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# Effects of refuges on the evolution of resistance to transgenic corn by the western corn rootworm, *Diabrotica virgifera virgifera* LeConte

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## Abstract

**BACKGROUND:** *Diabrotica virgifera virgifera* LeConte is a major pest of corn and causes over a billion dollars of economic loss annually through yield reductions and management costs. Corn producing toxins derived from *Bacillus thuringiensis* (*Bt*) has been developed to help manage *D. v. virgifera*. However, previous studies have demonstrated the ability of this species to evolve resistance to *Bt* toxins in both laboratory and field settings.

**RESULTS:** We used an experimental evolution approach to test the refuge strategies for delaying resistance of *D. v. virgifera* to corn producing *Bt* toxin Cry34/35Ab1. In the absence of refuges, *D. v. virgifera* developed resistance to *Bt* corn after three generations of selection. In some cases, non-*Bt* refuges reduced the level of resistance compared with the strain selected in the absence of refuges, but refuge strains did show reduced susceptibility to *Bt* corn compared with the unselected strain.

**CONCLUSIONS:** In this study, non-*Bt* refuges delayed resistance to *Bt* corn by *D. v. virgifera* in some cases but not others. Combining the refuge strategy with pyramids of multiple *Bt* toxins and applying other pest management strategies will likely be necessary to delay resistance of *D. v. virgifera* to *Bt* corn.

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**Keywords:** agriculture; insect resistance management; refuge strategy; transgenic crops

## 1 INTRODUCTION

Genetically engineered crops that produce insecticidal toxins derived from *Bacillus thuringiensis* (*Bt*) have been commercially available since 1996.<sup>1</sup> In 2013, 76% of the corn (*Zea mays* L.) planted in the United States produced *Bt* toxins to manage potentially damaging insect pests.<sup>2</sup> The benefits of *Bt* crops include effective management of pests and reduced reliance on conventional insecticides.<sup>3,4</sup> *Diabrotica virgifera virgifera* LeConte, western corn rootworm, is a key pest of corn and is one of the pests targeted by *Bt* corn. Over a billion dollars is lost annually to *D. v. virgifera* from yield reductions and costs associated with management.<sup>5</sup> Currently, four *Bt* toxins are commercially available for management of *D. v. virgifera* (Cry3Bb1, Cry34/35Ab1, mCry3A and eCry3.1Ab), and these are sold in corn hybrids either singly or as pyramids.<sup>6</sup>

*D. v. virgifera* has demonstrated its ability to adapt to several management strategies, including chemical insecticides,<sup>7,8</sup> crop rotation<sup>9</sup> and *Bt* corn.<sup>10–13</sup> Rapid adoption of *Bt* crops by farmers has led to concerns about the evolution of *Bt* resistance.<sup>14–17</sup> Computer models of the evolution of resistance to *Bt* corn by *D. v. virgifera* predicted resistance in as few as 3 years in some cases,<sup>15</sup> and this is concordant with the evolution of *Bt* resistance in laboratory selection experiments and among field populations.<sup>10,18</sup> Thus far, *D. v. virgifera* has evolved resistance to each of the four

*Bt* toxins in the laboratory,<sup>18–21</sup> and field-evolved resistance has been documented to Cry3Bb1 and mCry3A.<sup>10–13</sup>

Several management strategies have been proposed to delay the evolution of resistance to *Bt* crops by insect pests.<sup>1,22–24</sup> One tactic is the refuge strategy. This strategy uses refuges of non-*Bt* plants to provide a habitat favorable for the development of *Bt*-susceptible insects.<sup>1</sup> Mating between susceptible adults emerging from the refuge and resistant adults emerging from *Bt* plants produces heterozygous progeny. To the extent that these heterozygous progeny have reduced fitness on *Bt* crops compared with their homozygous resistant parent, delays in resistance may be achieved. For single *Bt* toxins, the refuge strategy is most effective when it is coupled with the high-dose strategy, in which the crop produces sufficient *Bt* toxin to render survival on the

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*Bt* crop a functionally recessive trait.<sup>25</sup> This occurs when the *Bt* crop kills 99.99% of susceptible individuals or produces 25 times the amount needed to kill a susceptible pest.<sup>25</sup> However, none of the *Bt* toxins commercialized to manage *D. v. virgifera* are considered high dose,<sup>11,26–31</sup> and resistance to *Bt* toxin in *D. v. virgifera* is not recessive,<sup>18,32</sup> which increases the risk of this pest evolving resistance to *Bt* corn and thereby raises concerns about the effectiveness of refuges in delaying resistance.

Non-*Bt* refuge plants can be grown in either a structured refuge (i.e. a block of non-*Bt* plants) or an integrated refuge (i.e. a seed blend of *Bt* and non-*Bt* plants). In a block refuge, a section of the field contains non-*Bt* host plants, while the remainder of the field contains *Bt* plants. In a blended refuge, non-*Bt* seeds are mixed with *Bt* seeds and planted throughout a field. Several models have evaluated the efficacy of these two strategies for delaying the evolution of resistance.<sup>22,23</sup> Under most scenarios examined, both refuge strategies delay resistance considerably when compared with the absence of a refuge.<sup>23</sup> However, comparisons between block-refuge and blended-refuge strategies with computer models have produced mixed results as to which delays resistance longer, with outcomes depending on aspects of pest biology and the *Bt* crops, including dose of the *Bt* toxin, dispersal of adult insects and interplant movement of larval insects.<sup>23,33</sup>

Here, we used an experimental evolution approach to test whether delays in evolution of resistance may be achieved with refuges when a *Bt* crop is not high dose. We compared four insect treatments, each represented by one insect strain: two treatments tested refuge strategies (a seed blend and a block refuge), a third treatment was a strain selected in the absence of refuges and the final treatment was an unselected strain, in which insects were never exposed to *Bt* toxin. We tested these treatments using a laboratory strain of *D. v. virgifera* and a transgenic corn hybrid that produced *Bt* toxin Cry34/35Ab1 (event DAS-59122-7). To examine the evolution of resistance to this toxin, we measured survival of *D. v. virgifera* to adulthood and the delay in larval development experienced by insects fed Cry34/35Ab1 corn. Measuring delays in larval development is a relevant metric for quantifying resistance to corn producing *Bt* toxin Cry34/35Ab1, because it is highly correlated with the capacity of *D. v. virgifera* to survive to adulthood on Cry34/35Ab1 corn.<sup>19,34</sup> Measurements of larval developmental rate are currently used as a technique to monitor resistance to Cry34/35Ab1 corn in field populations of *D. v. virgifera*.<sup>34</sup> The results of this study provide insights into the potential for refuges to delay resistance for *Bt* crops that do not produce a high dose of toxin.

## 2 METHODS

### 2.1 Strain development

Populations of *D. v. virgifera* were collected from Caledonia, Minnesota and Janesville, Wisconsin, in 2004 (see Meihls et al.<sup>35</sup> for additional details), and from Dodge City, Kansas, in 2002 (see Meihls et al.<sup>18</sup> for additional details). These populations were crossed with a non-diapausing strain of *D. v. virgifera*<sup>36,37</sup> obtained from the USDA-ARS Northern Central Agricultural Research Laboratory and then pooled into one strain, referred to as the pooled strain hereafter. The development of this pooled strain occurred at the USDA-ARS Plant Genetics Research Laboratory in Columbia, Missouri, and was completed in November 2009. The pooled, non-diapausing strain was transferred to Iowa State University in February 2010.

### 2.2 Strain rearing

Insects were reared for two generations on small seedling mats followed by large seedling mats of non-*Bt* corn (Pioneer hybrid 35 F38) using methods described by Jackson.<sup>38</sup> Small seedling mats were produced by soaking 40 mL of corn seeds in water for 24 h and placing these presoaked seeds in 0.95 L plastic deli containers with lids (Pactiv Showcase; Johnson Paper and Supply Co., Minneapolis, MN), adding 60 mL of water, and then covering the seeds and water with 200 g of soil. Soil consisted of a 1:1 mixture of potting soil (Sunshine Mix No. 1; Sungro, Bellevue, WA) and thoroughly dried field soil collected from Iowa State University's Johnson Research Farm in Ames, Iowa. We then added 600 *D. v. virgifera* eggs (1 week old) that were suspended in a 0.15% agar solution. Eggs hatched approximately 1 week thereafter. Approximately 1 week after eggs hatched, we transferred larvae to large seedling mats by removing small seedling mats from containers, inverting two small seedling mats and placing them on top of a larger seedling mat. These larger seedling mats were made in 21 × 27 × 10 cm ( $L \times W \times H$ ) plastic containers (Rubbermaid, Fairlawn, OH) by adding 150 mL of presoaked corn seeds (soaked in water for 24 h) to 150 mL of water, and then covering the water and seeds with 2000 mL of soil. The entire contents of the container (two inverted smaller seeding mats plus larger seedling mat) were covered with mesh fabric and a lid.

We collected newly emerged adults from large seedling mats 5–6 times per week and placed adults in 18 cm × 18 cm × 18 cm ( $L \times W \times H$ ) mesh cages (Megaview Science, Taiwan). Adults were provided with 1.5% solid agar as a source of water, corn leaf tissue and a complete adult diet (F976H8B-M; Bio-Serv, Flemington, NJ, USA), and these were changed 4–5 times per week. We provided adults with an oviposition substrate that consisted of moistened 180 µm sieved soil placed in 10 cm petri dishes, referred to hereafter as oviposition dishes, and these were changed twice per week. Eggs were collected from oviposition dishes by washing the 180 µm sieved soil and eggs in a 250 µm sieve. All mesh cages and seedling mats were kept in environmental chambers (25 °C, 16:8 L:D).

### 2.3 Strain selection

Four treatments were compared: unselected, block refuge, blended refuge and pure *Bt*. To accomplish this, the pooled strain was divided into four separate strains in June 2010, with each strain corresponding to one of the four treatments. Whenever possible, population size was 1200–1600 adults per strain per generation. The unselected strain was never exposed to *Bt* corn during rearing. The three other strains were selected on seedling mats that contained *Bt* corn. The *Bt* hybrid used was Pioneer hybrid 35 F44, which expresses event DAS-59122-7 and produces the *Bt* binary toxin Cry34Ab1/Cry35Ab1. The unselected strain was reared on seedling mats of non-*Bt* corn, Pioneer hybrid 35 F38, which is the near-isoline of the *Bt* hybrid used for the selected strains. For both hybrids there was no insecticidal or fungicidal seed treatment on seeds. These same corn hybrids were used throughout this experiment and are referred to as *Bt* corn and non-*Bt* corn respectively. To ensure that the Pioneer hybrid 35 F44 expressed DAS-59122-7 and produced *Bt* toxin Cry34/35Ab1, we confirmed the presence of Cry34/35Ab1 in corn tissues each generation with ELISA (QuickStix kit; Envirologix, Portland, Maine). Each generation, a few seedlings from seven seedling mats were selected at random and tested for Cry34/35Ab1, and in all cases corn tissue contained this *Bt* toxin. Similarly, each generation we

randomly sampled seven seedling mats of Pioneer hybrid 35 F38 (non-*Bt* corn) and never found the presence of *Bt* toxin.

The unselected strain was reared on seedling mats of non-*Bt* corn. The block-refuge strain was propagated on the basis of field data for the number of insects emerging from *Bt* corn and non-*Bt* corn (see below). Insects from the block-refuge strain were reared on seedling mats composed entirely of *Bt* corn; however, when newly emerging adults were placed in a mesh cage, they were combined with newly emerging adults from the unselected strain, which simulated the addition of adults from a non-*Bt* refuge. Newly emerging adults from the block-refuge strain and unselected strain were combined in a 1:9 ratio each day, which is based on the ratio of adult survival observed in the field for Cry34/35Ab1 corn and a 20% refuge of non-*Bt* corn.<sup>27</sup> Specifically, Storer *et al.*<sup>27</sup> reported a ratio of 1:36 for survival on Cry34/35Ab1 corn compared with non-*Bt* corn; in a landscape that was 80% *Bt* corn and 20% non-*Bt* corn (the current block-refuge requirement single-trait *Bt* corn targeting rootworm<sup>6</sup>), this would translate to a ratio of 1:9 for insects from Cry34/35Ab1 corn compared with non-*Bt* corn. Because we were interested in effects of refuges on resistance evolution, and not effects related to dispersal and timing of emergence (even though these factors can have a considerable impact on resistance evolution), this ratio of insects was added to the mesh cage each day to remove any potential differences in the timing of mating and emergence between the two strains. The blended-refuge strain was propagated in a blended refuge produced by using seedling mats composed of 90% *Bt* corn and 10% non-*Bt* corn (percentages measured by volume of seeds). This is based on the 10% refuge currently used in the field for Cry34/35Ab1 corn with a blended refuge.<sup>39</sup> The pure-*Bt* strain was a positive control and was reared on seedling mats of only *Bt* corn. Except for the block-refuge strain as described above, adult insects for each strain were added to mesh cages directly from the seedling mats from which they emerged.

In order to ensure that a sufficient number of adults were available to maintain strains and to conduct bioassays, unselected generations were reared intermittently throughout the experiment. During these unselected generations, all four strains were reared on non-*Bt* corn (hybrid 35 F44). The unselected strain was reared on non-*Bt* corn during all generations. For the block-refuge, blended-refuge and pure-*Bt* strains there were nine generations of selection (i.e. larvae were reared on *Bt* corn as described above): F1, F3, F4, F5, F8, F9, F10, F11 and F13; there were five generations when these strains were not selected (i.e. larvae from all strains were reared on non-*Bt* corn): F2, F6, F7, F12 and F14.

During rearing of these strains, we placed approximately 600 eggs on the small seedling mats of non-*Bt* corn and 1200–1800 eggs on small seedling mats containing *Bt* corn. This was done to account for lower larval survival on *Bt* corn compared with non-*Bt* corn. For each generation, we collected data on the proportion of eggs that produced adults (survival) and the number of days between oviposition and emergence of adults (days to emergence).

## 2.4 Larval development bioassay

Seedling mats for the larval bioassay were produced in a manner identical to that used to generate small seedling mats. A subsample of eggs from each of the four strains was used for these bioassays, and larvae from these bioassays were never returned to the strains. Each strain was tested on seedling mats that were composed completely of either *Bt* corn (hybrid 35 F44) or non-*Bt* corn (hybrid 35 F38). The seedling mats were allowed to develop

for approximately 1 week in environmental chambers (25 °C, 16:8 L:D) before neonate larvae were placed in seedling mats.

For all four strains, we placed newly hatched neonate larvae (less than 24 h old) on corn roots within seedling mats, and larvae from each strain were placed onto paired *Bt* and non-*Bt* seedling mats. We placed either 30 (F5–F7) or 25 (F10, F14) neonate larvae on each seedling mat. Seedling mats with larvae were held in an environmental chamber for 12 days (25 °C, 16:8 L:D), and 50 mL of water was added after 7 days.

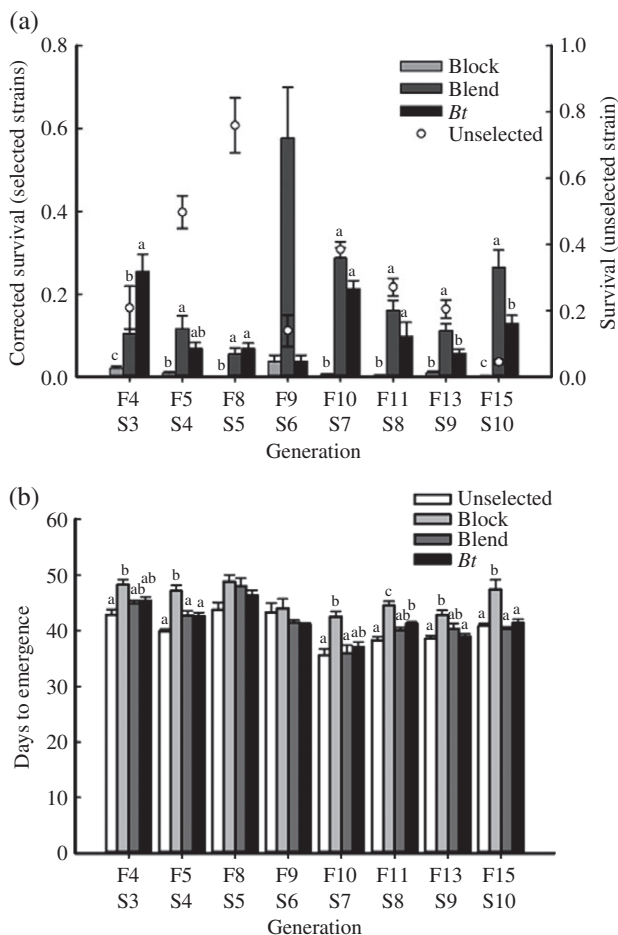
After 12 days in an environmental chamber, we removed seedling mats with larvae and soil from the plastic containers and placed them on Berlese funnels for 4 days to extract larvae. Larvae were collected into 15 mL glass vials containing 85% ethanol, which killed larvae and preserved larval cadavers. For each seedling mat, we recorded the total number of larvae and larval instars (based on Hammack *et al.*<sup>40</sup>). Bioassays were conducted for F5, F6, F7, F10 and F14. Because no selection occurred between F5, F6 and F7, we pooled the bioassay data for these three generations to increase statistical power.

Sample sizes varied among strains because of differences in the availability of neonate larvae for placement on seedling mats and because ethanol evaporated from vials that held larvae from five seedling mats (evaporation of ethanol and subsequent desiccation of samples meant that larvae could not be counted accurately and instars could not be determined). For bioassays of F5, F6 and F7, the sample sizes for pairs of *Bt* and non-*Bt* seedling mats were as follows: unselected strain = 32, block-refuge strain = 27, blended-refuge strain = 30, and pure-*Bt* strain = 29, for a total of 236 seedling mats. For F10, the sample sizes were as follows: unselected strain = 19, block-refuge strain = 20, blended-refuge strain = 18, and pure-*Bt* strain = 20, for a total of 154 seedling mats. For F14, the sample sizes were as follows: unselected strain = 14, block-refuge strain = 18, blended-refuge strain = 20, and pure-*Bt* strain = 20, for a total of 144 seedling mats.

## 2.5 Adult survival bioassays

For F14, we conducted a bioassay measuring survival to adulthood. As above, a subsample of eggs from the four strains was used for these bioassays, and adults from bioassays were never returned to the strains. Each strain was tested on *Bt* corn and non-*Bt* corn. We generated seedling mats in 500 mL cups (Placon Corporation, Madison, WI), using 25 mL of presoaked corn seed (soaked in water for 24 h), 40 mL of water and 200 mL of soil (the same composition as used for seedling mats) and allowing corn plants to grow for 1 week in an environmental chamber (25 °C, 16:8 L:D). After 1 week, we added 15 newly hatched neonate larvae (less than 24 h old) from one of the four experimental strains. Larvae from each strain were placed on 20 pairs of *Bt* and non-*Bt* seedling mats. After 6 days, we prepared larger seedling mats in 0.95 L plastic deli trays (the same as those used for rearing and larval assays), combining 40 mL of presoaked corn seeds, 60 mL of water and 300 mL of soil. These seedling mats grew for 6 days, after which seedling mats from 500 mL cups were removed from containers, inverted and placed on seedling mats in 0.95 L trays. Each seedling mat from 500 mL cups was added to a 0.95 L tray of the same type of corn (*Bt* or non-*Bt*), and 500 mL cups were carefully inspected to ensure that all larvae were transferred. Insects were then allowed to develop to adulthood.

We collected newly emerging adults from each container 3 times per week. We recorded the total number of adults that emerged and the day on which each adult was collected. Owing to differences in available neonate larvae in some strains, sample



**Figure 1.** Survival (a) and timing of adult emergence (b) for strains during laboratory rearing. For pure-*Bt*, blended-refuge and block-refuge strains, data are for larvae reared on either pure *Bt* corn (pure-*Bt* strain and block-refuge strain) or a blend of 90% *Bt* corn and 10% non-*Bt* corn (blended-refuge strain). For the unselected strain, larvae were reared on non-*Bt* corn. Data are presented for generations in which selection was imposed (F represents the absolute generation; S represents the numbers of selected generations). Sample means are shown, and error bars are the standard error of the mean. Letters indicate significant differences among strains within a generation. For proportional survival to adulthood, corrected survival for block-refuge, blended-refuge and pure-*Bt* strains was calculated as the proportional survival for the selected strain on *Bt* treatments divided by the proportional survival of the unselected strain on non-*Bt* corn during each generation.

sizes among strains were not the same. The sample sizes for pairs of *Bt* and non-*Bt* seedling mats were as follows: unselected strain = 17, block-refuge strain = 20, blended-refuge strain = 20, and pure-*Bt* strain = 19, for a total of 152 seedling mats tested. Because several of the *Bt* seedling mats did not produce adult insects, as expected given the level of larval mortality imposed by Cry34/35Ab1 corn,<sup>27,41</sup> measurements of developmental rate on *Bt* corn were based on the following sample sizes: unselected strain = 2, block-refuge strain = 15, blended-refuge strain = 18, and pure-*Bt* strain = 18, for a total of 106 seedling mats.

**2.6 Data analysis**

All statistical analyses were performed in R 2.15.0,<sup>42</sup> using aov for analysis of variance (ANOVA) and pairwise.t.test for pairwise tests with no adjustment method (which performs pairwise comparisons using *t*-tests with a pooled standard deviation); adjustments

**Table 1.** Analysis of variance for corrected survival of pure-*Bt*, blended-refuge and block-refuge strains on *Bt* corn

Generation	df	F	<i>p</i> <sup>a</sup>
F4	2, 69	38.57	<0.0001*
F5	2, 50	13.44	<0.0001*
F8	2, 59	13.33	<0.0001*
F9	2, 19	5.95	0.00986
F10	2, 73	74.33	<0.0001*
F11	2, 69	25.74	<0.0001*
F13	2, 95	19.58	<0.0001*
F15	2, 90	43.94	<0.0001*

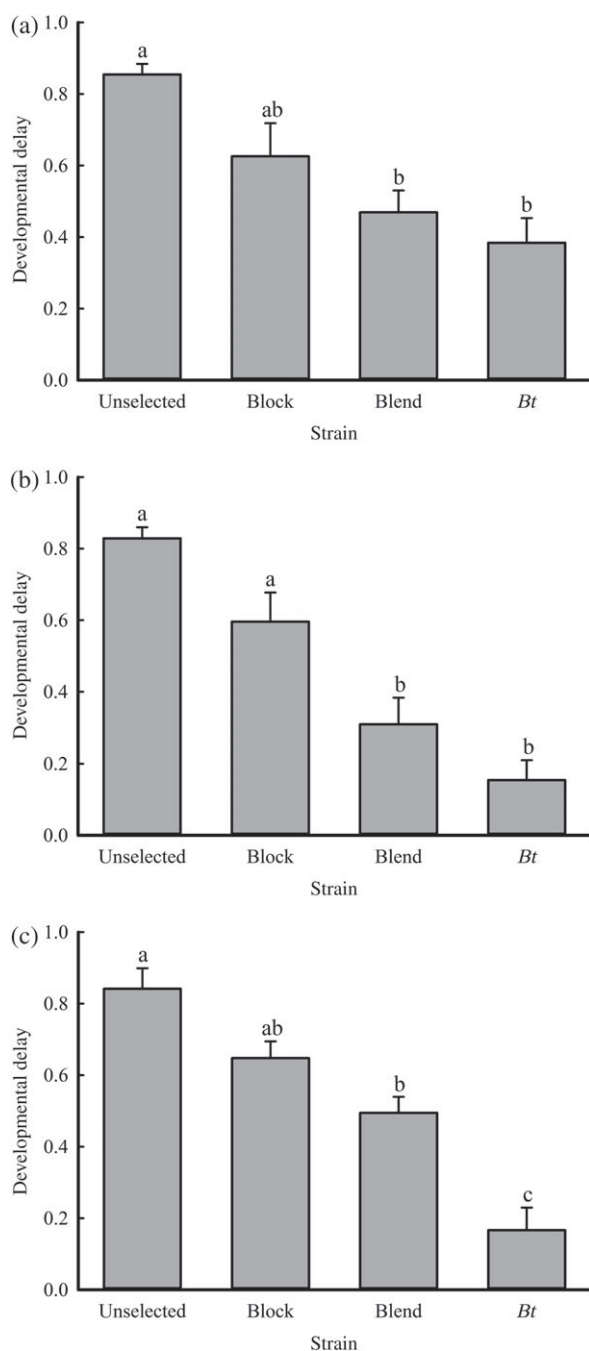
<sup>a</sup> \* Indicates significant differences. See Figs 2 and 3 for differences among strains.

for multiple comparisons were made by adjusting the alpha as stated below for each set of comparisons.

For survival during strain selection, the variance of the unselected strain was much greater than that of the other three strains. Therefore, we calculated corrected survival of the block-refuge, blended-refuge and pure-*Bt* strains in each generation as proportional survival for each seedling mat (i.e. proportion of eggs that yielded adult insects) divided by the average proportional survival of the unselected strain in that generation (results reported in Fig. 1a). Then, we used ANOVA to compare corrected survival among the selected strains (block refuge, blended refuge and pure *Bt*) within each generation during only the generations that underwent selection (Bonferroni correction was used to adjust the alpha level for eight generations of selection, adjusted alpha = 0.006). If strains were significantly different, which occurred in seven of eight generations (Table 1), we performed pairwise comparisons among strains within a generation (after Bonferroni correction for 21 pairwise comparisons, adjusted alpha = 0.002).

For days to adult emergence during strain selection, we used ANOVA to compare all four strains within each generation during only the generations that underwent selection (results reported in Fig. 1b; after Bonferroni correction for eight generations of selection, adjusted alpha = 0.006). If strains were significantly different, which occurred in six of eight generations, we performed pairwise comparisons among strains within a generation (after Bonferroni correction for 36 comparisons, adjusted alpha = 0.001).

Because larvae from each of the four treatments were placed onto paired *Bt* and non-*Bt* seedling mats for bioassays measuring larval development and survival to adulthood, we standardized measurements on *Bt* corn by measurements obtained for non-*Bt* corn. For the larval development bioassay, we subtracted the proportion of third-instar larvae on *Bt* corn from the proportion of third-instar larval on non-*Bt* corn (results reported in Fig. 2). For the adult survival bioassay, we subtracted proportional mortality on *Bt* corn from proportional mortality on non-*Bt* corn, and for measurements of days to adult emergence we subtracted days to emergence on non-*Bt* corn from days to emergence on *Bt* corn (results reported in Fig. 3). Data for all bioassays were compared among all four strains (unselected, block refuge, blended refuge and pure *Bt*) using ANOVA. If strains were significantly different, we performed pairwise comparisons among the four strains, with an adjusted alpha = 0.008 based on a Bonferroni correction for six pairwise comparisons.

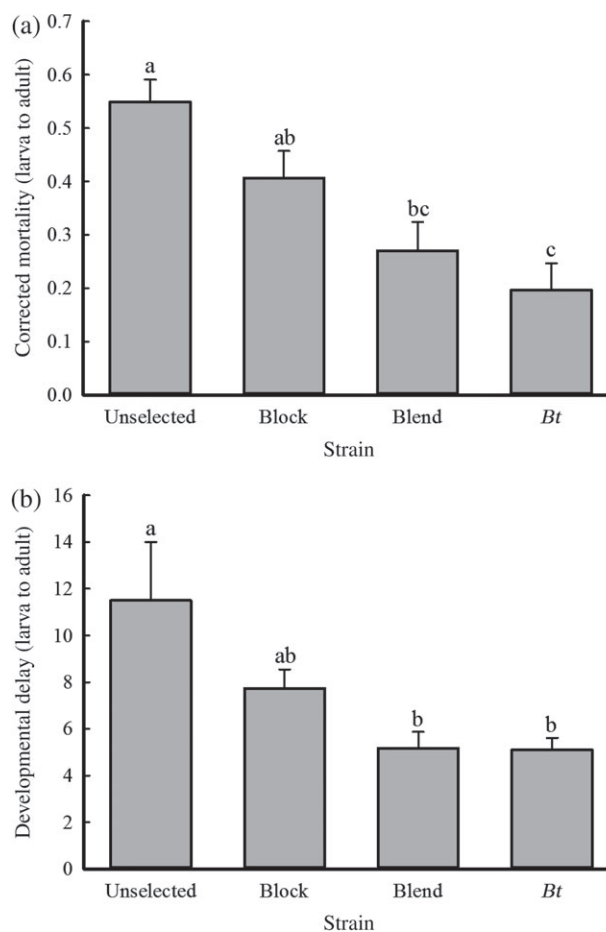


**Figure 2.** Developmental delay on *Bt* corn in larval bioassays. Developmental delay (a) after four generations of selection (F5, F6 and F7), (b) after seven generations of selection (F10) and (c) after nine generations of selection (F14). Developmental delay was calculated as the proportion of third-instar larvae on non-*Bt* corn minus the proportion of third instars on *Bt* corn. Bar heights are sample means, and error bars are the standard error of the mean. Letters indicate significant differences after Bonferroni correction ( $P = 0.008$ ).

### 3 RESULTS

#### 3.1 Strain selection: survival and development time

When we compared survival within generations among the three selected strains after correcting for survival in the unselected strain, strains were significantly different in each generation except F9 (Table 1, Fig. 1a). Survival of the block-refuge strain on *Bt* corn was significantly lower than the survival of the blended-refuge



**Figure 3.** Corrected mortality (a) and developmental delay in days to adulthood (b) on *Bt* corn. Data collected from adult bioassays after nine generations of selection (F14). Corrected mortality was calculated as proportional mortality on *Bt* corn minus proportional mortality on non-*Bt* corn. Developmental delay was calculated as days to adult emergence on *Bt* corn minus days to adult emergence on non-*Bt* corn. Bar heights are sample means, and error bars are the standard error of the mean. Letters indicate significant differences after Bonferroni correction ( $P = 0.008$ ).

strain on a seed blend or the survival of the pure-*Bt* strain on *Bt* corn in most generations throughout the experiment. By contrast, survival for the blended-refuge strain was significantly lower than for the pure-*Bt* strain in F4, did not differ from the pure-*Bt* strain among F5 to F11 and was significantly greater than for the pure-*Bt* strain in F13 and F15. This pattern suggests a lack of adaptation to *Bt* corn by the block-refuge strain, but adaptation to the seed blend by the blended-refuge strain.

Days to emergence differed among strains except during F8 and F9 (Table 2, Fig. 1b). In general, the block-refuge strain on *Bt* corn required the longest time before adults emerged, while no differences were observed among the unselected strain on non-*Bt* corn, the pure-*Bt* strain on *Bt* corn and the blended-refuge strain on the seed blend. This suggests a lack of adaptation in the block-refuge strain, but adaptation to the seed blend by the blended-refuge strain, and adaptation to *Bt* corn by the pure-*Bt* strain.

#### 3.2 Larval development bioassay

Developmental delay to third instar describes the difference in the proportion of third-instar larvae found on *Bt* corn compared

**Table 2.** Analysis of variance for time until adult emergence among strains within a generation when strains experienced selection

Generation	df	F	P <sup>a</sup>
F4	3, 25	7.94	0.0007*
F5	3, 29	20.30	<0.0001*
F8	3, 26	3.20	0.04
F9	3, 21	1.37	0.279
F10	3, 23	7.64	0.001*
F11	3, 40	20.16	<0.0001*
F13	3, 40	7.08	0.0006*
F15	3, 35	14.87	<0.0001*

<sup>a</sup> \* Indicates significant differences after Bonferroni correction (adjusted alpha = 0.006). See Fig. 1b for differences among strains.

with non-*Bt* corn, with 0 indicating no difference in the proportion of third-instar larvae found on *Bt* versus non-*Bt* seedling mats. Significant differences were found among strains for developmental delays to third instar when testing strains after four generations of selection (i.e. combining data from F5, F6 and F7 (Table 3, Fig. 2a). Development to third instar on *Bt* corn was significantly delayed in the unselected strain compared with the blended-refuge strain and the pure-*Bt* strain (Fig. 2a), indicating statistically significant and similar adaptation to *Bt* corn in the pure-*Bt* strain and blended-refuge strain, but a lack of adaptation by the block-refuge strain.

Strains also differed after seven generations of selection (F10), with the unselected and block-refuge strains having significantly greater developmental delay compared with the blended-refuge and pure-*Bt* strains (Table 3, Fig. 2b), suggesting a similar pattern of adaptation to that observed after four generations of selection.

Following nine generations of selection (F14), developmental delay was significantly greater for the unselected strain than for the blended-refuge and pure-*Bt* strains. The block-refuge and blended-refuge strains did not differ from each other and showed a greater developmental delay than the pure-*Bt* strain (Table 3, Fig. 2c). These results indicate similar levels of resistance after nine generations of selection for the blended and block refuges, and significantly lower levels of resistance in these strains than was observed in the absence of a refuge.

### 3.3 Adult survival bioassays

We compared the difference in mortality from larva to adult between *Bt* corn and non-*Bt* corn (i.e. mortality imposed by *Bt* corn) among strains after nine generations of selection (F14) and found significant differences (Table 3, Fig. 3a). Mortality imposed by *Bt* corn was greatest for the unselected strain and did not differ between the unselected strain and the block-refuge strain. By contrast, mortality imposed by *Bt* corn for both the blended-refuge strain and the pure-*Bt* strain did not differ significantly and was significantly less than for the unselected strain. These results suggest that the block refuge delayed resistance compared with an absence of refuges, and that some adaptation to *Bt* corn occurred for the blended-refuge strain, although the level of resistance did not differ from that of the block-refuge strain (Fig. 3a).

Strains also differed in developmental delay to adulthood (Table 3, Fig. 3b). Developmental delay was greater for the unselected strain than for the blended-refuge strain and the pure-*Bt* strain, but the block-refuge strain was intermediate and did not

differ from any of the other strains (Fig. 3b). This result indicates that the block refuge delayed the development of resistance, but resistance in the blended refuge was similar to that observed in the absence of refuges.

## 4 DISCUSSION

In this study, we used an experimental evolution approach to test whether refuges can delay resistance of *D. v. virgifera* to corn producing *Bt* toxin Cry34/35Ab1 (event DAS-59122-7), which is a non-high-dose *Bt* hybrid.<sup>11,32</sup> Refuges are most effective for delaying resistance when *Bt* crops produce a high dose of toxin, which kills heterozygous resistant individuals.<sup>43</sup> We found evidence that refuges could delay resistance, and that under the parameters studied here the block refuge was more effective than the blend refuge at delaying resistance.

There were several important differences between our study and conditions in the field that likely contributed to the results observed here. Firstly, in the block-refuge treatment, refuge individuals were added to adult population cages at the same time as *Bt*-selected individuals. This enabled complete random mating between refuge individuals and *Bt*-selected individuals. By contrast, in the field (and shown in our data, Fig. 2), the delayed emergence of individuals from *Bt* corn compared with non-*Bt* corn<sup>27,29</sup> and the limited dispersal of adults from refuges to *Bt* fields<sup>44</sup> would lead to non-random assortative mating among *Bt*-selected individuals and accelerate resistance evolution. In our selection experiment, these differences in space and time were not present because we placed refuge insects and selected insects into adult cages at the same time, making random mating more likely than it might be in the field.

Secondly, with the block-refuge treatment, the refuge population was never exposed to *Bt* corn. In the field, resistance evolves through dispersal of *Bt*-selected individuals into refuge populations.<sup>45</sup> Over time, the accumulation of resistance alleles within refuge populations disrupts the dynamic of homozygous susceptible refuge individuals mating with the *Bt*-selected individuals and leads to the evolution of resistance. Additionally, the population starting each generation within the block-refuge strain consisted of 10% adults emerging from *Bt* corn (presumably possessing resistance traits) and 90% adults emerging from non-*Bt* corn. This ratio is consistent with emergence of insects reported in Storer *et al.*,<sup>27</sup> assuming a landscape with 80% Cry34/35Ab1 corn and 20% non-*Bt* corn. However, if survival on *Bt* corn in the field is greater than this, as has been found elsewhere,<sup>32</sup> the rate of resistance evolution in a field setting may be greater than found in this experiment.

Conversely, in the blended refuge studied here, the close proximity of *Bt* to non-*Bt* corn in the blended refuge likely caused more larvae to be exposed to *Bt* corn than would occur in a field setting. Past studies of *D. v. virgifera* have determined that larvae can move between *Bt* and non-*Bt* corn roots.<sup>46,47</sup> In our blended-refuge treatment, non-*Bt* and *Bt* corn seeds were mixed within small containers. This experimental approach likely allowed for more larval movement between non-*Bt* and *Bt* corn roots than would be expected in the field because roots for both types of corn were contained in close proximity within larval rearing seed mats. This in turn likely increased the intensity of selection and the rate of resistance evolution. Additionally, it is possible that, in some cases, larvae may have consumed all of the non-*Bt* roots and then were forced to feed on *Bt* roots, a situation that would only arise at very high pest densities in the field. These factors may have

**Table 3.** Analysis of variance for bioassays

Life stage	Response factor	Generation	df	F	<i>p</i> <sup>a</sup>
Larval	Developmental delay	F5, F6, F7	3, 114	10.67	<0.0001*
Larval	Developmental delay	F10	3, 73	22.46	<0.0001*
Larval	Developmental delay	F14	3, 68	26.96	<0.0001*
Adult	Mortality	F14	3, 72	9.25	<0.0001*
Adult	Developmental delay	F14	3, 49	5.66	0.002*

<sup>a</sup> \* Indicates significant differences. See Figs 2 and 3 for differences among strains.

contributed to the similarity in resistance development between our blended-refuge and pure-*Bt* strains in this study.

*Diabrotica v. virgifera* has exhibited evolution of resistance to *Bt* toxins in both the laboratory and the field. Several studies have found that laboratory-selected strains of *D. v. virgifera* evolved resistance to Cry3Bb1 in as few as three generations of selection,<sup>18,48</sup> and the discovery of field-evolved resistance to Cry3Bb1 illustrated a similar pattern of resistance evolution.<sup>10–13</sup> Furthermore, our study, as well as Lefko *et al.*,<sup>19</sup> demonstrates that *D. v. virgifera* has the potential to evolve resistance to the Cry34/35Ab1 toxin. Nonetheless, we found evidence that refuges of non-*Bt* corn can delay *Bt* resistance in *D. v. virgifera*, even though *Bt* corn targeting *D. v. virgifera* is not high dose (unselected strain versus block-refuge strain, Figs 2 and 3). However, this was associated with large populations of susceptible (unselected) insects that mated synchronously and randomly with *Bt*-selected individuals. To the extent that these conditions are not met in the field, more rapid resistance evolution is expected.

Refuges can delay the evolution of resistance in pest species, and this strategy is most effective when resistance alleles are rare and inherited recessively.<sup>23</sup> The high-dose refuge strategy has been effective for managing *Bt* resistance in *O. nubilalis*,<sup>4</sup> likely in part because resistance alleles enabling survival of *O. nubilalis* on *Bt* plants appear to be rare.<sup>49,50</sup> However, simulation studies show that, when resistance is only partially recessive or additive, resistance can develop at a faster rate than when resistance is functionally recessive.<sup>23</sup> *Bt* corn used to manage *D. v. virgifera* does not produce a high dose of *Bt* toxin,<sup>18,26,27,31</sup> and, as expected, resistance to *Bt* corn by *D. v. virgifera* has been found to be non-recessive.<sup>18</sup> Furthermore, the rate of resistance evolution increases as the initial resistance allele frequency becomes greater,<sup>1,16</sup> and in *D. v. virgifera* the resistance allele frequency has been estimated to be 2000 times greater than frequencies typically assumed to exist in a pest population prior to commercialization of a *Bt* crop.<sup>16</sup> Both the lack of a high dose and the greater prevalence of resistance alleles will increase the risk that populations of *D. v. virgifera* will evolve resistance to *Bt* corn.

Another strategy to delay the evolution of resistance is pyramiding of *Bt* toxins that target the same species.<sup>51</sup> Delays in resistance arise from pyramiding because one *Bt* toxin within a pyramid (e.g. toxin A) should kill all individuals susceptible to that *Bt* toxin, including those individuals that harbour resistance alleles to the other *Bt* toxin (e.g. toxin B) present in the pyramid.<sup>51</sup> The same reciprocal effect of toxin B on resistance alleles for toxin A is expected. Furthermore, most individuals targeted by the pyramid are susceptible to each *Bt* toxin and are therefore killed by each toxin, also known as 'redundant killing'.<sup>52</sup> Pyramids are currently used in combination with refuges, although refuge requirements are reduced from 20 to 5% for *Bt* corn pyramids.<sup>53</sup> Successful

management of pests by pyramiding *Bt* toxins depends on how effective each toxin is at killing the targeted species, and the frequency of resistance alleles at the time a pyramid is deployed.<sup>13</sup>

The use of *Bt* corn is advantageous because it can reduce the reliance on broad-spectrum conventional insecticides for managing pests.<sup>3</sup> However, *D. v. virgifera* has demonstrated an ability to evolve resistance to management practices including *Bt* corn.<sup>8–11</sup> For cases where *Bt* toxins are not high dose, pyramiding multiple *Bt* toxins can achieve greater delays in resistance than when toxins are used individually.<sup>51</sup> Additionally, the use of more diversified management tactics, as part of an integrated approach to pest management, may also help to reduce the risk of resistance evolution.

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