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Unraveling the mechanism of selective ion transport in hydrophobic subnanometer channels

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Recently reported synthetic organic nanopore (SONP) can mimic a key feature of natural ion channels, i.e., selective ion transport. However, the physical mechanism underlying the K^+/Na^+ selectivity for the SONPs is dramatically different from that of natural ion channels. To achieve a better understanding of the selective ion transport in hydrophobic subnanometer channels in general and SONPs in particular, we perform a series of *ab initio* molecular dynamics simulations to investigate the diffusivity of aqua Na^+ and K^+ ions in two prototype hydrophobic nanochannels: (i) an SONP with radius of 3.2 Å, and (ii) single-walled carbon nanotubes (CNTs) with radii of 3–5 Å (these radii are comparable to those of the biological potassium K^+ channels). We find that the hydration shell of aqua Na^+ ion is smaller than that of aqua K^+ ion but notably more structured and less yielding. The aqua ions do not lower the diffusivity of water molecules in CNTs, but in SONP the diffusivity of aqua ions (Na^+ in particular) is strongly suppressed due to the rugged inner surface. Moreover, the aqua Na^+ ion requires higher formation energy than aqua K^+ ion in the hydrophobic nanochannels. As such, we find that the ion (K^+ vs. Na^+) selectivity of the (8, 8) CNT is $\sim 20\times$ higher than that of SONP. Hence, the (8, 8) CNT is likely the most efficient artificial K^+ channel due in part to its special interior environment in which Na^+ can be fully solvated, whereas K^+ cannot. This work provides deeper insights into the physical chemistry behind selective ion transport in nanochannels.

selective | ion | transport | nanotubes | molecular-dynamics

Ion channels are membrane protein complexes whose function is to facilitate the diffusion of ions across biological membranes. A longstanding open question has been why larger-sized K^+ and Rb^+ ions can easily and rapidly diffuse across narrow potassium channels, whereas the smaller-sized Na^+ and Li^+ ions can be blocked by potassium channels (1). Another related issue has also been the higher selectivity, which implies K^+ entails a strong interaction with the channel; however, strong interaction seems incongruent with the fact that K^+ can pass the K^+ channel very quickly (2). The 3D structure of KcsA potassium channel was fully resolved via X-ray crystallography in 1998 (2–4), showing that the potassium channel across the lipid membrane can be divided into three main sections: (i) an extremely narrow selectivity filter formed by polar atoms; (ii) a large hydrophobic cavity in the center of the membrane, and (iii) a relatively long hydrophobic internal pore. It has been established that the Na^+ and K^+ can be distinguished by the selectivity filter, whereas the hydrophobic cavity and internal pore can lower the barrier for K^+ to diffuse through the channel (2, 5). Although the mechanism regarding how to distinguish K^+ and Na^+ ions, and how K^+ can quickly diffuse across the channel are not well understood, it is believed that the radii of ion channels should play an important role in the selectivity of $K^{+1,2}$ as some simple synthetic nanopores also exhibit ion selectivity. For example, the polyethylene terephthalate conical nanopore with radius about 0.5 nm was uncovered as an ion pump of K^+ ions (6). Recently, a previously unidentified artificial synthetic organic nanopore with uniform structure has been shown to have similar K^+ selectivity as the natural potassium channel (7). The synthetic organic nanopore (SONP) is assembled from constituent macrocycles, forming a rigid

hydrophobic pore with an inner radius of ~ 3.2 Å (Fig. 1). This pore not only allows highly efficient water permeability, but also shows high selectivity in K^+ transport. Besides SONP, CNT (8) is another prototype hydrophobic nanopore, but with a smooth inner surface. It has been reported that certain molecules can have fast transport through CNTs (9–11). Not only can CNTs be used for nanofluidic applications but also as artificial ion channels. Here, CNTs are also used as an ideal model system to study the effect of their radii on the selective ion transport.

Previous simulation studies of selective ion transport in nanochannels mostly used classical molecular dynamics (MD) and Monte Carlo (MC) methods (12–26), with which ion channel–water interactions are typically described by pairwise Lennard-Jones potential and Coulomb interaction. It was suggested from computing the solvation free energy and the structure of aqua ions that the selectivity of K^+ or Na^+ in simple hydrophobic nanotubes like CNTs is mainly dependent on radii of the nanotubes (25, 26). However, it has also been proven that the quantum many-body effect can significantly affect the orientation of water molecules involved in the hydration shell of metal ions (27) as well as the diffusivity of water around the ions (28). Electric polarization results in charge redistribution among ions and vicinal water molecules (27). In nanochannel or subnanometer channel environment, these quantum many-body effects may become even stronger, and thus notably alter the dynamical and energetic properties of solvated ions and water molecules (29). Although polarization effects have been recognized and included in empirical polarizable models to account for the many-body effects, recent studies suggest that such models still have significant limitations, such as overestimated ion dipole magnitude in undamped polarizable models (30), and numerical results obtained heavily dependent on parameters. Thus, more accurate quantum-mechanical-level computations are

Significance

Ion channels facilitate diffusion of ions across biological membranes. It has been a longstanding puzzle as to why the larger-sized K^+ ion can diffuse across the narrow potassium channel, whereas the smaller Na^+ cannot. Recently synthesized nanopores also possess ion selectivity, suggesting different mechanisms for the selective ion transport. Here, we employ *ab initio* molecular dynamics simulation to investigate structural and dynamical properties of aqua Na^+ and K^+ ions in hydrophobic nanochannels. We find that the aqua- Na^+ ion has a smaller-sized but more structured and robust hydration shell, leading to low diffusivity in subnanometer channels. We predict that the (8, 8) carbon nanotube is possibly the best artificial K^+ -selective channel and may give rise to the highest K^+ transportation rate.

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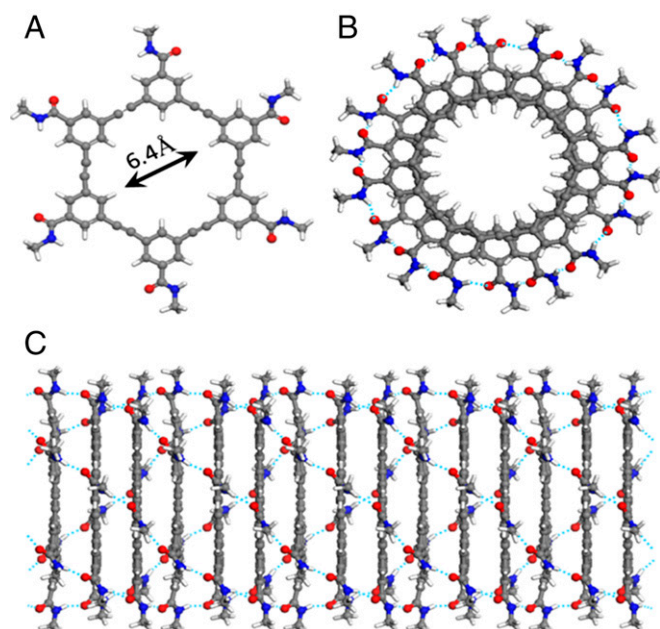


Fig. 1. (A) Structure of constituent macrocycle. (B) Top view and (C) side view of the optimized SONP. Blue dotted lines denote hydrogen bonds.

needed to provide a more reliable description of the interactions between water molecules and ions in highly confined environment.

In this work, we use *ab initio* molecular dynamics (AIMD) simulations to investigate solvation structures, energetics, and dynamical properties of aqua Na^+ and K^+ in the SONP. As a comparative mechanistic study, we also investigate the same properties using a series of single-walled CNTs, namely, from (7, 7) to (10, 10) CNTs whose radii range from 3 to 5 Å. These radii are comparable to those of biological potassium channels.

Results and Discussion

Structure of Hydration Shell. A fundamental property to describe the structure of an aqua ion is the ion–water radial distribution function (RDF), as shown in Fig. 2*A* and *B* for Na^+ and K^+ ions, respectively. The position of the main peaks in the RDFs indicates that the radius of the first hydration shell for Na^+ and K^+ is 2.4 and 2.8 Å, respectively. The first peak of the RDFs ($\text{Na}^+\text{--O}$) exhibits greater height and narrower width than those of RDFs ($\text{K}^+\text{--O}$), suggesting that the apparent $\text{Na}^+\text{--O}$ bond is stronger and distributed in a narrower range than the $\text{K}^+\text{--O}$ bond. The $\text{Na}^+\text{--O}$ bond length is more or less a constant regardless of the radius of nanochannel, whereas the $\text{K}^+\text{--O}$ bond length is notably increased (by ~ 0.2 Å) with increasing the radius of nanochannel, implying that the interaction between the first hydration shell and outer water molecules can lower the strength of the $\text{K}^+\text{--O}$ bond. The RDFs also exhibit a clear second hydration around 4.5 Å for the aqua Na^+ , especially in (10, 10) CNT and bulk water. However, no obvious second hydration shell can be observed for the K^+ , even in bulk water, suggesting that the water structure around K^+ is weaker. The RDFs for aqua ions in the SONP are similar to those in CNTs.

Next, the coordination numbers (N_c) for the ions are obtained by counting the number of water molecules in the first hydration shell (Na^+ : $r_1 < 3.4$ Å; K^+ : $r_1 < 3.8$ Å) (Fig. 2*C*). In the narrower (7, 7) CNT ($N_c = 4.0$) and SONP ($N_c = 5.3$), the relatively low N_c values indicate the aqua Na^+ ion cannot form a full hydration shell. The first full hydration shell ($N_c = 6.0$) arises starting from (8, 8) CNT and thereafter N_c becomes a constant in wider channels and bulk water, again supporting high stability of the first hydration shell for Na^+ . On the other hand, the dependence of N_c of aqua K^+ on the radius of CNT is more complicated due to the larger radius of the

hydration shell of K^+ . In the narrower (7, 7) CNT or (8, 8) CNT, N_c of aqua K^+ is unsaturated ($N_c = 4.0$ or 5.8), as well as in the SONP ($N_c = 7.3$). N_c reaches saturation in (9, 9) CNT ($N_c = 8.7$). However, N_c of K^+ decreases in the wider (10, 10) CNT ($N_c = 7.4$) or in bulk water ($N_c = 8.1$). This intriguing behavior can be attributed to the weaker $\text{K}^+\text{--O}$ interaction and less robustness of the first hydration shell of K^+ so that the larger outer-water shell in (10, 10) CNT can pull more water molecules out of the first hydration shell. In summary, both RDFs and coordination numbers demonstrate that the structure of aqua Na^+ is more robust than that of aqua K^+ .

To gain more insights into the first hydration shell, we analyze the orientations of water molecules around the Na^+ and K^+ ions. The angle distribution functions [$P(\cos\theta)$] of the $\text{O--Na}^+\text{--O}$ and

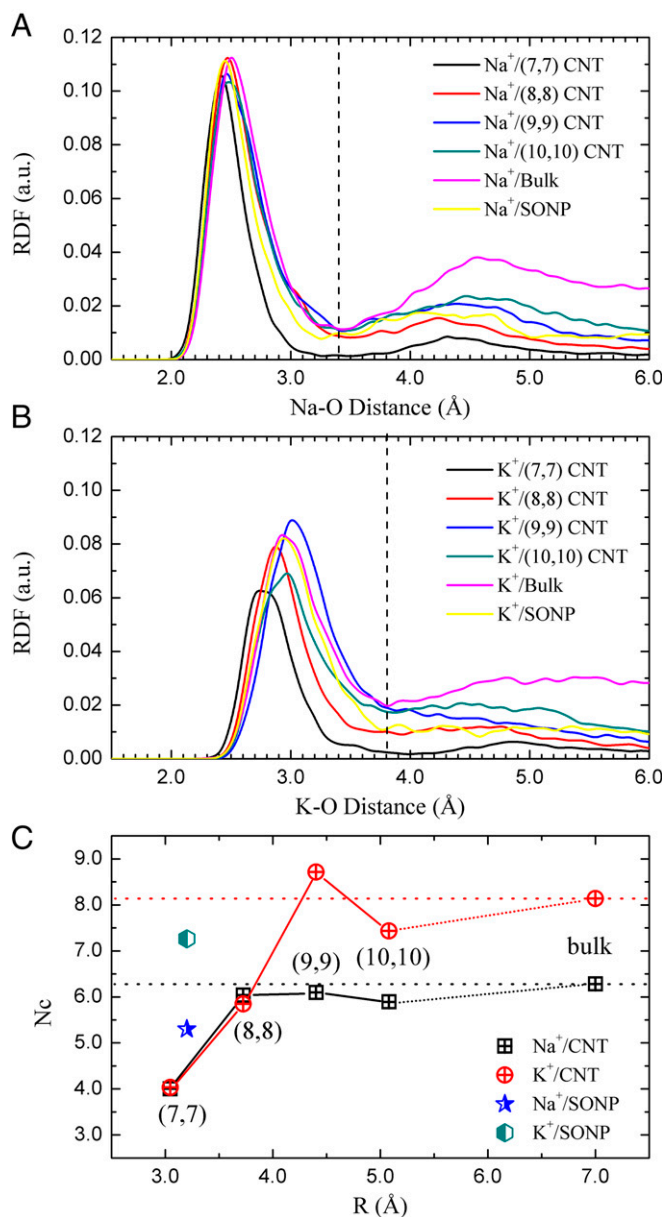


Fig. 2. (A and B) Ion–water RDFs for aqua Na^+ and K^+ in various nanochannels and bulk water. The vertical dashed line marks the first hydration shell. (C) Calculated coordinated numbers (N_c) of the aqua ions. The black and red dotted lines refer to coordinated number for Na^+ and K^+ , respectively, in the bulk limit. N_c of Na^+ reaches the bulk limit starting from (8, 8) CNT (Movies S1 and S2), whereas N_c of K^+ reaches the bulk limit starting from (9, 9) CNT. Note that the radius of SONP is just slightly larger than that of (7, 7) CNT.

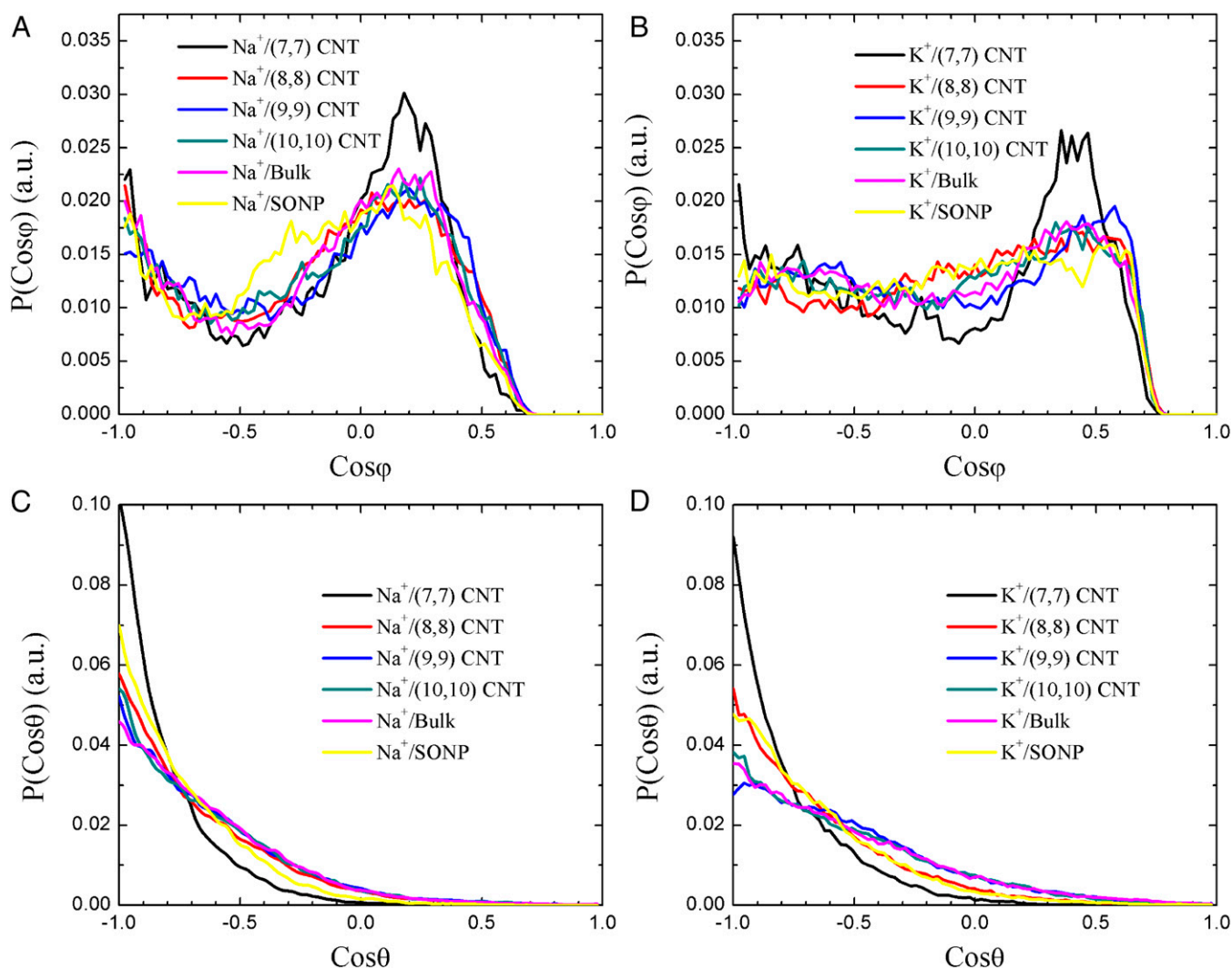


Fig. 3. Distribution functions of (A) O–Na⁺–O, (B) O–K⁺–O angles φ ; and distribution of the angle θ between the dipole vector of water molecules and (C) O–Na⁺ or (D) O–K⁺ bond in the first hydration shell versus the radius of nanotubes.

O-K⁺-O configuration in the first hydration shell are shown in Fig. 3 *A* and *B*, respectively. In all nanochannels, the P(cos φ) of O-Na⁺-O exhibits two major peaks at $\varphi = 180^\circ$ and 75° , respectively, corresponding to two typical angles in the gas-phase Na(H₂O)₆⁺ cluster (optimized by density-functional theory, DFT). The O-K⁺-O in the (7, 7) CNT also exhibits two favorable angles of 180° and 65° due to the strong confinement of the nanotube. However, such a characteristic feature disappears in wider nanochannels, as the P(cos φ) of O-K⁺-O angle is evenly distributed over $45\text{--}180^\circ$ in wider nanotubes, reflecting again the aqua K⁺ exhibiting a highly fluctuating solvation structure. Such a significant difference between the angle distribution function of Na⁺ and K⁺ further confirms the higher robustness of the first hydration shell of Na⁺ over K⁺.

Another quantity that can be used to characterize hydration shell structure of an aqua ion is the distribution of the angle θ between the dipole vector of water (\mathbf{p}) and the oxygen-ion vector $[\mathbf{P}(\cos\theta)]$. In previous classical MD simulations (26), the “hydration factor” is defined based on the angle θ to evaluate the variation of shell order. The angle distributions of \mathbf{p} -O- Na^+ and \mathbf{p} -O- K^+ computed from AIMD are shown in Fig. 3 C and D, respectively, indicating that both ions are well hydrated with the maximum of $\mathbf{P}(\cos\theta)$ located at $\theta = 180^\circ$. Consistent with previous classical MD simulations, the aqua Na^+ ion has a more ordered hydration shell than the aqua K^+ ion when confined in the same nanochannel.

even though both aqua ions exhibit similar distribution of dipoles. Moreover, it is found that the distribution of p -O-ion angle is closely related to the formation of the first hydration shell. In the relatively narrow (7, 7) CNT, both ions have incomplete hydration shell, yielding the highest $P(\cos\theta)$ value at $\theta = 180^\circ$. Unlike the classical MD simulation which yields large variations in $P(\cos\theta)$ for different-sized CNTs, the $P(\cos\theta)$ for the aqua Na^+ is nearly the same for (8, 8) to (10, 10) CNTs ($R \geq 3.7 \text{ \AA}$) as well as for bulk water, as long as the full hydration shell arises. Likewise, $P(\cos\theta)$ for the aqua K^+ is also nearly the same for (9, 9) CNTs ($R = 4.4 \text{ \AA}$) to bulk water. Note that the distribution $P(\cos\theta)$ for Na^+ in the SONP is located between that of (7, 7) and (8, 8) CNT, whereas the distribution for K^+ is located very close to that of (8, 8) CNT, reflecting that Na^+ is closer to full hydration than K^+ in the SONP. Overall, the AIMD simulations show that $P(\cos\theta)$ exhibits quite similar monotonic trend for both aqua ions, so $P(\cos\theta)$ is not an effective index to characterize ion selectivity.

Dynamical Properties. It is expected that different solvation structures of the aqua Na^+ and K^+ ions are manifested in their dynamical properties. The latter should play a key role in selective ion transport within nanochannels. First, the vibrational spectra of the simulation systems can be computed via the Fourier transformation of the velocity autocorrelation function. As shown in Figs. S1–S6, the vibrational spectra exhibit significant differences for different

chains connected by hydrogen bonds (the top and side views of SONP are shown in Fig. 1 *B* and *C*, respectively). According to the DFT computation (7), in the energetically favorable structure of the SONP, the relative rotation angle between two adjacent macrocyclic molecules is 20°. So, each unit cell contains 3 m-PE molecules, each having an inner van der Waals radius of ~ 3.2 Å. Besides the SONP, four armchair CNTs—(7, 7) CNT, (8, 8) CNT, (9, 9) CNT, and (10, 10) CNT—are also used as model systems for subnanometer hydrophobic channels with the inner van der Waals radius ranging from 3 to 5 Å.

Before the AIMD simulations, the aqua ions and water in all nanochannels are equilibrated using classic MD simulations with the simple-point-charge/effective (SPC/E) water model (35) at ambient conditions. In the simulation cell, the two ends of a finite-sized nanotube are in contact with two bulk water cubes initially. After the equilibration, a sensible water density within the nanotube can be achieved. Next, the water-containing nanotube at the end of classical MD simulation is adopted as the initial configuration for the AIMD simulation. Periodic boundary conditions are applied in all three spatial directions. For the SONP system, the supercell contains 2 unit cells of the SONP, which include 6 macrocyclic molecules, 24 water molecules, and 1 Na⁺ or K⁺ ion. For CNT systems, the length of CNT is 14.76 Å. Depending on the radius of the CNT, one ion and certain number water molecules are confined in the CNT. For example, the widest (10, 10) CNT contains 33 water molecules. The geometries of nanotubes are fixed during AIMD simulations. Lastly, an ion in the cubic box (side length 15.54 Å) containing 124 water molecules is simulated as the aqua ion in the bulk water.

The ab initio Born–Oppenheimer MD simulation is performed based on the Perdew–Burke–Ernzerhof (PBE) functional (36). The Goedecker, Teter, and Hutter (GTH) norm-conserving pseudopotential (37, 38) is used to describe core electrons, and the GTH-valence double-zeta-polarized Gaussian basis combined with a plane-wave basis set (with an energy cutoff of 280 Ry) is selected for the AIMD simulations. For the Na⁺ ion, the Gaussian and augmented plane waves (GAPW) scheme (28) is applied to obtain well-converged forces. In the GAPW scheme, again, the electronic density is expanded in the form of plane waves with a cutoff of 280 Ry. Dispersion correction is also included to account for the weak dispersion interaction among all molecules (39). Note that a previous AIMD simulation shows that the freezing temperature of PBE water (to ice-I_h) is a much higher than measured freezing point (40, 41). Here, the temperature is controlled at 380 K in the constant-temperature and constant-volume AIMD simulations to mimic the ambient conditions. The time step is 1.0 fs and the simulation time is more than 20 ps for each system. All of the AIMD simulations were carried out with the Quickstep program implemented in the *cp2k* package (42, 43).

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Supporting Information

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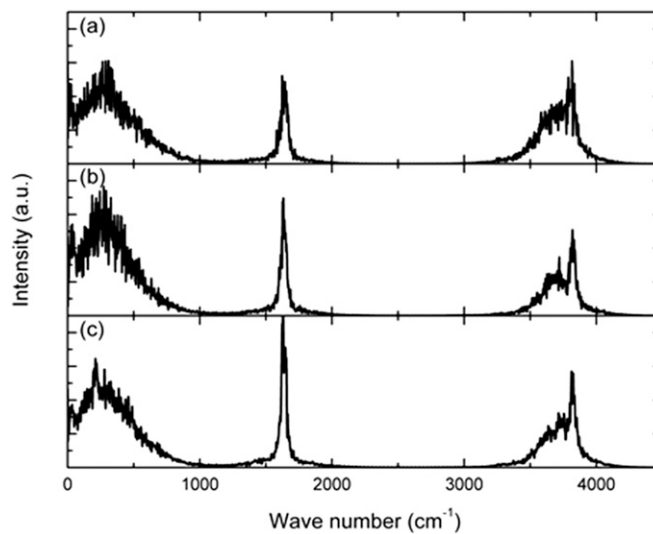


Fig. S1. Vibration spectra for (A) pure water, (B) aqua Na^+ , and (C) aqua K^+ in (7, 7) CNT.

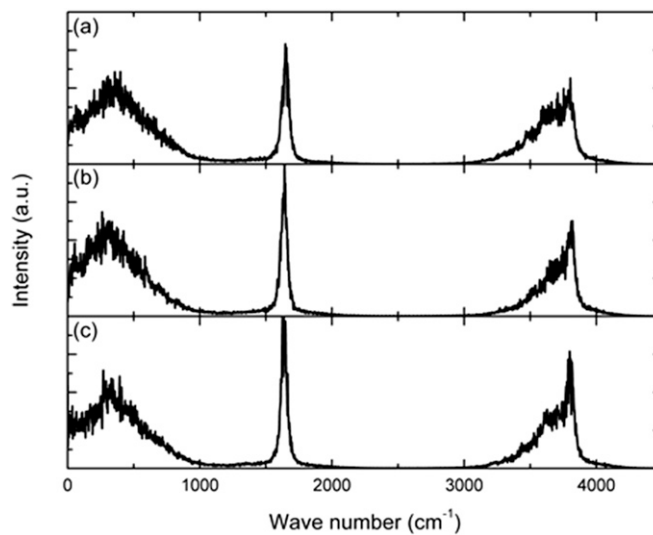


Fig. S2. Vibration spectra for (A) pure water, (B) aqua Na^+ , and (C) aqua K^+ in (8, 8) CNT.

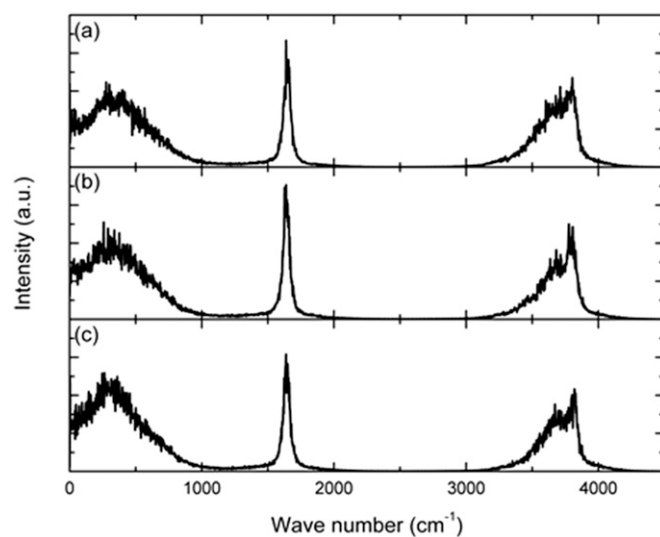


Fig. S3. Vibration spectra for (A) pure water, (B) aqua Na^+ , and (C) aqua K^+ in (9, 9) CNT.

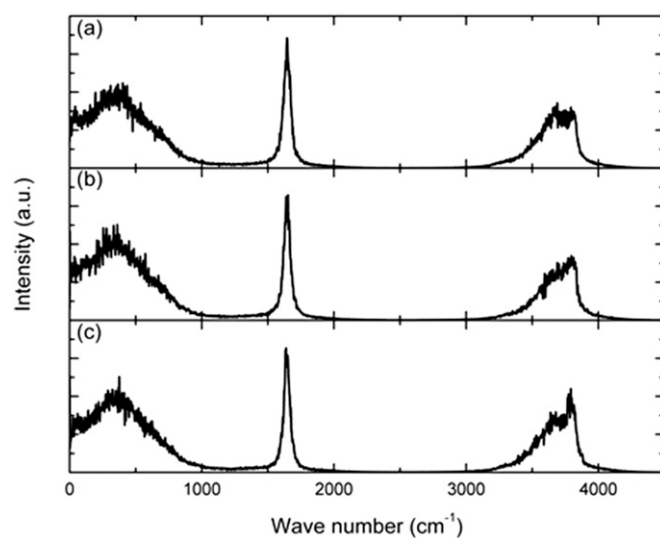


Fig. S4. Vibration spectra for (A) pure water, (B) aqua Na^+ , and (C) aqua K^+ in (10, 10) CNT.

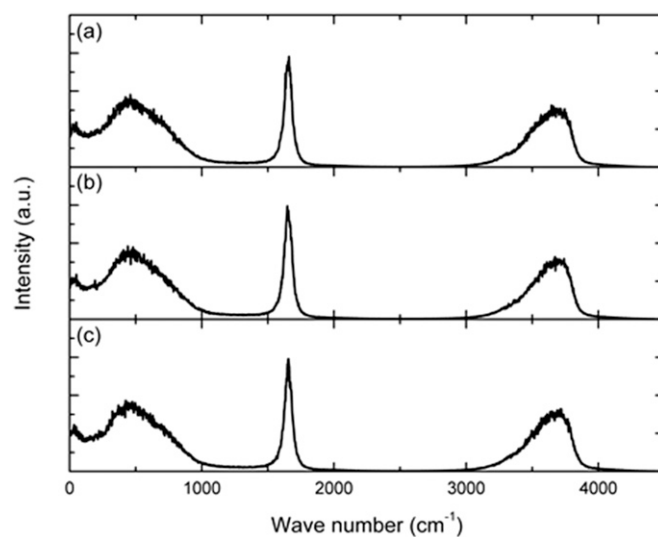


Fig. S5. Vibration spectra for (A) pure water, (B) aqua Na^+ , and (C) aqua K^+ in bulk water.

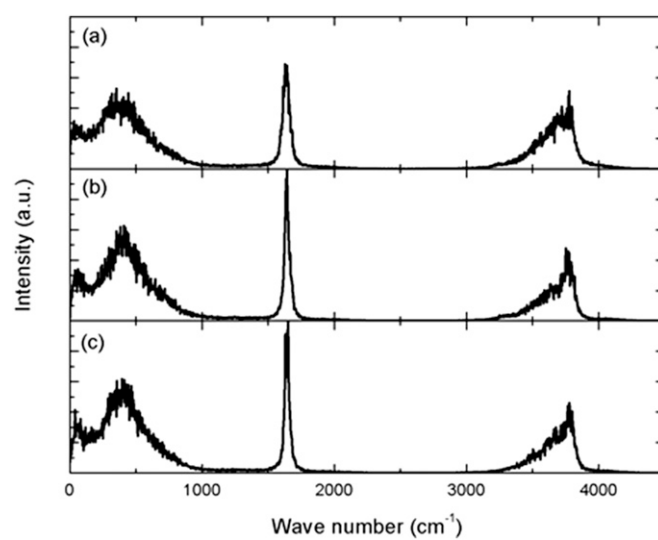


Fig. S6. Vibration spectra for (A) pure water, (B) aqua Na^+ , and (C) aqua K^+ in SONP.

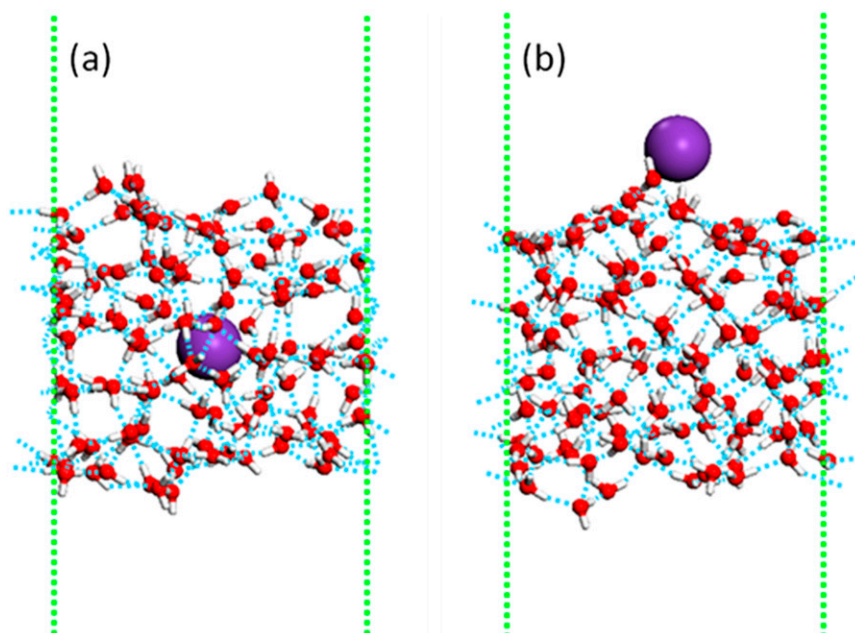


Fig. S7. Side view of two initial snapshots of the system in two independent AIMD simulations for water nanofilm containing a K^+ ion: (A) K^+ ion inside the interior region and (B) K^+ ion outside the surface region. The z direction is parallel to the green dotted lines.

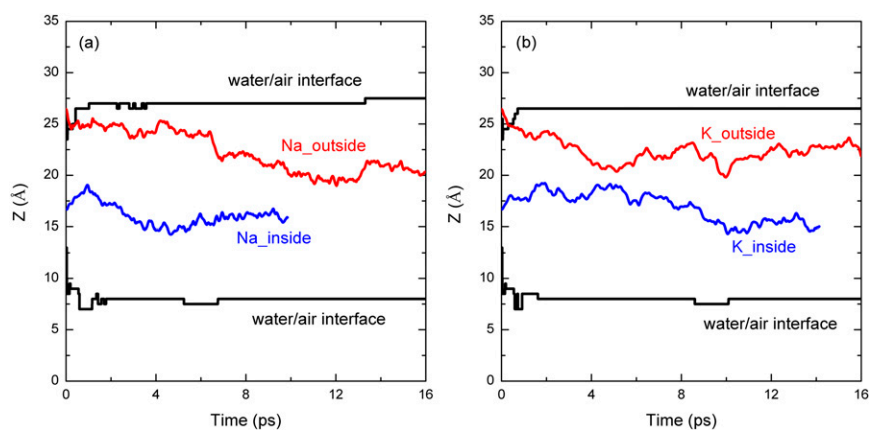
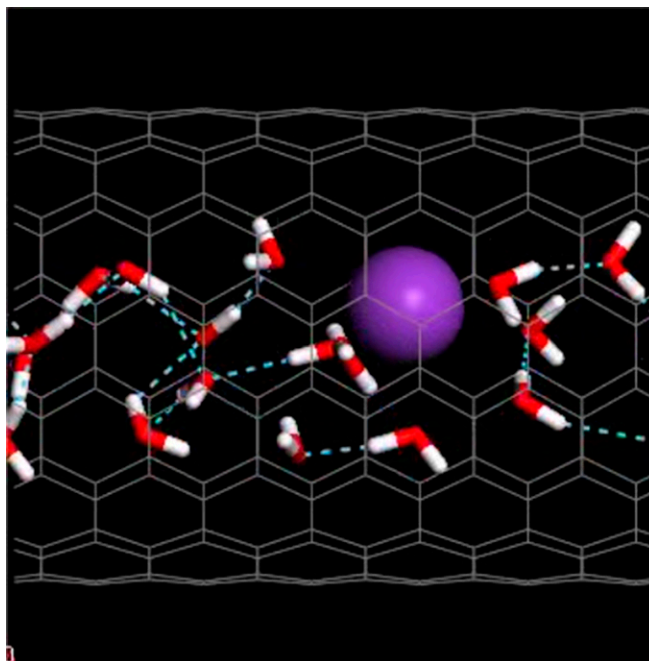


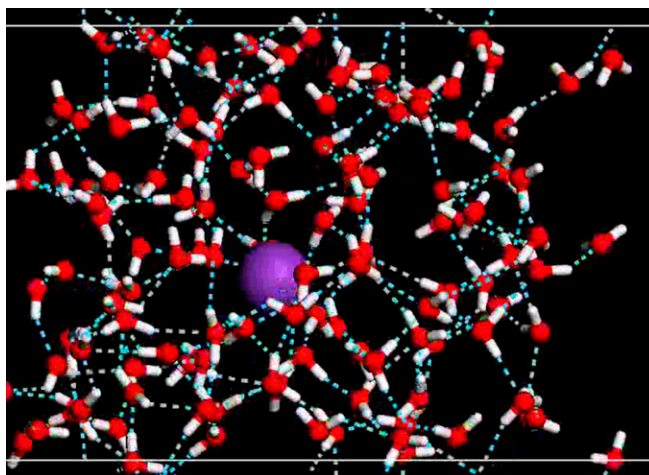
Fig. S8. Position of K^+ ion in the z direction of the (A) Na^+ and (B) K^+ ion versus the time in the AIMD simulations. The red and blue curves represent time-dependent z position of the ions, initially outside the surface region (red curves) or initially in the interior region (blue curves), respectively. The black lines refer to the z position of two water–air interfaces of the water nanofilm.

[Movie S1](#)



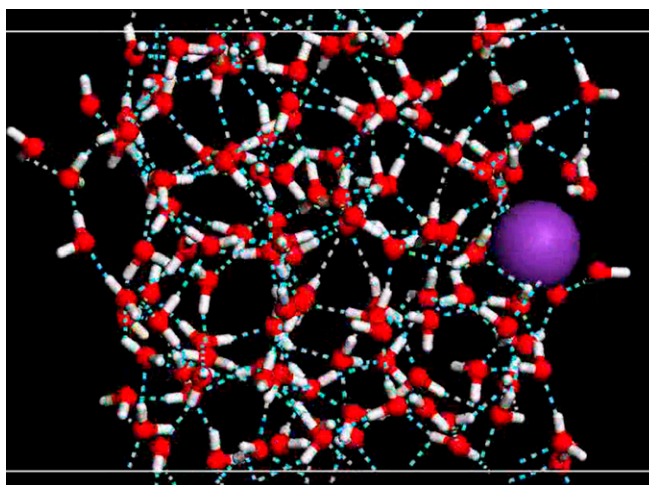
Movie S2. AIMD simulation of confined water and a K⁺ ion in CNT (8, 8).

[Movie S2](#)



Movie S3. AIMD simulation of a K⁺ ion solvated in a water slab where the K⁺ ion is initially placed in the interior region of the water slab.

[Movie S3](#)



Movie S4. AIMD simulation of a K^+ ion solvated in a water slab where the K^+ ion is initially placed in the surface region of the water slab.

[Movie S4](#)