

2010

# Socio-demographic and drug use factors associated with HIV-1 recombinants and dual infections in Northern Thai drug users: Associations of risk with genetic complexity

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<http://digitalcommons.unl.edu/usarmyresearch/133>

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## Drug and Alcohol Dependence

journal homepage: [www.elsevier.com/locate/drugalcdp](http://www.elsevier.com/locate/drugalcdp)



Full length article

### Socio-demographic and drug use factors associated with HIV-1 recombinants and dual infections in Northern Thai drug users: Associations of risk with genetic complexity

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#### ARTICLE INFO

##### Article history:

Received 27 November 2009  
Received in revised form 29 October 2010  
Accepted 8 November 2010  
Available online xxx

##### Keywords:

Injection drug use  
HIV/AIDS  
Recombination  
Dual infection  
Molecular epidemiology  
Injecting risk  
Thailand  
Multi-region hybridization assay

#### ABSTRACT

**Background:** Dual infection with diverse HIV strains can foster the emergence of recombinants. The resulting increase in viral genetic diversity is a major challenge for vaccine development HIV treatment. In this study we aim to investigate the socio demographic factors associated with an increasing level of genetic diversity among HIV strains in a population of drug-users in Northern Thailand.

**Methods:** From 1999 through 2000, 2231 volunteers were enrolled in the Opiate-Users Research in Chiang Mai, Thailand. HIV subtype analysis was conducted among those HIV-1 seropositive ( $n=347$ ) using a multi-region hybridization assay. Social and demographic variables were assessed using a structured questionnaire.

**Results:** Overall, 336/347 (96.8%) of the samples could be typed. 81.8% were CRF01\_AE, 3.9% were subtype B, 9.2% were recombinants (mostly between CRF01\_AE and B) and 5.1% were dual infections. Dual infections were more frequent among those with a lower education level (AOR: 5.2; 95% CI 1.4–20.3), those who have initiated injecting in the last 3 years (AOR: 3.9; 95% CI 1.1–14.6), and those reporting frequent needle sharing in the last 3 months (AOR: 7.0; 95% CI 1.5–34.1). Both recombinant strains and dual infection were more frequent among those reporting frequent needle sharing in the last 3 months (AOR: 5.3; 95% CI 1.6–17.1).

**Conclusion:** To limit the expanding complexity of HIV-1 strains, early intervention should be aimed at reduction in needle sharing, especially among new intravenous drug users.

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#### 1. Introduction

Human immunodeficiency virus type 1 (HIV-1) is characterized by extensive and expanding genetic diversity. To date, 9 subtypes within the group M radiation have been described, and many more inter-subtype recombinants have been reported (Kuiken et al.,

2009). Recombinant strains are believed to emerge after dual infections within multiply-exposed individuals (Ramos et al., 2002; Taylor et al., 2008). While some of these recombinant strains have been retrieved from only one patient (“unique recombinant forms” or URFs), other recombinants have spread into populations (“circulating recombinant forms” or CRFs) (Taylor et al., 2008). By combining pre-existing beneficial mutations, recombination can accelerate the escape from host immune responses and recent studies suggest that HIV-1 envelope has adapted to evade such responses at population levels (Bunnik et al., 2010). For this reason, HIV dual infection and recombination pose major challenges to vaccine design and can hinder the evaluation of the success of efficacy trials. Dual infection has been associated with accelerated disease progression (reviewed by Smith et al., 2005) and increas-

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ing genetic diversity has also been linked to anti-retroviral drug resistance, suggesting that diversity may also play roles in HIV therapeutic outcomes (Djoko et al., 2010). Identifying individuals and sub-groups of populations where dual infections are more frequent and recombinant forms are more likely to arise could help in the design and targeting of preventive interventions aimed at diminishing HIV-1 genetic complexity. Little work has been done on the injection drug use behaviors and practices that might contribute to multiple exposure and dual infection among injecting and non-injecting drug users.

The molecular epidemiology of HIV-1 in Thailand has substantially changed over the past two decades. From the late 1980s until the mid 1990s, HIV-1 strains were segregated by risk group, as subtype B predominated among injecting drug users (IDUs), while CRF01\_AE was the major form circulating among those with heterosexual exposure (Subbarao et al., 1998). A change in the proportions of clades was seen in the mid 1990s, when CRF01\_AE overtook subtype B among IDUs, while still constituting the major clade being transmitted through heterosexual contact (Tovanabutra et al., 2004; Vanichseni et al., 2001). CRF01\_AE was also the predominant clade identified among male sex workers in Northern Thailand (Beyrer et al., 1997). Subsequently, our group reported on the emergence of novel recombinant HIV-1 strains between CRF01\_AE and subtype B, which were thought to have emerged in successive rounds of dual infection and transmission among multiply-exposed individuals. By the early 2000s, more than half of new infections among IDUs in Northern Thailand were caused by B/CRF01\_AE URFs (Tovanabutra et al., 2004), marking a substantial increase in genetic complexity. Moreover, B/CRF01\_AE recombinants were also found in contemporary samples from the general population, and two new CRFs, CRF15\_01B (Tovanabutra et al., 2001) and CRF34\_01B (Tovanabutra et al., 2007), were found in multiple individuals, some with heterosexual risk factors and some others with IDU risk factors and, presumably, some individuals with dual risks for HIV acquisition and transmission. As the Thai epidemic matured, the emergence of these subtypes confirmed increasing genetic diversity, and also established the bridging of IDU and general population HIV-1 variants at molecular levels. Non-injecting drug users in Thailand predominantly use variants of methamphetamine (*Ya Ba*, or “crazy medicine”, in Thai), which is generally smoked, not injected. Their demographic and HIV risks vary markedly from IDU and we have previously reported on their lower HIV rates in this cohort (Beyrer et al., 2004).

The Northern region of Thailand, including provinces adjacent to the Golden Triangle in the border with Burma (Myanmar) and Laos, has borne the brunt of the Thai HIV-1 epidemic since its onset. By 2003, this region, that represented only 8% of the country's total population, was home to more than 25% of the AIDS cases (Deewong and Jitnumshap, 2003). The Northern Thai epidemic was marked by both sexual and IDU components, and by the region's extensive commercial sex industry—largely employing sex workers from impoverished Burma, and by high rates of methamphetamine use among adolescents and young adults.

The objective of this study was to evaluate the HIV-1 genetic diversity among sero-positive drug users, both injecting and non-injecting, seeking detoxification at the Chiang Mai Drug Treatment Center (CMDTC), Mae Rim, Thailand, which serves 17 provinces in the northern region. Behavioral and social/demographic variables and drug use practices were investigated for associations with increasing complexity of HIV-1 strains.

## 2. Methods

### 2.1. Population under study

The Opiate-Users Research (OUR) Study was conducted among 2231 volunteers seeking detoxification at the Chiang Mai Drug Treatment Center (CMDTC), Mae Rim,

Thailand. The first phase of the study (February 1999–January 2000) included volunteers admitted for opioid or methamphetamine dependence, and the second phase of the study (February 2000–December 2000) included only IDUs admitted for drug dependence. Inclusion criteria included being 13 years of age or older, provision of informed consent, admission for substance use dependence, agreement to submit to pre- and post-test HIV counseling and venipuncture for HIV serology and HIV subtyping, and completion of a behavioral questionnaire, which was administered in a confidential face-to-face interview. Detailed methods and findings from this study have been previously published elsewhere (Cheng et al., 2006; Razak et al., 2003). The study was approved by the institutional review boards of the Royal Thai Ministry of Public Health, Research Institute of Health Sciences, Chiang Mai University and the Johns Hopkins University. Since persons aged 13–18 were admitted to the drug treatment centers with parental or guardian consent, they were deemed eligible for recruitment into this study if parental consent and individual adolescent assent were obtained and this was approved by all relevant IRBs.

### 2.2. HIV-1 serology

Specimens were tested for HIV antibodies by ELISA using licensed commercially available reagents (Vironostica HIV Uni-form II plus 0; Organon Teknika). Reactive ELISA specimens were tested with the gel-particle agglutination (GPA) test for antibodies to HIV (Serodia-HIV; Fujirebio, Japan). Specimens testing positive in both antibody tests were considered HIV positive. GPA-nonreactive specimens were confirmed by Western blot analysis using licensed commercially available reagents (HIV Blot 2.2; Genelabs Diagnostics, Singapore).

### 2.3. HIV-1 subtyping

Viral RNA was extracted from serum samples by robotic extraction (MagnaPure, Roche Diagnostics). The presence of subtypes B, C, and CRF01\_AE, and recombinants and dual infections combining these strains was evaluated using a multi-region hybridization assay (MHA<sub>bc</sub> v2) as previously published (Tovanabutra et al., 2007). Briefly, after PCR-amplification 8 regions throughout the HIV genome (p17 in *gag*; protease, reverse transcriptase, and integrase in *pol*; the first exon of *tat*; gp120 and gp41 in *env*; and *nef*) with universal primers, their subtype was assessed in real-time PCRs with respective fluorescent TaqMan probes specific for subtypes B, C and CRF01\_AE. Samples with concordant patterns of probe reactivity throughout the genome were assigned to the corresponding subtype or CRF, while those samples showing discordant patterns of probe reactivity were considered inter-subtype recombinants. Patients with samples exhibiting dual-probe reactivity in the same genome region were considered as dually infected after verification by cloning and real-time PCR subtyping of at least 20 clones per sample. Only samples showing probe reactivity in 4 genome regions or more were considered as typed.

### 2.4. Statistical methods

HIV-1 infections were classified into 9 different genotypes: subtype B; subtype C; CRF01\_AE; B/CRF01\_AE Recombinant; C/CRF01\_AE Recombinant; B/C Recombinant; B/CRF01\_AE Dual; C/CRF01\_AE Dual; and B/C Dual. Single infections were defined as the presence of subtypes B, C, or CRF01\_AE, or any of the recombinant genotypes. Dual infections were defined as the presence of B/CRF01\_AE Dual; C/CRF01\_AE Dual; and B/C Dual genotypes following the accepted classification used elsewhere (Arroyo et al., 2005, 2006, 2009; Herbinger et al., 2006; Hoelscher et al., 2002; Kijak et al., 2007; Saathoff et al., 2010).

Exposure variables of interest included: socio-demographics (age, ethnicity, education, occupation, marital status, and residence); incarceration; injection risks and practices (time since first injection, frequency of injection, needle and injection equipment sharing); and sexual risks (lifetime number of sex partners, sex with a female sex worker, sex with another man for male IDU, and STD diagnoses and prior history of STD).

Differences in the distribution of dual HIV infection across variables of interest were assessed using the chi-square test and Fisher's Exact test (if expected cell count was less than 5) for categorical variables, and the Wilcoxon rank sum test for continuous variables. Continuous variables were also categorized based on quartiles and further analyzed as categorical variables. Logistic regression was used to obtain crude odds ratios and 95% confidence intervals. Due to small numbers observed in some genotypes, multivariate models were not built; however, because age and education level appeared to differ across genotypes, as well as be closely related to other variables of interest, logistic regression was used to obtain age-adjusted and education-adjusted odds ratios and 95% confidence intervals for those variables with a significance level  $\leq 0.10$  in the crude analysis. All statistical analyses were conducted using SAS for Windows version 9.1 (SAS Institute Inc, Cary, NC).

**Table 1**

Socio-demographic characteristics and HIV sero-prevalence among admissions to the Northern Drug Dependence Treatment Center, Thailand, 1999–2000, for the overall OUR prevalence study population ( $N=2231$ ).

| Characteristic                | Total      |                      |                         | Male       |                      |                         | Female     |                      |                       |
|-------------------------------|------------|----------------------|-------------------------|------------|----------------------|-------------------------|------------|----------------------|-----------------------|
|                               | No. tested | No. HIV positive (%) | OR (95% CI)*            | No. tested | No. HIV positive (%) | OR (95% CI)*            | No. tested | No. HIV positive (%) | OR (95% CI)*          |
| Total                         | 2231       | 347 (15.6)           |                         | 2005       | 324 (16.2)           |                         | 226        | 23 (10.2)            |                       |
| Ethnicity                     |            |                      |                         |            |                      |                         |            |                      |                       |
| Ethnic minority               | 993        | 117 (11.8)           | 1.0                     | 840        | 104 (12.4)           | 1.0                     | 153        | 13 (8.5)             | 1.0                   |
| Thai                          | 1238       | 230 (18.6)           | <b>1.7 (1.3–2.2)</b>    | 1165       | 220 (18.9)           | <b>1.7 (1.3–2.1)</b>    | 73         | 10 (13.7)            | 1.7 (0.7–4.1)         |
| Age                           |            |                      |                         |            |                      |                         |            |                      |                       |
| 15–23 years                   | 788        | 89 (11.3)            | 1.0 (0.7–1.3)           | 717        | 82 (11.4)            | 1.0 (0.7–1.3)           | 71         | 7 (9.9)              | 1.0 (0.4–2.9)         |
| 24–29                         | 368        | 99 (26.9)            | <b>2.8 (2.0–3.9)</b>    | 345        | 95 (27.5)            | <b>2.8 (2.0–3.9)</b>    | 23         | 4 (17.4)             | 2.0 (0.6–7.2)         |
| 30–34                         | 323        | 72 (22.3)            | <b>2.2 (1.6–3.1)</b>    | 298        | 70 (23.5)            | <b>2.3 (1.6–3.2)</b>    | 25         | 2 (8.0)              | 0.8 (0.2–4.1)         |
| 35+                           | 752        | 87 (11.6)            | 1.0                     | 645        | 77 (11.9)            | 1.0                     | 107        | 10 (9.4)             | 1.0                   |
| Marital status                |            |                      |                         |            |                      |                         |            |                      |                       |
| Married                       | 930        | 88 (9.5)             | 1.0                     | 804        | 78 (9.7)             | 1.0                     | 126        | 10 (7.9)             | 1.0                   |
| Never married                 | 950        | 163 (17.2)           | <b>2.0 (1.5–2.6)</b>    | 908        | 161 (17.7)           | <b>2.0 (1.5–2.7)</b>    | 42         | 2 (4.8)              | 0.6 (0.1–2.8)         |
| Separated/divorced/widowed    | 351        | 96 (27.3)            | <b>3.6 (2.6–5.0)</b>    | 293        | 85 (29.0)            | <b>3.8 (2.7–5.4)</b>    | 58         | 11 (19.0)            | <b>2.7 (1.1–6.8)</b>  |
| Education level               |            |                      |                         |            |                      |                         |            |                      |                       |
| Primary school or less        | 1011       | 174 (17.2)           | <b>1.3 (1.0–1.6)</b>    | 942        | 165 (17.5)           | 1.2 (0.95–1.5)          | 69         | 9 (13.0)             | 1.5 (0.6–3.7)         |
| Secondary school or more      | 1220       | 173 (14.2)           | 1.0                     | 1063       | 159 (15.0)           | 1.0                     | 157        | 14 (8.9)             | 1.0                   |
| Occupation                    |            |                      |                         |            |                      |                         |            |                      |                       |
| Student                       | 243        | 6 (2.5)              | 1.0                     | 219        | 5 (2.3)              | 1.0                     | 24         | 1 (4.2)              | 1.0                   |
| Farmer                        | 865        | 92 (10.6)            | <b>4.7 (2.0–10.9)</b>   | 779        | 88 (11.3)            | <b>5.5 (2.1–3.6)</b>    | 86         | 4 (4.7)              | 1.1 (0.1–10.5)        |
| Unemployed                    | 407        | 63 (15.5)            | <b>7.2 (3.1–17.0)</b>   | 366        | 56 (15.3)            | <b>7.7 (3.1–19.6)</b>   | 41         | 7 (17.1)             | 4.7 (0.6–41.1)        |
| Laborer                       | 392        | 117 (29.9)           | <b>16.8 (7.3–38.8)</b>  | 339        | 109 (32.2)           | <b>20.3 (8.1–50.7)</b>  | 53         | 8 (15.1)             | 4.1 (0.5–34.7)        |
| Trader                        | 133        | 33 (24.8)            | <b>13.0 (5.23–32.0)</b> | 123        | 31 (25.2)            | <b>14.4 (5.4–38.3)</b>  | 10         | 2 (20.0)             | 5.8 (0.5–72.2)        |
| Other                         | 191        | 36 (18.9)            | <b>9.1 (3.8–22.3)</b>   | 179        | 35 (19.6)            | <b>10.4 (4.0–27.2)</b>  | 12         | 1 (8.3)              | 2.1 (0.1–36.6)        |
| Ever injected drugs, lifetime |            |                      |                         |            |                      |                         |            |                      |                       |
| Never                         | 1352       | 38 (2.8)             | 1.0                     | 1180       | 31 (2.6)             | 1.0                     | 172        | 7 (4.1)              | 1.0                   |
| Ever                          | 879        | 309 (35.2)           | <b>18.8 (13.2–26.6)</b> | 825        | 293 (35.5)           | <b>20.4 (13.9–30.0)</b> | 54         | 16 (29.6)            | <b>9.9 (3.8–25.8)</b> |

OR, odds ratio; CI, confidence interval. Statistically significant associations ( $p < 0.05$ ) are depicted in bold.

### 3. Results

#### 3.1. Study subjects

Overall 347 of the 2231 participants (15.6%) were HIV-1 seropositive at the time of enrollment (Table 1). HIV-1 prevalence among those who had ever injected (35.2%,  $N=879$ ) was markedly higher than among those who had never injected (2.8%,  $N=1352$ ) (OR 18.8, 95% CI 13.2–26.6). There was higher sero-prevalence among men as compared to women (OR 1.7, 95% CI 1.1–2.7). Among male participants, other sociodemographic characteristics statistically significantly associated with increased risk for HIV infection included being of Thai ethnicity (compared with ethnic minorities), being between 24 and 34 years old, and having received only primary school education or less. Men who described themselves as students or who were married were also at lower risk for HIV infection. Among female participants, the factors associated with increased risk of HIV infection were being separated, divorced or widowed, or having a history of injection drug use.

#### 3.2. HIV subtyping and genetic diversity

Of all the HIV positive individuals in the cohort it was possible to identify the genotype in 336 (97%) of 347 cases (Table 2). CRF01\_AE was the predominant clade, accounting for over 80% of the strains. Thirteen specimens (3.9%) were typed as subtype B, and no sample was typed as subtype C. Inter-subtype recombinants were abundant, accounting for 9.2% of the cases, and were

**Table 2**

Summary of HIV subtypes of the OUR prevalent cohort.

|                     | IDUs       | Non-IDUs  | Total      |
|---------------------|------------|-----------|------------|
| Total no.           | 309        | 38        | 347        |
| Subtyped, $n$ (%)   | 299 (96.8) | 37 (97.4) | 336 (96.8) |
| Pure subtype/CRF    |            |           |            |
| Subtype B, $n$ (%)  | 12 (4.0)   | 1 (2.7)   | 13 (3.9)   |
| Subtype C, $n$ (%)  | 0 (0.0)    | 0 (0.0)   | 0 (0.0)    |
| CRF01_AE, $n$ (%)   | 243 (81.3) | 32 (86.5) | 275 (81.8) |
| Recombinants        |            |           |            |
| B/CRF01_AE, $n$ (%) | 27 (9.0)   | 2 (5.4)   | 29 (8.6)   |
| C/CRF01_AE, $n$ (%) | 1 (0.3)    | 0 (0.0)   | 1 (0.3)    |
| B/C, $n$ (%)        | 1 (0.3)    | 0 (0.0)   | 1 (0.3)    |
| Dual infections     |            |           |            |
| B/CRF01_AE, $n$ (%) | 13 (4.3)   | 0 (0.0)   | 13 (3.9)   |
| C/CRF01_AE, $n$ (%) | 1 (0.3)    | 2 (5.4)   | 3 (0.9)    |
| B/C, $n$ (%)        | 1 (0.3)    | 0 (0.0)   | 1 (0.3)    |

mostly due to recombinants combining CRF01\_AE with subtype B. Seventeen individuals (5.1%) carried dual infections, predominantly between subtype B and CRF01\_AE; subtype C/CRF01\_AE and subtype B/C co-infections were also recorded, but rarely.

The majority of the HIV infections in the current cohort (84.4%) were seen among male IDUs. The observed genetic diversity was evenly distributed among IDUs and non-IDUs, and exhibited no gender bias. Because of the limited number of HIV-infected women and non-IDU patients, we limited the remaining statistical analyses to IDU men.

**Table 3**  
Comparison of age, ethnicity, and injection duration across subtype B vs. CRF01\_AE, among HIV-infected male IDUs in OUR prevalent cohort.

| Variable                    | Subtype/CRF     |            | p-Value | OR (95% CI) |
|-----------------------------|-----------------|------------|---------|-------------|
|                             | CRF01_AE, N (%) | B, N (%)   |         |             |
| Total                       | 231 (100.0)     | 11 (100.0) |         |             |
| Age (years)                 |                 |            |         |             |
| 15–34                       | 180 (77.9)      | 4 (36.4)   | 0.005*  | 1.0         |
| 35+                         | 51 (22.1)       | 7 (63.6)   |         |             |
| Ethnicity                   |                 |            |         |             |
| Thai                        | 158 (68.4)      | 10 (90.9)  | 0.18*   | 1.0         |
| Ethnic minority             | 73 (31.6)       | 1 (9.1)    |         |             |
| Number years been injecting |                 |            |         |             |
| Less than 6 years           | 103 (44.6)      | 1 (9.1)    | 0.03*   | 1.0         |
| 6+                          | 128 (55.4)      | 10 (90.9)  |         |             |

\* Fisher's Exact Test two-sided *p*-value.

### 3.3. Socio-demographic variables and genetic diversity

Table 3 shows an unadjusted comparison of socio-demographic and injection factors between the two principal HIV clades, CRF01\_AE and subtype B. Compared to those with CRF01\_AE, individuals with subtype B were more likely to be 35 years of age or older (OR 6.2, 95% CI 1.7–21.9), and more likely to have injected drugs for 6 years or more (OR 8.1, 95% CI 1.0–63.9).

An analysis of socio-demographic and behavioral factors associated with dual infection is presented in Table 4. In the crude analysis, individuals with dual infections were more likely to have an increased frequency of sharing needle/syringe (OR 6.0, 95% CI 1.3–27.1), initiated injecting in the last three years (OR 4.5, 95% CI 1.2–16.7), and had a lower education level (OR 4.2, 95% CI 1.2–15.5). These associations remained statistically significant after separate adjustments for age and for education: increased frequency of sharing needle/syringe (age-adjusted OR 6.1, 95% CI 1.3–28.3; education-adjusted OR 7.0, 95% CI 1.5–34.1), having initiated injecting in the last three years (age-adjusted OR 5.2, 95% CI 1.3–20.8; education-adjusted OR 3.9, 95% CI 1.1–14.6), and having a lower educational level (age-adjusted OR 5.2, 95% CI 1.4–20.3).

Those individuals who reported ever having sexual intercourse were less likely to have a dual infection, although this was not statistically significant (OR 0.2, 95% CI 0.1–1.2). No other statistical associations or trends were found between exposure variables and dual infection.

In a further analysis (data not shown), dual and recombinant infection were combined together as “complex” infection and compared to single clade infections without recombination. Complex infections were associated with an increased frequency of needle/syringe sharing (age-adjusted OR 5.3, 95% CI 1.6–17.1).

## 4. Discussion

In this cohort of drug users in Northern Thailand, we show that HIV genetic diversity is increasing and is associated with certain high-risk behaviors and demographic factors. Frequent needle sharing was associated with both dual and recombinant HIV infection. Recent injection of drugs and lower education were significantly associated with dual HIV infection.

Our findings are consistent with several previous studies that show increasing HIV genetic diversity in the Thai IDU population. In our study, four out of five HIV-1 infections were due to CRF01\_AE. Inter-subtype recombinants outnumbered subtype B strains. Individuals with subtype B were on average older and had been injecting drugs longer than those with CRF01\_AE, reflecting the evolution of the HIV epidemic in this population (Tovanabutra et al., 2004).

Overall, 5.1% (17 of 336) individuals carried dual infections, a rate substantially higher than has been reported from other

population samples (Hu et al., 2005). This is one of the first IDU cohorts in which an estimation of the prevalence of dual infection has been possible and therefore few studies are available for direct comparison. Of interest, in Thailand a study amongst 126 seroconverting IDUs found, using restriction-fragment length polymorphism (RFLP) analysis of the HIV protease, that 2/80 individuals (2.5%) had dual infections in the year following primary HIV infection (Hu et al., 2005). By interrogating eight different regions throughout the HIV genome, the current use of MHAbce may provide a higher sensitivity for the study of dual infection. Of note, both RFLP and MHAbce can only detect dual infections when these are due to different clades but are insensitive to dual infections with the same clade. The high proportion of dual infections seen in our cohort of intravenous drug users is biologically plausible. Injecting the virus into the blood is an efficient mode of HIV transmission, as no mucosal barriers need to be overcome. In addition, individuals who inject drugs and share needles and other injecting equipment likely have multiple exposures to HIV and other blood-borne pathogens.

Sexual exposure was not associated with dual infection in this population. This is likely related to the finding that this is a relatively sexually low risk population with primary HIV acquisition risks related to injecting practices. Studies among individuals with multiple and high risk sexual exposure histories (such as sex workers or persons with other multiple partnership patterns) would be needed to explore the phenomenon we have described here among injectors.

The primary aim of this study was to assess factors amongst IDUs associated with increased genetic diversity. The principal finding was that increased frequency of needle sharing is associated with much higher rates of dual infection and recombination. Although mathematical modeling studies have suggested that this may be an important risk factor (Xiridou et al., 2007), our study is the first of its nature to demonstrate this empirically. Sharing of needles is associated with multiple exposures to HIV. This increases the opportunity of dual infection and recombination, as each exposure facilitates transmission of different HIV-1 strains. Other factors found to be associated with dual infection (recent injection drug use and low education level) are also likely to be associated with increased HIV exposure. Sexual risk factors were not associated with dual or recombinant infection, suggesting it is intravenous drug use rather than sexual exposure, which is responsible for the increasing genetic diversity of HIV.

Needle exchange programs have been piloted in Thailand for some years but widespread implementation has proven difficult (Kerr et al., 2007). This study shows that such programs could represent a major intervention to limit the genetic diversity of the epidemic and should be implemented. Increasing access to sterile injection equipment (available through pharmacies in Thailand), providing education on needle hygiene through outreach, and

**Table 4**

Crude and age- and education-adjusted odds ratios comparing dual vs. single infection among male IDU.

| Variable   | Total, N | Single infections <sup>a</sup><br>N (%) | Dual infections <sup>b</sup><br>N (%) | Crude OR (95% CI)<br>(dual vs. single) | Chi-sq p-value | Age-adjusted OR<br>(95% CI) | Education-adjusted<br>OR (95% CI) |
|--|----------|---|---------------------------------------|--|----------------|-----------------------------|-----------------------------------|
| Total HIV+ Male IDUs   | 283      | 269 (100.0)                             | 14 (100.0)                            |  |                |                             |                                   |
| <i>Age (years)</i>   |          |   |                                       |  |                |                             |                                   |
| 15–23  | 67       | 63 (23.4)                               | 4 (28.6)                              | 1.4 (0.3–6.4)                          | 0.98*          |                             |                                   |
| 24–29  | 84       | 80 (29.7)                               | 4 (28.6)                              | 1.1 (0.2–5.0)                          |                |                             |                                   |
| 30–34  | 64       | 61 (22.7)                               | 2 (21.4)                              | 1.1 (0.2–5.5)                          |                |                             |                                   |
| 35+  | 68       | 65 (24.2)                               | 3 (21.4)                              | 1.0                                    |                |                             |                                   |
| <i>Ethnicity</i>   |          |   |                                       |  |                |                             |                                   |
| Thai   | 198      | 189 (70.3)                              | 9 (64.3)                              | 1.0                                    |                |                             |                                   |
| Ethnic minority  | 85       | 80 (29.7)                               | 5 (35.7)                              | 1.3 (0.4–4.0)                          | 0.77*          |                             |                                   |
| <i>Education level</i>   |          |   |                                       |  |                |                             |                                   |
| Primary school or less   | 136      | 125 (46.5)                              | 11 (78.6)                             | 4.2 (1.2–15.5)                         | 0.02           | 5.2 (1.4–20.3)              |                                   |
| Secondary school or more   | 147      | 144 (53.5)                              | 3 (21.4)                              | 1.0                                    |                | 1.0                         |                                   |
| <i>Occupation</i>  |          |   |                                       |  |                |                             |                                   |
| Unemployed   | 51       | 48 (17.8)                               | 3 (21.4)                              | 1.0                                    |                |                             |                                   |
| Farmer/gardener  | 72       | 70 (26.0)                               | 2 (14.3)                              | 0.5 (0.1–2.8)                          |                |                             |                                   |
| Other  | 160      | 151 (56.1)                              | 9 (64.3)                              | 1.0 (0.6–1.5)                          | 0.70*          |                             |                                   |
| <i>Marital status</i>  |          |   |                                       |  |                |                             |                                   |
| Ever married   | 142      | 133 (49.4)                              | 9 (64.3)                              | 1.0                                    |                |                             |                                   |
| Never married  | 141      | 136 (50.6)                              | 5 (35.7)                              | 0.5 (0.2–1.7)                          | 0.28           |                             |                                   |
| <i>Province where currently reside</i>   |          |   |                                       |  |                |                             |                                   |
| Chiang Rai   | 56       | 54 (20.1)                               | 2 (14.3)                              | 1.0                                    |                |                             |                                   |
| Chiang Mai   | 184      | 173 (64.3)                              | 11 (78.6)                             | 1.7 (0.4–8.0)                          |                |                             |                                   |
| Other  | 43       | 42 (15.6)                               | 1 (7.1)                               | 0.6 (0.1–7.3)                          | 0.72*          |                             |                                   |
| <i>Ever been incarcerated or jailed, lifetime</i>  |          |   |                                       |  |                |                             |                                   |
| No   | 77       | 73 (27.1)                               | 4 (28.6)                              | 1.0                                    |                |                             |                                   |
| Yes  | 206      | 196 (72.9)                              | 10 (71.4)                             | 0.9 (0.3–3.1)                          | 1.00*          |                             |                                   |
| <i>Number of years been injecting</i>  |          |   |                                       |  |                |                             |                                   |
| Less than 3 years  | 57       | 51 (19.0)                               | 6 (42.9)                              | 4.5 (1.2–16.7)                         | 0.04*          | 5.2 (1.3–20.8)              | 3.9 (1.1–14.6)                    |
| 3–5  | 68       | 64 (23.8)                               | 4 (28.6)                              | 2.4 (0.6–9.9)                          |                | 2.8 (0.6–12.5)              | 2.4 (0.6–10.1)                    |
| 6+   | 158      | 154 (57.2)                              | 4 (28.6)                              | 1.0                                    |                | 1.0                         | 1.0                               |
| <i>Injected in the past 3 months</i>   |          |   |                                       |  |                |                             |                                   |
| No   | 14       | 14 (5.2)                                | 0                                     |  |                |                             |                                   |
| Yes  | 269      | 255 (94.8)                              | 14 (100.0)                            | n/a                                    |                |                             |                                   |
| <i>Frequency of injecting drugs, past 3 months (n = 269)</i>                                   |          |   |                                       |  |                |                             |                                   |
| <Daily   | 60       | 57 (22.4)                               | 3 (21.4)                              | 1.0                                    |                |                             |                                   |
| Daily  | 209      | 198 (77.7)                              | 11 (78.6)                             | 1.1 (0.3–3.9)                          | 1.00*          |                             |                                   |
| <i>Have you ever shared needle/syringe, lifetime?</i>  |          |   |                                       |  |                |                             |                                   |
| No   | 43       | 40 (14.9)                               | 3 (21.4)                              | 1.0                                    |                |                             |                                   |
| Yes  | 240      | 229 (85.1)                              | 11 (78.6)                             | 0.6 (0.2–2.4)                          | 0.45*          |                             |                                   |
| <i>Have you shared needle/syringe, past 3 months? (n = 269)</i>                                |          |   |                                       |  |                |                             |                                   |
| No   | 118      | 113 (44.3)                              | 5 (35.7)                              | 1.0                                    |                |                             |                                   |
| Yes  | 151      | 142 (55.7)                              | 9 (64.3)                              | 1.4 (0.5–4.4)                          | 0.53           |                             |                                   |
| <i>Number (on average) of other people shared needle/syringe with, past 3 months (n = 151)</i> |          |   |                                       |  |                |                             |                                   |
| One other injector   | 84       | 80 (56.3)                               | 4 (44.4)                              | 1.0                                    |                |                             |                                   |
| 2 or more others   | 67       | 62 (43.7)                               | 5 (55.6)                              | 1.6 (0.4–6.3)                          | 0.51*          |                             |                                   |
| <i>Frequency of sharing needle/syringe with others, past 3 months (n = 151)</i>                |          |   |                                       |  |                |                             |                                   |
| Half the time or less  | 137      | 131 (92.3)                              | 6 (66.7)                              | 1.0                                    |                | 1.0                         | 1.0                               |
| More than half the time  | 14       | 11 (7.7)                                | 3 (33.3)                              | 6.0 (1.3–27.1)                         | 0.04*          | 6.1 (1.3–28.2)              | 7.0 (1.5–34.1)                    |
| <i>Ever had sexual intercourse, lifetime</i>   |          |   |                                       |  |                |                             |                                   |
| No   | 12       | 10 (3.7)                                | 2 (14.3)                              | 1.0                                    |                |                             |                                   |
| Yes  | 271      | 259 (96.3)                              | 12 (85.7)                             | 0.2 (0.1–1.2)                          | 0.11*          |                             |                                   |
| <i>Number of sexual partners, lifetime (n = 271)</i>   |          |   |                                       |  |                |                             |                                   |
| 1–9 sexual partners  | 129      | 121 (46.7)                              | 8 (66.7)                              | 1.0                                    |                |                             |                                   |
| 10+  | 142      | 138 (53.3)                              | 4 (33.3)                              | 0.4 (0.1–1.5)                          | 0.18           |                             |                                   |
| <i>Ever had sex with a female sex worker, lifetime (n = 271)</i>                               |          |   |                                       |  |                |                             |                                   |
| No   | 80       | 78 (30.1)                               | 2 (16.7)                              | 1.0                                    |                |                             |                                   |
| Yes  | 191      | 181 (69.9)                              | 10 (83.3)                             | 2.2 (0.5–10.1)                         | 0.52*          |                             |                                   |
| <i>Ever had sex with another man, lifetime (n = 271)</i>                                       |          |   |                                       |  |                |                             |                                   |
| No   | 252      | 240 (92.7)                              | 12 (100.0)                            |  |                |                             |                                   |
| Yes  | 19       | 19 (7.3)                                | 0                                     | n/a                                    |                |                             |                                   |
| <i>Any lab-diagnosed STD (Syphilis, Chlamydia and/or Gonorrhoea) (n = 271)</i>                 |          |   |                                       |  |                |                             |                                   |
| No   | 255      | 243 (93.8)                              | 12 (100.0)                            |  |                |                             |                                   |
| Yes  | 16       | 16 (6.2)                                | 0                                     | n/a                                    |                |                             |                                   |

<sup>a</sup> Single infection = CRF01\_AE, subtype B, subtype C, B/CRF01\_AE Recombinant, C/CRF01\_AE Recombinant, or B/C Recombinant.<sup>b</sup> Dual infection = B/CRF01\_AE Dual, C/CRF01\_AE Dual, or B/C Dual.

\* Fisher's Exact Test two-sided p-value.

increasing access to drug treatment services will all be helpful in reducing harm associated with HIV acquisition and transmission.

As found in other studies we found significantly lower rates of HIV infection among drug users who reported never had injected

drugs (Beyrer et al., 2004). While increased sexual risk-taking has been associated with HIV acquisition among non-injecting drug users in other settings, we found very low rates of HIV among these cohort participants, most of whom were methamphetamine smokers.

Dual infection and recombination are major concerns for future vaccine design. Fortunately, current vaccine candidates contain both subtype B and CRF01\_AE, and could potentially protect against recombinant strains of the parent viruses. However, such vaccine candidates may not be able to protect against other recombinants (McKinnon et al., 2005; Taylor et al., 2008). A further challenge is that dual HIV infection may increase disease progression (Gottlieb et al., 2004). Recombination may also lead to the development of more difficult to treat variants, as demonstrated by a recent case in the United States (Blick et al., 2007).

There were several limitations to this study. First, the cross-sectional nature of the study means causality could not be assessed. Therefore it was not possible to elucidate if acquisition of HIV occurred prior to exposure to social risk factors or vice versa. Second, all information on behavioral risk factors were from self-report and therefore may not be accurate. Third, it was not possible to obtain the subtype of patients for 11 (3.2%) of the study participants, which could have introduced modest selection bias into the study. However these individuals did not differ significantly in exposure variables to those who were included in the subtype analysis (data not shown). Although the overall cohort was large, the total number of individuals who had dual or recombinant infection was relatively small. As a result it was not possible to analyze differences of genetic diversity between sexes, and in the non-IDU population. Finally, the specimens analyzed were from an earlier phase of the Thai epidemic, and may not reflect the current molecular epidemiology of HIV in this population.

In conclusion, to limit the increasing and expanding complexity of HIV-1 strains in Thailand early intervention should be aimed at reduction in needle sharing, especially among new IDUs. These results highlight how molecular epidemiology can identify those risks most likely to increase the complexity of strains, and so assist with targeting interventions to limit HIV diversity.

#### Role of funding source

This work was partly supported by a cooperative agreement between the Henry M Jackson Foundation for the Advancement of Military Medicine and the U.S. Department of Defense, and partly by grants (1 R01 DA 11133, and a competitive supplement from the Office of AIDS Research) from the National Institutes of Health, Bethesda, MD. The views and opinions expressed herein do not necessarily reflect those of the U.S. Army or of the Department of Defense.

The Henry M Jackson Foundation for the Advancement of Military Medicine, the U.S. Department of Defense, and the NIH had no further role in study design; in the collection, analysis and interpretation of data; in the writing of the report; or in the decision to submit the paper for publication.

#### Contributors

G.H. Kijak, PharmD, PhD: carried out laboratory work and wrote the first draft of the manuscript. C. Beyrer, MD, MPH: Co-principal investigator of project, executed protocol, wrote the first draft of the manuscript. S. Tovanabutra, PhD: Co-principal investigator of project, executed protocol, carried out laboratory work. T. Sripaipan, MPH: carried out statistical analysis. V. Suriyanon, MD: Principal investigator of project. N. Moqueet, MPH: carried out laboratory work. E. Sanders-Buell, MSc: carried out laboratory work. P. Saokhieo, RN: subject recruitment and enrolment, and sample collection. U. Timpan, BA: subject recruitment and enrolment, and sample collection. J. Jittiwutikarn, MD: Principal investigator of project. M.L. Robb, MD: provided leadership and facilitated the

collaboration between the parties. D.L. Birx, MD: provided leadership and facilitated the collaboration between the parties. D.D. Celentano, ScD: principal investigator of project, executed protocol. F.E. McCutchan, PhD: provided leadership and designed molecular experiments.

All authors contributed to and have approved the final manuscript.

#### Conflict of interest

All of the authors declare no conflict of interest.

#### Acknowledgements

We are thankful to the subjects who participated in this study, Dr. Myat Htoo Razak, Charintarat Kitisri, and the staff of Research Institute for Health Sciences, Northern Drug Treatment Center, Thailand, for their help collecting specimens, epidemiological data, and laboratory technical assistance.

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