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CONNECTING SCIENTIFIC ARTICLES WITH RESEARCH DATA: NEW DIRECTIONS IN ONLINE SCHOLARLY PUBLISHING

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ABSTRACT

Researchers across disciplines are increasingly utilizing electronic tools to collect, analyze, and organize data. However, when it comes to publishing their work, there are no common, well-established standards on how to make that data available to other researchers. Consequently, data are often not stored in a consistent manner, making it hard or impossible to find data sets associated with an article – even though such data might be essential to reproduce results or to perform further analysis. Data repositories can play an important role in improving this situation, offering increased visibility, domain-specific coordination, and expert knowledge on data management. As a leading STM publisher, Elsevier is actively pursuing opportunities to establish links between the online scholarly article and data repositories. This helps to increase usage and visibility for both articles and data sets and also adds valuable context to the data. These data-linking efforts tie in with other initiatives at Elsevier to enhance the online article in order to connect with current researchers' workflows and to provide an optimal platform for the communication of science in the digital era.

Keywords: STM publishing, Data repositories, Data-linking, Innovation

1 INTRODUCTION

Driven by technological advancements enabling storage and sharing of large volumes of data, experimental data sets (possibly very large) have become an essential part of scientific research. Data in science is ubiquitous, with notable examples spanning a wide range of research disciplines from the Human Genome Project, to Earth Observations, to the Large Hadron Collider. Science, by its nature, produces a lot of data - and this is increasingly true now that many sensory data are born-digital and barriers to storing and processing this data are low.

Making research data available to other scholars provides an impetus to the advancement of science. First of all, it enables others to reproduce a scientific result to assure themselves of its validity – one of the cornerstones of the scientific method, yet often impossible to follow through when parts of the input data or computational methodology remain a black box. Secondly, data may be re-used for other purposes, sometimes unforeseen when the data were gathered. Re-use drives research efficiency by preventing duplication of work but also opens the door to analyses that were not otherwise possible – in particular when different data sets are combined into a meta-analysis of sorts.

Despite the availability of basic enabling technologies, the potential for sharing research data is far from being fulfilled today. A recent study by PARSE.Insight (<http://www.parse-insight.eu/>) shows how researchers share their data in many ways: by email, on their university website, as supplementary material to a journal article, in an institutional repository, etc. This makes it hard for others to find data sets and, by the absence of clear and consistent metadata, to interpret them correctly. In addition, researchers are sometimes reluctant to share their data sets. There are several reasons for this: the additional work, worry about incorrect usage, the desire to “monetize” the value in data that was collected by hard work through a series of journal articles, or simply unawareness of existing possibilities.

What appears to be lacking to fully benefit from accessible research data is often organizational in nature: incentives to share data (in the form of academic credits, recognition, or otherwise) and common standards and processes that are widely accepted and consistently followed. Many stakeholders need to align to make that happen, and such a movement appears to be happening at present. An increasing number of funding agencies require their researchers to share data and/or have a data management plan. Domain-specific data repositories are taking up a role as centralized places to deposit and access research data. Organizations such as DataCite (<http://www.datacite.org>) and the ICSU World Data System (<http://www.icsu-wds.org/>) help to create

overarching policies, views, or infrastructure to facilitate some of the work for individual data repositories, such as creating persistent identifiers, increasing discoverability, and establishing authority. Last, but not least, publishers are actively establishing connections between the scholarly record and data sets. This helps increase visibility and usage of data sets, integrates data sets into the existing researcher workflow, and provides accurate context to data sets – thereby addressing some of the issues that researchers face with sharing and re-using data. It is worth noting in this context that the international STM publishing community issued a statement in 2007 to outline their view that “raw research data should be made freely available to all researchers” (http://www.stm-assoc.org/2007_11_01_Brussels_Declaration.pdf).

Scientific data repositories are numerous and diverse in character. A recent survey identified over a thousand scientific data repositories in Life Sciences alone (Marcial & Hemminger, 2010). The character of these repositories depends on the field and the intended audience - some data repositories just provide researchers a “safe harbor” to store their data sets for perpetuity, others actively curate the literature and organize data into authoritative information resources.

As a globally leading STM publisher, Elsevier has taken a prominent role in establishing (reciprocal) connections between the scholarly article and scientific data repositories. Such connections can take various forms, from clickable hyperlinks in the article text to interactive applications integrated into SciVerse® ScienceDirect® that pull data on-the-fly from a data resource on the web. What they have in common is the goal to present the reader with relevant, trustworthy data and information in the context of a research publication, to provide context to data sets, and to make it easier for researchers to find publications and data sets relevant to their work.

In the remainder of this article we will discuss data-linking initiatives at Elsevier. We will also describe the enabling technologies that Elsevier has invested in to allow for agile and collaborative development of data linking tools and touch upon the vision underlying these efforts.

2 ELSEVIER’S ARTICLE OF THE FUTURE

The electronic age has brought profound changes to the way scientific research is conducted and captured. Researchers increasingly use electronic tools to perform measurements and to analyze, organize, and share their material. Consequently research output is increasingly diverse and “rich” in an electronic sense – including data sets, video and other multimedia files, computer code, etc. However, when it comes to publishing, the scientific article – as a vehicle to communicate that research - has shown little adaptation, and a scientist often finds herself reducing valuable scientific output to “ink on paper”, which the reader then has to reconstruct to take full advantage of the insights the author wanted to share (many a researcher will recognize the frustration of having to re-key a data table or use a ruler to determine the location of a peak on a data plot.)

Improvements to the scholarly article over the last few decades have been mostly in terms of delivery (electronically) and discoverability (full-text search) as well as a number of smaller-scale, specific enhancements, such as the possibility to upload supplementary data. However, in terms of structure and shape, the current article is by and large the same as in the first scholarly journals of the 17th century. In order to address this growing mismatch between the frozen “article” concept and the evolving workflows and needs of researchers, Elsevier has initiated the “Article of the Future” – a project to rethink the scientific article in the electronic age.

The Article of the Future project aims to offer an optimal platform to communicate science in today’s digital world. From this starting point, the concept has been developed in close collaboration with the scientific community, involving feedback from several hundreds of researchers. Very early on in the development, it was recognized that the greatest additional value lies in domain-specific enhancements. Different scientific communities use different tools, are used to different data standards, and might have different attitudes towards communicating research output – so one clearly has to go beyond “one size fits all” to really connect with, and support, the workflow of individual researchers. The Article of the Future improves the online article in essentially three directions:

- Presentation – offering an optimal online browsing and reading experience, which is a basic requirement for online reading and for any further enhancements.
- Content – supporting a richer pallet of author-delivered material, including multimedia files, scientific data, and computer code.

- Context – connecting the online article to trustworthy scientific resources to present the reader with relevant information in the context of the article.

A perhaps naïve metaphor for the enhanced contextualization of articles is to think about the article as a roundabout rather than the traditional one-way street. Establishing connections between the article and data repositories perfectly fits into this vision, making the Article of the Future a natural format for seamless integration of data-linking tools.

Initially introduced for Cell Press journals in 2009, the Article of the Future concept was expanded to other disciplines from 2010 onwards. After an initial series of prototype articles on www.articleofthefuture.com, the first phase of this concept has been implemented across Elsevier's publication platform SciVerse ScienceDirect at the time of writing (January 2012). Further enhancements are expected later in 2012.

3 LINKING SCIVERSE SCIENCEDIRECT AND DATA REPOSITORIES

SciVerse ScienceDirect supports a number of methods to link with data repositories, including author-based tagging schemes, automatically generated data-linking banners (see also Aalbersberg & Kähler 2011), and data-linking applications. The most appropriate choice depends on the nature of the data and the database and on how the information is best presented to the reader.

The first method that Elsevier employs to link out to a data repository is to ask authors to explicitly tag entities for which data is available at a repository, for example, "OMIM ID: 606054" to refer to a specific data record at the Online Mendelian Inheritance in Man (OMIM) data repository. This tag will be recognized during the publication production process and show up as a hyperlink in the online article, pointing to the relevant data record.

The second possibility relies on an automatic, on-the-fly linking banner service that has been developed for this purpose. Here a selected database is queried when a reader opens up an online article on SciVerse ScienceDirect. If the data repository recognizes the article DOI, a banner image is returned to indicate that the repository holds relevant data records for this article. Clicking on the banner then directs the reader to those records. A key benefit of this scheme is that it allows for data to be connected to the article after publication (possibly even years thereafter). This is particularly useful to help make past data available using current technology, and also accommodates authors who prefer to make their data available only after an initial embargo or delay.

A third, broad category of data-linking methods lies in utilizing Elsevier's SciVerse Application Framework (<http://www.applications.sciverse.com>) to create dedicated applications that connect with online data repositories and display relevant data and information alongside the online article for interactive exploration. This framework, which will be discussed in detail in the next section, opens the door to the wide range of possibilities for interactive exploration of data in the context of the online article.

At the time of writing, SciVerse ScienceDirect features over 20 linking schemes and data-linking applications to connect articles to scientific data repositories. Covering the wide gamut of subject areas, linked data repositories include the Protein Data Bank (<http://www.rcsb.org>), Encyclopedia of Life (<http://www.eol.org>), Cambridge Crystallographic Data Center (<http://www.ccdc.cam.ac.uk>), EarthChem (<http://www.earthchem.org>), PANGAEA (<http://www.pangaea.de>), the SIMBAD Astronomical Database (<http://simbad.u-strasbg.fr/simbad>), ClinicalTrials.gov (<http://www.clinicaltrials.gov/>), Data.gov (<http://www.data.gov/>), and many others. For an up-to-date overview of author-tagged linking schemes and SciVerse (data-linking) applications, please visit <http://www.elsevier.com/databaselinking> and <http://www.applications.sciverse.com/action/gallery>, respectively.

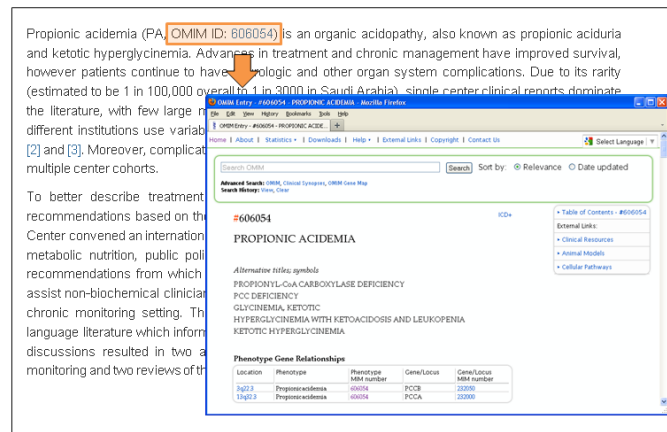


Figure 1. Screenshot of an online article page on SciVerse ScienceDirect showing an author-tagged link to the OMIM data repository (see <http://dx.doi.org/10.1016/j.ymgme.2011.08.007>). The inset shows the landing page for the data record at OMIM. Here, as in the other figures, the orange boxes and arrows (pointing from a link to its target) are for illustration purposes only; these are not displayed on SciVerse ScienceDirect.

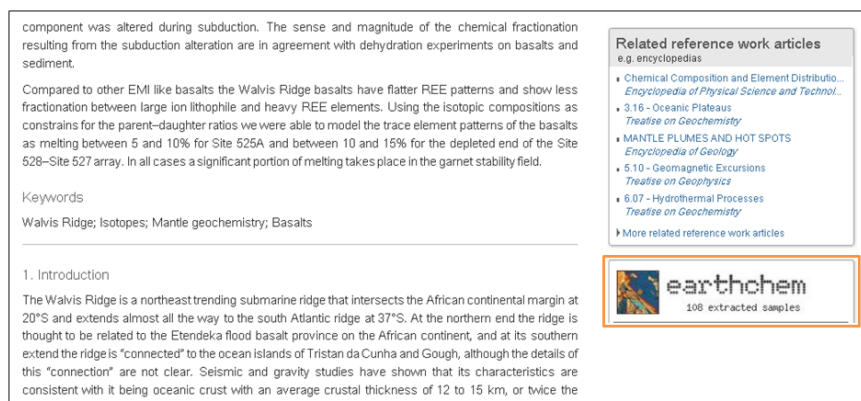


Figure 2. Screenshot of an EarthChem linking banner as displayed next to an online article on SciVerse ScienceDirect (see <http://dx.doi.org/10.1016/j.chemgeo.2010.02.010>).

4 THE SCIVERSE APPLICATION FRAMEWORK AND DATA-LINKING APPLICATIONS

4.1 The SciVerse Application Framework as an enabling technology

The SciVerse Application Framework is a collection of technologies that, amongst others, enable dynamic integration of data and software from third-party sources into Elsevier’s publishing and search platforms SciVerse ScienceDirect, SciVerse Scopus®, and SciVerse Hub. The component systems to support these integrations provide services ranging from platform integration, search and retrieval APIs (short for Application Programming Interfaces, machine-readable interfaces that allow for programmatic access to content and services) and content syndication, to advanced services such as entity extraction and text mining.

The core component of the SciVerse Application Framework is the platform integration infrastructure, or Framework API, which allows for third-party data and tools to integrate dynamically into the SciVerse user interface. To accomplish this, SciVerse uses a standards-based, open source gadget framework: Apache Shindig, an OpenSocial container that allows for applications, or “gadgets”, to be integrated into container web applications. Elsevier has extended its implementation of this software in a few key ways to offer a range of functionality especially suited for linking with data sets:

- Platform and content integration – applications running within SciVerse ScienceDirect have access to the full-text scientific article, the document metadata, and user input such as search terms.

- User interface extensions – applications have access to a wide array of functions to enable rich user experiences and integration into the surrounding user interface, such as the ability to create links within documents and load applications dynamically within the page.
- Interaction with external resources – applications can access information resources on the web to combine that with Elsevier content into a form most useful to readers.

A cornerstone of the SciVerse Application Framework is the set of SciVerse Content APIs, which allow programmatic access to a broad range of scholarly content and bibliometrics within SciVerse ScienceDirect and SciVerse Scopus. The APIs allow for real time query and retrieval of search results, abstracts, full-text articles, document metadata, author and affiliation data, and citation metrics. Created for easy re-use to facilitate new application development, these tools are now frequently used in text analysis and matching operations to identify and link data references within articles.

In cases where on-the-fly analysis tools are too slow and pre-processing is required, Elsevier also provides a Content Syndication service to deliver large amounts of full-text articles and books to data linking partners and application developers. This service allows for rapid delivery of configurable collections of full-text content in bulk, either downloadable via FTP or delivered on hard disk. New content can be updated via FTP, with configurable delivery schedules that can provide regular content updates as often as hourly, to enable partners to access newly published full-text content with minimal delays.

Beyond these basic components, Elsevier is also expanding its services into more advanced areas by creating “Developer Services”. These are meant to enable rapid development and deployment of new data-linking opportunities as they arise. These building blocks also help to let potential partners focus on the scientific implementation of a data application, rather than on the technical details. As an example of this, a prototype entity extraction service was recently made available. This service can be configured with a lexicon containing phrases associated with the entities to be identified. It can then be passed an arbitrary chunk of text in which it identifies entities from the associated lexicon. The response from this service contains the list of entities matched in the text, the number of occurrences, and the location of those occurrences. In this way, data linking applications can be created with very little effort, often requiring little more than the transfer of a lexicon of unique terms or identifiers as a basis.

4.2 Some examples of data-linking applications on SciVerse ScienceDirect

4.2.1 LIPID structures & TAIR Arabidopsis

Elsevier recently collaborated with the community-organized LIPID MAPS (<http://www.lipidmaps.org>) and TAIR (<http://www.arabidopsis.org>) (The Arabidopsis Information Resource) data repositories to develop two applications for researchers in biology. Both applications operate in a similar manner: they identify terms within articles on SciVerse ScienceDirect, pull key information into the article, and link to the associated data record pages, thereby helping researchers to quickly access valuable reference information on Arabidopsis loci (TAIR) or lipids (LIPID Structures).

These applications provide examples of the collaborative development discussed in the previous section, allowing for rapid development and deployment. They use a centralized, configurable text-mining service created by Elsevier. This enables data repositories to focus on their key strengths, such as localized collection, aggregation, enhancement and storage of domain-specific data, and selection of the most relevant information for online readers.

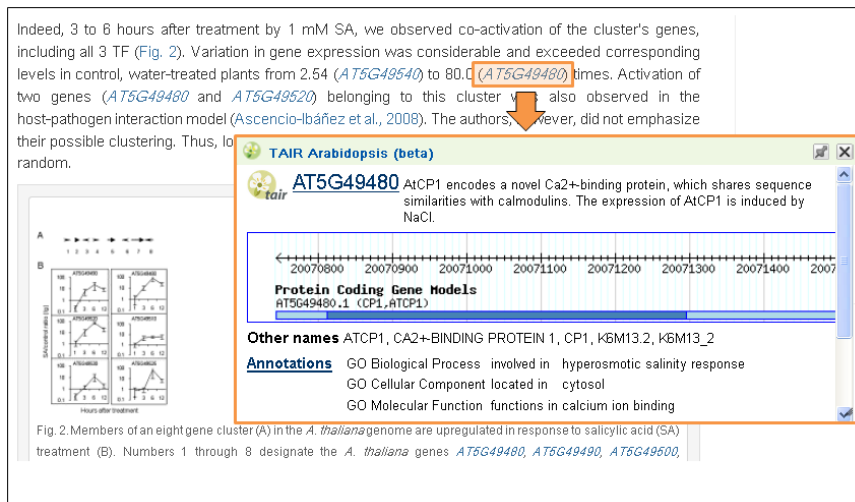


Figure 3. Screenshot showing a locus recognized by the TAIR application and the information panel after being opened by the reader (see <http://dx.doi.org/10.1016/j.gene.2011.09.023>).

4.2.2 The Genome Viewer

The Genome Viewer, developed in collaboration with NCBI, combines author-tagged entities with SciVerse's capabilities to interact with external resources and to add a layer of interactivity that lets readers interactively explore data rather than having to digest a long list with information. The application recognizes NCBI Accession Numbers for genetic sequences, collects sequence data from GenBank (<http://www.ncbi.nlm.nih.gov/genbank>), and collects this in an interactive sequence viewer. Users can easily find locations of specific interest, change the visualization, or download sequence data from within the application.

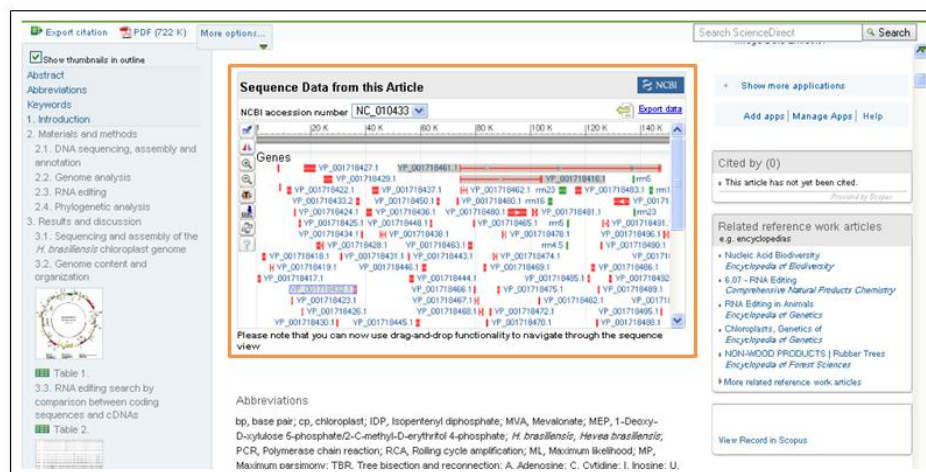


Figure 4. Screenshot showing genetic sequence data visualized by the Genome Viewer (see <http://dx.doi.org/10.1016/j.gene.2011.01.002>).

4.2.3 PANGAEA

Elsevier and PANGAEA have built an advanced linking service between SciVerse ScienceDirect and the PANGAEA data repository for Earth Sciences research. Authors who submit a paper to a participating journal are encouraged to submit their raw data sets to PANGAEA, where they are archived and assigned a unique, persistent identifier. When the paper is published online, the reader will see an interactive map application that visualizes the geographical locations of the data sets at PANGAEA and offers links to the data records.



Figure 5. Screenshot showing the PANGAEA map viewer with the geographical locations of data records at PANGAEA (see [http://dx.doi.org/10.1016/S0031-0182\(01\)00461-8](http://dx.doi.org/10.1016/S0031-0182(01)00461-8)).

4.2.4 Exoplanets+

The Exoplanets+ application was one of the contestants for Elsevier's "Apps for Science" contest. The application searches through full-text articles for exoplanet (extra-solar planet) names that it recognizes from several astronomical data repositories including Exoplanets.org (<http://exoplanets.org/>) and SIMBAD (<http://simbad.u-strasbg.fr/simbad>). If exoplanets are found, the application opens up in the right-hand pane to alert the reader that additional information is available. Clicking on an exoplanet name opens a panel with a compilation of key information and links to the underlying data repositories.

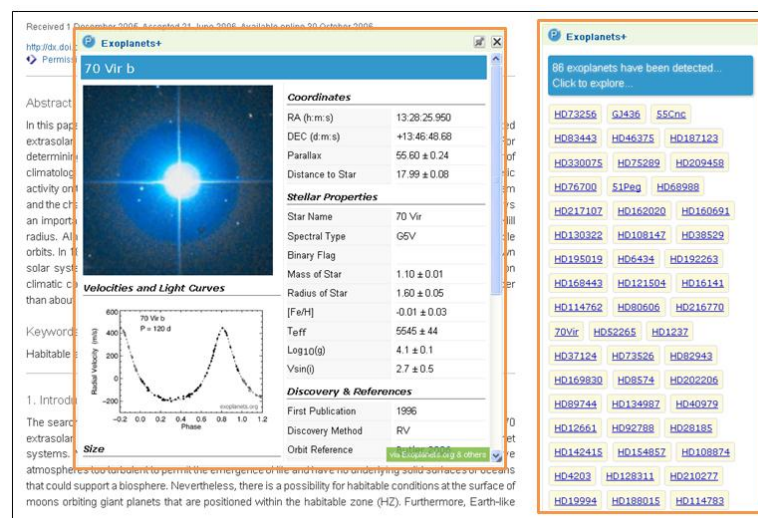


Figure 6. Screenshot showing a list of exoplanet names recognized by the Exoplanet+ application and the information panel after being opened by the reader (see <http://dx.doi.org/10.1016/j.pss.2006.06.022>).

5 CONCLUSION

In a time where scientists are increasingly utilizing electronic tools for their research tasks - think only of the extensive use of automated data collection and processing pipelines or the ubiquity of software tools to analyze and share research material - new or improved ways to disseminate scientific output are emerging. In particular, several stakeholders are actively encouraging the sharing of research data through scientific data repositories so as to benefit from increased visibility, domain-specific coordination, and expert knowledge on data management.

Recognizing the need to support changing workflows and researcher needs (in both the author and reader role), Elsevier has taken several initiatives to bring additional value to its online articles on SciVerse ScienceDirect.

These include the Article of the Future project, focusing on improved presentation, content, and context and the SciVerse Application Framework, which enables Elsevier and partner organizations to develop specific, interactive tools that the reader can access from within the context of the online article.

Building on these foundations, Elsevier is actively establishing connections between online articles and scientific data repositories. This improves the user experience for readers of SciVerse ScienceDirect by providing simple, one-click access to trustworthy and relevant data. At the same time, this program improves visibility and usage of data repositories and places data sets in perspective: journal articles often contain information about data sets – how were they accumulated, what are their limitations, which conclusions have been drawn from them, etc. – that is essential for correct interpretation and consistent re-use. In this manner, connections between the scientific articles and data repositories add value on both sides and contribute to a better infrastructure for the dissemination of science in the electronic age.

Elsevier is keen on further expanding its range of data-linking schemes and applications and welcomes collaboration with interested parties.

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