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# Estimating evapotranspiration and groundwater flow from water-table fluctuations for a general wetland scenario

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## ABSTRACT

The use of diurnal water-table fluctuation methods to calculate evapotranspiration (ET) and groundwater flow is of increasing interest in ecohydrological studies. Most studies of this type, however, have been located in riparian wetlands of semi-arid regions where groundwater levels are consistently below topographic surface elevations and precipitation events are infrequent. Current methodologies preclude application to a wider variety of wetland systems. In this study, we extended a method for estimating sub-daily ET and groundwater flow rates from water-level fluctuations to fit highly dynamic, non-riparian wetland scenarios. Modifications included (1) varying the specific yield to account for periodic flooded conditions and (2) relating empirically derived ET to estimated potential ET for days when precipitation events masked the diurnal signal. To demonstrate the utility of this method, we estimated ET and groundwater fluxes over two growing seasons (2006–2007) in 15 wetlands within a ridge-and-swale wetland complex of the Laurentian Great Lakes under flooded and non-flooded conditions. Mean daily ET rates for the sites ranged from 4.0 mm d<sup>-1</sup> to 6.6 mm d<sup>-1</sup>. Shallow groundwater discharge rates resulting from evaporative demand ranged from 2.5 mm d<sup>-1</sup> to 4.3 mm d<sup>-1</sup>. This study helps to expand our understanding of the evapotranspirative demand of plants under various hydrologic and climate conditions. Published 2013. This article is a U.S. Government work and is in the public domain in the USA.

KEY WORDS evapotranspiration; Great Lakes; groundwater; water table; wetland

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## INTRODUCTION

Estimation of evapotranspiration (ET) and groundwater-flux rate is of fundamental importance in wetland ecohydrological studies (e.g. Williams *et al.*, 2006; Hill and Neary, 2007; Drexler *et al.*, 2008; Sanderson and Cooper, 2008; Nagler *et al.*, 2009). ET represents the principal, and often dominant, mechanism for water loss in many wetland settings (Pribean and Ondok, 1985; Wessel and Rouse, 1994; Drexler *et al.*, 2004), and groundwater loading is known to play a key role in structuring certain wetland types (e.g. Boomer and Bedford, 2008; Loheide *et al.*, 2009; van der Kamp and Hayashi, 2009). Understanding plant community responses to climate change requires sound hydrologic data (Burkett *et al.*, 2005), and accurate methods for obtaining estimates of ET losses over the growing season are needed increasingly to understand the implications of climate change for water resource management and adaptation.

Obtaining accurate estimates, however, is particularly difficult in wetlands because of variability in local atmospheric conditions, changing water levels and spatially heterogeneous plant community assemblages. Potential (PET) or actual ET (AET) calculated from Penman-type equations utilizing radiation and aerodynamic measurements above the plant canopy (e.g. Penman, 1948; Monteith, 1965; Allen *et al.*, 2005) are often applied in wetland studies, but the resulting estimates are subject to uncertainties in aerodynamic and surface

resistance as well as variability in wetland surface characteristics (Drexler *et al.*, 2004). Eddy covariance methods (e.g. Acreman *et al.*, 2003) are particularly accurate but costly to install and maintain (Drexler *et al.*, 2004). Relatively inexpensive and easy to implement, water-level fluctuation (WLF) methods (Lott and Hunt, 2001; Mould *et al.*, 2010) can be useful in wetland situations for estimating AET and groundwater flow because WLFs reflect changes in plant life stage, community composition and antecedent soil moisture conditions that micrometeorological methods do not (Lautz, 2008). These methods utilize diurnal fluctuations in wetland water levels, which reflect direct uptake of groundwater by plants (Loheide *et al.*, 2009). Plants draw down water levels during daylight hours, and groundwater flows continuously, but water-table elevation rebound is most apparent at night, as groundwater flux replenishes the water extracted by ET (Figure 1). The classic work by White (1932) long has been used to estimate groundwater and ET rates empirically in semi-arid riparian areas on a daily scale (e.g. Troxell, 1936; Gatewood *et al.*, 1950; Meyboom, 1967; Gerla, 1992; Laczniak *et al.*, 1999). An added benefit to WLF methods, groundwater flux rates associated with evaporative demand by plants, which are needed in water-balance analyses and wetland hydrogeology studies, can be estimated concurrently with ET from continuous water-level records (Gerla, 1992; Loheide *et al.*, 2005; Butler *et al.*, 2007; Hill and Neary, 2007; Gribovski *et al.*, 2008; Loheide, 2008). In semi-arid riparian wetlands where water levels are below ground for most of the growing season and precipitation events are infrequent, the

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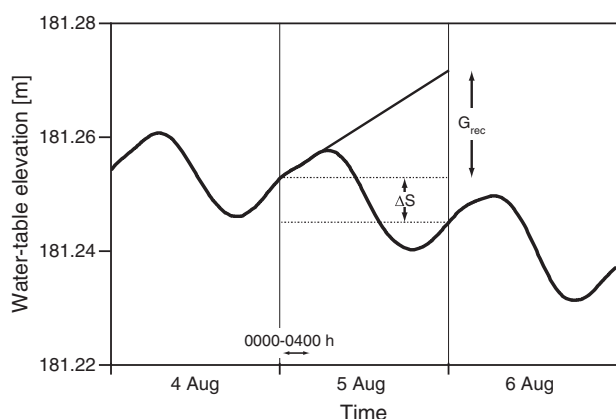


Figure 1. Hydrograph of Swale 55 from 4–6 August 2007 showing the White (1932) method for empirically calculating daily ET.  $G_{\text{rec}}$  represents the net groundwater flow over a 24-h period as extrapolated from the slope of the water-level curve from midnight (0000 h) to 0400 h. Change in storage is depicted by  $\Delta S$ . ET is the sum of  $G_{\text{rec}}$  and  $\Delta S$  multiplied by the specific yield,  $S_y$ .

regular step-down pattern in the water table allows for systematic calculation of ET and groundwater flow.

Application to a more general wetland scenario that includes non-riparian, flooded wetlands, however, is needed. The primary limitations to the WLF method include an inability to apply the method during rain events, under flooded conditions or when water levels drop below the rooting zone (Mould *et al.*, 2010). An additional complicating factor influencing the accuracy of ET estimation by traditional WLF methods involves the inherent assumption that groundwater recovery rate is constant even though we know that it changes over the course of the day with evapotranspirative demand (Troxell, 1936). More recently, researchers have begun examining high-resolution wetland hydrographs on a sub-daily basis to understand nutrient cycling (Schilling, 2007; Schilling and Kiniry, 2007), groundwater consumption (Loheide, 2008) and ET effects on river baseflow (Gribovszki *et al.*, 2008). Gribovszki *et al.* (2008) and Loheide (2008) extended White's (1932) concept to resolve the recovery-rate problem by calculating ET as a function of time, which increases the accuracy of the ET estimate by addressing variability in groundwater recovery rates. Because of the aforementioned limitations, a sub-daily approach and a combination of PET and WLF methods may be useful.

In this study, we asked: can WLF methods be applied to a more general wetland scenario where flooded conditions and rain events are prevalent? Using both WLF and PET estimates, we developed modifications to the sub-daily method of Loheide (2008) that extend its utility to a wide variety of wetland systems. Applying the method to sub-daily hydrographs from a structurally and vegetatively complex ridge-and-swale wetland system in the Great Lakes region, we were able to estimate ET and shallow groundwater fluxes over two annual growing seasons for 15 swales in the wetland system.

## METHODS

### Water-level fluctuation theory

Water-level fluctuation methods allow for the concurrent calculation of groundwater inflow and ET rates. In the

sub-daily WLF methods that account for changes in groundwater rates over the course of the day (Gribovszki *et al.*, 2008; Loheide, 2008), groundwater inflow rate,  $G_{\text{rec}}$  ( $\text{mm h}^{-1}$ ), is calculated during times of negligible ET as follows:

$$G_{\text{rec}} = S_y(dh/dt) \quad (1)$$

where  $S_y$  is the readily available specific yield (*sensu* Meyboom, 1967), and  $dh/dt$  represents the change in water-table elevation,  $h$  (mm), over time,  $t$  (h). The Loheide (2008) method assumes that a groundwater recovery source, located an arbitrary distance away, supplies water to the unconfined aquifer at the observation well. The methodology is summarized here, but the reader is referred to Loheide (2008) for further details. The rate of change in head at the recovery source is assumed equal to the overall water-table rate of change at the well. If this assumption is accurate, the net inflow rate,  $G_{\text{rec}}$  ( $\text{mm h}^{-1}$ ), can be estimated by detrending the water-level curve by subtracting the trend slope and intercept from the linear regression of the water-table trend for each day. The detrended water-level curve is then regressed against the rate of change in detrended water-table elevation for the pre-dawn hours of two consecutive nights. The regression is extended to predict the rate of change in detrended water-table elevation over the day, which is retrended and multiplied by specific yield to obtain the hourly inflow rate,  $G_{\text{rec}}$ . Once the inflow rate is known, ET,  $\text{ET}_G$  ( $\text{mm h}^{-1}$ ), is calculated as follows:

$$\text{ET}_G = G_{\text{rec}} - S_y(dh/dt) \quad (2)$$

For application to a more general wetland scenario (e.g. seasonally flooded flow-through wetlands in humid climates), we used sub-daily methods for calculating ET and groundwater recovery rates while applying several modifications. First, we accounted for perennial flooding using a weighted specific-yield correction. Second, we used micrometeorological methods (e.g. Penman–Monteith) in regression analyses to predict  $\text{ET}_G$  when precipitation events precluded the use of hydrologic methods. Finally, we allowed a flexible window (from midnight to a time between 0400 h and 0600 h) for defining the pre-dawn hours when ET is assumed to be negligible to ensure the method was more likely to succeed for any given day.

### Correcting for above-ground storage

When a wetland site is flooded, water-table head elevation shows a reduced response per unit change in storage even though the volumetric change is the same. This must occur because the above-ground void volume must equal the storage volume. For perennially flooded wetland scenarios, we adjusted the specific yield ( $S_y$ ) for each time step to resolve the discrepancy between flooded and non-flooded conditions. Whereas the readily available specific yield ( $0 < S_y < 1$ ) is appropriate when working with below-ground-surface (BGS) water levels (Loheide *et al.*, 2005), a specific yield of 1.0 instead is used for the portion of water that is above-ground surface (AGS) (Mitsch and Gosselink, 2000; Hill and Neary, 2007). This means that under flooded conditions, all standing water will drain under the force of

gravity. Similar to the composite specific yield of Hill and Neary (2007), we used a weighted specific yield ( $S_{yc}$ ) value to account for the respective portions of the water column that are AGS and BGS. Whereas they assessed actual wetland geometry, we used a rectangular wetland geometry, which is appropriate if ET and groundwater fluxes are calculated as depths (rather than volumes) across a 1-m<sup>2</sup> cross section of wetland and the slope of the bottom is minimal in relation to the side slopes (Figure 2A). Adopting a similar specific-yield notation, we then calculated a composite specific yield ( $S_{yc}$ ) by Equation (3).

$$S_{yc} = S_{yw} \left( \frac{D_w}{D_w + D_s} \right) + S_{ys} \left( \frac{D_s}{D_w + D_s} \right) \quad (3)$$

where  $S_{yw}$  is the specific yield of standing water of 1.0,  $S_{ys}$  is the specific yield of sediments,  $D_w$  is the water depth,  $D_s$  is the depth from soil surface to a less permeable surface representing the base of the unconfined aquifer (e.g. bedrock or glacial till) and  $D_w + D_s$  is the total depth over which the specific yield is estimated (Figure 2).

By convention, the naming scheme 'ET<sub>G</sub>' usually refers to direct groundwater withdrawal by phreatophytes. When considering water levels above or near land surface, some of the ET is also due to free-water-surface evaporation. In this paper, we maintain the ET<sub>G</sub> notation to indicate calculation from WLFs even though we recognize implicitly that all ET is not due to direct groundwater withdrawal by plants.

#### *Precipitation events and other decoupling from the recovery source*

The Loheide (2008) method assumes that the head at the groundwater recovery source fluctuates at a similar rate as

the water-table head at the well. The practical implication of this is that a regression of rate-of-change in detrended water table against the detrended water-table values in the pre-dawn hours of two sequential days should be significant. Lack of significance, signalling inapplicability of the general method, occurs when fluctuations are erratic or during rain events that cause a rapid water-table rise at the surface but a muted and lagged rise at the recovery source. Therefore, a second modification was needed to estimate ET<sub>G</sub> and  $G_{rec}$  for days when the general method could not be applied; we predicted ET<sub>G</sub> from hourly PET using the Penman–Monteith equation and related it to  $G_{rec}$  on a daily basis.

Hourly ET<sub>G</sub> can be predicted using an appropriate linear or nonlinear regression relating ET<sub>G</sub> to PET. The resulting time series, a composite of estimated ET<sub>G</sub> from WLFs and ET values predicted from PET, is referred to henceforth as ET<sub>C</sub>.

The Penman–Monteith equation for hourly reference ET (PET<sub>PM</sub>) (Monteith, 1965; Shuttleworth, 1993; Souch *et al.*, 1996, 1998; Allen *et al.*, 2006) is

$$PET_{PM} = \frac{1000}{\lambda \rho_w} \left[ \frac{\Delta(R_n - H_s) + \rho_a c_p (e_s - e_a)/r_a}{\Delta + \gamma(1 + r_s/r_a)} \right] \quad (4)$$

where  $\lambda$  (MJ kg<sup>-1</sup>) is the latent heat of vapourization,  $\rho_w$  is the density of liquid water (kg m<sup>-3</sup>),  $\Delta$  (kPa °C<sup>-1</sup>) is the slope of the saturation vapour pressure curve at air temperature  $T_a$  (°C),  $\gamma$  (kPa °C<sup>-1</sup>) is the psychrometric constant,  $R_n$  (MJ m<sup>-2</sup> h<sup>-1</sup>) is the net radiation,  $H_s$  is the soil heat flux (MJ m<sup>-2</sup> h<sup>-1</sup>),  $(e_s - e_a)$  is the vapour pressure deficit of the air (kPa),  $\rho_a$  is the density of air (kg m<sup>-3</sup>),  $c_p$  is the specific heat of air at constant pressure (MJ kg<sup>-1</sup> °C<sup>-1</sup>),

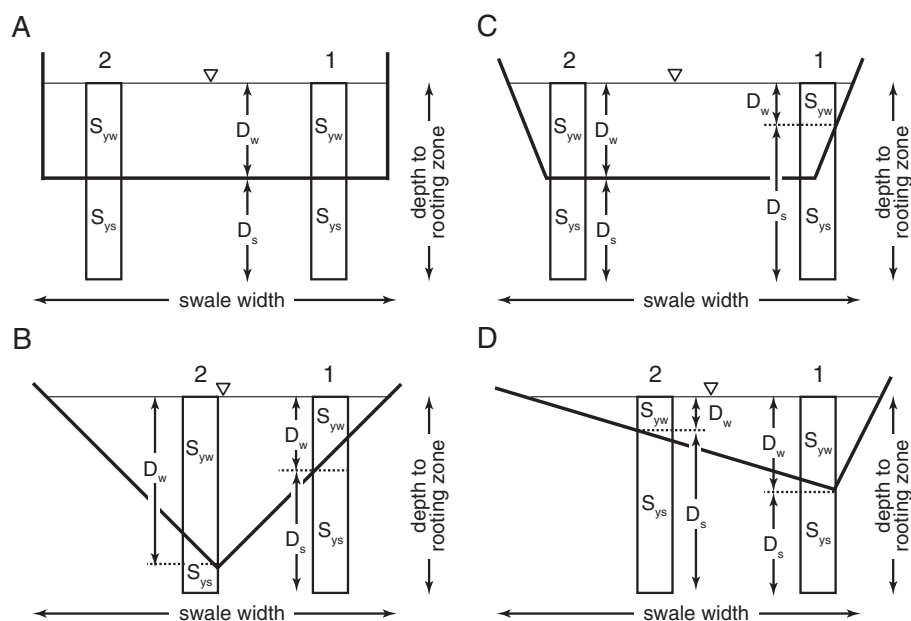


Figure 2. Various wetland geometries in cross section (rectangular, A; conic, B; trapezoidal, C; and oblique conic, D) showing effect of the weighted specific yield ( $S_{yc}$ ) when the water-level gage is placed at two positions. Position 1 represents the location of the wells in this study, which were installed on the lakeward side of each swale. Position 2 is an alternate location for comparison. The shape of C is most similar to actual swale geometry (personal observation).  $S_{yw}$  is the specific yield of standing water and is assigned a value of 1.0;  $S_{ys}$  is the soil and sediment specific yield for below-ground water levels.  $D_w$  represents the depth of standing water.  $D_s$  is the distance from the soil surface to the predepositional surface of the beach-ridge complex. Inverted triangle indicates water surface. Diagrams are not drawn to scale.



$r_a$  is the aerodynamic resistance ( $\text{h m}^{-1}$ ) and  $r_s$  is the surface resistance ( $\text{h m}^{-1}$ ). The value 1000 converts PET units from  $\text{m h}^{-1}$  to  $\text{mm h}^{-1}$ .

We estimated daily groundwater net inflow rate ( $G_{\text{rec}}$ ) by linear regression of daily  $G_{\text{rec}}$  and daily  $\text{ET}_G$  for days when the general method could not be applied. The validity of this relationship is based on the assumption that ET provides a void by which groundwater can flow to the swale, thereby relating ET and groundwater fluxes on a daily scale. We then applied the regression equation for known  $\text{ET}_G$  and  $G_{\text{rec}}$  values to compute unknown  $G_{\text{rec}}$  values from predicted  $\text{ET}_G$  values for gaps in the time series resulting from rain events. We then used the composite  $\text{ET}_G$  and  $G_{\text{rec}}$  data sets, henceforth  $\text{ET}_C$  and  $G_C$ , containing both directly estimated WLF values and WLF values predicted from PET for subsequent water-balance analyses.

#### Accounting for daily and seasonal variability

The time of day when ET is negligible generally is assumed to be from midnight to 0400 h or 0600 h (White, 1932; Gerla, 1992; Gribovski *et al.*, 2008; Loheide, 2008). For the general method to work for a given day, the incremental water-table slopes for corresponding time steps on the night before and the night after must overlap. When applying this method to more variable wetlands, it was necessary to capture the portion of the groundwater inflow curve from midnight to sometime between 0400 h and 0600 h when the water-table elevation for that day peaked (Figure 1). Therefore, the pre-dawn hours for each day were defined individually. Doing so accounted for seasonal changes in daylight as well.

In what follows, to illustrate these three modifications (weighting the specific yield, predicting  $\text{ET}_G$  from PET and allowing a flexible nighttime window of negligible ET), we

apply this method and modifications in a non-riparian wetland system in the Laurentian Great Lakes.

## EXAMPLE APPLICATION

### Site description

A 560-ha, undisturbed ridge-and-swale wetland system consisting of nearly 40 minerotrophic wetlands is located along the west shore of Lake Huron (44.86007°N, -83.33288°W) in northeast Michigan, USA, within the boundaries of Negwegon State Park (Figure 3). Over the last 3500 years at this site, coastal processes in an embayment with high sediment supply have led to the preservation of approximately 90 former beach ridges and intervening swales comprising a progradational beach-ridge complex that sits atop a leaky confining layer (T. Thompson, personal communication, 30 May 2005). Sediments are homogeneous fine-to-medium-grained sands with some gravel and very little silt or clay fraction capped by mucky hydric soils in the swales and sandy soils atop ridges (Tawas-Au Gres complex; USDA, NRCS, 2008).

Approximately half of the swales support wetland plant communities varying from sedge meadow or emergent marsh to shrub-scrub or forested wetland. Dominant vegetation types differ among swales but often consist of green and black ash (*Fraxinus pennsylvanica* Marsh. and *Fraxinus nigra* Marsh.) in the overstory; the shrubs gray alder [*Alnus incana* (L.) Moench] and common winterberry [*Ilex verticillata* (L.) A. Gray]; and herbaceous vegetation, including sedges (e.g. Northwest Territory sedge, *Carex utriculata* Boott), bluejoint grass [*Calamagrostis canadensis* (Michx.) P. Beauv.] and sensitive fern [*Onoclea sensibilis* L.]. Nomenclature follows the USDA plants database (USDA, NRCS, 2011).

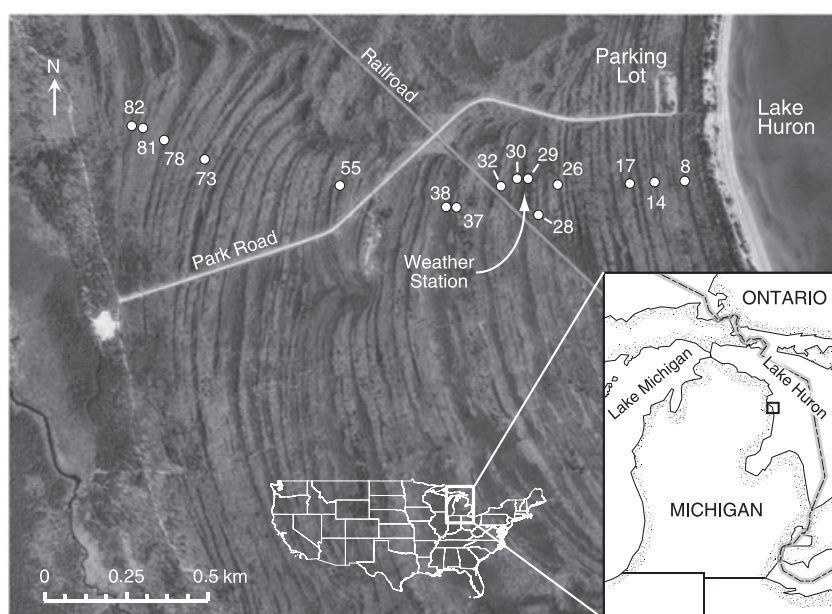


Figure 3. Location (inset) and air photo of the ridge-and-swale system at Negwegon State Park showing the hydrologic sampling sites. The lighter linear features in the photo represent the ridges, and darker features are the swales.

Characterized by warm summers and cold winters, the climate for most of Lake Huron is described as humid continental in the Köppen–Geiger climate classification (Peel *et al.*, 2007). The 20-year (1987–2007) mean annual precipitation is 721 mm at the Alpena, MI station 25 km to the north (NOAA, 2008).

#### Monitoring wells and sensors

Fifteen swales were selected for monitoring using a random sample stratified by dominant vegetation class: forested, shrub or herbaceous. In each swale, we installed pressure transducers (Solinst LT Levellogger Model 3001) in slotted wells located on the lakeward side of the swale. We also installed barometric pressure transducers in Swales 29 and 87 (Figure 3). With the exception of the first month of the record in 2006 (ordinal dates 154–183), our barometric pressure sensor was located in a dry well to reduce the thermal effects noted by Cuevas *et al.* (2010) and McLaughlin and Cohen (2011). To supplement the centrally located weather station (Davis Instruments Cabled Vantage Pro2 Plus), additional sensors in each swale recorded relative humidity and air and soil temperature (Onset HOBO H8 Family). Data were recorded at 5-min intervals (15 min for the weather station) from 20 May to 27 October in 2006 and 14 April to 12 October in 2007.

#### Sediments

We collected sand samples from the C soil horizon of the 15 instrumented wetlands and analysed for texture. Thickness of the beach-ridge complex was determined individually for each swale from core logs collected and described by T. Thompson of the Indiana Geological Survey.

We estimated specific yield ( $S_y$ ) as the ratio of infiltrated precipitation to recorded water-table rise (Gerla, 1992). Several researchers have supported the validity of this method in wetlands (Gerla, 1992; Rosenberry and Winter, 1997; Loheide *et al.*, 2005). We approximated  $S_y$  for each swale in the study using 2006 and 2007 precipitation events totalling more than 5 mm per event. Although we recognize implicitly that precipitation that infiltrates to the water table does not include interception by plants and soil, we assumed that infiltrated precipitation equalled recorded precipitation because infiltration was negligible compared with the total precipitation during each storm event greater than 5 mm per event. Specific-yield values calculated from multiple rain events when the water table was below ground were arithmetically averaged to obtain a single value for each swale (Table I).

#### Water-level data processing

Smoothing was required to filter sensor noise from the data that occurred because of the 0.3-cm accuracy of the instrument. Water-level and barometric-pressure data were smoothed using locally weighted, second-order polynomial regression that assigned lower weight to outliers (span = 0.004) (Cleveland, 1979; Cleveland *et al.*, 1988). Through an iterative process for testing the optimal smoothing, caution was taken to avoid over-smoothing the data

Table I. Specific yield estimates for the 15 swales.

Swale	$S_y$ (–)	Precipitation events
8	0.124 (0.03)	18
14	0.150 (0.05)	29
17	0.119 (0.06)	16
26	0.120 (0.07)	19
28	0.100 (0.04)	19
29	0.124 (0.06)	20
30	0.108 (0.06)	20
32	0.127 (0.05)	26
37	0.153 (0.05)	25
38	0.121 (0.05)	12
55	0.120 (0.06)	27
73	0.156 (0.06)	31
78	0.113 (0.05)	23
81	0.136 (0.06)	30
82	0.116 (0.06)	26

Specific yield ( $S_y$ ) calculated as the ratio of precipitation to water-table rise was estimated for the number of precipitation events listed for each swale, and the arithmetic mean was used in Equations (2) and (3). Values in parentheses represent standard deviation.

because this can artificially inflate ET and groundwater values by increasing the time base (*sensu* Snyder, 1938) of the daily fluctuations. Minor gaps in the data (<0.5 h) occurred when pressure transducers were downloaded; missing values were estimated by spline interpolation prior to smoothing.

We accounted for barometric-pressure effects on the water table and assumed zero barometric efficiency, meaning an instantaneous and direct transmission of barometric pressure on the water table. The results of slope (Ferris *et al.*, 1962) and graphical tests (Gonthier, 2007) supported this assumption, as well as the observation that rain events produced a nearly instantaneous rise in the water table, indicating minimal barometric-pressure lag (T. Rasmussen, personal communication, 18 May 2009). We then used rating curves relating swale water depth to corresponding compensated pressure-transducer data at the time of measurement to convert pressure-transducer data to water depths.

#### PET parameterization

Parameterization of the  $PET_{PM}$  equation (Equation (4)) followed recommended methods in the literature (e.g. Shuttleworth, 1993; Allen *et al.*, 1998), including the air and surface resistance values ( $r_a$ ,  $r_s$ ) given by Souch *et al.* (1998) for a similar ridge-swale wetland system. For wind speeds below detection, we assigned a value of half the detection limit of the anemometer ( $0.22 \text{ m s}^{-1}$ ). We used a daily mean short-wave solar radiation reflection coefficient (albedo,  $\alpha$ ) of 0.11, estimated from Shuttleworth (1993) for tall forest. We followed Shuttleworth's (1993) equation for saturation vapour pressure,  $e_s$  (kPa). The actual vapour pressure,  $e_a$  (kPa), was calculated from the measured relative humidity, RH (%), and the saturated vapour pressure,  $e_s$  at air temperature  $T$  ( $^{\circ}\text{C}$ ), following Allen *et al.* (1998). The variables  $\lambda$ ,  $\Delta$  and  $\gamma$  were calculated using methods outlined in Shuttleworth (1993).  $R_n$  is the difference between incoming net shortwave radiation,  $R_{ns}$  ( $\text{MJ m}^{-2} \text{ h}^{-1}$ ), and outgoing net longwave radiation,  $R_{nl}$  ( $\text{MJ m}^{-2} \text{ h}^{-1}$ ). After

Allen *et al.* (1998), we used the following components to calculate  $R_{ns}$  and  $R_{nl}$ : measured incoming shortwave radiation,  $R_s$  ( $\text{MJ m}^{-2} \text{h}^{-1}$ ); their Equation (28) for extraterrestrial radiation,  $R_a$  ( $\text{MJ m}^{-2} \text{h}^{-1}$ ); their Equation (37) for clear-sky solar radiation,  $R_{so}$  ( $\text{MJ m}^{-2} \text{h}^{-1}$ ); and their Equation (39) for  $R_{nl}$  ( $\text{MJ m}^{-2} \text{h}^{-1}$ ). We used the relative solar radiation ratio of actual solar radiation,  $R_s$ , to  $R_{so}$  that was calculated 2–3 h prior to sunset to estimate the ratio for nighttime hours (Allen *et al.*, 1998). Equations (45) and (46) of Allen *et al.* (1998) were used to determine the soil heat flux,  $G_s$ . We used a value of  $0 \text{ s m}^{-1}$  for the bulk surface resistance,  $r_s$ , for standing water levels and  $5 \text{ s m}^{-1}$  for below-ground water levels, following Souch *et al.* (1998) for similar ridge-swale wetlands. We used a plant height of 0.12 m and the standard FAO method for aerodynamic resistance,  $r_a$  (Allen *et al.*, 1998).

To relate hourly  $\text{ET}_G$  to PET, we used nonlinear regression analysis, which minimized the root-mean-square error (RMSE) better than linear regression. A power function was fit by least squares (Seber and Wild, 2003). Negative and zero values were removed prior to analysis. A theoretical power relationship may exist between AET and PET. As temperature and radiation increase, PET continues to increase. Actual ET, however, may decrease at midday because of photoinhibition, heat stress or water limitation, resulting in an asymptotic relationship between AET and PET. When this is the case, a nonlinear PET– $\text{ET}_G$  relationship may be more appropriate than a linear one.

#### Composite ET and groundwater

We calculated ET ( $\text{ET}_G$ ) and shallow groundwater recovery ( $G_{\text{rec}}$ ) at 15-min intervals using the Loheide (2008) method. We used modifications to this method to calculate the composite sub-daily ET ( $\text{ET}_C$ ) and daily groundwater ( $G_C$ ) time series as described earlier. Programming was performed in MATLAB R2007a v.7.4 (Mathworks, 2007).

#### Addressing the rain dilemma

The days when rain events occur pose a particularly challenging problem for estimating ET. Sensors tend to

experience large errors during rainstorms, which affects the accuracy of micrometeorological methods. WLF methods are also problematic because of a rapid rise in water-table elevation and subsequent percolation losses. As such, the accuracy of relationships between PET and  $\text{ET}_G$  during periods of rain also may be questionable. Many researchers (e.g. Wilson *et al.*, 2001; Mould *et al.*, 2010) have chosen to omit calculations on such days altogether. If annual water budgets are desired, however, estimates for ET on rainy days are important. An alternative is to assume that no ET directly from the water table occurs on days with rain events and that any ET that occurs is a result of evaporation of intercepted rain. Diurnal losses are apparent in the hydrograph following rain events, however, suggesting that a zero ET assumption is also empirically inaccurate. Using single-factor analysis of variance (ANOVA), we compared ET estimates derived from the PET– $\text{ET}_G$  relationship and estimates that assume a zero ET value for rainy days to ascertain the potential effects of such uncertainties.

## RESULTS

#### Water levels

Because of water extraction by ET, the potential existed for water to flow toward the swale, as evidenced by the time lag between ET and groundwater peaks (Figure 4). Although precipitation events (Figure 5A) offset evapotranspirative losses, water supply to the swales was not sufficient to offset ET demand, resulting in water-level decline over the summer (Figure 5B). The additive effect of groundwater and precipitation, however, helped to maintain water levels within the rooting zone, as evidenced by diurnal fluctuations in the water table even when at its lowest elevation. In 2006, rain events periodically raised the water table. In 2007, standing water levels were maintained until mid-summer by multiple small rain events and colder temperatures; the long period without a major rain event led to a significant drawdown in July and August of 2007 (Figure 5B). Whereas

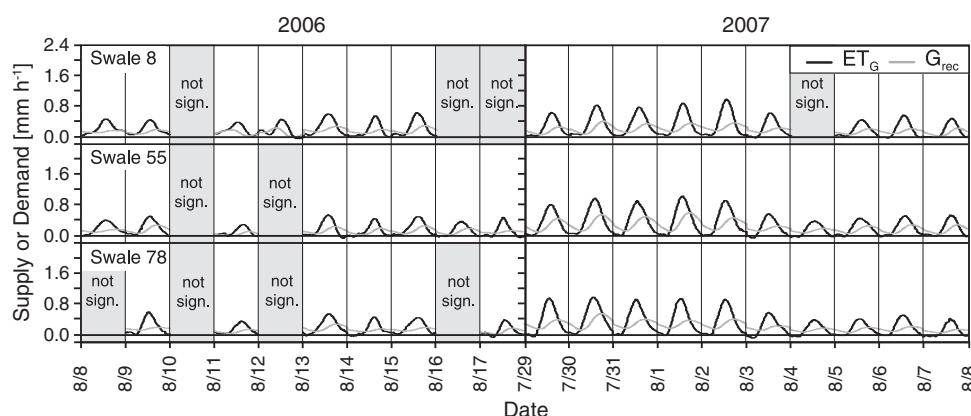


Figure 4. Estimated evapotranspirative demand ( $\text{ET}_G$ ) and shallow groundwater recovery ( $G_{\text{rec}}$ ), determined from the water-level fluctuation method (Loheide, 2008) and modifications presented in this study, over two 10-day periods (8–18 July 2006 and 29 July to 8 August 2007) for a representative three of the 15 swales. A three-to four-hour lag was observed between the respective peaks in rate of ET and groundwater. On some days, test statistics used to evaluate the Loheide (2008) method were not significant, as noted (not sign.).

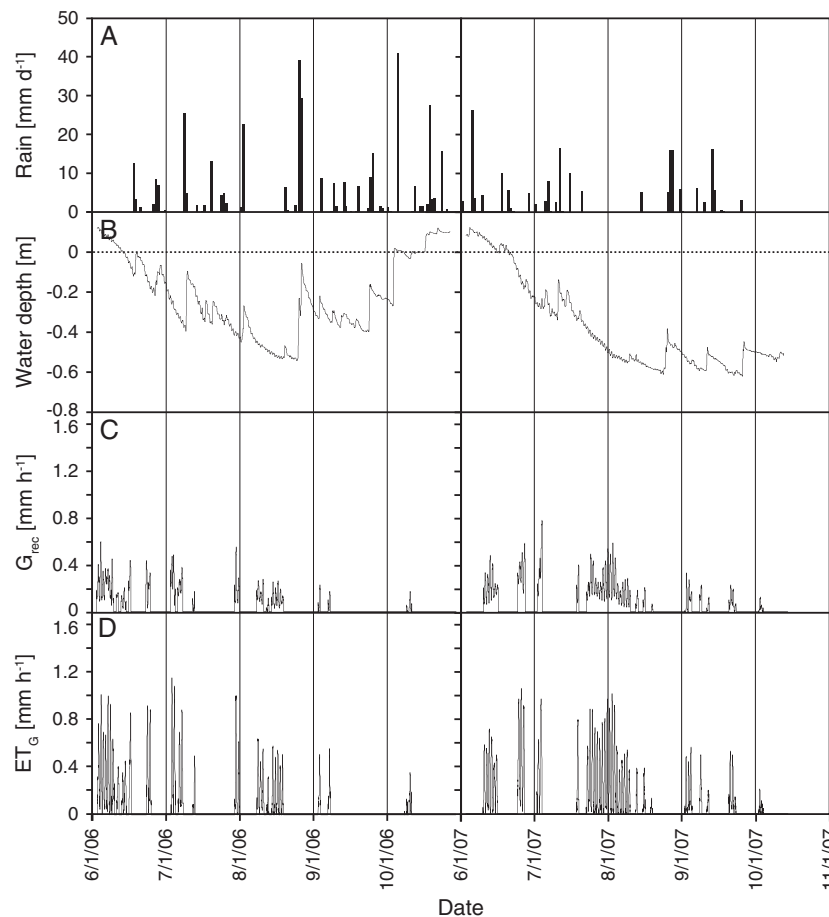


Figure 5. Precipitation (A), observed water depth (B), estimated shallow groundwater inflow ( $G_{rec}$ ) (C) and estimated evapotranspiration ( $ET_G$ ) (D) for Swale 55, as an example, for the 2006 and 2007 growing seasons. The horizontal dotted line in B indicates zero water depth. Groundwater and ET were calculated using the methods presented in this study. Gaps in groundwater and ET records indicate days in which the method was not applicable (i.e. rainfall occurred or the slopes of the detrended water-table curves for sequential nights were not uniform).

September and October rain in 2006 resulted in water-level recovery to land surface, no such recovery occurred in 2007 prior to the end of the study.

Stage responses of the water table to precipitation varied depending on whether the water table was AGS or BGS. Precipitation events (Figure 5A) resulted in a rapid rise in BGS water levels but had a muted effect when standing water was present (Figure 5B). For example, the ratio of water-table rise to precipitation ( $1/S_y$ ) during flooded conditions in Swale 28 was 3.8 compared with 8.1 when the water table was below ground. The composite specific yield accounted for the differing response of the water table during flooded and non-flooded conditions. Considering the potential for a high

degree of variability in ET that exists in wetland systems,  $ET_G$  corresponded favourably with  $PET_{PM}$  when applied to AGS and BGS water levels simultaneously (Figures 6 and 7).

#### Specific yield

The composite specific yield methodology used to provide an estimate under flooded conditions compared well with observed specific yield values (Figure 8). The modelled trend matched the observed slope and slightly underestimated the intercept. At greater water depths, the specific yield of standing water ( $S_{yw}=1.0$ ) was weighted higher than the specific yield of soil and sediment ( $S_{ys}$ ), resulting in a greater composite specific yield ( $S_{yc}$ ).

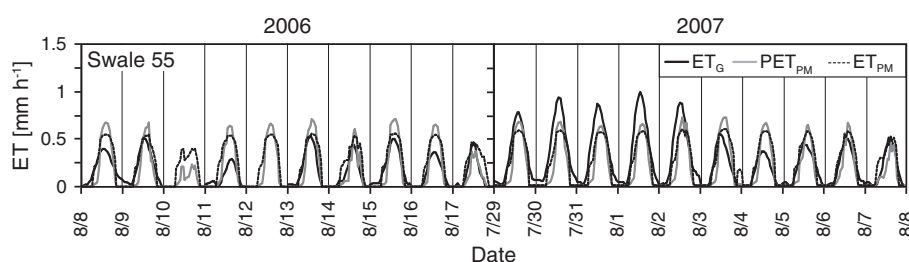


Figure 6. Comparison of estimated evapotranspiration ( $ET_G$ ), potential evapotranspiration calculated by the Penman–Monteith equation ( $PET_{PM}$ ) and the ET ( $ET_{PM}$ ) predicted by the power relationship between  $PET_{PM}$  and  $ET_G$  over two 10-day periods (8–18 July 2006 and 29 July to 8 August 2007) at Swale 55, as an example.



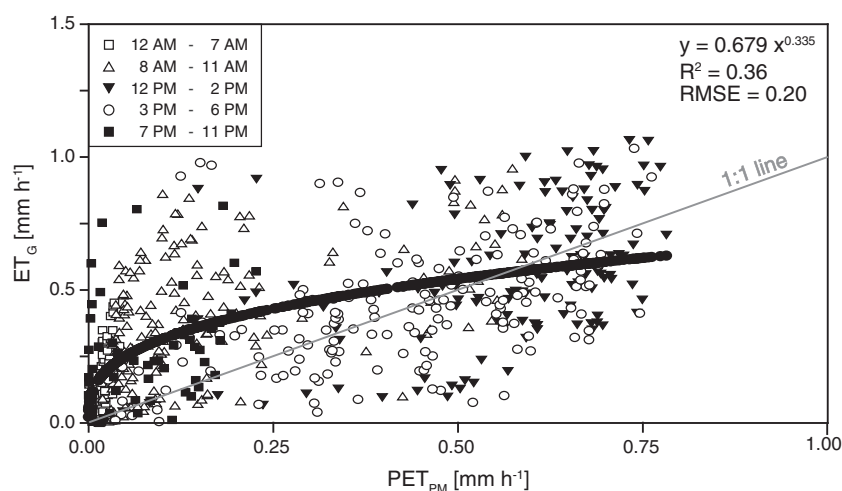


Figure 7. Estimated hourly ET ( $ET_G$ ) plotted against potential ET ( $PET_{PM}$ ) at Swale 55 for the 2007 growing season, as an example. A power regression (equation in upper right) was used to relate  $ET_G$  to  $PET_{PM}$ . The power regression line (bold black) is plotted, and the 1 : 1 line (thin gray) is shown for comparison. Points are plotted by symbol according to the time of day that they represent.

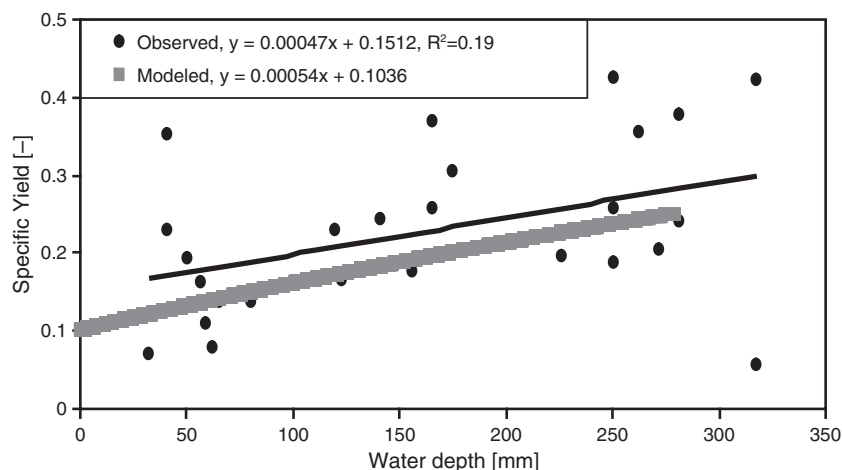


Figure 8. Plot of above-ground specific yield against water depth for the observed values (ratio of precipitation to water-table rise) and the values modelled using Equation (3) for Swale 28, as an example. At greater water depths, the specific yield of standing water ( $S_{yw} = 1.0$ ) is weighted higher in the equation than the specific yield of soil and sediment ( $S_{ys}$ ), resulting in a greater composite specific yield ( $S_{yc}$ ). The modelled relationship appears to be a relatively accurate description of the observed trend.

### Evapotranspiration

Of the 131 days per year of the study, the WLF method was applicable to an average of 24 (standard deviation,  $SD = 4.4$ ) days in 2006 and 40 ( $SD = 4.9$ ) days in 2007, leading to a potentially more robust relationship between  $ET_G$  and  $PET$  in 2007.  $ET_C$  hourly rates peaked near the end of July and beginning of August for most swales (e.g. Figure 5D). Comparable with previously published wetland ET values (Table II), the average daily rates over the 2006 growing season (June 3 to October 11) ranged from  $4.0 \text{ mm d}^{-1}$  ( $SD = 1.1$ ) in Swale 8 to  $6.6 \text{ mm d}^{-1}$  ( $SD = 1.9$ ) in Swale 73. The 2007 growing season showed average daily rates ranging from  $4.1 \text{ mm d}^{-1}$  ( $SD = 1.4$ ) in Swale 26 to  $6.5 \text{ mm d}^{-1}$  ( $SD = 1.5$ ) in Swale 38. The highest mean daily rates generally were observed in July of 2006 and June of 2007.

Estimated ET values ( $ET_G$ ) approximated the  $PET_{PM}$  calculations in magnitude (Figure 7), considering that a 1 : 1 relationship is not expected. Predicted ET values (e.g.  $ET_{PM}$ ) also showed good correspondence with empirically derived  $ET_G$  (Figure 6). A power function was used because it

minimized the RMSE ( $\text{mm h}^{-1}$ ) and described the data trend more realistically than a linear function (Figure 7).

When testing the potential effect of having a barometric pressure sensor above ground, and therefore subject to thermal effects, for the first month of the study (ordinal dates 154–183 in 2006), we found no significant difference between the monthly mean  $ET_G$  values in June 2006 and June 2007 (2006 mean =  $-6.45 \text{ mm d}^{-1}$ ; 2007 mean =  $-6.59$ ; ANOVA:  $F_{(1,28)} = 0.13$ ,  $p = 0.72$ ), suggesting that the thermal effects were minimal.

The two methods of estimating ET on days with rain included: (1) using our  $PET$ – $ET_G$  relationship and (2) assigning a zero ET value. Of the 133 days of our study in each year, rain was recorded on 48 days in 2006 and 43 days in 2007. The majority of rain events were relatively short; events lasted for less than 4 h on 77% and 79% of those days in 2006 and 2007, respectively. On average, assigning a zero ET value on rainy days resulted in a significant 30% reduction in ET with respect to the  $PET$ – $ET_G$  relationship method (ANOVA; 2006:  $F_{(1,28)} = 66.8$ ,

Table II. Published ET rates for multiple studies in similar wetland settings compared with rates in this study.

Wetland type	ET (mm d <sup>-1</sup> )	Method	Location	Time of year	Reference
Open water, emergent marsh, sedge meadow, scrub-shrub, swamp forest	Mean: 3.3–3.8 Max: 10.6*	Eddy covariance	Indiana, USA	June, 1994	Souch <i>et al.</i> (1996, 1998)
Shrub-dominated bog	Mean: 2.2–3.3 Max: 4.0–5.0	Eddy covariance	Ontario, Canada	May–Sep 1998–2002	Lafleur <i>et al.</i> (2005)
Shrub-sedge meadow and riparian wetland	Mean: 5.6	Lysimeter, water-table fluctuation	Wisconsin, USA	1 July 1996–30 August 1996	Lott and Hunt (2001)
Forested sinkhole wetland	Range: 4.1–18.7	Water-table fluctuation	Tennessee, USA	May–Sep 2004–2005	Hill and Neary (2007)
Riparian fen	Mean: 3.6 Max: 5.6	Bowen ratio energy balance	Denmark	Apr–Sep 1999	Andersen <i>et al.</i> (2005)
Sedge-dominated	Mean: 2.31 Range: 0.6–4.8	Eddy covariance	Northeast China	May–Sep 2005	Sun and Song (2008)
Cattail Bulrush	Range: 6 <sup>a</sup> –15 <sup>a</sup> Range: 8 <sup>a</sup> –15.5 <sup>a</sup>	Lysimeter	Utah, USA	15 July–28 August 1986	Allen <i>et al.</i> (1992)
Cattail-dominated and bulrush-dominated	Mean 6.0 Range: 0.8–12.2	Surface renewal	California, USA	May–Oct, 2002–2004	Drexler <i>et al.</i> (2008)
Willow-rush-sedge riparian wetland	Mean: 1.6 Range: 0.5–3.0 Max: 4.6	Water-table fluctuation	Wyoming, USA	July–Oct, 2005; May–June, 2006	Lautz (2008)
Reed bed	Range: 0.1–6.13	Water-table fluctuation	Rostock, Germany	May–Oct, 2003 and 2004	Mould <i>et al.</i> (2010)
Open water, emergent marsh, sedge meadow, scrub-shrub, swamp forest	Mean: 4.0–6.6 Range: 0.6–15.4	Water-table fluctuation	Michigan, USA	Jun–Oct, 2006–2007	This study

ET, evapotranspiration.

<sup>a</sup> Estimated from figures in the literature.

$p < 0.001$ ; 2007:  $F_{(1,28)} = 61.3$ ,  $p < 0.001$ ). Whereas using the PET–ET<sub>G</sub> relationship, mean ET rates across all sites ranged from 3.97 mm d<sup>-1</sup> to 6.15 mm d<sup>-1</sup>, using a zero ET assumption on rainy days resulted in ET estimates ranging from 2.73 mm d<sup>-1</sup> to 4.39 mm d<sup>-1</sup>.

#### Sources of water

Mean daily  $G_{\text{rec}}$  rates in 2006 ranged from 2.5 mm d<sup>-1</sup> (SD = 1.2) in Swale 26 to 4.1 mm d<sup>-1</sup> (SD = 1.5) in Swale 73 and from 2.6 mm d<sup>-1</sup> (SD = 1.2) to 4.4 mm d<sup>-1</sup> (SD = 2.2) for the same swales in 2007. Reversing head gradients in the groundwater system resulted in flow reversals at the edge of the swale over the course of the day. Loss by ET was followed by a gain in groundwater discharge, as evidenced by the lag in peak ET<sub>G</sub> and groundwater inflow ( $G_{\text{rec}}$ ) rates in Figure 4.

The linear regression used to predict groundwater ( $G_{\text{rec}}$ ) from ET (ET<sub>G</sub>) performed well. Regressions for all swales were significant ( $\alpha = 0.05$ ), and  $R^2$  values ranged from 0.78 to 0.97, with a mean of 0.91 (SD = 0.04) across all swales in 2006 and 2007, suggesting that ET is a robust predictor of shallow groundwater flux at this site.

Shallow groundwater offset 59–73% of the water loss due to ET in 2006 and 59–75% in 2007, with the greater percentage offsets occurring when ET rates were low in the spring and fall (Figure 5). Precipitation accounted for 35–59% of ET in 2006 but only 24–42% in 2007. The sources of water calculated explicitly in the water budget (precipitation and groundwater inflow) were sufficient to account for loss due to ET, but water levels still declined over the growing season (Figure 5B), likely due to deep percolation loss (downward groundwater flux out of the rooting zone) following rainstorms.

#### DISCUSSION

The modifications used in this study to extend a sub-daily method applicable in semi-arid riparian wetlands (Loheide, 2008) to a general wetland scenario (e.g. flow-through wetlands in humid climates) afforded reasonable estimates of ET and groundwater-flow rates. Averaging 5.1 mm d<sup>-1</sup> across all swales over the 2006 and 2007 growing seasons (June 3–October 11), mean daily ET was within the range previously reported at other wetland sites (Table II).

Calculation of PET has been utilized widely in wetland hydrologic studies because of the relatively simple weather-station data requirements and continuous data record. Alternatively, WLFs can be useful for determining ET in that they reflect changes in plant life stage, community composition and antecedent moisture conditions, which PET does not (Lautz, 2008). Furthermore, WLF methods are not subject to variations in canopy structure, and estimates of groundwater-flow rates also can be extracted from the same analysis of WLFs (Gerla, 1992; Loheide *et al.*, 2005; Butler *et al.*, 2007; Hill and Neary, 2007; Gribovszki *et al.*, 2008; Loheide, 2008). Application of WLF methods, however, has been limited thus far to riparian wetlands with subterranean water levels, with few exceptions (e.g. Hill and Neary, 2007). Despite the acknowledgment that WLF methods are

underutilized in wetland applications (e.g. Butler *et al.*, 2007), little improvement has been made to this end, perhaps because application to a general wetland scenario, to our knowledge, has never before been presented. Combining the two ET estimation methods is particularly useful for scaling PET estimates to WLF rates and estimating ET for days when WLF methods cannot be applied. Because ET varies greatly with atmospheric and soil conditions, combining the two methods affords a more realistic representation of AET rates than would averaging WLF values and applying the average to the entire growing season.

Previous application of WLF methods has occurred primarily in semi-arid riparian wetlands because inundation and rain events, both rare in such wetlands, pose an obstacle for using a WLF approach. The methodology presented here can be applied to a variety of wetland types in humid climates as well. The main requirements are that the wetland be connected to the groundwater system and that diurnal fluctuations in the water table are apparent in the hydrograph. As long as it can be assumed that the well in which water levels are measured is connected to the groundwater recovery source, this method is applicable. Complex surficial geologies that contain confining layers may disrupt this connection, and care should be taken to understand the hydrogeologic system when undertaking WLF studies. These techniques can be used in fens and other flow-through wetland systems but would not be appropriate for bogs and perched wetlands.

The method for calculating specific yield presented here allows for application to flooded conditions, thereby extending the utility of the WLF approach to a wider variety of wetlands with varying hydroperiods. Using this approach, we can use WLF methods for wetlands that experience periodic or sustained flooding, such as cattail marshes and wet meadows, in addition to forested and riparian wetlands. When water levels are below ground, the composite specific yield ( $S_{yc}$ ) in Equation (3) becomes equivalent to the soil specific yield ( $S_{ys}$ ), and a readily available specific yield (*sensu* Meyboom, 1967) can be used, thus maintaining the method's applicability in non-flooded conditions.

When applying this approach to humid climates, the rain dilemma – how to determine ET during prolonged precipitation events – becomes a particular challenge for ecohydrological studies involving plants. Understandably so, most studies omit calculations on such days altogether (e.g. Wilson *et al.*, 2001; Mould *et al.*, 2010). Available methods for calculating ET generate greater uncertainty on days when precipitation occurs. Micrometeorological sensors (e.g. hygrometers, radiometers) tend to experience large errors when impacted by rain. Likewise, WLF methods are problematic because of the rain-induced rapid rise in water-table elevation and subsequent percolation loss that disconnects the measurement well from the groundwater recovery source. Assuming that no ET occurs on days with rain, however, is also empirically inaccurate, as diurnal losses are apparent in the hydrograph following rain events. Furthermore, plants tend to open their stomata under humid conditions and continue to photosynthesize and, therefore, transpire. In fact, as vapour pressure difference between ambient air and the leaf decreases, stomatal conductance

increases (Avissar *et al.*, 1985). Even so, optimal transpiration rates result from the right combination of soil water conditions, solar radiation, air temperature and water stress in addition to humidity (Lhomme, 2001). The overall effect of these conditions results in a decrease in ET rates on rainy days, primarily due to increased cloud cover and decreased temperatures. Because of these complicating factors, using a relationship between PET and  $ET_G$  that combines information from two estimation techniques may provide a robust estimate, even on rainy days. When rain events last for a substantial portion of the day, as may be the case in subtropical and tropical climates, a zero ET assumption may be the best option. On the other hand, if a short rain event occurs, which was common in this study, the PET- $ET_G$  relationship may be more appropriate. Therefore, it is important to take into account the manner in which climate affects precipitation frequency and duration at the site of interest, and choose the appropriate rainy day ET estimate accordingly.

In our study, the ET values derived by the PET- $ET_G$  relationship were 30% higher than estimates when zero ET was assumed for days with rain. Both methods produce results that are comparable with previously reported ET estimates (Table II). The resulting values of these approaches represent end members in the range of ET values; the former method may overestimate the AET, whereas the latter is an underestimate. Overall, our  $ET_G$  corresponded favourably with calculated PET rates.  $ET_G$  was sometimes greater and sometimes less than PET. Although we recognize that the amount of water transferred to the atmosphere by transpiration cannot exceed PET determined by atmospheric capacity for absorbing water vapour, both methods represent ET estimates and vary accordingly. Because WLF methods take into account additional factors regarding the plant community composition and canopy characteristics (Lautz, 2008), it is likely that AET is, indeed, higher when conditions allow than PET calculations would suggest. For example, on particularly sunny days when plants had ample water supply, we sometimes observed  $ET_G$  values that were greater than PET (e.g. Figure 6; 7/29 to 8/2). The lack of exact congruency between  $ET_G$  and PET is commonly encountered in such studies (e.g. Lott and Hunt, 2001; Andersen *et al.*, 2005; Mould *et al.*, 2010). Using the WLF and PET methods in conjunction can produce a more robust estimate that takes into account physical characteristics of the plant community and antecedent soil moisture as well as the micrometeorologic conditions.

Daily estimates of ET are needed for water-budget analyses, water-resources management and climate-change predictions. Because groundwater inflow rates vary over the course of a day (Troxell, 1936; Gribovszki *et al.*, 2008; Loheide, 2008), better estimates of ET can be obtained by WLF methods that calculate ET and groundwater inflow rates on a sub-daily, rather than daily, time step (Gribovszki *et al.*, 2008; Loheide, 2008). If such a degree of accuracy is not required, however, the modifications presented here can be applied to the daily White (1932) method as well. Nonetheless, understanding how plants

interact with hydrologic flow on a sub-daily basis may help water-resource managers predict the effects of groundwater withdrawal on riparian, coastal and other wetland ecosystems as well. A more thorough picture of water demands in wetland ecosystems across more climate zones also will enable water-resource and wetland managers to adapt to global climate change more effectively.

Uncertainty in the method is associated primarily with its sensitivity to specific yield ( $S_y$ ), which is needed to adjust the ET and groundwater estimates from bulk aquifer volume to water volume (Meyboom, 1967; Nachabe, 2002; Loheide *et al.*, 2005). For example, a 10% reduction in  $S_y$  would produce an average change in  $ET_G$  of  $1.66 \text{ mm d}^{-1}$  over the growing season for Swale 28. Fortunately, because the wells were developed in sand, classically defined  $S_y$  (saturation water content minus residual water content) closely approximates readily available  $S_y$  (water released over the period of a diurnal cycle) (Loheide *et al.*, 2005). If this were not the case, readily available  $S_y$  dependent on sediment texture and water-table depth would need to be determined (Loheide *et al.*, 2005).

Using the thickness of sediments ( $D_s$ ) and water depth ( $D_w$ ) to weight the soil and standing water components of specific yield provided a reasonable estimate for the composite specific yield when water levels were above ground, as shown in Figure 8. If anything, the method appears to underestimate  $S_y$  in that the modelled trend of the composite specific yield ( $S_{yc}$ ) against water depth matches the slope but underestimates the intercept in comparison with the observed trend. The differences we observed between the AGS and BGS data sets were minimal, but errors may have been introduced when combining ET rate and groundwater-flux calculations for flooded and non-flooded conditions. For example, our assumption of a rectangular cross-sectional geometry with a much larger width than depth (Figure 2A) may have led to underestimation of ET. Because the water-level recorders were located on the lakeward side of each swale (position 1 in Figure 2), the portion of the water column representing standing water ( $S_{yw} = 1.0$ ) would be less than the bulk of the wetland if a more conic geometry was the case, as argued by Hill and Neary (2007) (Figure 2B). Other configurations (Figure 2C, Figure 2D), however, could lead to overestimation of  $S_{yc}$  and, therefore, ET. While some of the wetlands tended toward these geometries, ET rates for days when water levels were BGS were generally higher than for flooded conditions, suggesting that gross overestimation did not occur (Figure 5D).

Care must be taken to ensure that ET by this method is not estimated for several days following rain events until the water table has re-equilibrated with the recovery source. Fortunately, days when ET calculation may include percolation are readily evident from the hydrograph (Figure 5B) as an exaggerated decline in the water table following a rainstorm and are easily removed from the data set. Likewise, over-smoothing the water-level data leads to overestimation of ET and groundwater fluxes. Minimal

smoothing should be applied. Finally, when a barometric pressure transducer is used, it must be placed in a dry well BGS so that it experiences similar temperatures as the submersed pressure transducer. Otherwise, gross overestimation of ET can occur (McLaughlin and Cohen, 2011; Cuevas *et al.*, 2010). Although the barometric pressure transducer was in a well AGS for the first month of our study, the comparison of the resulting ET estimates to the subsequent year suggested that minimal overestimate occurred, likely because the temperature fluctuations at this northern latitude were not severe early in the year.

## CONCLUSIONS

By extending the WLF method of estimating ET and shallow groundwater-flow rates to a general wetland scenario, we captured rates across two growing seasons in a highly variable Great Lakes coastal wetland system. Seasonally flooded conditions and periodic rain events meant that plants were rarely, if ever, water-limited and continued to transpire throughout the growing season. Deeper rooted trees on the ridges (e.g. birch, maple) also used groundwater and no doubt contributed to ET losses. More studies of diverse wetland types that span the growing season and take into account changes in plant water requirements are needed to capture the full range of ET losses. The generalized method presented here allows for calculation of ET and groundwater flow over a greater range of wetland conditions than previous adaptations of the WLF approach, thereby providing the opportunity for incorporating WLF methods over a wider array of ecohydrologic studies. Even in semi-arid riparian wetlands where WLF methods traditionally have been applied, this methodology may provide more accurate growing-season ET estimates. By providing a means for estimating two major components of the wetland water balance, this methodology could be adapted relatively easily by wetland resource managers operating under a wide range of climatic conditions.

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manuscript. To our knowledge, no conflicts of interest exist that would affect this study.

This article is contribution 1721 of the USGS Great Lakes Science Center. Use of trade, product, or firm names is for descriptive purposes only and does not imply endorsement by the U.S. Government.

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