -module N of dimension d that has an F -contributor C . Let M be an R -module of finite length. If $\beta_i^F(M, N) = 0$, then $\text{Tor}_i^R(M, {}^e C) = 0$ for every $e \geq 0$. In particular, if R is strongly F -regular of positive dimension d , and $\beta_i^F(M, R) = 0$ for d consecutive values of i , then M has finite projective dimension.*

Proof. For $e' \gg 0$ and $q' = p^{e'}$, we have that $C^{\theta_{e'}}$ is a direct summand of ${}^{e'} N$, for some $\theta_{e'} \in \mathbb{N}$ such that $\limsup \theta_{e'}/q'^{(d+\alpha)} > 0$. We note that $({}^e C)^{\theta_{e'}}$ is a direct summand of ${}^{e+e'} N$ for all $e \geq 0$. Then,

$$\begin{aligned} \left(\limsup_{e' \rightarrow \infty} \frac{\theta_{e'}}{q'^{(d+\alpha)}} \right) \frac{\lambda(\text{Tor}_i^R(M, {}^e C))}{q^{(d+\alpha)}} &\leq \lim_{e' \rightarrow \infty} \frac{\lambda(\text{Tor}_i^R(M, {}^{e+e'} N))}{qq'^{(d+\alpha)}} \\ &= \beta_i^F(M, N) = 0. \end{aligned}$$

It follows that $\text{Tor}_i^R(M, {}^e C) = 0$. If R is strongly F -regular, then R is an F -contributor of itself. In addition, R is Cohen-Macaulay and, if $\text{Tor}_i(M, {}^e R) = 0$ for d consecutive values of i and for $e \gg 0$, we have that M has finite projective dimension [18, Proposition 2.6] (see also [24, Theorem 2.2.11]). \square

Proposition 4.3. [12, Corollary 3.3] *Let (R, \mathfrak{m}, K) be a local ring, let I be an integrally closed \mathfrak{m} -primary ideal, and let N be a finitely generated R -module. Then, $\text{pd}_R(N) < i$ if and only if $\text{Tor}_i^R(N, R/I) = 0$.*

In particular, Proposition 4.3 shows that, if $\text{Tor}_i^R(R/I, {}^e R) = 0$ for some $e \geq 1$, then R is regular [19, Theorem 2.1].

We now present a similar result for Frobenius Betti numbers.

Proposition 4.4. *Let (R, \mathfrak{m}, K) be a reduced local ring of characteristic $p > 0$. Suppose that there exists an R -module N of dimension d that*

has an F -contributor C . If I is an integrally closed \mathfrak{m} -primary ideal such that $\beta_i^F(R/I, N) = 0$ for some $i > 0$, then R is regular.

Proof. By Lemma 4.2, we have that $\text{Tor}_i(R/I, {}^eC) = 0$ for every $e \geq 0$, and thus, eC has finite projective dimension by [12, Corollary 3.3]. Since eC is a maximal Cohen-Macaulay module [35, Lemma 2.2], see Remark 3.10, we have that eC is a free module for every $e \geq 0$. In particular, ${}^1C \cong \bigoplus_n R$ and ${}^2C = {}^1(\bigoplus_n R) \cong \bigoplus_n {}^1R$ is free as well. Therefore, 1R is free, and R is regular [19, Theorem 2.1]. \square

We now focus on one-dimensional rings. In this case, we can find a characterization of the vanishing of $\beta_i^F(M, R)$. We first prove two lemmas.

Lemma 4.5. *Let (R, \mathfrak{m}, K) be a one-dimensional complete local domain of characteristic $p > 0$, with K algebraically closed. Then, there exists a parameter $x \in R$ such that $(x^q) = \mathfrak{m}^{[q]}$ for all $q = p^e \gg 0$. Furthermore, if V denotes the integral closure of R in its field of fractions, then ${}^eR \cong \bigoplus V$ for all $e \gg 0$ (as R -modules).*

Proof. Since R is a complete domain, we have that (V, \mathfrak{m}_V, K) is a one-dimensional, integrally closed, local domain. Hence, V is a DVR. Let $x \in R$ be a minimal reduction of \mathfrak{m} , and let v denote the order valuation on V . Let x, y_1, \dots, y_n be a minimal generating set of the maximal ideal. We claim that we can choose the elements y_i 's such that $v(x) < v(y_i)$ for all $i = 1, \dots, n$. We have $v(x) \leq v(y_i)$ for all i since x is a minimal reduction of \mathfrak{m} [32, Proposition 6.8.1]. If equality holds, say for $i = 1$, we have that $y_1/x = \alpha \in K_V = K$ since K is algebraically closed. Fix a lifting $u \in R$ of α . If we replace y_1 for $y'_1 := y_1 - ux$, we have that x, y'_1, \dots, y_n is still a minimal generating set of \mathfrak{m} . Now $v(x) < v(y'_1)$, since $y'_1/x \in \mathfrak{m}_V$. Similarly, if necessary, we may replace each y_i to obtain our claim. Since the conductor C is \mathfrak{m}_V -primary, for all $e \gg 0$ and all $i = 1, \dots, n$, we have that

$$(y_i/x)^q = r_i \in \mathfrak{m}_V^{[q]} \subseteq C \subseteq R.$$

Thus, $y_i^q = r_i x^q \in (x)^q$. This shows the first part of the lemma.

We now focus on the second part of the lemma. Since K is algebraically closed, R and K have the same residue field. It then

follows that $R \subseteq V = R + \mathfrak{m}_V$. Since R is a domain, we can identify eR with $R^{1/q}$, the ring of q th roots of R . For $w \in V$, we can write $w = u+v$, for some $u \in R$ and $v \in \mathfrak{m}_V$. Therefore, we have that $\mathfrak{m}_V^{[q]} \subseteq C \subseteq R$ for $e \gg 0$, since C is \mathfrak{m}_V primary. This shows that $w^q = u^q + v^q \in R$, that is, $w \in R^{1/q}$. Thus, for $e \gg 0$, we have $R \subseteq V \subseteq {}^eR$. Hence, eR is a V -module. Since V is a DVR, eR decomposes into a V -free part and a V -torsion part. However, eR is torsion free as a V -module because R is a domain. Thus,

$${}^eR \cong \bigoplus_q V.$$

Finally, the V -module structure on eR is compatible with the inclusion $R \subseteq V$; therefore, ${}^eR \cong \bigoplus_q V$ is also an isomorphism of R -modules. \square

Lemma 4.6. *Let (R, \mathfrak{m}, K) be a one-dimensional local ring of characteristic $p > 0$. Let $(G_j, \varphi_j)_{j \geq 0}$ be a minimal free resolution of a finite length R -module M . Suppose that there exists an $i \geq 0$ such that $\text{Im}(\varphi_{i+1}) \not\subseteq \mathfrak{p}G_i$ for some $\mathfrak{p} \in \text{Min}(R)$. Then*

$$\beta_i^F(M, R) = \lim_{e \rightarrow \infty} \frac{\lambda(\text{Tor}_i^R(M, {}^eR))}{q^e} > 0.$$

Proof. We can write $\widehat{R} = K[[x_1, \dots, x_n]]/I$ for some $n \in \mathbb{N}$ and some ideal $I \subseteq K[[x_1, \dots, x_n]]$ by the Cohen structure theorem. Let $S = L[[x_1, \dots, x_n]]/I'$, where L is the algebraic closure of K and $I' = I L[[x_1, \dots, x_n]]$. Every inclusion $K \rightarrow L$ gives a flat extension $R \rightarrow S$ such that $\mathfrak{m}S$ is the maximal ideal of S . If $\text{Im}(\varphi_{i+1} \otimes_R 1_S) = \text{Im}(\varphi_{i+1}) \otimes_R S$ is contained in a minimal prime of S , then $\text{Im}(\varphi_{i+1})$ must be contained in the contraction of such a minimal prime to R . Then, we can assume that R is complete and that K is algebraically closed by Proposition 3.14.

Let \overline{R} denote R/\mathfrak{p} , \overline{x} the class of the element x modulo \mathfrak{p} and V the integral closure of \overline{R} . Since R/\mathfrak{p} is a one-dimensional complete local domain, by Lemma 4.5, we can choose $0 \neq \overline{x} \in \overline{R}$ a minimal reduction of $\overline{\mathfrak{m}} := \mathfrak{m}/\mathfrak{p}$ and $q_0 = p^{e_0}$ such that $\overline{\mathfrak{m}}^{[q]} = (\overline{x}^q)$ for $q \geq q_0$. We may also choose q_0 large enough such that $\overline{x}^q V \cap \overline{R} \subseteq \overline{x}R$ by using the Artin-Rees lemma and the fact that the conductor from \overline{R} to V is primary to the maximal ideal. In particular, $(\overline{x}^q V :_V \overline{r}) \subseteq \mathfrak{m}_V$ for every $\overline{r} \in \overline{R}$ such that $\overline{r} \notin \overline{x}R$, where \mathfrak{m}_V is the maximal ideal of V , which is a DVR.

Fix $q \geq q_0$, and consider the matrix associated to $\overline{\varphi}_{i+1}^{[q]} := \varphi_{i+1}^{[q]} \otimes 1_{\overline{R}}$. Since $q \geq q_0$, $\text{Im}(\varphi_{i+1}^{[q]} \otimes 1_{\overline{R}}) \subseteq \overline{\mathfrak{m}}^{[q]}G_i = (\overline{x}^q)G_i$. Due to the fact that $\text{Im}(\varphi_{i+1}) \not\subseteq \mathfrak{p}G_i$, by changing the basis for G_{i+1} if needed, we can assume that the matrix

$$\overline{\varphi}_{i+1}^{[q]} = \overline{x}^{q+j} \left[\begin{array}{c|ccc} \overline{r}_1 & * & \cdots & * \\ \overline{r}_2 & * & \cdots & * \\ \hline \vdots & \vdots & & \vdots \\ \overline{r}_n & * & \cdots & * \end{array} \right],$$

where we have factored out the biggest possible power of \overline{x} , so that $\overline{r}_1 \notin (\overline{x})$. Here, $n = \text{rk}(G_i)$.

Let $q' = p^{e'}$, and consider the matrix associated to $\overline{\varphi}_{i+1}^{[qq']}$:

$$(4.2) \quad \overline{\varphi}_{i+1}^{[qq']} = \overline{x}^{(q+j)q'} \left[\begin{array}{c|ccc} \overline{r}_1^{q'} & * & \cdots & * \\ \overline{r}_2^{q'} & * & \cdots & * \\ \hline \vdots & \vdots & & \vdots \\ \overline{r}_n^{q'} & * & \cdots & * \end{array} \right].$$

We claim that $[\overline{r}_1^{q'}, \overline{r}_2^{q'}, \dots, \overline{r}_n^{q'}]^T \in \text{Ker}(\overline{\varphi}_i^{[qq']})$. In fact, we have that

$$\overline{x}^{qq'+jq'} \begin{bmatrix} \overline{r}_1^{q'} \\ \overline{r}_2^{q'} \\ \vdots \\ \overline{r}_n^{q'} \end{bmatrix} \in \text{Im}(\overline{\varphi}_{i+1}^{[qq']}) \subseteq \text{Ker}(\overline{\varphi}_i^{[qq']});$$

therefore,

$$\overline{\varphi}_i^{[qq']} \left(\overline{x}^{qq'+jq'} \cdot \begin{bmatrix} \overline{r}_1^{q'} \\ \overline{r}_2^{q'} \\ \vdots \\ \overline{r}_n^{q'} \end{bmatrix} \right) = \overline{x}^{qq'+jq'} \cdot \overline{\varphi}_i^{[qq']} \left(\begin{bmatrix} \overline{r}_1^{q'} \\ \overline{r}_2^{q'} \\ \vdots \\ \overline{r}_n^{q'} \end{bmatrix} \right) = 0.$$

Since $\bar{x}^{qq'+jq'}$ is a nonzero divisor in \bar{R} , we have

$$\bar{\varphi}_i^{[qq']} \left(\begin{bmatrix} \bar{r}_1^{q'} \\ \bar{r}_2^{q'} \\ \vdots \\ \bar{r}_n^{q'} \end{bmatrix} \right) = 0,$$

which proves the claim. Thus,

$$\begin{aligned} \lambda(\text{Tor}_i^R(M, {}^{e+e'}(R/\mathfrak{p}))) &\geq \lambda\left(\frac{\bar{R}[\bar{r}_1^{q'}, \dots, \bar{r}_n^{q'}]^T + \text{Im}\left(\bar{\varphi}_{i+1}^{[qq']}\right)}{\text{Im}\left(\bar{\varphi}_{i+1}^{[qq']}\right)}\right) \\ &\geq \lambda\left(\frac{(\bar{r}_1^{q'}) + (\bar{x}^{qq'})}{(\bar{x}^{qq'})}\right), \end{aligned}$$

since $\text{Im}(\bar{\varphi}_{i+1}^{[qq']}) \subseteq (\bar{x}^{qq'})G_i$. This comes from the expression of $\bar{\varphi}_{i+1}^{[qq']}$ in (4.2). We also have projected onto the first component of G_i . This yields a cyclic module which is isomorphic to the quotient of \bar{R} by the ideal $(\bar{x}^{qq'} : \bar{r}_1^{q'})$.

We claim that there exists an integer $q_1 = p^{e_1}$ such that, for all q' ,

$$(\bar{x}^{qq'} : \bar{r}_1^{q'}) \subseteq (\bar{x}^{q'/q_1}).$$

Assuming the claim, and lifting back to R , we obtain:

$$\begin{aligned} \lambda(\text{Tor}_i^R(M, {}^{e+e'}\bar{R})) &\geq \lambda\left(\frac{(\bar{r}_1^{q'}) + (\bar{x}^{qq'})}{(\bar{x}^{qq'})}\right) \\ &\geq \lambda\left(\frac{\bar{R}}{(\bar{x}^{q'/q_1})}\right). \end{aligned}$$

Dividing by qq' and taking the limit as $e' \rightarrow \infty$, we get

$$\begin{aligned} \beta_i^F(M, \bar{R}) &= \lim_{e' \rightarrow \infty} \frac{\lambda(\text{Tor}_i^R(M, {}^{e+e'}\bar{R}))}{qq'} \\ &\geq \lim_{e' \rightarrow \infty} \frac{\lambda(\bar{R}/(\bar{x}^{q'/q_1}))}{qq'} \\ &= \frac{1}{qq_1} e_{HK}(x, \bar{R}) > 0. \end{aligned}$$

Since $\dim(R/\mathfrak{q}) = \dim(R)$ for $\mathfrak{q} \in \text{Spec}(R)$ if and only if $\mathfrak{q} \in \text{Min}(R)$, we have

$$\beta_i^F(M, R) = \sum_{\mathfrak{q} \in \text{Min}(R)} (\beta_i^F(M, R/\mathfrak{q})\lambda(R_{\mathfrak{q}})) \geq \beta_i^F(M, R/\mathfrak{p}) > 0,$$

by Proposition 3.6.

It remains to prove the claim. Suppose that $u \in (\bar{x}^{qq'} : \bar{r}_1^{q'})$. Then,

$$u \in (\bar{x}^{qq'} : \bar{r}_1^{q'})V \cap \bar{R} = (\bar{x}^q V :_V \bar{r}_1)^{[q']} \cap \bar{R} \subseteq \mathfrak{m}_V^{q'} \cap R,$$

by the choice of q . Since the conductor of \bar{R} is primary to the maximal ideal, it follows that there exists a $q_1 = p^{e_1}$ such that $\mathfrak{m}_V^{q'} \cap \bar{R} \subseteq (\bar{x}^{q' / q_1})$, as claimed. \square

Theorem 4.7. *Let (R, \mathfrak{m}, K) be a one-dimensional local ring of characteristic $p > 0$ and M an R -module of finite length. Let $(G_j, \varphi_j)_{j \geq 0}$ denote a minimal free resolution of M . Then the following are equivalent:*

- (i) $\text{Im}(\varphi_{i+1}) \subseteq H_{\mathfrak{m}}^0(G_i)$.
- (ii) $\text{Tor}_i^R(M, e(R/\mathfrak{p})) = 0$ for all $e \geq 0$, for all $\mathfrak{p} \in \text{Min}(R)$.
- (iii) $\text{Tor}_i^R(M, e(R/\mathfrak{p})) = 0$ for all $e \gg 0$, for all $\mathfrak{p} \in \text{Min}(R)$.
- (iv) $\beta_i^F(M, R) = 0$.

Assume, in addition, that R is complete and K is algebraically closed. If V denotes the integral closure of R in its ring of fractions, then the conditions above are equivalent to:

- (v) $\text{Tor}_i^R(M, V) = 0$.

Proof. We will show that (i) \Rightarrow (ii) \Rightarrow (iii) \Rightarrow (iv) \Rightarrow (i). We assume (i). Let $\mathfrak{p} \in \text{Min}(R)$. Since M has finite length we have $M_{\mathfrak{p}} = 0$, and thus,

$$\text{Tor}_j^R(M, e(R/\mathfrak{p}))_{\mathfrak{p}} = \text{Tor}_j^{R_{\mathfrak{p}}}(M_{\mathfrak{p}}, e(R/\mathfrak{p})_{\mathfrak{p}}) = 0$$

for all $j \geq 0$. In particular, the complex

$$\begin{aligned} (G_{\bullet} \otimes e(R))_{\mathfrak{p}} : \cdots \longrightarrow (G_{i+1})_{\mathfrak{p}} \xrightarrow{(\varphi_{i+1}^{[q]})_{\mathfrak{p}}} (G_i)_{\mathfrak{p}} \xrightarrow{(\varphi_i^{[q]})_{\mathfrak{p}}} (G_{i-1})_{\mathfrak{p}} \longrightarrow \cdots \\ \longrightarrow (G_0)_{\mathfrak{p}} \longrightarrow 0 \end{aligned}$$

is split exact. All the entries in a matrix associated to φ_{i+1} are in $H_m^0(R)$, and in particular, they are nilpotent. We choose $q_0 = p^{e_0}$ such that $\text{Im}(\varphi_{i+1}^{[q]}) = 0$ for all $q \geq q_0$. For such a q , we have $(\varphi_{i+1}^{[q]})_{\mathfrak{p}} \equiv 0$; therefore, $(G_i)_{\mathfrak{p}}$ splits inside $(G_{i-1})_{\mathfrak{p}}$ via $(\varphi_i^{[q]})_{\mathfrak{p}}$. This means that

$$(4.3) \quad b_i := \text{rk}((G_i)_{\mathfrak{p}}) = \text{rk}(G_i) = \text{rk}((\varphi_i^{[q]})_{\mathfrak{p}}) \quad \text{and} \quad I_{b_i}(\varphi_i^{[q]}) \not\subseteq \mathfrak{p},$$

where $I_r(\psi)$ denotes the Fitting ideal of a homomorphism $\psi : G \rightarrow H$ of rank r between two free modules, G and H . Note that localizing and taking powers only decreases the rank of φ_i , and b_i is already the maximal possible rank. Thus, $b_i = \text{rk}(\varphi_i^{[q]})$ for all $q \geq 1$. Furthermore, if $I_{b_i}(\varphi_i)$ were contained in \mathfrak{p} , then so would be $I_{b_i}(\varphi_i^{[q]})$. Hence, (4.3) holds in fact for all $q = p^e$.

Consider the complex

$$0 \rightarrow G_i \otimes R/\mathfrak{p} \xrightarrow{\varphi_i^{[q]} \otimes 1_{R/\mathfrak{p}}} G_{i-1} \otimes R/\mathfrak{p} \rightarrow C_q \rightarrow 0,$$

where C_q is the cokernel. By the Buchsbaum-Eisenbud theorem [8], the two conditions (4.3) ensure that it is acyclic for all q . Then,

$$\text{Tor}_i^R(M, {}^e(R/\mathfrak{p})) = \text{Tor}_1^R(C_q, R/\mathfrak{p}) = 0,$$

for all $e \geq 0$. This holds for all $\mathfrak{p} \in \text{Min}(R)$, proving (ii).

Clearly, (ii) implies (iii). We now show (iii) \Rightarrow (iv). Since, for all $\mathfrak{p} \in \text{Min}(R)$, we have $\text{Tor}_i^R(M, {}^e(R/\mathfrak{p})) = 0$ for $e \gg 0$, in particular, $\beta_i^F(M, R/\mathfrak{p}) = 0$. Hence,

$$\beta_i^F(M, R) = \sum_{\mathfrak{p} \in \text{Min}(R)} [\beta_i^F(M, R/\mathfrak{p}) \lambda_{R_{\mathfrak{p}}}(R_{\mathfrak{p}})] = 0.$$

We now prove (iv) \Rightarrow (i). Suppose that $\beta_i^F(M, R) = 0$. By Lemma 4.6, we have

$$\text{Im}(\varphi_{i+1}) \subseteq \bigcap_{\mathfrak{p} \in \text{Min}(R)} \mathfrak{p}G_i = \sqrt{0}G_i.$$

Since the image is nilpotent, as noticed above in (4.3) while taking $q = 1$, we have

$$b_i = \text{rk}(G_i) = \text{rk}(\varphi_i) \quad \text{and} \quad I_{b_i}(\varphi_i) \not\subseteq \mathfrak{p}$$

for all $\mathfrak{p} \in \text{Min}(R)$. Localizing the resolution at any $\mathfrak{p} \in \text{Min}(R)$ gives a split exact complex

$$(G_\bullet)_{\mathfrak{p}} : 0 \rightarrow (G_i)_{\mathfrak{p}} \xrightarrow{(\varphi_i)_{\mathfrak{p}}} \dots \rightarrow (G_0)_{\mathfrak{p}} \rightarrow 0.$$

In particular, $\text{Im}((\varphi_{i+1})_{\mathfrak{p}}) = (\text{Im}(\varphi_{i+1}))_{\mathfrak{p}} = 0$. This holds for all minimal primes \mathfrak{p} of R , proving that $\text{Im}(\varphi_{i+1}) \subseteq H_{\mathfrak{m}}^0(G_i)$.

Finally, assume that R is complete and K is algebraically closed, and let V be an integral closure of R in its ring of fractions. Let $\mathfrak{p} \in \text{Min}(R)$, and let $V(\mathfrak{p})$ be the integral closure of R/\mathfrak{p} , which is a DVR. By Lemma 4.5, we have that ${}^e(R/\mathfrak{p}) \cong \bigoplus V(\mathfrak{p})$ for all $e \gg 0$. Condition (iii) implies that

$$\text{Tor}_i^R(M, {}^e(R/\mathfrak{p})) \cong \bigoplus \text{Tor}_i^R(M, V(\mathfrak{p})) = 0;$$

therefore, $\text{Tor}_i^R(M, V(\mathfrak{p})) = 0$ for all $\mathfrak{p} \in \text{Min}(R)$. Since $V \cong \bigoplus_{\mathfrak{p} \in \text{Min}(R)} V(\mathfrak{p})$, we see that (iii) implies (v).

Conversely, if $\text{Tor}_i^R(M, V) = 0$, by the same argument, we get that $\text{Tor}_i^R(M, V(\mathfrak{p})) = 0$ implies $\text{Tor}_i^R(M, {}^e(R/\mathfrak{p})) = 0$ for all $e \gg 0$ and for all $\mathfrak{p} \in \text{Min}(R)$. Then, (v) implies (iii). \square

Corollary 4.8. *Let (R, \mathfrak{m}, K) be a one dimensional Cohen-Macaulay local ring of characteristic $p > 0$ and M an R -module of finite length. Then the following are equivalent:*

- (i) $\beta_i^F(M, R) = 0$ for all $i \geq 1$.
- (ii) $\beta_i^F(M, R) = 0$ for some $i \geq 1$.
- (iii) $\text{pd}_R(M) < \infty$.

Proof. Clearly (i) implies (ii). Now assume (ii). We want to show that (iii) holds. By assumption, there exists an integer $i \geq 1$ such that $\beta_i^F(M, R) = 0$. Then, Theorem 4.7 implies that $\text{Im}(\varphi_{i+1}) \subseteq H_{\mathfrak{m}}^0(G_i)$, where $(G_j, \varphi_j)_{j \geq 0}$ is a minimal free resolution of M . However, R has positive depth, and hence,

$$\text{Im}(\varphi_{i+1}) = H_{\mathfrak{m}}^0(\text{Im}(\varphi_{i+1})) \subseteq H_{\mathfrak{m}}^0(G_i) = 0,$$

since G_i is a free module. Thus, $\text{Im}(\varphi_{i+1}) = 0$ and $\text{pd}_R(M) < \infty$. Finally, if (iii) holds, we have $\text{Tor}_i^R(M, {}^eR) = 0$ for all $i \geq 1$ and $e \geq 0$ [26, Theorem 1.7]. In particular, $\beta_i^F(M, {}^eR) = 0$ for all $i \geq 1$. \square

Corollary 4.9. *Let (R, \mathfrak{m}, K) be a one-dimensional local ring of characteristic $p > 0$, and let M be a finite length R -module. If $\beta_i^F(M, R) = \beta_{i+1}^F(M, R) = 0$ for some $i \geq 1$, then $\text{pd}_R(M) < \infty$. In particular, for any parameter x , if $\beta_2^F(R/(x), R) = 0$, then R is Cohen-Macaulay.*

Proof. Let $(G_j, \varphi_j)_{j \geq 0}$ be a minimal free resolution of M . Since $\beta_i^F(M, R) = 0$, we have that $\text{Im}(\varphi_{i+1})$ has finite length, and it is nilpotent. Take $q = p^e \gg 0$ such that $\text{Im}(\varphi_{i+1}^{[q]}) = 0$. For such a q , we have $\text{Ker}(\varphi_{i+1}) = G_{i+1}$. Since the resolution is minimal, we obtain

$$\lambda(\text{Tor}_{i+1}^R(M, {}^eR)) = q^\alpha \lambda\left(\frac{G_{i+1}}{\text{Im}(\varphi_{i+2}^{[q]})}\right) \geq q^\alpha \lambda\left(\frac{R}{\mathfrak{m}^{[q]}}\right),$$

where the last inequality comes from projecting onto one of the components of G_{i+1} . Dividing by q and taking limits, we get

$$\beta_{i+1}^F(M, R) = \lim_{e \rightarrow \infty} \frac{\lambda(\text{Tor}_{i+1}^R(M, {}^eR))}{q^{(1+\alpha)}} \geq \lim_{e \rightarrow \infty} \frac{\lambda(R/\mathfrak{m}^{[q]})}{q} = e_{HK}(\mathfrak{m}, R) > 0,$$

which is a contradiction.

The last claim follows from the fact that, for any parameter x , we have

$$\beta_1^F(R/(x), R) \leq \lim_{e \rightarrow \infty} \frac{\lambda(H_1(x^q; R))}{q} = 0,$$

where H_1 denotes the first Koszul homology, see [28] and [17, Theorem 6.2]. □

Lemma 4.10. *Let (R, \mathfrak{m}, K) be a local ring of positive characteristic $p > 0$ and $\mathfrak{p} \in \text{Spec}(R)$. If $\text{pd}_R(\mathfrak{p}) < \infty$, then R is a domain.*

Proof. Since \mathfrak{p} has finite projective dimension, given a minimal free resolution

$$0 \longrightarrow L_t \xrightarrow{\psi_t} \cdots \longrightarrow L_0 \longrightarrow R/\mathfrak{p} \longrightarrow 0$$

of R/\mathfrak{p} over R , we have that

$$0 \longrightarrow L_t \xrightarrow{\psi_t^{[q]}} \cdots \longrightarrow L_0 \longrightarrow R/\mathfrak{p}^{[q]} \longrightarrow 0$$

is a minimal free resolution of $R/\mathfrak{p}^{[q]}$ over R [26, Exemples 1.3 d)]. Then, $\text{Ass}_R(R/\mathfrak{p}^{[q]}) = \{\mathfrak{p}\}$, and thus, $\mathfrak{p}^{[q]}$ is \mathfrak{p} -primary for all $q = p^e$.

Let $x \notin \mathfrak{p}$, and assume $xy = 0$ for $y \in R$. This implies that, for any q , we have $xy \in \mathfrak{p}^{[q]}$. We conclude that $y \in \mathfrak{p}^{[q]}$ since $x \notin \mathfrak{p}$. Thus,

$$y \in \bigcap_{q \geq 1} \mathfrak{p}^{[q]} = (0).$$

In particular, the localization map $R \rightarrow R_{\mathfrak{p}}$ is injective. We have that $\text{pd}_R(R/\mathfrak{p}) < \infty$ implies $\text{pd}_{R_{\mathfrak{p}}}(k(\mathfrak{p})) < \infty$. Then, $R_{\mathfrak{p}}$ is a regular local ring; in particular, it is a domain. Therefore, R is a domain. \square

Proposition 4.11. *Let (R, \mathfrak{m}, K) be a one-dimensional local ring of characteristic $p > 0$, and let I be an \mathfrak{m} -primary integrally closed ideal. If $\beta_i^F(R/I, R) = 0$ for some $i > 0$, then R is regular.*

Proof. Let \mathfrak{p} be a minimal prime of R . Since $\beta_i^F(R/I, R) = 0$, by Theorem 4.7, we have that $\text{Tor}_i^R(R/I, R/\mathfrak{p}) = 0$. By Proposition 4.3, it follows that $\text{pd}_R(R/\mathfrak{p}) < \infty$, and thus, R is a domain by Lemma 4.10. Since one-dimensional local domains are Cohen-Macaulay, by Corollary 4.8, we have that $\text{pd}_R(R/I) < \infty$. In particular, $\text{Tor}_j^R(R/I, K) = 0$ for $j \gg 0$. We conclude that $\text{pd}_R(K) < \infty$ because R/I tests finite projective dimension [9, Theorem 5 (ii)]. Hence, R is regular. \square

5. Syzygies of finite length. We now present several characteristic-free results. In particular, we do not always assume that the rings have positive characteristic. We focus on Question 1.2. Specifically, we give support to the claim that a finite length R -module M of infinite projective dimension cannot have a finite length syzygy Ω_i for $i > \dim(R) + 1$. As a consequence of our methods, we describe, in some cases, the dimension of the syzygies.

It follows from Theorem 4.7 that, if $\dim(R) = 1$ and R has positive characteristic, then an affirmative answer to Question 1.2 is equivalent to the statement: for every M of finite length, $\beta_i^F(M, R) = 0$ for some $i > 1$ implies $\text{pd}_R(M) < \infty$.

We now provide an example that shows that the requirement of $i > \dim(R) + 1$ in Question 1.2 is necessary for a positive answer.

Example 5.1. Let $R = \mathbb{F}_p[[x, y]]/(x^2, xy)$ and $M = R/(x)$. Then $\dim(R) = 1$. In addition, $\text{pd}_R(M) = \infty$ since R is not Cohen-Macaulay. We have that $\Omega_2 \cong H_{(x,y)}^0(R) = (x)$ has finite length.

Lemma 5.2. *Let (R, \mathfrak{m}, K) be a local ring, and let M be a finite length R -module that has a finite length syzygy Ω_{i+1} , for some fixed $i > 0$. Then,*

$$\text{Tor}_i^R(M, R/H_{\mathfrak{m}}^0(R)) = 0.$$

If R has positive characteristic p , then for all $e \geq 0$,

$$\text{Tor}_i^R(M, {}^e(R/H_{\mathfrak{m}}^0(R))) = 0.$$

Proof. Set $H := H_{\mathfrak{m}}^0(R)$. Let $(G_{\bullet}, \varphi_{\bullet})$ be a minimal free resolution of M :

$$G_{\bullet} : \cdots \rightarrow G_{i+1} \xrightarrow{\varphi_{i+1}} G_i \xrightarrow{\varphi_i} G_{i-1} \xrightarrow{\varphi_{i-1}} G_{i-2} \rightarrow \cdots \rightarrow G_0 \rightarrow M \rightarrow 0.$$

Tensor G_{\bullet} with R/H and denote by \overline{G}_{\bullet} its residue class modulo H :

$$\overline{G}_{i+1} \xrightarrow{\overline{\varphi}_{i+1}} \overline{G}_i \xrightarrow{\overline{\varphi}_i} \overline{G}_{i-1}$$

Since $\text{Im}(\varphi_{i+1}) = \Omega_{i+1}$ has finite length, by assumption, we have $\overline{\varphi}_{i+1} = 0$. We want to show that $\text{Ker}(\overline{\varphi}_i) = 0$ as well. For any $\mathfrak{p} \in \text{Spec}(R) \setminus \{\mathfrak{m}\}$, the complex $(G_{\bullet})_{\mathfrak{p}}$ is split exact:

$$0 \rightarrow (G_i)_{\mathfrak{p}} \xrightarrow{(\varphi_i)_{\mathfrak{p}}} (G_{i-1})_{\mathfrak{p}} \xrightarrow{(\varphi_{i-1})_{\mathfrak{p}}} (G_{i-2})_{\mathfrak{p}} \rightarrow \cdots \rightarrow (G_0)_{\mathfrak{p}} \rightarrow 0,$$

since M and Ω_{i+1} have finite length. We have that $\text{rk}((\varphi_i)_{\mathfrak{p}})$ is maximal, due to the fact that $\text{rk}(G_i) \leq \text{rk}(G_{i-1})$ as the localized complex is split exact, and localizing only decreases the rank of a map. Thus, $r := \text{rk}(G_i) = \text{rk}((\varphi_i)_{\mathfrak{p}}) = \text{rk}(\varphi_i)$. Furthermore, $I_r(\varphi_i) \not\subseteq \mathfrak{p}$, by split exactness. Since this holds for all $\mathfrak{p} \in \text{Spec}(R) \setminus \{\mathfrak{m}\}$, in particular, we have $\text{depth}(I_r(\overline{\varphi}_i)) \geq 1$. By the Buchsbaum-Eisenbud criterion, we have that

$$0 \rightarrow \overline{G}_i \xrightarrow{\overline{\varphi}_i} \overline{G}_{i-1} \longrightarrow \overline{\Omega}_{i-1} = \Omega_{i-1}/H\Omega_{i-1} \rightarrow 0.$$

is an exact complex. Therefore $\text{Ker}(\overline{\varphi}_i) = 0$, and hence, $\text{Tor}_i^R(M, R/H) = 0$. For the second part of the Lemma, when R has positive characteristic, the argument is the same: just notice that the complex ${}^e(G_{\bullet})_{\mathfrak{p}}$

is again split exact for all primes $\mathfrak{p} \neq \mathfrak{m}$ and apply the same argument as above to the map $\overline{\varphi}_i^{[q]}$. \square

We now give results that support an affirmative answer to Question 1.2 for one-dimensional rings. Over Buchsbaum rings, the modules $H_{\mathfrak{m}}^i(R)$ are K -vector spaces for $i < \dim(R)$. Because of this fact, we can prove the following proposition using Lemma 5.2.

Proposition 5.3. *Let (R, \mathfrak{m}, K) be a one-dimensional Buchsbaum ring. Then the answer to Question 1.2 is positive.*

Proof. Assume that there exists a finite length R -module M such that $\Omega_{i+1}(M)$ has finite length for some $i \geq 2$. By Lemma 5.2, we have

$$0 = \operatorname{Tor}_i^R(M, R/H_{\mathfrak{m}}^0(R)) \cong \operatorname{Tor}_{i-1}^R(M, H_{\mathfrak{m}}^0(R)),$$

where $i - 1 \geq 1$ for dimension shifting. By Remark 2.5, we have that $H_{\mathfrak{m}}^0(R) \cong \bigoplus_{j=1}^t K$. Therefore,

$$0 = \operatorname{Tor}_{i-1}^R(M, H_{\mathfrak{m}}^0(R)) = \bigoplus_{j=1}^t \operatorname{Tor}_{i-1}^R(M, K),$$

which implies $\operatorname{Tor}_{i-1}^R(M, K) = 0$. Hence, $\operatorname{pd}_R(M) \leq i - 2$. \square

We now present two results about the dimension of syzygies of a finite-length module. These results will be used in Proposition 5.7 to give a case in which a finite-length module cannot have infinitely many syzygies of finite length.

Proposition 5.4. *Let (R, \mathfrak{m}, K) be a local ring of dimension d , and let M be a finite length R -module. Let $i \geq 1$, and let Ω_i be the i th syzygy of M . Then, either $\dim(\Omega_i) = d$ or Ω_i has finite length.*

Proof. By way of contradiction, we suppose $\dim(\Omega_i) = k$ with $0 < k < d$. Let $G_{\bullet} \rightarrow M \rightarrow 0$ be a minimal free resolution of M . By our assumption on $\dim(\Omega_i)$, we can choose $\mathfrak{p} \in \operatorname{Min}(\operatorname{ann}(\Omega_i)) \setminus (\{\mathfrak{m}\} \cup \operatorname{Min}(R))$ and localize G_{\bullet} at \mathfrak{p} . The resulting complex is split exact, because $M_{\mathfrak{p}} = 0$. In particular, $(\Omega_i)_{\mathfrak{p}}$ is a free $R_{\mathfrak{p}}$ -module. By our choice of \mathfrak{p} , we have that $(\Omega_i)_{\mathfrak{p}}$ has finite length, and $\dim(R_{\mathfrak{p}}) > 0$, a contradiction. \square

Proposition 5.5. *Let (R, \mathfrak{m}, K) be a local ring of positive dimension. Suppose that there exists an R -module M of infinite projective dimension and finite length which has a finite length syzygy Ω_{i+1} , for some fixed $i > 0$. If $\beta_i(M) \geq \beta_{i-1}(M)$, then Ω_{i-1} has finite length as well and R is one-dimensional.*

Proof. Let $(G_\bullet, \varphi_\bullet)$ be a minimal free resolution of M :

$$\begin{array}{ccccccc}
 G_{i+1} & \xrightarrow{\varphi_{i+1}} & R^{\beta_i(M)} & \xrightarrow{\varphi_i} & R^{\beta_{i-1}(M)} & \xrightarrow{\varphi_{i-1}} & G_{i-2} \rightarrow \dots \\
 & \searrow & \swarrow & & \searrow & & \swarrow \\
 & & \Omega_{i+1} & & \Omega_i & & \Omega_{i-1}
 \end{array}$$

Let $\mathfrak{p} \in \text{Spec}(R) \setminus \{\mathfrak{m}\}$. We localize G_\bullet at \mathfrak{p} . Since both M and Ω_{i+1} have finite length, we have a split exact sequence

$$\begin{array}{ccccccc}
 0 & \longrightarrow & R_{\mathfrak{p}}^{\beta_i(M)} & \longrightarrow & R_{\mathfrak{p}}^{\beta_{i-1}(M)} & \longrightarrow & (G_{i-2})_{\mathfrak{p}} \longrightarrow \dots \\
 & & \cong \searrow & & \searrow & & \\
 & & & & (\Omega_i)_{\mathfrak{p}} & & (\Omega_{i-1})_{\mathfrak{p}}
 \end{array}$$

In particular, this implies $\beta_i(M) \leq \beta_{i-1}(M)$. Since the opposite inequality holds by our assumption, equality is obtained. Set $\beta = \beta_i(M) = \beta_{i-1}(M)$. From the above split exact sequence, we also get that $R_{\mathfrak{p}}^{\beta} \cong (\Omega_i)_{\mathfrak{p}}$; therefore, $(\Omega_{i-1})_{\mathfrak{p}} = 0$. Since \mathfrak{p} is an arbitrary prime in $\text{Spec}(R) \setminus \{\mathfrak{m}\}$, we have that Ω_{i-1} has finite length. Thus, we have a free complex $0 \rightarrow F_1 = R^{\beta} \rightarrow F_0 = R^{\beta} \rightarrow 0$ with finite length homology. We conclude that R has dimension 1 by the New intersection theorem [28]. □

Remark 5.6. If, in Proposition 5.5, it is assumed that the sequence of Betti numbers $\{\beta_i(M)\}$ is non-decreasing, then the argument above may be repeated to show that i is necessarily odd, and $\beta_i(M) = \beta_{i-1}(M), \beta_{i-2}(M) = \beta_{i-3}(M), \dots, \beta_1(M) = \beta_0(M)$. In addition, $\Omega_j(M)$ has finite length for all even j , $0 \leq j \leq i + 1$. In particular, the typical situation to study would be (R, \mathfrak{m}, K) a one-dimensional ring and a resolution

$$\begin{array}{ccccccc}
 0 & \longrightarrow & \Omega_4 & \longrightarrow & R^{\beta} & \longrightarrow & R^{\alpha} \longrightarrow R^{\alpha} \longrightarrow M \longrightarrow 0 \\
 & & & & & \searrow & \swarrow \\
 & & & & & & \Omega_2
 \end{array}$$

with Ω_4 and Ω_2 of finite length.

As a consequence of these results, we give a partial answer to Question 1.2 in the case where M has eventually non-decreasing Betti numbers. It is a conjecture of Avramov that every finitely generated module over a local ring has eventually non-decreasing Betti numbers [4]. The conjecture is known to be true in several cases [5, 11, 14, 21, 30, 31], in particular, for Golod rings [21, Corollaire 6.5].

Proposition 5.7. *Let (R, \mathfrak{m}, K) be a local ring, and let M be a finite length R -module of infinite projective dimension with eventually non-decreasing Betti numbers. Then, for all $i \gg 0$, there exists a $\mathfrak{p} \in \text{Min}(R)$ such that $\dim(\Omega_i) = \dim(R/\mathfrak{p})$. In particular, M cannot have arbitrarily high syzygies of finite length.*

Proof. If $\text{Supp}(\Omega_i) \cap \text{Min}(R) \neq \emptyset$ for all $i \gg 0$, then we are done. By way of contradiction, assume that there exist infinitely many syzygies Ω_i of M such that $\text{Supp}(\Omega_i) \cap \text{Min}(R) = \emptyset$. Note that, by Proposition 5.4, such syzygies must have finite length. By replacing M with a high enough syzygy, we can then assume that M is a module of finite length with non-decreasing Betti numbers, and with infinitely many syzygies of finite length. We have that R is one-dimensional by Proposition 5.5. Furthermore, by Remark 5.6, we have $\beta_{2i} = \beta_{2i+1}$ for all $i \geq 0$. For $i \geq 0$, consider the short exact sequence

$$0 \rightarrow \Omega_{2i+2} \rightarrow R^\beta \xrightarrow{\varphi} R^\beta \rightarrow \Omega_{2i} \rightarrow 0,$$

where $\beta := \beta_{2i} = \beta_{2i+1}$. Let $S := R[\varphi]$. Then R^β becomes an S -module. The above exact sequence shows that $\Omega_{2i} \cong R^\beta \otimes_S S/(\varphi)$ and $\Omega_{2i+2} \cong (0 :_{R^\beta} \varphi)$. Then, by [32, Proposition 11.1.9 (2)],

$$\lambda(\Omega_{2i}) - \lambda(\Omega_{2i+2}) = e(\varphi; R^\beta),$$

where $e(\varphi; -)$ denotes the Hilbert-Samuel multiplicity with respect to the ideal (φ) in S . Since such a multiplicity is always positive, we have that $\lambda(\Omega_{2i+2}) < \lambda(\Omega_{2i})$, for all $i \geq 0$. Since there cannot be an infinite strictly decreasing sequence of such lengths, we obtain a contradiction. □

Remark 5.8. Proposition 5.7 also follows from [6, Theorem 8], and it gives another proof of the fact that, when M is a module of finite length with eventually non-decreasing Betti numbers and R is

equidimensional, then the sequence of integers $\{\dim(\Omega_i)\}_{i=0}^\infty$ is constant for $i \gg 0$ (see [6, Corollary 2]).

Proposition 5.9. *Let (R, \mathfrak{m}, K) be a one-dimensional local ring. Suppose that there exists a finite length module M of infinite projective dimension that has a finite length syzygy Ω_{i+1} , for some fixed $i \geq 2$. Then,*

$$\lambda(\Omega_{i+1}) = \sum_{j=0}^i (-1)^{i-j+1} \lambda(\text{Tor}_j^R(M, R/(x))),$$

where x is a suitable parameter.

Proof. Consider a minimal free resolution of M :

$$G_i \begin{array}{c} \xrightarrow{\quad} \\ \searrow \quad \nearrow \\ \Omega_i \end{array} G_{i-1} \longrightarrow \cdots \longrightarrow G_1 \begin{array}{c} \xrightarrow{\quad} \\ \searrow \quad \nearrow \\ \Omega_1 \end{array} G_0 \longrightarrow M \longrightarrow 0.$$

For all $j = 1, \dots, i + 1$, this can be broken into short exact sequences:

$$0 \longrightarrow \Omega_j \longrightarrow G_{j-1} \longrightarrow \Omega_{j-1} \longrightarrow 0,$$

where $\Omega_0 := M$. These give two exact sequences:

$$0 \longrightarrow \Omega_{i+1} \longrightarrow H_{\mathfrak{m}}^0(G_i) \longrightarrow H_{\mathfrak{m}}^0(\Omega_i) \longrightarrow 0$$

and

$$0 \longrightarrow H_{\mathfrak{m}}^0(\Omega_j) \longrightarrow H_{\mathfrak{m}}^0(G_{j-1}) \longrightarrow H_{\mathfrak{m}}^0(\Omega_{j-1}).$$

The first short exact sequence comes from the fact that Ω_{i+1} has finite length, and thus, $H_{\mathfrak{m}}^1(\Omega_{i+1}) = 0$. Furthermore, the cokernel of the rightmost map in the second exact sequence, which may be proved to be the kernel of the leftmost map in

$$\Omega_j \otimes_R H_{\mathfrak{m}}^1(R) \longrightarrow G_{j-1} \otimes_R H_{\mathfrak{m}}^1(R) \longrightarrow \Omega_{j-1} \otimes_R H_{\mathfrak{m}}^1(R) \longrightarrow 0$$

is then $\text{Tor}_1^R(\Omega_{j-1}, H_{\mathfrak{m}}^1(R))$. For simplicity, we denote $\omega_j := \lambda(H_{\mathfrak{m}}^0(\Omega_j))$, $g_j := \lambda(H_{\mathfrak{m}}^0(G_j))$ and $\alpha_j := \lambda(\text{Tor}_1^R(\Omega_j, H^1(R)))$. Then, we have rela-

tions

$$\begin{aligned}
 \omega_{i+1} &= g_i - \omega_i \\
 \omega_i &= g_{i-1} - \omega_{i-1} + \alpha_{i-1} \\
 &\vdots \\
 \omega_2 &= g_1 - \omega_1 + \alpha_1 \\
 \omega_1 &= g_0 - \lambda(M) + \lambda(\text{Tor}_1(M, H_m^1(R))).
 \end{aligned}$$

After localizing the resolution G_\bullet at any minimal prime \mathfrak{p} , since $(\Omega_{i+1})_{\mathfrak{p}} = 0$, we obtain that $\sum_{j=0}^i (-1)^j \beta_j(M) = 0$. Then, $\sum_{j=0}^i (-1)^j g_j = 0$ because $g_j = \beta_j(M) \cdot \lambda(H_m^0(R))$. Therefore,

$$\begin{aligned}
 \omega_{i+1} &= \lambda(\Omega_{i+1}) \\
 &= \sum_{j=1}^{i-1} (-1)^{i-j} \alpha_j + (-1)^i \lambda(\text{Tor}_1(M, H^1(R))) + (-1)^{i-1} \lambda(M).
 \end{aligned}$$

Choose a parameter x such that $H_m^0(R) = 0 :_R x$, as in Remark 2.4. By similar considerations, we can also assume that $xM = 0$. From this choice, we have that $xH_m^0(\Omega_j) = 0$ for all $j = 0, \dots, i + 1$, since $\Omega_j \subseteq G_{j-1}$ is a free R -module. Since the Tor modules can be computed using flat resolutions, we have an exact sequence

$$0 \longrightarrow H_m^0(R) \longrightarrow R \longrightarrow R_x \longrightarrow H_m^1(R) \longrightarrow 0.$$

Completion is produced on the left to obtain a flat resolution of $H_m^1(R)$:

$$\begin{array}{ccccccc}
 \dots & \longrightarrow & R^{\mu(H_m^0(R))} & \longrightarrow & R & \longrightarrow & R_x \longrightarrow H_m^1(R) \longrightarrow 0. \\
 & & & & \searrow & & \nearrow \\
 & & & & & R/H_m^0(R) &
 \end{array}$$

By our choice of x , we have that a free resolution of R/x begins as

$$\dots \longrightarrow R^{\mu(H_m^0(R))} \longrightarrow R \longrightarrow R \longrightarrow R/(x) \longrightarrow 0.$$

For all $j = 1, \dots, i - 1$, we obtain

$$\text{Tor}_1^R(\Omega_j, H_m^1(R)) \cong \text{Tor}_1^R(\Omega_j, R/(x)) \cong \text{Tor}_{j+1}^R(M, R/(x)),$$

where the last isomorphism comes from dimension shifting. In addition,

$$\text{Tor}_1^R(M, H_m^1(R)) \cong \text{Tor}_1^R(M, R/(x)).$$

Finally, since $xH_m^0(\Omega_0) = xM = 0$, we get

$$M \cong M/xM \cong \text{Tor}_0^R(M, R/(x)),$$

and the proposition then follows. □

Corollary 5.10. *Let (R, \mathfrak{m}, K) be a one-dimensional ring, and let M be a finite length module of infinite projective dimension. Then $\lambda(\Omega_1) = \lambda(\Omega_3) = \infty$.*

Proof. Note that $\lambda(\Omega_1) = \infty$; otherwise, we would have a short exact sequence

$$0 \rightarrow \Omega_1 \rightarrow G_0 \rightarrow M \rightarrow 0,$$

in which both Ω_1 and M have finite lengths. This cannot occur since $G_0 \neq 0$ is free and $\dim(R) = 1$.

Now, let us assume by way of contradiction that $\lambda(\Omega_3) < \infty$. Let $(G_\bullet, \varphi_\bullet)$ be a minimal free resolution of M :

$$0 \rightarrow \Omega_3 \longrightarrow G_2 \xrightarrow{\varphi_2} G_1 \xrightarrow{\varphi_1} G_0 \longrightarrow M \rightarrow 0.$$

Let $x \in R$ be a parameter such that $xM = xH_m^0(R) = 0$. Consider the short exact sequence

$$0 \rightarrow (x) \rightarrow R \rightarrow R/(x) \rightarrow 0.$$

By our choice of x we have $0 :_R x = H_m^0(R)$; hence, $(x) \cong R/H_m^0(R)$. After tensoring the sequence with M , we obtain that

$$0 \rightarrow \text{Tor}_1^R(M, R/(x)) \rightarrow M/H_m^0(R)M \rightarrow M \rightarrow M/xM \rightarrow 0.$$

Since $xM = 0$, we obtain

$$\lambda(\text{Tor}_1^R(M, R/(x))) = \lambda(M/H_m^0(R)M).$$

Then, by Proposition 5.9, we have

$$\begin{aligned} \lambda(\Omega_3) &= -\lambda(\text{Tor}_2^R(M, R/(x))) + \lambda(\text{Tor}_1^R(M, R/(x))) - \lambda(M) \\ &\leq \lambda(\text{Tor}_1^R(M, R/(x))) - \lambda(M) = \lambda(M/H_m^0(R)M) - \lambda(M) \leq 0, \end{aligned}$$

which gives a contradiction since $\Omega_3 \neq 0$, since M has infinite projective dimension. \square

The next example is due to the second author and is taken from [6]. It shows the assumption that M has finite length is needed in Corollary 5.10.

Example 5.11. Let $S = \mathbb{Q}[x, y, z, u, v]$, and let $I \subseteq S$ be the ideal

$$I = (x^2, xz, z^2, xu, zv, u^2, v^2, zu + xv + uv, yu, yv, yx - zu, yz - xv).$$

Let $R = S/I$, which is a one-dimensional ring of depth 0. In this case, y is a parameter, $0 :_R y = (u, v, z^2)$ and $(y) = 0 :_R (0 :_R y)$. Let M be the cokernel of the rightmost map in the exact complex:

$$\cdots \longrightarrow R^3 \xrightarrow{\begin{bmatrix} u & v & z^2 \end{bmatrix}} R \xrightarrow{y} R \xrightarrow{\begin{bmatrix} u \\ v \\ z^2 \end{bmatrix}} R^3.$$

Then M is a one-dimensional module with first and third syzygies $\Omega_1 \cong R/(y)$ and $\Omega_3 \cong 0 :_R y$. Both are modules of finite length since y is a parameter.

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