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# Signal Traits and Oxidative Stress: A Comparative Study across Populations with Divergent Signals

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#### TITLE

Signal Traits and Oxidative Stress: A Comparative Study across Populations with Divergent Signals

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#### COMMENTS

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#### ABSTRACT

Diverging populations often shift patterns of signal use – a process that can contribute to reproductive isolation and speciation. Yet it is not clear why most traits gain or lose signal value during divergence. One reason this could occur is because changes in the relationship between signals and relevant physiological parameters degrade the reliability of a signal, or even change its underlying information content. Here we test the hypothesis that the relationship between signal trait elaboration and a central component of organismal health – oxidative stress – differs across closely related populations that have diverged in signal use and preferences. In the recently diverged barn swallow subspecies complex (*Hirundo rustica*, Family: Hirundinidae), different populations use different traits as sexual signals. Two of these traits, ventral breast plumage color and tail streamer length, differ markedly between North American *H. r. erythrogaster* and European *H. r. rustica*. Despite this divergence, variation in ventral plumage color was similarly associated with measures of oxidative damage across both populations. However, the directionality of these relationships differed between the sexes: darker male barn swallows had higher levels of plasma oxidative damage than their lighter counterparts, while the opposite relationship was seen in females. In contrast, relationships between tail streamer length and measures of oxidative stress were not consistent across populations. Some analyses indicated that in European *H. r. rustica*, where males bearing elongated streamers are preferred as mates, longer-streamered males were more oxidatively stressed; however, the opposite pattern was suggested in North American *H. r. erythrogaster*. Tail streamer length was not associated with measures of oxidative stress in females of either population. Differences in the physiological state of stronger signalers across populations and between the sexes may be related to costs or constraints on signal elaboration (e.g., biochemical pathways associated with melanogenesis), or reflect differences in how signal-mediated social interactions influence oxidative stress. Overall, our results suggest that while some phenotypic traits appear to be capable of conveying similar physiological information regardless of their use as signals, divergence in other phenotypic traits may be associated with shifts in their information content.

*Supplementary material follows the main text.*



# Signal Traits and Oxidative Stress: A Comparative Study across Populations with Divergent Signals

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Diverging populations often shift patterns of signal use—a process that can contribute to reproductive isolation and speciation. Yet it is not clear why most traits gain or lose signal value during divergence. One reason this could occur is because changes in the relationship between signals and relevant physiological parameters degrade the reliability of a signal, or even change its underlying information content. Here we test the hypothesis that the relationship between signal trait elaboration and a central component of organismal health—oxidative stress—differs across closely related populations that have diverged in signal use and preferences. In the recently diverged barn swallow subspecies complex (*Hirundo rustica*, Family: Hirundinidae), different populations use different traits as sexual signals. Two of these traits, ventral breast plumage color, and tail streamer length, differ markedly between North American *H. r. erythrogaster* and European *H. r. rustica*. Despite this divergence, variation in ventral plumage color was similarly associated with measures of oxidative damage across both populations. However, the directionality of these relationships differed between the sexes: darker male barn swallows had higher levels of plasma oxidative damage than their lighter counterparts, while the opposite relationship was seen in females. In contrast, relationships between tail streamer length and measures of oxidative stress were not consistent across populations. Some analyses indicated that in European *H. r. rustica*, where males bearing elongated streamers are preferred as mates, longer-streamered males were more oxidatively stressed; however, the opposite pattern was suggested in North American *H. r. erythrogaster*. Tail streamer length was not associated with measures of oxidative stress in females of either population. Differences in the physiological state of stronger signalers across populations and between the sexes may be related to costs or constraints on signal elaboration (e.g., biochemical pathways associated with melanogenesis), or reflect differences in how signal-mediated social interactions influence oxidative stress. Overall, our results suggest that while some phenotypic traits appear to be capable of conveying similar physiological information regardless of their use as signals, divergence in other phenotypic traits may be associated with shifts in their information content.

**Keywords:** sexual selection, social selection, speciation, physiology, antioxidants, barn swallows, *Hirundo rustica*

## INTRODUCTION

Signal traits are aspects of behavior, morphology, or physiology, that advertise information about how their bearer is likely to perform in a competitive context (Zahavi, 1975; Schluter and Price, 1993). Because the physiological state of an individual is often a crucial aspect of performance, signals that are causally linked with physiological measures can provide honest information about performance in relevant environmental and social contexts (Lailvaux and Irschick, 2006; Vitousek et al., 2014). Signal development and display can be associated with physiological state through many mechanisms. Some signals are costly to produce or display, so their elaboration may provide information about which individuals are able to bear these costs. As such, experimental manipulations have shown causal links between many aspects of physiological state and signal elaboration, including endocrine levels and the capacity to resist oxidative threats (Evans et al., 2000; McGraw et al., 2002; Blount et al., 2003; Alonso-Alvarez et al., 2004; Safran et al., 2008). Signals can also influence the physiological state of their bearer through social mechanisms (Rubenstein and Hauber, 2008; Oliveira, 2009; Vitousek et al., 2014). In situations where signals are tested (e.g., during competition), bearing a signal that does not equate with underlying competitive ability may result in “social persecution” that induces physiological costs (Rohwer, 1977; Tibbetts and Izzo, 2010). In contrast, if signals are honestly reflective of competitive ability, then social feedback about relative signal quality could induce positive changes in physiological state by altering hormone levels or other physiological mediators (Vitousek et al., 2013, 2014).

A central question in sexual selection and speciation concerns why populations diverge in signal phenotypes and preferences. If diverging populations are exposed to new environmental and social contexts, then shifts in signal use could result from different signals being most informative in these novel contexts (Schluter and Price, 1993). While substantial research has addressed the potential for signal efficacy to change across ecological contexts (Boughman, 2002; Tobias et al., 2010; Seehausen, 2015), less is known about how the information content of signals changes during divergence (Hebets and Papaj, 2005). Signal use could change because a different physiological state is optimal in different environments—and this information is most accurately conveyed by a different signal trait—or because the same optimal phenotypic state is best conveyed by different traits in each population. Alternatively, the physiological correlates of divergent phenotypic traits could differ in ways that are unrelated to their use as signals.

While many aspects of physiological state may play a role in sexual signaling, and in organismal function more broadly, oxidative stress is believed to be a particularly important element. Oxidative stress occurs when pro-oxidants—which can be generated by a number of oxidative processes including metabolism and immune activation—overwhelm antioxidant defenses and damage important biological macromolecules including DNA, proteins, and lipids (Costantini, 2008; McGraw et al., 2010; Metcalfe and Alonso-Alvarez, 2010). Oxidative stress can also impact senescence, at least in part through its effects

on telomere dynamics (Von Zglinicki, 2002; Monaghan and Haussmann, 2006; Haussmann et al., 2011). Previous analyses have found that signal traits are both influenced by and can causally affect antioxidant capacity and oxidative damage (reviewed in Costantini, 2014).

We compared the relationship between diverging morphological traits and measures of oxidative stress in two closely related but recently diverged subspecies of barn swallows (*Hirundo rustica*, Family: Hirundinidae). Recently formed sister taxa are advantageous for comparative studies because, post-speciation, the process of divergence is confounded by further evolutionary changes (Via, 2001; Coyne and Orr, 2004). Recent mtDNA phylogeographic and microsatellite analyses in the barn swallow subspecies complex suggest that this group formed rapidly and is not strongly genetically differentiated, despite marked differentiation in two sexual signals: tail streamer length and the extent of ventral color (Dor et al., 2010). In North American barn swallows (*H. r. erythrogaster*) melanin-based ventral plumage coloration is a sexual signal; males with naturally darker or experimentally darkened plumage gain a higher proportion of paternity in their nests (Safran and McGraw, 2004; Safran et al., 2005). In contrast, while elongated tail streamers do not appear to be preferred by female *H. r. erythrogaster* (Safran and McGraw 2004), this trait is strongly sexually selected in European *H. r. rustica* (Møller, 1988). Males with elongated tails pair more quickly and have higher overall paternity levels (Saino et al., 1997a). Females in both subspecies display slightly reduced versions of the same signal traits used by males (Scordato and Safran, 2014). While the specific way in which ornamental traits are used by female barn swallows is not known, some evidence suggests that these traits may hold signal value. In *H. r. erythrogaster* darker females have greater reproductive success (Safran and McGraw, 2004), and both naturally darker and experimentally darkened females experience less oxidative damage (Vitousek et al., 2013). In *H. r. rustica*, streamer length appears to be under directional selection in females via increased reproductive success (Møller, 1993).

The specific signal traits used by barn swallows could be linked to oxidative stress in many ways. Melanin-based traits like the ventral plumage of barn swallows have been causally linked with oxidative stress in many species (McGraw, 2008; Galván and Alonso-Alvarez, 2009; Costantini, 2014); however, the direction of causation varies, and the mechanisms that generate these relationships are generally not well-resolved (Costantini, 2014). Melanin production may be influenced by oxidative stress, or by an allocation tradeoff between antioxidant defense and signal development (Jawor and Breitwisch, 2003; Moreno and Møller, 2006; Galván and Alonso-Alvarez, 2008; Metcalfe and Alonso-Alvarez, 2010). Melanin-based trait expression may also be coupled with oxidative stress levels through pleiotropic links between melanogenesis and the activity of the hypothalamic-pituitary-adrenal (HPA) axis (Xiao et al., 2003; Ducrest et al., 2008; Jenkins et al., 2013). Depending on the specific pigment type produced (pheomelanins vs. eumelanins), these links may be positive (Galván and Solano, 2009; Galván et al., 2011) or negative (Almasi et al., 2010; Roulin and Ducrest, 2011). Plumage color could also affect oxidative stress levels through

other mechanisms, including by influencing thermoregulatory capability (Sirkiä et al., 2010) or predation risk (Galván et al., 2014). Tail streamer length may also be linked with oxidative stress through several pathways. The ability to produce long tail streamers could be impacted by oxidative stress when plumage is replaced annually during seasonal molt. However, it is perhaps more likely that the direct energetic cost of bearing tail streamers longer or shorter than the aerodynamic optimum (Møller et al., 1995; Rowe et al., 2001) would excessively elevate pro-oxidant levels (Dowling and Simmons, 2009).

An alternative but not mutually-exclusive mechanism to explain potential links between signal traits and oxidative stress is that bearing exaggerated signals—regardless of the specific signal type—influences social interactions in ways that alter oxidative stress levels (Vitousek et al., 2013, 2014). For example, if stronger signalers are challenged more or less by conspecifics (Rohwer, 1985; Tibbetts and Dale, 2004), or if mates alter their provisioning investment based on signal quality (Dentressangle et al., 2008; Vitousek et al., 2014), then changes in signal elaboration could indirectly influence measures of oxidative stress. Finally, observed correlations between signal traits and oxidative stress may not be underlain by direct or indirect causal links.

To test whether differences in signal-physiology relationships among subspecies are associated with divergence in mate preferences, we assessed links between signal elaboration and two components of oxidative stress: plasma antioxidant capacity and oxidative damage (reactive oxygen metabolites), in both sexes of *H. r. erythrogaster* (breeding in Colorado, USA), and *H. r. rustica* (breeding in South Bohemia, Czech Republic). If the information content of signals is directly linked with trait type, then we would predict that the physiological correlates of each trait will be consistent across populations (Hypothesis 1: same trait, same information). If a different trait is a better indicator of a preferred physiological state in each population, then we would expect to see the same physiological state predicted by dark ventral coloration in North American *H. r. erythrogaster* males and by tail streamer length in European *H. r. rustica* males (Hypothesis 2: different traits, same information). If both signal-physiology relationships and the physiological state of preferred individuals differ across populations, then we would expect to find varying signal-physiology relationships across subspecies (Hypothesis 3: same trait, different information). Alternatively, signal traits could be unrelated to the measured physiological traits (Hypothesis 4: same trait, no information).

## METHODS

### Capture and Sampling

Barn swallows were captured with mist nets or by hand at breeding sites in Boulder and Jefferson Counties, Colorado, USA (CO; from May–July of 2010; 76 male and 83 female *H. r. erythrogaster*), and near Luznice, South Bohemia, Czech Republic (CZ; in May and June of 2011; 35 male and 27 female *H. r. rustica*). Blood samples were taken within 3 min. of disturbance and placed on ice for several hours until centrifugation (10 min at 3500 rpm). Plasma was subsequently

frozen at  $-70^{\circ}\text{C}$  until analysis. Body mass was measured with a Pesola spring balance (males and females in CO; males only in CZ), and the right tail streamer measured to the nearest mm. A sample of 4–6 breast feathers was plucked from the ventral surface, mounted on an index card, and stored in the dark until spectrophotometric analysis (Safran and McGraw, 2004). All capture and handling protocols were approved by the University of Colorado's Animal Care and Use Committee (IACUC # SAF-09-07-01), and by the Animal Care and Use Committees at the Czech Academy of Sciences (041/2011), and Charles University in Prague (4789/2008-30).

### Feather Color Measurements

The color of melanin-based ventral breast plumage was scored using a reflectance spectrophotometer (Ocean Optics USB4000), according to previously described methods (Safran et al., 2010). Briefly, ambient light was excluded using a metal probe holder placed against the feather sample that ensured a constant distance from the probe to the sample. A fiber-optic probe with a PX-2 pulsed xenon light source at an angle of  $90^{\circ}$  to the feather surface generated reflectance data relative to a white standard (Ocean Optics WS-1) and a dark standard (for which all light was excluded). During each sampling period, 20 spectra were averaged with an integration period of 200 ms. Each sample of breast feathers was scored three times, and average values were calculated. Previous analyses have indicated that ventral plumage brightness, a heritable trait in barn swallows (Hubbard et al., 2015), is highly correlated with hue and saturation in both populations (CO: Vitousek et al., 2013, CZ: Adámková, Albrecht, and Tomášek, unpublished data); we therefore used brightness alone in analyses.

### Analyses of Oxidative Damage and Antioxidant Capacity

As a measure of oxidative damage, we used the d-ROMs kit (Diacron International, Grosseto, Italy) (Costantini et al., 2006, 2009) to assess the concentration of reactive oxygen metabolites (ROMs)—in this case primarily hydroperoxides—that derive from the oxidation of biomolecules. In this test a chromogen mixture of alkyl-substituted aromatic amine reacts with metabolites, inducing a color change proportional to the concentration of metabolites. Plasma samples were added to 200  $\mu\text{L}$  of acetate buffer mixed with 2  $\mu\text{L}$  of chromogen (N, N-diethyl-*p*-phenylenediamine). After 75 min. of incubation at  $37^{\circ}\text{C}$ , the samples were centrifuged and 190  $\mu\text{L}$  of the supernatant was pipetted onto a microwell plate (Costantini et al., 2011b). The absorbance was read immediately at a wavelength of 505 nm (BioTek Synergy HT; VT, USA). Measured values were calibrated with a reference standard that substituted a calibrator solution of lyophilized serum for plasma, and converted to mM of  $\text{H}_2\text{O}_2$  equivalents. Intra-assay variability was 7.9% and inter-assay variability was 6.7%.

As a measure of antioxidant capacity, we estimated the total plasma antioxidant barrier (AOC) using the OXY-adsorbent test (Diacron International, Grosseto, Italy). This test quantifies the ability of plasma antioxidants (including proteins, ascorbate,



thiols, vitamin E, and carotenoids) to resist oxidation by an endogenously produced oxidant, hypochlorous acid (HOCl). Plasma samples were diluted 1:100 with distilled water, and a 200  $\mu$ L aliquot of HOCl solution was incubated with 5  $\mu$ L of the diluted plasma for 10 min at 37°C (Costantini et al., 2011b). Reference standards and blanks were prepared using 5  $\mu$ L of calibrator solution and water, respectively, and incubated with 200  $\mu$ L of HOCl. At the end of the incubation, 5  $\mu$ L of chromogen solution (*N,N*-diethyl-*p*-phenylenediamine) was added. The absorbance was read immediately at a wavelength of 505 nm (BioTek Synergy HT), and measured values (expressed in mM of HOCl neutralized per mL of sample) were calibrated with a reference standard that neutralized 350 mM of HOCl/mL. Intra-assay variability was 5.6% and inter-assay variability was 8.9%.

## Data Analyses

Data were analyzed using SAS 9.4. General linear models were used to test the predictors of antioxidant capacity and reactive oxygen metabolites. Data from both populations were combined, but separate models were run for males and females. Initial models in males contained the fixed effects: population, body mass, corrected sampling date (see below), breast brightness, streamer length, population  $\times$  breast brightness, and population  $\times$  streamer length. Initial models for females contained the same factors, with the exclusion of body mass, which was not measured in CZ females. Fully parameterized models are available as Supplementary Material (Table S1). Final models were identified through backwards elimination of non-significant effects ( $p > 0.15$ ), and are presented here. Data on reactive oxygen metabolites were reciprocally transformed, and model residuals were checked to ensure they conformed to the assumption of normality. Significant interactions between population and signal traits were investigated using separate linear regressions in each population.

Because sampling dates occurred at different times relative to the initiation of the breeding season in the two populations, and it was often not possible to determine the specific reproductive stage of each captured individual, corrected sampling dates were calculated for each individual by calculating the difference between the actual sampling date and the mean sampling date of individuals in that population. Thus, while this measure provides an indication of whether an individual was sampled relatively earlier or later than other individuals in its population, it does not provide information about the breeding stage of an individual that would enable us to assess true seasonal effects.

The data sets on oxidative damage and antioxidant capacity in male barn swallows each contained a single outlier. Because of the potential for these outliers to influence model outcomes, models were run twice: once with the full data set, and once with the single outlier excluded from the full model and throughout the process of backwards elimination. Models of antioxidant capacity run with and without the outlier (a male from CO with a *z*-score of 3.4) did not differ qualitatively. Models of oxidative damage run with and without the outlier on this measure (a male from CZ with a *z*-score of 5.3) did differ. We present the results from both sets of models here.

## RESULTS

### Divergent Signaling Phenotypes

As expected, analyses of both traits indicated significant divergence between *H. r. erythrogaster* and *H. r. rustica*. North American *H. r. erythrogaster* males have significantly darker ventral breast coloration ( $t = 11.8$ ,  $df = 40.4$ ,  $p < 0.0001$ ), and shorter tail streamers ( $t = 11.1$ ,  $df = 50.4$ ,  $p < 0.0001$ ), than European *H. r. rustica* males (Figure 1). Similar relationships were seen in females: *H. r. erythrogaster* females had darker ventral breast coloration ( $t = 9.4$ ,  $df = 38.3$ ,  $p < 0.0001$ ) and shorter tail streamers ( $t = 13.8$ ,  $df = 36.0$ ,  $p < 0.0001$ ) than their European counterparts (Figure 1).

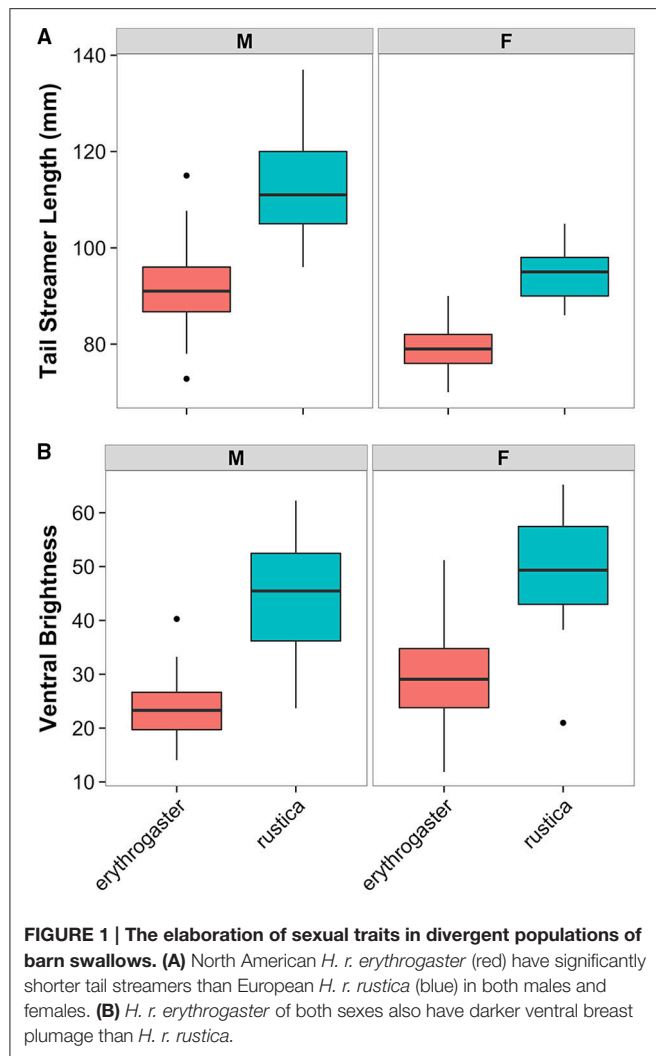
### Male Signaling Phenotype and Measures of Oxidative Stress

In male barn swallows, plasma antioxidant capacity was significantly predicted by corrected sampling date (males sampled earlier in the season had higher antioxidant capacity), and by an interaction between population and streamer length (Table 1, Table S1; final model all males:  $F_{(5, 100)} = 546.76$ ,  $n = 105$ ,  $p < 0.0001$ ; final model outlier removed:  $F_{(5, 99)} = 619.28$ ,  $n = 105$ ,  $p < 0.0001$ ). Population-specific regressions of significant interactions revealed that in North American *H. r. erythrogaster*, males with shorter streamers have a higher antioxidant capacity (outlier removed:  $F_1 = 4.32$ ,  $p = 0.042$ ), whereas in European *H. r. rustica* streamer length does not predict antioxidant capacity ( $F_1 = 0.14$ ,  $p = 0.714$ ; Figure 2).

When all individuals are included in the analysis, oxidative damage was significantly predicted by breast brightness (Figure 3; higher in darker birds), and by the interaction between population and streamer length (Table 1, Table S1; final model:  $F_{(6, 85)} = 833.21$ ,  $n = 91$ ,  $p < 0.0001$ ). Population-specific regressions indicated that in North American *H. r. erythrogaster*, streamer length was unrelated to oxidative damage (Figure 2;  $F_1 = 2.32$ ,  $p = 0.133$ ), whereas European *H. r. rustica* males with longer streamers have greater oxidative damage ( $F_1 = 4.37$ ,  $p = 0.045$ ). However, when the single outlier is excluded, oxidative damage remains significantly higher in darker birds, but the interaction between streamer length and population is no longer significant [Table 1, Table S1; final model:  $F_{(7, 83)} = 791.78$ ,  $n = 90$ ,  $p < 0.0001$ ].

### Female Signaling Phenotype and Measures Of Oxidative Stress

In female barn swallows, plasma antioxidant capacity was not significantly predicted by any of the morphological traits measured, but females measured earlier in the season had higher antioxidant capacity [Table 1; final model:  $F_{(3, 93)} = 580.58$ ,  $n = 96$ ,  $p < 0.0001$ ]. The final model of oxidative damage includes breast brightness alone; darker females had significantly lower levels of oxidative damage in both populations [Table 1, Figure 3; final model:  $F_{(2, 86)} = 1650.11$ ,  $n = 88$ ,  $p < 0.0001$ ].



## DISCUSSION

During divergence, shifts in signal use could occur because changes in signal-physiology relationships degrade signal reliability, or alter or eliminate its underlying information content (Schluter and Price, 1993). Yet while closely related species often differ in signal use, little is known about how the information content of signals changes during divergence. Our analyses indicate that in diverging populations of barn swallows, central components of physiological state (oxidative damage and plasma antioxidant capacity) are predicted by variation in the elaboration of two distinct plumage traits. While one of these signal traits (ventral color) is similarly associated with oxidative damage across populations, the other (tail streamer length) may not be.

The comparative approach utilized here does not enable us to determine whether signal traits are causally linked with measures of oxidative stress, or whether the observed relationships represent either a spurious relationship or result from both traits being uni-directionally influenced by a third unknown factor.

**TABLE 1 | Final GLMs of antioxidant capacity and oxidative damage in male and female barn swallows.**

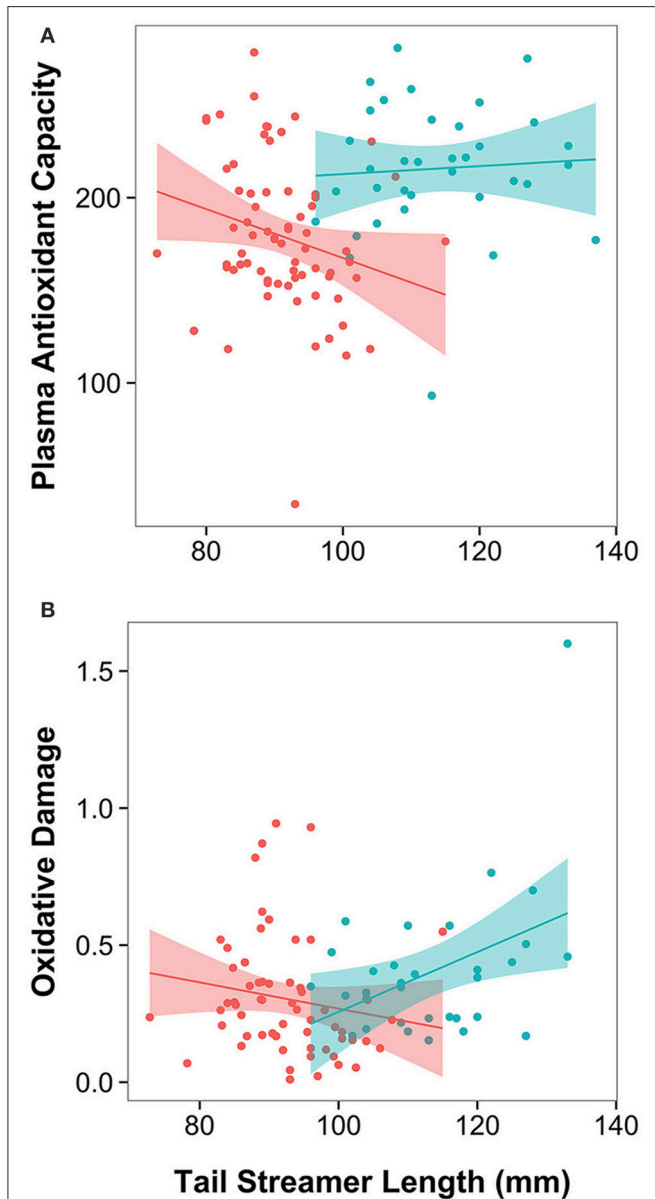
Model	Parameter Estimate	F	P
<b>ANTIOXIDANT CAPACITY: MALES</b>			
Population	147.50	2.60	0.110
<b>Corrected sampling date</b>	<b>−0.790</b>	<b>6.58</b>	<b>0.012</b>
Streamer length	0.328	2.19	0.142
<b>Population*streamer length</b>	<b>−1.950</b>	<b>4.82</b>	<b>0.030</b>
<b>ANTIOXIDANT CAPACITY—OUTLIER REMOVED: MALES</b>			
Population	136.55	2.51	0.116
<b>Corrected sampling date</b>	<b>−0.651</b>	<b>4.96</b>	<b>0.028</b>
Streamer length	0.308	2.10	0.151
<b>Population*streamer length</b>	<b>−1.815</b>	<b>4.68</b>	<b>0.033</b>
<b>OXIDATIVE DAMAGE: MALES</b>			
Population	0.433	2.42	0.124
Corrected sampling date	0.002	2.60	0.111
Streamer length	0.003	0.00	0.952
<b>Breast brightness</b>	<b>−0.005</b>	<b>7.91</b>	<b>0.006</b>
<b>Population*streamer length</b>	<b>−0.006</b>	<b>4.48</b>	<b>0.037</b>
<b>OXIDATIVE DAMAGE—OUTLIER REMOVED: MALES</b>			
Population	0.435	2.11	0.150
<b>Corrected sampling date</b>	<b>0.002</b>	<b>4.39</b>	<b>0.039</b>
Streamer length	0.001	0.67	0.416
<b>Breast brightness</b>	<b>−0.003</b>	<b>9.39</b>	<b>0.003</b>
Population*streamer length	−0.004	2.13	0.148
Population*breast brightness	−0.006	3.18	0.078
<b>ANTIOXIDANT CAPACITY: FEMALES</b>			
<b>Corrected sampling date</b>	<b>−1.37</b>	<b>17.42</b>	<b>&lt;0.001</b>
Streamer length	0.901	2.42	0.123
<b>OXIDATIVE DAMAGE: FEMALES</b>			
<b>Breast brightness</b>	<b>0.003</b>	<b>8.99</b>	<b>0.004</b>

Significant factors are bolded.

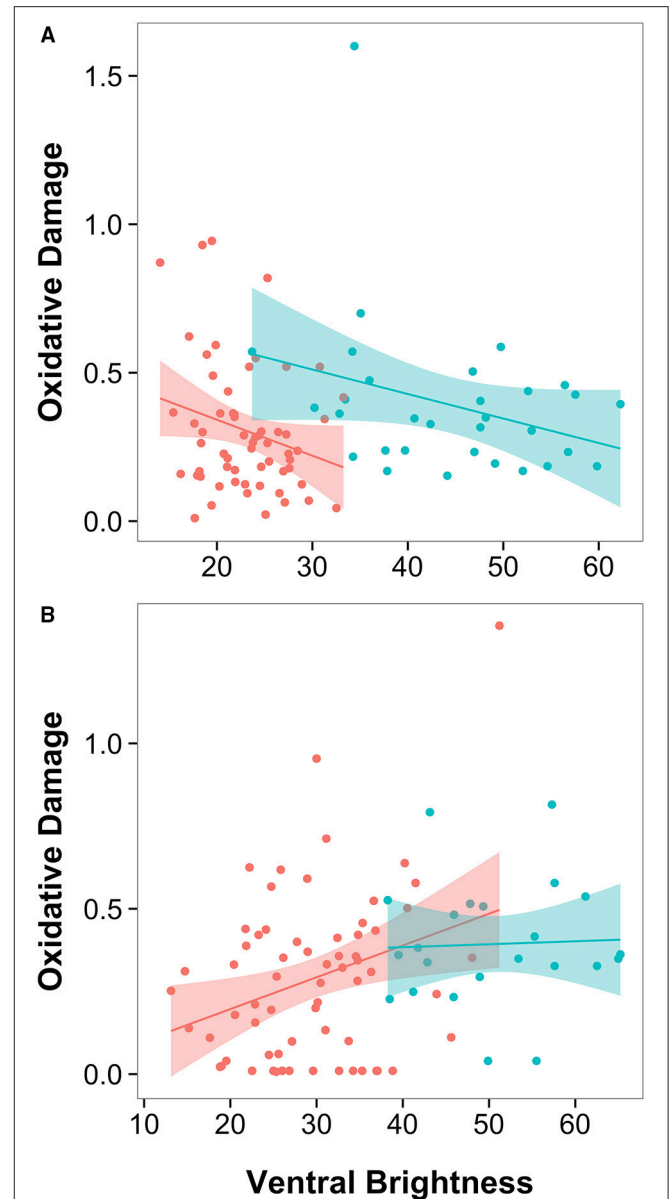
Previous experiments, however, have found that both plumage color and tail length causally influence measures of oxidative stress and other physiological traits in barn swallows (Saino et al., 1997b,c; Safran et al., 2008; Vitousek et al., 2013). Whether these causal links are present across trait types, populations, and the sexes is not known, and the specific mechanisms generating these links are not well-understood. The observational data presented here do, however, provide some insight into the mechanisms that could potentially generate these links.

## Plumage Brightness

Ventral plumage color, a trait that is sexually selected in North American *H. r. erythrogaster* (Safran et al., 2005) but is not predictive of pairing success (clutch initiation date) in European *H. r. rustica* (Wilkins et al., unpublished data), is similarly associated with measures of oxidative stress in males of both populations. Males with darker ventral coloration have higher levels of oxidative damage, but do not differ in antioxidant capacity. Comparative data on plumage color signals in male barn swallows are therefore consistent with our first hypothesis: that the physiological correlates of a specific trait will remain constant across diverging populations (same trait,



**FIGURE 2 | Male tail streamer length is differently associated with measures of oxidative stress in recently diverged populations that differ in signal use.** Regressions of tail streamer length on: **(A)** Plasma antioxidant capacity (mM HOCl neutralized), and **(B)** oxidative damage (mM  $\text{H}_2\text{O}_2$  equivalents) in North American *H. r. erythrogaster* (red symbols and regression line) and European *H. r. rustica* (blue symbols and regression line). Shading represents the 95% confidence interval for the linear regression.



**FIGURE 3 | Plumage brightness is oppositely related to plasma oxidative damage in male and female barn swallows: (A) Darker males (those with lower plumage brightness scores) have higher plasma oxidative damage (mM  $\text{H}_2\text{O}_2$  equivalents) across both populations, (B) Darker females have lower oxidative damage.** Simple regressions shown, with *H. r. erythrogaster* in red and *H. r. rustica* in blue. Shading represents the 95% confidence interval for the linear regression.

same information hypothesis). Intriguingly, while females also showed a consistent relationship between ventral plumage color and oxidative damage across populations, the direction of this relationship differed: in both North American *H. r. erythrogaster* and European *H. r. rustica*, darker females had less oxidative damage.

While we do not test the mechanisms underlying these relationships, the observed patterns provide some clues about

the nature of the links between oxidative stress and plumage color. Because the plumage of these long-distance migrants is developed on the wintering grounds, long before the reproductive period, persistent links between signals and oxidative stress seem more likely to be driven by either fundamental constraints on system functionality (Hill, 2011), or by causal effects of trait display on oxidative stress (Vitousek et al., 2013). Similarly, the elevated levels of oxidative damage in



darker male barn swallows is not consistent with trait elaboration providing information about the ability of an individual to resist challenges and withstand oxidative threats (von Schantz et al., 1999; Jawor and Breitwisch, 2003). The contrasting patterns found in males and females may, however, be consistent with the hypothesis that specific types of melanin pigments are differently linked with susceptibility to stress (Galván and Solano, 2015). While barn swallow plumage contains both eumelanins and pheomelanins, at least in *H. r. erythrogaster*, pheomelanin content appears to play a stronger role in male coloration than in female coloration (McGraw et al., 2005). Male ventral breast plumage contains more pheomelanins (but not eumelanins) than female plumage, and in males, but not females, the ratio of eumelanins to pheomelanins significantly predicts plumage coloration (McGraw et al., 2005). It is not known whether the sexes differ similarly in pigment composition in *H. r. rustica*, as the pigmentary basis of breast feathers has not been assessed (but see McGraw et al., 2004; Saino et al., 2013b).

Opposite relationships between these two types of melanin pigments and oxidative stress could occur through pleiotropic links between melanogenesis and the activity of the hypothalamic-pituitary-adrenal (HPA) axis—which often increases reactive oxygen metabolites (Haussmann and Marchetto, 2010; Costantini et al., 2011a). Pheomelanin production is influenced by agouti-related signaling protein, which also stimulates HPA axis activity (Xiao et al., 2003). Thus, darker pheomelanin individuals may be more sensitive to stressors (Galván and Alonso-Alvarez, 2011; Roulin and Ducrest, 2011; Saino et al., 2013a; Galván and Solano, 2015). In contrast, eumelanin pigment production is increased by melanin-stimulating hormone, which also binds to receptors in the hypothalamus that decrease HPA axis activity during the hormonal stress response (Racca et al., 2005; Ducrest et al., 2008). Thus, darker eumelanin individuals are predicted to display weaker hormonal stress responses (Almasi et al., 2010; Roulin and Ducrest, 2011)—and likely also lower levels of oxidative stress. Differences in the costs or trade-offs associated with producing these two pigment types could also be driven by other mechanisms. Pheomelanin production depends on the potent antioxidant glutathione; thus, the diversion of glutathione to pheomelanogenesis may be costly in organisms facing oxidative threats (Costantini, 2014). In contrast, eumelanogenesis can be inhibited by glutathione (Galván and Alonso-Alvarez, 2008, 2009; Hōrak et al., 2010). Thus, it is possible that, through any of several mechanisms, the consistent sex differences across populations in the directionality of the relationship between plumage brightness and oxidative stress are driven by differences in the costs or constraints of producing pheomelanin vs. eumelanin pigments.

Previous experiments in female *H. r. erythrogaster*, however, suggest that plumage coloration is causally linked with oxidative damage. Females manipulated to display darker plumage rapidly decrease plasma oxidative damage, adopting levels similar to naturally darker birds (Vitousek et al., 2013). While causal links between plumage color and oxidative damage have not been

examined in males, or in *H. r. rustica*, *erythrogaster* males manipulated to display darker feathers rapidly decrease both testosterone and body mass (Safran et al., 2008), patterns that could be consistent with elevated levels of oxidative stress (Alonso-Alvarez et al., 2007; Costantini, 2014). Thus, at least in *H. r. erythrogaster*, plumage coloration appears to influence aspects of physiological state. The observed relationships between plumage color and oxidative damage in this study could be generated by consistent sex differences in the direct costs or benefits of displaying darker plumage—for example, if darker males suffer a thermoregulatory cost on the wing, while darker females are better able to retain heat during incubation (Sirkiä et al., 2010). Alternatively, plumage color may influence social interactions or reproductive effort in ways that alter oxidative stress (Vitousek et al., 2013, 2014). For example, if darker males invest more in costly reproductive behaviors this could increase their oxidative stress. This mechanism would be most likely to generate the observed patterns if, despite divergence of ventral coloration between the subspecies, this trait holds signal value in both populations. In *H. r. rustica*, ventral color, which is substantially lighter than in *H. r. erythrogaster* (Figure 1), does not predict the onset of breeding in males or females (Wilkins et al., unpublished data), as it does in *H. r. erythrogaster* (Safran and McGraw, 2004; Safran et al., 2005). However, it is not known whether in *H. r. rustica*, males with darker ventral color are preferred as extra-pair mates, or whether this trait plays a role in mediating other social interactions during or outside of the reproductive period. Experimental work in other systems has found that the value of the resource held by a signaler, or receiver motivation, can influence the likelihood that a signal will be tested (Tibbetts, 2008). If, during the breeding season, the plumage signals of male barn swallows are tested (e.g., during mate or nest site defense, or extra-pair mate assessment), while the signals of females are trusted, then we would predict that darker males would have higher levels of oxidative damage, while darker females would have lower oxidative damage—as seen here.

Previous analyses of the relationship between ventral color and susceptibility to stress have yielded mixed results. Ventral color was not related to HPA activity in *H. r. erythrogaster* males during molt or reproduction (Jenkins et al., 2013), suggesting that increased HPA activity in darker pheomelanin individuals is unlikely to drive the observed patterns with oxidative damage. Studies in a European population of *H. r. rustica* suggest that darker males—but not darker females—have lower survival rates (Saino et al., 2013a, but see Galván and Møller, 2013). It is not known, however, whether feather color is causally linked with survival in this population, and if so, whether this occurs through direct costs or constraints on pheomelanin production (Galván et al., 2011), or from physical or social costs of signal display.

Elucidating the direction and nature of causal links between ventral color and oxidative damage will require further experiments into the development and use of these traits within and across diverging populations. Additionally, as measures of oxidative stress can be highly labile, future studies that test

whether the observed relationships differ across life history stages or environments, or represent context-dependent life history trade-offs (Beaulieu et al., 2015), could shed light on how and when diverging signals may convey specific, reliable information. Because we were not able to determine the reproductive sub-stage of individuals in the study, it is possible that differences between individuals, or between populations, in sample timing influenced the observed patterns. Future analyses that incorporate the specific reproductive stage of individuals—ideally as part of a repeated sampling design—could provide insight into the presence and nature of context-dependent links between signals and physiological state both within and among populations. In this analysis, we focused on a single region of ventral plumage color, the breast, as breast brightness has been shown to be strongly and causally associated with reproductive success in *H. r. erythrogaster* (Safran and McGraw, 2004; Safran et al., 2005). However, other plumage signals, including throat coloration, may hold signal value in *H. r. rustica* (Perrier et al., 2002; Wilkins et al., unpublished data). Some evidence also suggests that the relative roles of eumelanin- and pheomelanin-based pigments in determining plumage color differ between plumage patches (Saino et al., 2013b). Future analyses should be expanded to encompass other plumage traits that may hold signal value, and their pigmentary basis, and include additional key measures of physiological state and social interactions.

## Tail Streamer Length

In contrast to the patterns observed with ventral color, comparative data on tail streamer length suggests that this trait is associated with different physiological information in males of these two subspecies. In European *H. r. rustica*, longer-streamered males did not differ in antioxidant capacity, but the analysis from the full data set indicated that they had greater levels of oxidative damage than shorter-streamered males. Thus, where tail streamer length is under positive sexual selection, males with longer streamers appear to experience higher levels of oxidative stress. In contrast, in North American *H. r. erythrogaster*, shorter-streamered males had a higher antioxidant capacity, but streamer length was not associated with oxidative damage. As plasma antioxidant levels are often up-regulated in response to oxidative threats, this pattern could indicate that shorter-streamered *H. r. erythrogaster* males are more oxidatively stressed (Costantini and Verhulst, 2009); however, this pattern could also result from naturally stronger antioxidant defenses in these males. Conclusions related to oxidative damage should be treated with caution due to the significant effect of the single outlier on the model outcomes. However, both models of antioxidant capacity (with and without the outlier) suggest that the physiological state of longer-streamered males differs across these diverging populations.

In male European barn swallows, *H. r. rustica*, sexual selection has resulted in the elongation of streamers past the aerodynamic optimum (Buchanan and Evans, 2000; Rowe et al., 2001). Barn swallows are aerial insectivores and so acquire all of their energetic resources during flight; thus, the apparent increase

in measures of oxidative stress in longer-streamered *H. r. rustica* males could result from the increased energetic cost of foraging faced by these males. The lack of a relationship between tail streamer length and measures of oxidative stress in female *H. r. rustica*, who have shorter tail streamers than males (Figure 1), is consistent with females being closer to the aerodynamic optimum in this population. The elevated antioxidant levels in shorter-streamered North American *H. r. erythrogaster* males, which are consistent with elevated levels of oxidative stress, are particularly interesting. Previous analyses have indicated that short streamers could fall below the aerodynamic optimum for foraging flight (Buchanan and Evans, 2000; Rowe et al., 2001). Thus, shorter-streamered male *H. r. erythrogaster*—which appear to be preferred as mates by females (Safran et al., in revision)—could face increased foraging costs that translate into elevated oxidative stress. However, while female *H. r. erythrogaster* have even shorter tail streamers than their male counterparts (Figure 1), female tail length was unrelated to measures of oxidative stress. This could be because the direct costs of bearing shortened streamers differ in the sexes. For example, the increased wing-loading generated by elongated streamers might present a higher cost to shorter-winged females (Rowe et al., 2001), or differences in the foraging or reproductive behavior of female *H. r. erythrogaster* could select for shorter tail length. It is also possible that the opposing patterns in signal elaboration and physiological state in these two populations are influenced more by the social costs of trait display, or by signal-driven changes in reproductive effort, than by the direct effect of streamer length on aerial efficiency. For example, if tail length does not influence social status or social interactions in female barn swallows—but does in males—then we would expect to see social feedback-induced links between oxidative stress and tail length in males (that differ in directionality between populations) but not in females.

## CONCLUSIONS

Our analyses indicate that during the process of divergence, some morphological traits remain consistent indicators of oxidative damage, despite apparent shifts in signal use. Other traits, however, differ in their relationships with physiological state, and thus their potential information content, across diverging populations. While we did not directly assess the mechanisms that link signal traits and oxidative stress in barn swallows, our findings provided some clues about the nature of these links. As populations diverge, the same signals could be associated with different physiological states because of differences in the cost of producing or displaying an ornament across different physical environments (e.g., the same ornament is more costly to display in one environment than another), or because the same signal is differently elaborated in two populations (e.g., tail streamer length above or below the aerodynamic optimum: Rowe et al., 2001). Alternatively, divergent signal-physiology patterns could be a direct result of differences in the way signals are used across populations (e.g., social feedback about

signal elaboration influences physiological state in populations where the trait is used as a social signal, but not where it is not). A comprehensive understanding of the relationship between signals and physiological state during divergence will require measuring a much wider variety of traits across multiple populations and contexts, in combination with experimental tests of the diversity of mechanisms that can generate these links. Our results do, however, suggest that the relationships between specific sexual traits and oxidative stress—a central component of organismal health—may be differently altered during divergence.

## AUTHOR CONTRIBUTIONS

RS, MV, and TA conceived of the study. MV, OT, and TA collected field data. MV assayed oxidative damage and antioxidant capacity, analyzed the data, and drafted the manuscript. MW aided with analysis and interpretation. All authors contributed substantially to manuscript revisions, and approved the final version of the manuscript for submission.

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## SUPPLEMENTARY MATERIAL

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**Conflict of Interest Statement:** The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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**Supplementary material for:**  
**Signal traits and oxidative stress: a comparative study across populations with divergent signals.**  
Maren N. Vitousek, Oldřich Tomášek, Tomáš Albrecht, Matthew R. Wilkins, and Rebecca J. Safran

**Table S1:** Full GLMs of antioxidant capacity and oxidative damage in barn swallows.

Model	Parameter Estimate	F	P
<b>Antioxidant Capacity: Males</b> ( $F_{8,95}=334.27$ , $n=103$ , $p<0.0001$ )			
Population	230.15	<b>5.12</b>	<b>0.026</b>
Corrected sampling date	-0.727	<b>4.74</b>	<b>0.032</b>
Body mass	-0.731	0.04	0.833
Streamer length	0.509	1.89	0.173
Breast brightness	0.606	0.11	0.743
Population*streamer length	<b>-2.259</b>	<b>6.19</b>	<b>0.015</b>
Population*breast brightness	-1.617	1.79	0.184
<b>Antioxidant Capacity: Male Outlier Removed</b> ( $F_{8,94}=375.95$ , $n=102$ , $p<0.0001$ )			
Population	<b>195.05</b>	<b>4.08</b>	<b>0.046</b>
Corrected sampling date	<b>-0.658</b>	<b>4.32</b>	<b>0.040</b>
Body mass	-0.071	0.00	0.983
Streamer length	0.478	1.60	0.209
Breast brightness	0.610	0.06	0.812
Population*streamer length	<b>-2.037</b>	<b>5.61</b>	<b>0.020</b>
Population*breast brightness	-0.937	0.66	0.420
<b>Oxidative Damage: Males</b> ( $F_{8,83}=625.02$ , $n=91$ , $p<0.0001$ )			
Population	<b>-0.620</b>	<b>4.04</b>	<b>0.048</b>
Corrected sampling date	-0.002	3.29	0.073
Body mass	0.001	0.01	0.930
Streamer length	-0.003	0.00	0.981
Breast brightness	<b>0.003</b>	<b>9.87</b>	<b>0.002</b>
Population*streamer length	<b>0.006</b>	<b>5.04</b>	<b>0.027</b>
Population*breast brightness	0.005	1.87	0.175
<b>Oxidative Damage: Male Outlier Removed</b> ( $F_{8,82}=684.64$ , $n=90$ , $p<0.0001$ )			
Population	-0.436	2.10	0.152
Corrected sampling date	<b>-0.002</b>	<b>4.13</b>	<b>0.045</b>
Body mass	0.001	0.02	0.885
Streamer length	-0.001	0.62	0.432
Breast brightness	<b>0.002</b>	<b>9.28</b>	<b>0.003</b>
Population*streamer length	0.004	2.13	0.149
Population*breast brightness	0.006	2.96	0.089
<b>Antioxidant Capacity: Females</b> ( $F_{7,89}=245.79$ , $n=96$ , $p<0.0001$ )			
Population	-150.73	0.50	0.479
Corrected sampling date	<b>-1.306</b>	<b>12.06</b>	<b>0.001</b>
Streamer length	0.953	2.21	0.141
Breast brightness	-0.837	0.54	0.464
Population*streamer length	1.486	0.43	0.516
Population*breast brightness	0.819	0.50	0.482
<b>Oxidative Damage: Females</b> ( $F_{7,78}=453.88$ , $n=85$ , $p<0.0001$ )			
Population	-0.617	0.88	0.351
Corrected sampling date	-0.001	0.60	0.441
Streamer length	-0.006	0.19	0.668
Breast brightness	-0.001	1.71	0.195
Population*streamer length	0.008	1.46	0.230
Population*breast brightness	-0.002	0.30	0.587